

UNITED STATES DISTRICT COURT
DISTRICT OF CONNECTICUT

FILED

2015 APR 23 P 4: 26

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UNITED STATES OF AMERICA :
 :
 v. :
 :
 DB GROUP SERVICES UK LIMITED, :
 :
 Defendant. :
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CRIMINAL NO. 3:15CR00062 (RNC)
US DISTRICT COURT
DISTRICT OF CONNECTICUT
VIOLATION:
18 U.S.C. § 1343

INFORMATION

The United States Department of Justice, Fraud Section, Criminal Division, and Antitrust Division, charges that at all times material to this Information:

COUNT ONE
(Wire Fraud)

1. Between approximately 2003 and at least 2010, the defendant, DB GROUP SERVICES UK LIMITED, through its employees, unlawfully, willfully, and knowingly, having devised and intending to devise a scheme and artifice to defraud and for obtaining money and property by means of false and fraudulent pretenses, representations, and promises, did transmit and cause to be transmitted by means of wire, radio, and television communication in interstate and foreign commerce, writings, signs, signals, pictures, and sounds for the purpose of executing such scheme and artifice,

all affecting a financial institution, to wit, the defendant, through its employees, engaged in a scheme to defraud counterparties to interest rate derivatives trades executed on its behalf by secretly manipulating benchmark interest rates to which the profitability of those trades was tied, and in furtherance of that scheme, on or about July 20, 2006, the defendant transmitted or caused the transmission of electronic communications - specifically, (1) an electronic chat between a United States Dollar LIBOR submitter employed by the defendant and a United States Dollar derivatives trader employed by the defendant's parent company who was located in the United States at the time of the chat, (2) a subsequent United States Dollar LIBOR submission from the defendant to Thomson Reuters, and (3) a subsequent publication of a United States Dollar LIBOR rate - through international and interstate wires.


All in violation of Title 18, United States Code, Section 1343.

UNITED STATES OF AMERICA

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