**U.S. Department of Justice** 

Office on Violence Against Women (OVW)



# OVW Fiscal Year 2011 Services, Training, Education and Policies to Reduce Sexual Assault, Domestic Violence, Dating Violence, and Stalking in Secondary Schools Grant Program

# **Eligibility**

Applicants are limited to: 1) Public, Charter, Tribal, or Nationally Accredited Private Middle or High Schools; 2) Schools administered by the Department of Defense; 3) Groups of middle and/or high schools (2 or more); or 4) School Districts.

(See "Eligibility," page 8)

#### **Deadline**

To assist OVW in planning for the independent peer review process, letters of intent to apply should be submitted by March 23, 2011. Please note that letters of intent are optional. Interested applicants who do not submit a letter of intent by the deadline are still eligible to apply. To ensure all applicants have ample time to complete the registration process through Grants.Gov, applicants should register online with Grants.gov by March 23, 2011.

All applications are due by 11:59 p.m. E.T. on April 6, 2011.

(See "Deadline: Application," page 7)

## **Contact Information**

For assistance with the requirements of this solicitation, contact OVW at (202) 307-6026.

In Fiscal Year 2011, OVW applications will be submitted through Grants.gov. For further information and assistance, please see the OVW Grant Program Solicitation Reference Guide at http://www.ovw.usdoj.gov/docs/resource-guidebook.pdf.

## **Grants.gov Number assigned to announcement OVW-2011-2919**

All applicants will be notified of the outcome of their applications by September 30, 2011.

# **CONTENTS**

Overview of the OVW STEP Program	p. 5
Deadline: Letter of Intent	p. 7
Deadline: Registration	p. 7
Deadline: Application	p. 7
Eligibility	p. 8
OVW STEP Program Specific Information	p. 9
<ul> <li>Types of Applicants</li> <li>Availability of Funds</li> <li>Award Period</li> <li>Award Amounts</li> <li>Program Scope</li> </ul>	<ul><li>p. 9</li><li>p. 9</li><li>p. 9</li><li>p. 10</li><li>p. 10</li></ul>
How To Apply	p. 15
What An Application Must Include:	p. 15
<ul> <li>Summary Data Sheet</li> <li>Project Narrative</li> <li>Budget Detail Worksheet and Narrative</li> <li>Memorandum of Understanding (MOU)</li> <li>Proposal Abstract</li> <li>Summary of Current OVW Projects</li> <li>Application for Federal Assistance</li> <li>Standard Assurances and Certifications</li> <li>Financial Accounting Practices</li> <li>Letter of Nonsupplanting</li> <li>Financial Capability Questionnaire</li> <li>Indirect Cost Rate Agreement</li> <li>Proof on Nonprofit Status</li> <li>Certification of Eligibility</li> <li>Letter of Designation</li> </ul>	p. 16 p. 17 p. 20 p. 22 p. 23 p. 24 p. 24 p. 24 p. 25 p. 25 p. 25 p. 25 p. 26
Selection Criteria	p. 26
Review Process	p. 27
Performance Measures	p. 27
Notice of New Post-Award Reporting Requirements	p. 28
Additional Requirements	p. 28
Application Checklist	p. 29

# **Pre-Application Information Calls**

OVW will conduct four pre-application information calls to review the STEP Program solicitation, eligibility requirements and the application process.

#### Schedule:

Date	Start Time	Finish Time	Registration Required
March 7, 2011	10 a.m. E.T.	12 p.m. E.T.	Yes
March 10, 2011	2 p.m. E.T.	4 p.m. E.T.	Yes
March 14, 2011	10 a.m. E.T.	12 p.m. E.T.	Yes
March 17, 2011	2 p.m. E.T.	4 p.m. E.T.	Yes

#### **Registration Instructions:**

Anyone who is interested in submitting an application to the STEP Program may register to participate in the information calls. The total number of participants for each call is limited to 50. To register email or call Mary Tate, OVW Program Assistant, at <a href="mary.tate@usdoj.gov">mary.tate@usdoj.gov</a> or 202-305-1653.

The subject line should be titled, "<u>STEP Program Information Call Registration.</u>" Your registration request must be received **two business days prior to the scheduled call date**.

# **OVW Services, Training, Education and Policies** to Reduce Sexual Assault, Domestic Violence, Dating Violence, and Stalking in Secondary **Schools Grant Program**

(CFDA 16.684)

### **Overview**

This solicitation contains information on how to apply for the Services, Training, Education and Policies to Reduce Sexual Assault, Domestic Violence, Dating Violence, and Stalking in Secondary Schools Grant Program. For general information on applying for all OVW grant programs, please see the OVW Fiscal Year 2011 Grant Program Solicitation Reference Guide (Reference Guide) at http://www.ovw.usdoj.gov/docs/resource-guidebook.pdf. All applicants should read carefully both this solicitation and the Reference Guide before beginning the application process.

About the OVW Services, Training, Education and Policies to Reduce Sexual Assault, Domestic Violence, Dating Violence, and Stalking in Secondary Schools Grant Program

The Services, Training, Education and Policies to Reduce Sexual Assault, Domestic Violence, Dating Violence, and Stalking in Secondary Schools Grant Program, hereafter referred to as the STEP Program, was created by the Violence Against Women Act of 2005 (VAWA 2005) to support middle and high schools to develop and implement effective training, services, prevention strategies, policies, and coordinated community responses for student victims of sexual assault, domestic violence, dating violence and stalking.

According to a study released in 2009 by the National Institute of Justice, dating violence incidents reached 5.6 % in the past year. Adolescents ages 14 to 17 were by far the most likely to be sexually victimized<sup>1</sup>. Nearly one in six adolescents were sexually victimized in the past year.<sup>2</sup> The most common forms of sexual victimization included flashing or exposure by a peer and sexual assault.<sup>3</sup> For youth, school is a place where not only educational learning occurs. but also a place where social and emotional cues are shaped. During this stage of development, youth are learning the social norms of their peer group; developing their identity; navigating peer pressure and learning autonomy from their parents. Youth may also find it challenging to set appropriate boundaries; to be independent of social pressures; and to understand the difference between healthy and unhealthy relationships. Thus, school personnel are often challenged to adapt and effectively respond to the rapidly changing educational, social and emotional needs of the students.

<sup>&</sup>lt;sup>1</sup> Finkelhor, D., Turner, H., Ormrod, R., Hambly, S., Kracke, K. (2009). *National Survey of* Children's Exposure to Violence. Office of Juvenile Justice and Delinquency Prevention (OJJDP) and the Centers for Disease Control and Prevention (CDC). <sup>2</sup> Id

Youth, unlike their adult counterparts, are often unable to access victim services including health care without parental consent. Additionally, youth who are victims of domestic and sexual violence, in particular, are subject to child protection mandates for reporting incidents and subsequent intervention depending on the severity of the crimes. Further, students victimized by other students often face additional challenges in a confined school environment. For example, a victim of sexual assault, domestic violence, dating violence, or stalking may continue to live in danger if the perpetrator attends the same classes. In a smaller school, a victim may wish to remain anonymous but may find this to be virtually impossible in such an insular environment. Similarly, stalking victims may find it difficult to escape their tormentors, because the stalker may have a seemingly "legitimate" reason for remaining in contact with or in proximity to the victim (e.g., studying in the library). In other cases, a victim may be harassed by classmates or by a perpetrator's friends who claim that the victim "asked for it" or "provoked" the attack. Even changing class schedules may not eliminate the threat of encountering the perpetrator in school. The use of social networking sites has increased the danger for youth as perpetrators use this medium to monitor the victim's whereabouts, as well as utilize it to further torment the victim by sharing nude pictures of the victim with friends or other peers at school; or excessively texting, emailing or instant messaging to follow and harass the victim. Many schools are not equipped to address various dynamics of sexual assault, domestic violence. dating violence, and stalking among students; therefore, students are often left without the appropriate support for their diverse needs or a system that will hold perpetrators accountable for their actions. Thus, the prevalence of youth exposure to or victimization from sexual assault. domestic violence, dating violence, and stalking warrants comprehensive strategies to reduce its impact on students, provide appropriate victim services and enhance investigations of such crimes.

The STEP Program is designed to support projects that provide training to school administrators, faculty, and staff; develop policies and procedures for response; provide support services; develop effective prevention strategies; and collaborate with mentoring organizations to support middle and high school students who have experienced or are victims of sexual assault, domestic violence, dating violence, or stalking.

#### **Civil Rights Compliance**

All recipients of Federal grant funds are required to comply with nondiscrimination requirements contained in various Federal laws. In the event that a court or administrative agency makes a finding of discrimination on grounds of race, color, religion, national origin, gender, disability, or age against a recipient of funds after a due process hearing, the recipient must agree to forward a copy of the finding to the Office for Civil Rights of the Office of Justice Programs(OJP). All applicants should consult the Assurances required with the application funds to understand the applicable legal and administrative requirements.

#### **Services to Limited-English-Proficient (LEP) Persons:**

National origin discrimination includes discrimination on the basis of limited English proficiency (LEP). To ensure compliance with Title VI of the Civil Rights Act and the Omnibus Crime Control and Safe Streets Act, recipients are required to take reasonable steps to ensure that LEP persons have meaningful access to their programs. Meaningful access may entail providing language assistance services, including interpretation and translation services, where necessary. Grantees are encouraged to consider the need for language services for LEP persons served or encountered both in developing their proposals and budgets and in conducting their programs and activities. Reasonable costs associated with providing meaningful access for LEP individuals are considered allowable program costs. The U.S. Department of Justice has issued guidance for grantees to assist them in complying with Title VI

requirements. The guidance document can be accessed on the Internet at <a href="www.lep.gov">www.lep.gov</a> or by contacting the OJP's Office for Civil Rights at (202) 307-0690, or by writing to the following address:

Office for Civil Rights
Office of Justice Programs
U.S. Department of Justice
810 7<sup>th</sup> Street, N.W., 8<sup>th</sup> Floor
Washington, DC 20531

#### **Deadline: Letter of Intent**

If you intend to apply for Fiscal Year (FY) 2011 funding under this program, we encourage you to submit a letter stating that you intend to apply for funding. The letter will not obligate you to submit an application. Please see <a href="http://www.ovw.usdoj.gov/docs/sample\_letter\_of\_intent.pdf">http://www.ovw.usdoj.gov/docs/sample\_letter\_of\_intent.pdf</a> for a sample letter. The letter should be submitted to OVW by March 23, 2011. You may send the letter to OVW at OVW.STEP@usdoj.gov. OVW will use these letters to predict the number of peer review panels needed to review the applications. You may still submit an application for funding if you do not submit a Letter of Intent.

# **Deadline: Registration**

The Grants.gov registration deadline is March 23, 2011. For more information on the process of registering and applying in Grants.gov, please see the <u>Reference Guide</u> at pages 15-19.

# **Deadline: Application**

An application submission is complete if (a) a hard copy of the entire application, with original signatures, has been submitted via overnight delivery on or before the deadline and (b) the application has been submitted through Grants.gov. Both electronic and hard copy submissions are required.

The deadline for applying for funding under this announcement is **April 6, 2011, 11:59 p.m. E.T.** A hard copy must be sent via an overnight delivery method, date stamped by the shipping company on or before April 6, 2011 to:

The Office on Violence Against Women c/o Lockheed Martin Aspen Systems Corporation OVW STEP Program Mail Stop 2K 2277 Research Boulevard Rockville, MD 20850 (301) 519-5000

Applicants are strongly encouraged to submit their applications well in advance of the deadline to ensure a successful submission through Grants.gov. For information on OVW's policy for late applications, please see the <u>Reference Guide</u> at pages 17-19.

# **Eligibility**

It is very important that you review this information carefully. Applications that are submitted by non-eligible entities will be screened out during an initial basic minimum review process and omitted from further review.

By statute, eligible entities for this program are:

- Public, Charter, Tribal or Nationally Accredited Private Middle or High School;
- Schools Administered by the Department of Defense;
- Groups of middle and/or high schools (2 or more); or
- School Districts.

Note: For clarification purposes for the STEP Program eligibility, the following terms apply:

- Eligible schools must serve students in the 6<sup>th</sup> to 12<sup>th</sup> grades;
- Applicants who represent a group of schools or a school district must demonstrate that each school meets one of the previously listed criteria for eligible entities; and
- Independent, alternative, and faith-based schools are eligible as long as they qualify as a public school, charter school, or Tribal school; or have national accreditation.

#### Certification of Eligibility

The following applicants are required to certify by letter or certificate:

- 1) Nationally Accredited Private Schools must submit documentation demonstrating current accreditation status from a national education accreditation body. The letter or certificate must state the name of the school, the period of accreditation, and the accredited grades.
- 2) Schools administered by the Department of Defense must submit documentation that the school operates on an active military base and is within the jurisdiction of the Department of Defense Domestic Dependent Elementary and Secondary Schools (DDESS).
- 3) **Tribal Schools** must either: A) submit the Tribal charter if the school is governed by the tribe **or** B) be included in the list of schools recognized by the Department of Interior's Bureau of Indian Education.

#### Required Partnerships:

Pursuant to the statute, all applicants must partner with:

- A non-profit nongovernmental sexual assault victim service provider/organization, such as a
  rape crisis center, a program serving tribal victims of sexual assault, or a coalition or other
  non-profit nongovernmental organization carrying out a community based sexual assault
  program, that has a history of effective work concerning sexual assault and the impact that
  sexual assault has on children and youth;
- A non-profit non-governmental domestic violence victim services provider/organization or coalition that has a history of working on domestic violence and the impact that domestic violence and dating violence have on children and youth; and,
- A local law enforcement agency or relevant State, Tribal, Territorial or local court (i.e. dependency or juvenile court).

Please note: An applicant that partners with a dual sexual assault and domestic violence organization does not have to partner with another victim services agency to meet this requirement.

# **OVW STEP Program- Specific Information**

#### **Types of Applicants**

OVW will accept applications from:

- 1) An individual applicant that is a single school that independently or as designated by the school district or board, proposes to develop and implement activities on its own school grounds;
- 2) A community consortium project that involves two or more schools that independently or as designated by the school district or board, are working together to implement project activities. This consortium focuses on impacting a specific community, neighborhood or catchment area with the goal of developing a school and community-based response to sexual assault, domestic violence, dating violence, and stalking; or
- 3) A scattered site consortium project that involves two or more schools that are designated by the school district to work together to develop and implement consistent practices, policies, and products for each of their respective schools that could be replicated districtwide.

Note: For clarification purposes of the STEP Program, the following terms apply:

- For consortia projects, the participating schools can be a mix of middle and high schools;
   and
- Scattered Site Consortium projects can include schools located across the school district that have diverse populations and needs.

#### <u>Letter of Designation</u>

All applicants must submit a letter from the school district superintendent or board of directors/trustees certifying that the individual school or group of schools is designated to administer federal funds and implement the grant program activities.

#### **Availability of Funds**

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. Funding is not guaranteed. Requests should be tied to a specific project or proposal.

#### **Award Period**

The award period for these cooperative agreements<sup>4</sup> will be 36 months. Budgets must reflect 36 months of project activity, and the total "estimated funding" (block 15) on the SF-424 must reflect 36 months.

<sup>&</sup>lt;sup>4</sup> Recipients of Cooperative Agreements will have more on-going OVW involvement over project activities than grant recipients.

#### **Award Amounts**

Applicants should carefully consider the resources needed to successfully implement the project proposed and present a realistic budget that accurately reflects project costs. OVW has the discretion to make awards for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to awarding a cooperative agreement. FY 2011 STEP Program funds will be awarded based on the following guidelines:

- Individual Applicants: up to \$350,000 over a 36 month period
- Consortia Projects: up to \$550,000 over a 36 month period

Awards will be made as cooperative agreements for a 36 month period. However, all award recipients must engage in a one year planning and development phase and an implementation phase. Award recipients should be aware that they will be required to receive and to participate actively in technical assistance throughout the project.

#### **Program Scope**

The scope of the STEP Program is defined by the following "statutory purpose areas" and "minimum requirements." Proposed projects must implement activities consistent with the statutory program purpose areas and must address at least one purpose area.

During the OVW internal review, applications that are partially out of scope will receive up to a 25 point deduction. Applicants that propose projects that are substantially outside the scope of the STEP Program statutory purpose areas will be disqualified from further funding consideration.

#### Statutory Program Purposes

By statute, funds under the STEP Program may be used for the following purposes:

- To provide training to school administrators, faculty, counselors, coaches, healthcare
  providers, security personnel, and other staff on the needs and concerns of students who
  experience domestic violence, dating violence, sexual assault, or stalking, and the impact of
  such violence on students;
- 2. To develop and implement policies in middle and high schools regarding appropriate, safe responses to, and identification and referral procedures for, students who are experiencing or perpetrating domestic violence, dating violence, sexual assault or stalking, including procedures for handling the requirements of court protective orders issued to or against students or school personnel, in a manner that ensures that safety of the victim and holds the perpetrators accountable:
- 3. To provide support services for students and school personnel, such as a resource person who is either on-site or on-call, and who is an employee from the victim services partner(s) of the applicant(s), for the purpose of developing and strengthening effective prevention and intervention strategies for students and school personnel experiencing domestic violence, dating violence, and sexual assault or stalking;
- 4. To provide developmentally appropriate educational programming to students regarding sexual assault, domestic violence, dating violence, and stalking, and the impact of experiencing sexual assault, domestic violence, dating violence, and stalking on children and youth by adapting existing curricula activities to the relevant student population:
- 5. To work with existing mentoring programs and develop strong mentoring programs for students, including student athletes, to help them understand and recognize violence and violent behavior, how to prevent it and how to appropriately address their feelings; and,

6. To conduct evaluations to assess the impact of programs and policies assisted under this section in order to enhance the development of the program.

For further clarification of the STEP Program, the following terms apply:

- If applicants are proposing to address statutory purpose areas 4, 5 and/or 6, a total of 15% of the budget can be used for all of these purposes.
- Proposed educational programming must utilize existing curricula that are: 1) evidenced based; 2) research supported; 3) based on well known theories of change; or 4) supported by program specific evaluation.

#### **Program Special Interest Areas**

In Fiscal Year 2011, OVW is interested in projects that address one or more of the following special interest areas:

- Develop or enhance culturally- and linguistically-specific intervention strategies and victim services for communities of color such as African American, Asian, and Latino, as well as traditionally underserved populations like lesbian, gay, transgender, questioning and intersex (LGBTQI) youth and Deaf communities;
- Conduct educational programming that equitably addresses dating and sexual violence including sexual coercion;
- Implement student-driven prevention strategies that focus on bystander or peer education approaches;
- Establish a collaboration with a local college or university that has implemented an effective violence against women prevention and intervention program;
- Develop specialized intervention services for students who have been exposed to domestic and/or sexual violence;
- Develop or enhance victim service programs that provide sexual assault specific services for students:
- Develop or enhance direct services to students to address the intersection of sexual assault, domestic violence, dating violence and stalking with other youth issues (i.e., teen pregnancy, health concerns, gang violence, bullying); and
- Incorporate strategies to involve youth in the development and implementation of youth centered victim services.

#### Minimum Requirements

All applicants **mus**t address ALL four minimum requirements:

- 1) Establish a coordinated community response including creating a coordinated community response taskforce whose primary purpose is to develop and implement comprehensive school strategies to address sexual assault, domestic violence, dating violence and stalking. The team must review policies and procedures to ensure victim safety/recovery and offender accountability. At a minimum, the team must be comprised of:
  - a) A non-profit nongovernmental sexual assault victim service provider/organization, such as a rape crisis center, a program serving tribal victims of sexual assault, or a coalition or other non-profit governmental organization carrying out a community based sexual assault program, that has a history of effective work concerning sexual assault and the impact that sexual assault has on children and youth;
  - b) A non-profit non-governmental domestic violence victim services provider/organization or a coalition that has a history of working on domestic violence and the impact that domestic violence and dating violence have on children and youth;

c) A local law enforcement agency or relevant State, Tribal, Territorial or local court (i.e., dependency or juvenile court);

- d) A school Principal or Administrator;
- e) A Teacher; and
- f) A Parent Representative.

Note: If the school resource officer is an active sworn law enforcement officer from the local jurisdiction, then the applicant is not required to partner with another local jurisdiction.

Additionally, applicants should develop a broad spectrum of school and community based partners such as one or more of the following:

Guidance Counselors Community youth organizations School Resource Officers Pupil Personnel Workers

Coaches

Title V and IX Coordinators

**ROTC** 

School administrative office staff

Student groups

School District representatives

Social Workers

Special Education teachers and coordinators Culturally Specific community organizations School and community health professionals

Clergy

- 2) Develop a Prevention and Education Program about sexual assault, domestic violence, dating violence, and stalking in collaboration with community based victim service organizations. This program can include but is not limited to educational programming, prevention and education campaigns, awareness events, public service announcements, etc. The program must focus on targeting:
  - a. **Students:** must include the development of a youth advisory committee to shape the strategies proposed and an ongoing multi-pronged approach that could include social emotional learning strategies; bystander intervention; peer education; men's programming; and culturally and linguistically specific approaches; and
  - b. **School Personnel:** must develop an ongoing training for school personnel including administrators, teachers, coaches, guidance counselors, school resource officers, and school nurses.

Note: There is no requirement for the prevention and education program to be evidenced based or supported by research; however, if one of the proposed strategies is educational programming, then the curriculum used must be 1) evidenced based; 2) research supported; 3) based on well known theories of change; or 4) supported by program specific evaluation.

- 3) Strengthen the School Disciplinary Process to provide a fair, balanced process that maintains victim safety/recovery while also holding offenders accountable. Applicants should propose activities such as developing and/or revising school policies; enhancing protocols for notification of students and parents; more effectively addressing confidentiality/privacy and mandated reporting; creating appropriate sanctions for students found to be responsible for violating the school policy; and developing specific training for all school personnel involved in the disciplinary process.
- 4) Establish a Parent Outreach and Engagement Program that provides ongoing outreach, training, and information to parents about the dynamics of sexual assault, domestic violence, dating violence, and stalking among youth. This program should also develop ways that parents can receive information about the school's policies, the range of services

offered to students, as well as the community resources available. Additionally, the program should find ways to utilize parents in developing comprehensive school strategies that will address the needs of students who experience sexual assault, domestic violence, dating violence, and stalking.

**Note:** For further clarification of the STEP program, the following issues are considered within program scope:

- Addressing middle and high school students exposed to domestic violence in their homes;
- Addressing child sex abuse by a parent, guardian, or caretaker;
- Sexual assault not in the context of a dating relationship; and
- Bullying activities as a strategy to further address and provide connections with the dynamics of sexual assault, domestic violence, dating violence and stalking. Applications that propose significant activities on bullying will be considered out of scope.

#### Other Program Requirements

In addition to the aforementioned Program Requirements, applicants must engage in the following required activities:

Applicants are required to complete a one-year planning and development phase:

- A. Planning Phase: Successful applicants will work with OVW and Technical Assistance providers to establish the groundwork for developing or enhancing comprehensive responses for secondary students who experience sexual assault, domestic violence, dating violence and stalking. The planning phase will be for 12 months. The planning phase must include, but is not limited to, the following activities:
  - conducting a school and/or community needs assessment;
  - reviewing school policies and protocols for responding to the aforementioned crimes;
  - utilizing the coordinated community response team to develop the strategic plan;
  - participation in new grantee orientation and at minimum two technical assistance trainings; and
  - developing a strategic plan outlining the implementation activities to be conducted during the remainder of the grant period that will be submitted to OVW for review and approval.

The school and/or community needs assessment that will be developed in the planning phase should include but is not limited to:

- Identifying the conditions in the school and community that contribute to and/or perpetuate sexual assault, domestic violence, dating violence and stalking;
- Assessing the school and/ or community assets and resilience factors that need to be promoted or sustained;
- Identifying the current school policies, procedures, resources and services for students who are victims of sexual assault, domestic violence, dating violence or stalking;
- Identifying and reducing the gaps and barriers in policies, procedures and services; and
- Identifying school and community resources available to students.

B. Implementation Phase: Upon successfully completing the planning phase and upon OVW approval of the strategic plan, successful applicants will begin implementing the proposed school based responses to sexual assault, domestic violence, dating violence and stalking. During the implementation phase, successful applicants will continue working with OVW and the identified Technical Assistance providers to successfully execute their strategic plan and should expect to engage in intensive proactive technical assistance for the duration of the cooperative agreement. Successful applicants are also required to participate in two additional OVW technical assistance trainings over the remaining two years.

#### Out-of-Scope Activities

OVW has determined that the following activities are out of scope of this program and cannot be supported by the STEP Program grant funding:

- Addressing direct child physical abuse and neglect;
- Proposing mentoring activities that are not specific to sexual assault, domestic violence, dating violence and stalking;
- Conducting parent support groups or other victim services for parents;
- Conducting services for perpetrators of domestic, dating, and sexual violence such as counseling, batterer intervention, and anger management;
- Developing training, products and policies on sexual harassment;
- Implementing programs that focus primarily on alcohol and substance abuse or bullying;
- Conducting theatre performances that are not specific to sexual assault, domestic violence, dating violence, and stalking issues; and
- Providing legal representation in civil and criminal matters, such as family law cases (custody, visitation and child support), housing cases, and others.

#### **Unallowable Activities**

Grant funds under the STEP Program may not be used for any unauthorized purposes, including but not limited to the following activities:

- Lobbying
- Fundraising
- Purchase of real property
- Construction
- Physical modifications to buildings, including minor renovations (such as painting or carpeting)

#### Activities That May Compromise Victim Safety and Recovery

The following activities have been found to jeopardize victim safety, deter or prevent physical and emotional healing for victims, or allow offenders to escape responsibility for their actions. OVW strongly encourages you **not** to include these activities in your application for funding:

- Ordering victims and offenders to attend mandatory joint mediation;
- Requiring victims of sexual assault, domestic violence, dating violence, or stalking to file for a protection order or file criminal charges against their abuser as a condition of receiving services;
- Sharing confidential victim information with outside organizations and/or individuals without the documented consent of the victim;
- Referring victims to Child Protection Services solely for failure to protect their minor child when witnessing domestic violence, except if required under state law;

- Developing prevention programs that focus primarily on victim behavior which may reinforce the myth that victims somehow provoke or cause the violence they experience;
- Implementing programs that focus primarily on alcohol and substance abuse;
- Failing to provide student victims the option of making the final decision to modify their class schedule and/or other arrangements (such as locker locations or living arrangements for boarding schools);
- Developing products and/or materials that are not specifically tailored to youth and towards the dynamics of sexual assault, domestic violence, dating violence and stalking;
- Implementing policies that require the victim to report the sexual assault, domestic violence, dating violence, and stalking to law enforcement;
- · Failing to conduct safety planning with victims; and
- Failing to develop policies regarding confidentiality, parental involvement/consent, mandatory reporting duties, and working with other ancillary service providers.

#### Confidentiality

Applicants should be cognizant of victims' confidentiality. Please see <u>Reference Guide</u> p. 7 for more information.

# **How To Apply**

See the Reference Guide at pages 15-19 for instructions on "how to apply."

# **What An Application Must Include**

Applicants must complete each of the following sections as part of their response to this solicitation. It is the responsibility of the applicant to ensure that its application is complete by the deadline. OVW may remove an application from consideration prior to peer review if the application is substantially incomplete or received after the deadline without prior permission as described in the Reference Guide at pages 17-19. For each section listed below, please note the corresponding maximum point value that may be assigned during the peer review scoring process. The application should use headings and subheadings in the order below for ease of review. Peer reviewers may not receive any additional materials submitted beyond those required. For example, if an application includes a narrative that is 25 pages the last five pages may be removed prior to peer review.

Applications must follow the following requirements:

- Double spaced (except that any included graphs and charts may be single-spaced)
- 8½ x 11 inch paper
- One inch margins
- Type no smaller than 12 point, Times New Roman font
- Include a brief Summary Data Sheet
- Include a Project Abstract (please limit to one page)
- Include a list of all current OVW projects as described below (if applicable)
- No more than 20 pages for the Project Narrative (item VII below)
- Word processing documents must be in the following formats: Microsoft Word (.doc), PDF files (.pdf), or Text Documents (.txt).

Your application will be scored on the following sections:

- Summary Data Sheet
- Project Narrative
- Budget Detail Worksheet and Narrative
- Memorandum of Understanding (MOU)

We reserve the right to deduct points if the following materials are missing:

- Proposal Abstract
- Summary of Current OVW Projects
- Application for Federal Assistance (SF-424)
- Standard Assurances and Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (Form 4061/6)
- Financial Accounting Practices
- Letter of Nonsupplanting
- Financial Capability Questionnaire (if applicable)
- Indirect Cost Rate Agreement (if applicable)
- Certification of Eligibility
- Letter of Designation

Sections I through XV below describe the specific elements of a complete application.

#### I. Summary Data Sheet (5 Points)

Please list the following information on a single page. The Summary Data Sheet should be a separate attachment to the application in Grants.gov and a separate section in the hard copy.

- Name, title, address, phone number, and e-mail address for the authorized representative.
   (Please see the <u>Reference Guide</u> at page 8 for more information on who can be an authorized representative.)
- Name, title, address, phone number, and e-mail address for the grant point-of-contact.
- Statement as to whether the agency has expended \$500,000 in Federal funds in the past fiscal year for the applicant. Please specify the end date of the fiscal year.
- Identifies the name of the school and type of school applying for funding (e.g., private, public, charter, military, tribal, school district, faith-based, independent, alternative, etc.);
- Identifies whether the applicant is a middle school, high school or combination of both;
- Lists the nonprofit, nongovernmental sexual assault AND domestic violence victim service agencies collaborating on this project;
- Lists the law enforcement agency or relevant court partnering on this project;
- Identifies whether this project is an individual, community or scattered site consortium project. If consortium, the applicant must list the participating schools;
- Identifies whether the applicant is a rural, frontier, urban or suburban school;
- The regional area(s) (city, town, county, or unincorporated area) where this project will be implemented;
- The population and square mileage of the region where the school is located;
- The number and demographics of the students to being served by this project including whether more than 25% of the student population is Native American;
- Identifies the STEP Program Statutory Purpose Areas addressed by this proposal (it is sufficient to simply list the number (e.g., 1, 3 and 4); and

Identifies the OVW special interest areas addressed by this proposal.

#### II. Project Narrative (Total 60 Points)

The following narrative should be a separate attachment to the application in Grants.gov and a separate section in the hard copy. The Project Narrative may not exceed 20 pages in length, double-spaced. Please number the pages of your narrative.

#### A. <u>Purpose of Application</u> (10 points)

- 1. Demonstrate the need for the project and how proposed services and activities will meet the need that exists:
- Describe the problem of sexual assault, domestic violence, dating violence or stalking within your school and/or local community including students that are exposed to these crimes within their homes;
- 3. Describe the location, population, and demographic information of the school and the surrounding community;
- 4. In the event the applicant identifies a specific population including culturally specific populations to address, describe the needs of this population and how the proposed project will meet those needs;
- 5. Describe the school's current response to sexual assault, domestic violence, dating violence, and stalking including prevention and intervention services and gaps;
- 6. If the applicant has applied or is applying for multiple OVW grants, describe how this project complements such other projects without duplicating efforts; and
- 7. Describe how the proposed project complements the State's STOP Violence Against Women Implementation Plan (this is not required for applications from Tribal Governments or non-profit organizations).

#### Consortia Projects must also:

- Estimate the number of students that will be impacted by the implementation of the project; and,
- Detail how the consortia project will align or enhance current community or school district efforts to address these issues.

This section will be rated on the detail with which the applicant provides the above information as well as the quality of the proposed activities.

#### B. What Will Be Done (35 points)

- 1. Identify and describe the program's goals and objectives;
- Describe the specific tasks and activities necessary for accomplishing each goal and objective;
- 3. Explain how the applicant will meet the four minimum requirements of the STEP Program:
  - a) create a **coordinated community response** to violence against women:
  - b) establish a **prevention and education program** about sexual assault, domestic violence, dating violence, and stalking for **students** and **school personnel**;

- c) establish or strengthen **school disciplinary process** to respond effectively to charges of sexual assault, domestic violence, dating violence, and stalking; and,
- d) establish a **parent outreach and engagement program** on the school's response to violence against women;
- 4. Describe how technology will be used to engage and provide information to youth;
- 5. If applicable, describe tangible products that will be generated (e.g. a video, a brochure, a curriculum);
- 6. If proposing evaluation activities, briefly describe the changes in the school and/or community that will be measured during the grant period:
- 7. If applicable, describe in detail the culturally and linguistically tailored services to be provided;
- 8. Detail the role, coordination, and information sharing among the partners; and
- 9. Describe how you plan to address victim safety and autonomy in the project. If applicants are proposing to use any technology (including, but not limited to, security systems, GPS, hotlines, and databases), they should explain how they plan to address any victim safety concerns that may arise from the use of the technology, such as confidentiality, safety planning, and informed consent.

#### For Consortia Projects:

#### Community consortium projects must also:

- Describe how coordination and implementation will work among the different schools within the consortium to develop consistent messages, strategies, services, and policies; and
- Detail how the project will utilize community partners to develop comprehensive school and community specific responses to student victims of sexual assault, domestic violence, dating violence and stalking.

#### Scattered site consortium projects must also:

- Describe how coordination and implementation will work among the different schools within the consortium to develop consistent messages, strategies, services, and policies;
- Describe how the project would be implemented at each of the respective schools;
- Describe how the proposed strategies, products, policies and services developed with this funding would be used by the school district to enhance its responses to these crimes.

For further clarification of the STEP Program, the following terms apply:

 Any project proposing to utilize a specific curriculum must specify the curriculum that will be used and how it matches the needs of the school and/or community identified in the application.

This section will be rated on the detail with which the applicant provides the above information as well as the quality of the proposed activities plus the following criteria:

 How well the goals and objectives defined directly link to the need described within the Purpose of the Application;

 How well the specific tasks and activities described relate to successfully meeting the goals and objectives described;

- How well the timeline of the specific tasks and activities delineates the planning and implementation phases of the project as well as indicates that the project will be successfully implemented and completed within the timeline provided;
- How well the proposed activities protect victim safety and confidentiality; and
- How measurable are the described goals and objectives.

#### C. Who Will Implement the Project (10 points)

All applicants must identify the agency(ies) or office(s) responsible for carrying out the project. This section should outline the expertise, experience, roles and responsibilities of key staff.

Consortia projects must identify the key staff at each school who are responsible for implementation. These projects must fully describe the relationship among the various entities represented in the application.

All applicants should provide details on the collaborative relationship with:

- the nonprofit, nongovernmental sexual assault and domestic victim services partners
   AND
- the local law enforcement agency and/or relevant Federal, State, Tribal or local court.

This section should include their partners' participation in drafting the application, as well as their role in the development and implementation of the project. In this section, applicants only need to identify the nonprofit, nongovernmental victim services partners and the law enforcement and/or relevant court partner. All partners to the MOU only need to be set forth in the MOU.

For further clarification of the STEP Program, victim service organizations should meet all of the following criteria:

- Provide services to victims of sexual assault, domestic violence, dating violence, or stalking as one of their primary purposes and have a demonstrated history of effective work in this field;
- Address a demonstrated need in their communities by providing services that
  promote the dignity and self-sufficiency of victims, improve their access to resources,
  and create options for victims seeking safety from perpetrator violence; and
- Do not engage in or promote activities that compromise victim safety.

This section will be rated on the detail with which you provide the above information as well as the quality of the proposed activities plus the following criteria:

- A clear link is provided to ensure that all specific activities and tasks are linked to a specific agency or organization to ensure that there is accountability;
- A description of the experience and expertise of all key personnel is included;
- Key personnel have expertise relevant to the project; and
- Organizations necessary for successful project implementation are project partners.

#### D. <u>Sustainability Plan</u> (5 points)

As this is a competitive, discretionary program, there is no guarantee of continuation funding. Applicants are required to include:

- A plan describing their commitment and capacity to continue the project if Federal funding through the STEP Program were no longer available; and
- A description of at least one locally, privately, State, or Federally funded project that the applicant has sustained in the past.

Note: Continuation or supplemental funding is not guaranteed and applicants are always encouraged to seek additional means of support to sustain their current projects.

This section will be rated on the feasibility of the plan and the extent to which the applicant provides concrete strategies to demonstrate its commitment to continue the project if funds are no longer available.

#### III. Budget Detail Worksheet and Narrative (Total 15 Points)

For more information and samples, please see the <u>Reference Guide</u> at pages 11-14. The Budget Worksheet and Narrative should be one attachment to the application in Grants.gov and a separate section in the hard copy.

In developing the budget, applicants should financially compensate all project partners for their participation in any project-related activities, including, but not limited to, compensation for time and travel expenses to participate in project development, training, and implementation. The budget **must** include compensation for all services rendered by project partners, including nonprofit, nongovernmental sexual assault and/or domestic violence victim services programs and State and Tribal sexual assault and/or domestic violence coalitions. If a partner is a state or local governmental agency and the partnership duties are conducted within the course of the agency's "regular" scope of work, the applicant does not need to compensate the partner if the partner a) offers this arrangement; and b) an explanation of this arrangement is included in the application.

#### **Budget Limits**

- Individual Applicants: up to \$350,000 over a 36 month period
- Consortia Projects: up to \$550,000 over a 36 month period

OVW has the discretion to award grants for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to award of a grant.

#### **Budget Requirements**

For budget guidelines, see the <u>Reference Guide</u> at pages 11-14. Additional guidance specific to this program is as follows:

- **Purpose Areas**: If applicants are proposing to address statutory purpose areas 4, 5 and/or 6, a total of 15% of the budget can be used for all of these purposes; and
- Needs Assessment: Applicants are required to allocate \$50,000 for the completion of the needs assessment to support consultants, travel and other costs associated with its development.

#### Training and Technical Assistance

All applicants **are required** to allocate funds in the amount of **\$15,000 for individual projects and \$33,600 for consortia projects** to support travel costs associated with technical assistance and capacity-building activities sponsored by OVW-designated technical assistance providers. Applicants from Alaska, Hawaii, and United States Territories can exceed the budget cap by **\$5,000** to account for higher travel costs. Please see the <u>Reference Guide</u> at pages 11-12 for more information on this requirement.

Individual applicants are <u>required</u> to bring two team members to each of the technical assistance trainings. Consortium applicants are <u>required</u> to bring a minimum of four team members to each of the technical assistance trainings.

Please note that additional team members may be allowed to attend the technical assistance trainings; however, this is contingent upon the number of schools participating in the project. The required allocation amount for consortia projects accounts for up to eight team members to attend these trainings. If additional members will be attending the trainings, please increase this allocation to appropriately accommodate travel costs.

#### Services to Limited English Proficient (LEP) Persons

Applicants may allocate grant funds to support activities that help to ensure that LEP persons have meaningful access to their programs. For example, grant funds can be used to support interpretation and translation services.

A Sample Budget Detail Worksheet is available at <a href="http://www.ovw.usdoj.gov/applicants.htm">http://www.ovw.usdoj.gov/applicants.htm</a>. When preparing the Worksheet and Narrative, please use the Sample Budget Detail Worksheet as a guide and be sure to include all necessary budget categories as outlined in the Worksheet. The budget should clearly describe the proposed amounts and uses of grant funds for the duration of the grant period and how the amounts of the specific budget items were determined. The budget should demonstrate a clear link between the specific project activities and the proposed budget items. Specifically, the budget should not contain any items that are not detailed in the project narrative.

The budget narrative should support all costs included in the budget and justify the purpose of the costs in relationship to fulfilling the overall objective of the project. The narrative should also include a description of services being performed and how the cost is determined.

This section will be rated on the detail in the budget narrative plus the following criteria:

- The budget includes all costs necessary to fulfill the overall objectives of the project;
- The budget does not include any costs unrelated to the proposed project;
- The budget is reasonable and cost-effective;
- The budget does not exceed the budget cap;
- The budget includes the required allocation for technical assistance;
- The budget narrative clearly describes the rationale for all costs proposed;
- The budget appropriately compensates project partners; and
- The budget is consistent with and adheres to the OVW Financial Grants Management Guide.

#### IV. Memorandum of Understanding (MOU) (Total 20 points)

All applicants are required to submit an MOU that demonstrates they have consulted and coordinated in a meaningful way with both a non-profit non-governmental domestic violence **and** a non-profit non-governmental sexual assault victim services agency. The MOU should be a single attachment to the application in Grants.gov and a separate section in the hard copy.

The MOU must be current (i.e., signed and dated during the development of the proposal) with signatures from:

- The School Superintendent or Board Chair;
- The Principal or Head of School; and
- The chief executive officer and/or directors of all participating partners within the project (e.g., Director of Rape Crisis Center, Chief of Police, Chief Judge, etc.).

Consortia projects must include signatures from each participating school's principal or head of school, as well as its respective partners.

The absence of an MOU that fully addresses all of the points described above will be deemed a deficiency of the overall proposal.

The MOU must do the following:

- Identify the partners and provide a brief history, if appropriate, of any past or current collaborative relationship among partners, including when and under what circumstances the relationship began and when each partner entered into the relationship;
- Specify the extent of each party's participation in developing the application;
- Clearly state the roles and responsibilities each partner would assume in implementing the proposed project;
- Clearly state the coordination and collaboration among partners to ensure the success of the proposed project;
- Indicate approval of the proposed project budget by all signing parties; and
- Describe the resources each partner would contribute to the project, either through time, inkind contributions, or grant funds (e.g., office space, project staff, and training).

For Consortia Projects, the MOU can be presented in one of two ways:

- 1) A single document that outlines each partner, their roles and responsibilities within the consortium, with all signatories presented on one page; **OR**
- 2) A document that contains a summary page with the overall activities to be completed by the consortium project along with individual MOUs from each participating school involved in the consortium. The individual MOUs of each school must identify their partners, their roles and responsibilities, and the corresponding signatures including the school's authorizing official (i.e., superintendent or board member AND principal or head of school).

Project Partners should include their titles and agencies under their signatures.

This section will be rated on the detail with which the applicant provides the above information as well as the quality of that information plus the following criteria:

- The inclusion of a current (i.e., signed and dated during the development of the proposal)
   MOU signed by the chief executive officers and/or directors of:
  - a) Relevant criminal justice agencies participating in project development and/or implementation (e.g., law enforcement and/or courts);
  - All faith-based and community nonprofit, nongovernmental domestic violence and sexual assault victim services organizations or community groups that represent the views and concerns of victims participating in the development and implementation of the project; and.
  - c) Other community agencies or organizations that will collaborate to implement the project.
- The extent to which the MOU demonstrates a meaningful partnership among the relevant agencies;
- The extent to which the MOU clearly demonstrates a commitment on the part of all project partners to work together to achieve stated project goals;
- The inclusion of a mechanism for reimbursement that has been agreed upon by all participating parties; and
- The inclusion of the school's authorizing officials which was signed and dated during the
  development of the proposal (i.e., school superintendent or board chair AND principal OR
  head of school).

#### V. Proposal Abstract

The Proposal Abstract should provide a short and accurate summary of your proposed project including how the four program minimum requirements will be met. Please do not summarize past accomplishments in this section. The Proposal Abstract should be a single page and should be a separate attachment to the online application in Grants.gov and a separate section in the hard copy.

The Proposal Abstract **must not** be submitted on the same page as the Summary Data Sheet.

#### VI. Summary of Current OVW Projects (If applicable)

For each current OVW Project, as defined in the OVW <u>Reference Guide</u> at page 9, please provide the following information:

- Identify grant by program, award number, and project period.
- Specify the total funds remaining in each grant as of the date of application.
- Provide the total funds remaining in each grant in the Personnel, Contracts/Consultants and Travel categories as of the date of application.
- List the names, dates, and locations of all OVW-sponsored training and technical assistance events in which project staff or project partners participated during the current grant award period.
- List the number and titles of all full-time and/or part-time positions.

This section should be clear and succinct. This should be a separate attachment to the application in Grants.gov and a separate section in the hard copy.

In addition to this information, OVW will evaluate the performance of the applicant in all current grants when considering this application. **Please note that applicants who are OVW** 

grantees who have failed to meet grant deadlines, failed to comply with financial requirements, or failed to comply with special conditions from current or previous grants may not be considered for funding.

#### VII. Application for Federal Assistance (SF-424)

Please see the <u>Reference Guide</u> at page 8 for additional information. In Block 7 (type of applicant), please do not select "other." This form will be filled out online and you should print out a copy for your hard copy submission.

VIII. Standard Assurances and Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (Form 4061/6)

Please see the <u>Reference Guide</u> at page 8 for additional information. These forms will be completed online and you should print out a copy for your hard copy submission.

#### IX. Financial Accounting Practices

Each applicant must prepare a response to the following questions. OVW will review the applicant's responses to assist in evaluating the adequacy of the organization's financial management system and to identify areas of need for training and technical assistance. This section of your application should be no more than two pages and should be a separate attachment to the online application in Grants.gov and a separate section in the hard copy.

- Will all funds awarded under this program be maintained in a manner that they will be accounted for separately and distinctly from other sources of revenue/funding?
- Does the applicant have written accounting policies and procedures? OVW may request a copy for review during the application/award process or as part of the grant monitoring process.
- Is the applicant's financial management system able to track actual expenditures and outlays with budgeted amounts for each grant or subgrant?
- Does the applicant have procedures in place for minimizing the time elapsing between transfer of funds from the United States Treasury and disbursement for project activities?
- Does the applicant have effective internal controls in place to adequately safeguard grant assets and to ensure that they are used solely for authorized purposes? Please provide a brief description.
- Does the applicant have a documented records retention policy? If so, briefly describe the policy.
- Is the individual primarily responsible for fiscal and administrative oversight of grant awards familiar with the applicable grants management rules, principles, and regulations? If not, the applicant must contact OVW's Grants Financial Management Division at <a href="https://overstate.ov/OVW.GFMD@usdoj.gov">OVW.GFMD@usdoj.gov</a> or 1-888-514-8556 immediately after the organization is notified of their award to coordinate training.

#### X. Letter of Nonsupplanting

Applicants must submit a letter to OVW's Director, signed by the Authorized Representative, certifying that Federal funds will not be used to supplant State or local funds should a grant award be made. Please refer to <a href="http://www.ovw.usdoj.gov/docs/nonsup\_letter.pdf">http://www.ovw.usdoj.gov/docs/nonsup\_letter.pdf</a> for a sample

letter. This should be a separate attachment to the application in Grants.gov and a separate section in the hard copy.

#### XI. Financial Capability Questionnaire (If applicable)

Please see the <u>Reference Guide</u> at page 14 for additional information. This should be a separate attachment to the application in Grants.gov. This document does not need to be included in the hard copy.

#### XII. Indirect Cost Rate Agreement (If applicable)

Applicants that intend to charge indirect costs through the use of an indirect cost rate must have a Federally-approved indirect cost rate agreement. Please include a copy of a current, signed Federally-approved indirect cost rate agreement. Please see the <u>Reference Guide</u> at page 14 for additional information.

#### XIII. Proof of Non-profit Status

An applicant can provide proof of nonprofit status by submitting one of the following documents:

- (1) Proof that the Internal Revenue Service currently recognizes the applicant as an organization to which contributions are tax deductible under <a href="section 501(c)(3)">section 501(c)(3)</a> of the Internal Revenue Code;
- (2) A statement from a State taxing body or the State secretary of state certifying that:
  - (i) The organization is a nonprofit organization operating within the State; and
  - (ii) No part of its net earnings may lawfully benefit any private shareholder or individual;
- (3) A certified copy of the applicant's certificate of incorporation or similar document that clearly establishes the nonprofit status of the applicant; or
- (4) Any item described in 1 3 of this section if that item applies to a State or national parent organization, together with a statement by the State or parent organization that the applicant is a local nonprofit affiliate.

This should be a separate attachment to the application in Grants.gov and a separate section in the hard copy.

#### XIV. Certification of Eligibility

The following applicants are required to certify by letter or certificate:

- 1) Nationally Accredited Private Schools must submit documentation demonstrating current accreditation status from a national education accreditation body. The letter or certificate must state the name of the school, the period of accreditation and the accredited grades.
- 2) Schools administered by the Department of Defense must submit documentation that the school operates on an active military base and is within the jurisdiction of the Department of Defense Domestic Dependent Elementary and Secondary Schools (DDESS).
- 3) Tribal Schools must either: 1) submit the tribal charter if the school is governed by the tribe or 2) be included in the list of schools recognized by the Department of Interior's Bureau of Indian Education.

#### XV. Letter of Designation

All applicants must submit a letter from the school district superintendent or board of directors/trustees certifying that the individual school or group of school is designated to administer federal funds and implement the grant program activities.

#### **Selection Criteria**

All applications will be rated on the criteria described in each section above. The total points possible for an application are 100 (5 points for Summary Data Sheet, 60 points for Narrative, 15 points for Budget, and 20 points for the MOU).

### Additionally, current projects will be rated by OVW using the following criteria:

- Progress reports submitted by the applicant, in conjunction with monitoring conducted by OVW, demonstrate the effectiveness of the current project, indicating timely progress toward meeting project goals and objectives;
- The grantee has demonstrated that past activities supported with OVW grant funds have been limited to program purpose areas;
- The grantee has complied with all special conditions of its existing grant award(s) from OVW;
- The grantee has adhered to programmatic and financial reporting requirements, including timely submission of required reports;
- The grantee has closed-out prior awards in a timely manner;
- The grantee appropriately utilized and actively participated in OVW-sponsored workshops and other technical assistance events as required by a special condition of the current award;
- The grantee has received financial clearances on all current grants from OVW;
- The grantee has acted in a timely manner to resolve issues identified in an audit or an onsite financial or programmatic monitoring visit;
- The grantee has complied with the Office of Management and Budget single-audit requirement; and
- Grant funds have been spent in a timely manner.

OVW grantees who have failed to meet grant deadlines, did not comply with financial requirements, or did not comply with special conditions from previous grants may not be considered for funding. In addition, if an applicant is on the DOJ High Risk Grantee list, OVW will take this into consideration in making award determinations.

#### **Review Process**

OVW uses a three-phased review process, which includes an initial internal review, an external peer review, and a secondary internal review. The total points possible for an application are 100 (5 points for Summary Data Sheet, 60 points for Narrative, 15 points for Budget, and 20 points for the MOU). Although all applicants will be rated on the criteria described in the preceding sections, OVW will specifically consider the following selection criteria during each phase of the review process. If OVW determines that an application does not meet the stated criteria, the application may not move forward for the subsequent reviews.

During the OVW internal review, applications that are partially out of scope will receive up to a 25 point deduction. Applications that propose projects that are substantially outside the scope of the STEP Program statutory purpose areas will be disqualified from further funding consideration.

#### **Questions for the Initial and Secondary Internal Reviews**

- Does the applicant meet all statutory eligibility criteria (see page 8)?
- Is the application complete?
- Are the proposed activities within the scope of the program (see page 10)?
- Does the application meet all the minimum requirements for the program (see page 11)?
- Does the applicant meet the certification requirements for the program (see page 8)?
- Does the application propose significant activities that may compromise victim safety (see page 14)?

Additionally, current projects will be rated by OVW using the criteria listed on page 25.

OVW grantees who have failed to meet grant deadlines, have not spent grant funds in a timely manner, did not comply with financial requirements, or did not comply with special conditions from previous grants may not be considered for funding. In addition, if an applicant is on the DOJ High Risk Grantee list, OVW will take this into consideration in making award determinations.

#### **Initial Internal Review**

OVW will conduct a review of the basic program requirements, ensuring that all statutory eligibility and minimum requirements are met, and that the application is complete and within the scope of the program.

#### **External Peer Review Panels**

OVW will establish panels comprised of experts and practitioners to review applications. Each panel will review the information provided in the application against the selection criteria for the program.

#### **Secondary Internal Review**

Following the external peer review, a second internal review will be conducted, which will include, but not be limited to, the geographic distribution of the applications, the ratio of population to services, the extent to which the applications will address the demonstrated needs of an underserved population, and agency and statutory priorities.

#### **Performance Measures**

All OVW grantees are required to submit annual and/or semi-annual progress reports, which will be provided to you should you be selected for an award.

For more information, see the Reference Guide at pages 19-22.

#### **Notice of New Post-Award Reporting Requirements**

Applicants should anticipate that all recipients (other than individuals) of awards of \$25,000 or more under this solicitation, consistent with the Federal Funding Accountability and Transparency Act of 2006 (FFATA), will be required to report award information on any first-tier subawards totaling \$25,000 or more, and, in certain cases, to report information on the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients. Each applicant entity must ensure that it has the necessary processes and systems in place to comply with the reporting requirements should it receive funding.

It is expected that reports regarding subawards will be made through the FFATA Subaward Reporting System (FSRS), found at <a href="https://www.fsrs.gov">https://www.fsrs.gov</a>. Additional guidance on reporting will be provided in the near future by OVW and/or the Office of Management and Budget (OMB).

Please note also that applicants should anticipate that no subaward of an award made under this solicitation may be made to a subrecipient (other than an individual) unless the potential subrecipient acquires and provides a Data Universal Numbering System (DUNS) number.

# **Additional Requirements**

For information on additional requirements that apply to all OVW applicants and grantees, see the <u>Reference Guide</u> at pages 23-24.

# **Public Reporting Burden**

#### **Paperwork Reduction Act Notice**

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. We try to create forms and instructions that are accurate, can be easily understood, and which impose the least possible burden on you to provide us with information. The estimated average time to complete and file this form is 30 hours per form. If you have comments regarding the accuracy of this estimate, or suggestions for making this form simpler, you can write to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.

# **Application Checklist**

Applicants must submit a fully executed application to OVW via overnight delivery, including all required supporting documentation. If you do not have the ability to upload signed documents, you may upload an unsigned version and include the signed original in the hard copy of the application. **Applications will not be accepted via facsimile.** 

Application Document	Required?	Completed?
1. Standard Form 424	Yes	
2. Standard Assurances and Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (Form 4061/6)	Yes	
3. Financial Accounting Practices	Yes	
4. Summary Data Sheet	Yes	
5. Proposal Abstract	Yes	
6. Summary of Current OVW Projects	Yes, if applicable	
7.Narrative. The following sections must be included	Yes	
Purpose of Application		
What will be Done		
Who will Implement		
Sustainability		
8. Budget, Budget Narrative and Budget Summary	Yes	
9. MOU	Yes	
10. Letter of Nonsupplanting	Yes	
11. Financial Capability Questionnaire (nonprofits only)	If applicable	
12. Indirect Cost Rate Agreement (only if the applicant has a	If	
current Federally-approved rate)	applicable	
13.Proof of Nonprofit Status	If applicable	
14. Certification of Eligibility	Yes	
15. Letter of Designation	Yes	

Applicants must send **via overnight delivery** a complete hard copy original of the application, **date stamped by the shipping company on or before April 6, 2011** to:

The Office on Violence Against Women c/o Lockheed Martin Aspen Systems Corporation OVW STEP Program Mail Stop 2K 2277 Research Boulevard Rockville, MD 20850 (301) 519-5000

In addition, applications must be submitted through Grants.gov.