

**Exhibit 300: Capital Asset Plan and Business Case Summary**  
**Part I: Summary Information And Justification (All Capital Assets)**

**Section A: Overview (All Capital Assets)**

- 1. Date of Submission: 8/17/2007
- 2. Agency: Department of Justice
- 3. Bureau: Bureau Of Prisons
- 4. Name of this Investment: BOP Inmate Telephone System (TRUFONE)
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53.9. For all other, use agency ID system.) 011-20-01-03-01-2709-00
- 6. What kind of investment will this be in FY 2011? (Please NOTE: Investments moving to O&M in FY 2011, with Planning/Acquisition activities prior to FY 2011 should not select O&M. These investments should indicate their current status.) Operations and Maintenance
- 7. What was the first budget year this investment was submitted to OMB? FY2001 or earlier

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

TRUFONE is a centralized inmate calling system that provides inmates with a secure, efficient and cost effective means of maintaining contact with family, friends, and the community while at the same time prevents crime, fraud and abuse by inmates. This centralized enterprise system can be reviewed on a national level. It provides the BOP with; enhanced call monitoring, call recording, and reporting capabilities.

TRUFONE is solely funded and maintained using non-appropriated funds generated from the Commissary Trust Fund. The Commissary Trust Fund is a revolving fund established by Congress in 1932 (31 U.S.C. Section 1321(a)(22)) . All costs for the system are funded from the revenue generated by reselling the calling service to inmates. Annual funding is based on the projected sales for that year which exceeds the outlays for the project. These funds must be expended in accordance with the terms of the Trust for the benefit of inmates.

The legacy system (ITS-II) was upgraded via a partial refresh. The TRUFONE task order provided a technical refresh of call processing equipment and telephones, while taking advantage of Enterprise Architecture by utilizing the inmate commissary systems (TRUFACS) infrastructure for; inmate account management, database design, data storage, LAN/WAN access, storage servers, and user access. A web application module provides staff access to the information from BOPNet (BOP administrative system) and TRUFACS (commissary System) workstations, eliminating the use of dedicated workstations and user access controls.

a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register. N/A There has been no rebaselining in the past year. The date for the most recent alternatives analysis was completed 2/21/2005. The risk management plan was done on 9/02/2005.

9. Did the Agency's Executive/Investment Committee approve this request? Yes

a. If "yes," what was the date of this approval? 8/23/2004

10. Contact information of Program/Project Manager?

Name

Phone Number

Email

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)?

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

OMB ID	Financial management system name(s)	System Acronym	Unique Project Identifier (UPI) number
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a) If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one):

Not a core financial system; does not need to comply with FFMIA

**Section B: Summary of Funding (Budget Authority for Capital Assets)**

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. Funding for all costs associated with the entire life-cycle of the investment should be included in this report. Funding levels should be shown for budget authority by year consistent with funding levels in Exhibit 53. The Summary of Funding table shall include the amounts allocated to the investment from, and should be directly tied to, the Fiscal Year Budget. This includes direct appropriations (discretionary or mandatory accounts), user fees, and approved self-funding activities and will provide the actual annual "budget" for the investment. This "budget" will be a subset of the congressionally approved budget for each fiscal year. This will provide Departments/Agencies and OMB useful information on the actual Fiscal Year dollars being asked for and spent on an investment.

SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:									
Acquisition:									
Subtotal Planning & Acquisition:									
Operations & Maintenance:									
Disposition Costs (optional):									
SUBTOTAL:									
<b>Government FTE Costs should not be included in the amounts provided above.</b>									
Government FTE Costs									
Number of FTE represented by Costs:									
TOTAL (incl. FTE costs)									

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Note 2: The two sub-total rows and total row will be calculated – not for data entry.

2. If the summary of funding has changed from the FY2010 President's Budget request, briefly explain those changes:

**Section C: Acquisition/Contract Strategy (All Capital Assets)**

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Exhibit 300: BOP Inmate Telephone System (TRUFONE) (Revision 14)

Contract/Task Orders Table											* Costs in millions
Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
JADPc-026	Single Firm Fixed Price Contract	Yes	2/20/1998	2/20/1998	2/20/2008	336.147	No	Yes	Yes	NA	Yes
DJJ-05-C-1119 Task Order 47	Firm Fixed Price	Yes	8/4/2005	8/4/2005	9/30/2011	15.3	Yes	Yes	Yes	NA	Yes

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

The contract is for telephones and a private branch exchanges (PBX's) ONLY. It is just hardware infrastructure such as a router. The contract nor the task order include any FTE costs. All FTE costs are part of the agency project, and included in the Summary of Spending table. The total contract and task order amount in addition to the FTE costs are what make up the total project costs.

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date? 7/14/2004

**NOTE: Data structure to be used to identify contract numbers in FPDS.**

To assist in the linkage of Contract/Task Order Numbers from the Acquisition Strategy table to FPDS, agencies should provide the following information for "Contract/Task Order Numbers" based on the FPDS-NG data requirements (as specified in the FPDS-NG Data Element Dictionary- <http://www.fpdsng.com/downloads/FPDS-Data-Dictionary-Version1.3.pdf>):

Part of Indefinite Delivery Vehicle (IDV)?	Procurement Instrument Identifier	Example
Yes	Data Element 1A (NTE 50 characters)	"00063200203DNBCHC020042"
No	Data Element 1A, and the Referenced PIID, Data Element 1C (NTE 100 characters)	"GS09Q08DN0165-IDV-GS10F0216N"

**Section D: Performance Information (All Capital Assets)**

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan and the relevant Agency Segment Architecture. The investment must discuss its performance measures in support of the agency's mission and strategic goals as outlined in the corresponding Segment Architecture. Performance measures (indicators) must be provided. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at [www.whitehouse.gov/omb/e-gov](http://www.whitehouse.gov/omb/e-gov). The table can be extended to include performance measures for years beyond the next President's Budget.

OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
17142	2005		Mission and Business Results	Correctional Activities	Criminal Incarceration	Number of sites using ITS increases as new institutions/offices are activated	Number of sites operational on ITS	Increase number of sites using ITS by 9	100% of goal met.		Synchronize
17143	2005		Mission and Business Results	Correctional Activities	Criminal Incarceration	Number of sites using the INTRUDR component increases for existing institutions and new institutions/offices are activated	90 sites operational on INTRUDR	Increase number of sites using INTRUDR by 14	100% of goal met.		Synchronize
17144	2006		Mission and Business Results	Correctional Activities	Criminal Incarceration	Number of sites using ITS to be maintained	Maintain ITS through Phaseout	Maintain and operate sites using ITS.	100% of goal met.		Synchronize
17145	2006		Mission and Business Results	Correctional Activities	Criminal Incarceration	Staff productivity.	System must automate processes and help	System changes or updates will be conducted	System is designed such that modules can		Synchronize

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Performance Information Table											
OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
							staff work more efficiently.	at the request of program areas or in response to federal law. Such changes will include an analysis of business processes and data requirements of affected persons.	be added to provide for efficient changes and additions to programs. Changes or updates are made at the request of, and with full input of affected program areas.		
17146	2006		Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery.		Synchronize
17148	2006		Technology	Reliability and Availability	Availability	System uptime	System availability: System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately.	100% complete		Synchronize
17149	2007		Mission and Business Results	Correctional Activities	Criminal Incarceration	Provide tech refresh at 80 sites	Maintain old hardware through tech refresh.	Maintain and operate sites using ITS and provide tech refresh.	100 sites provided tech refresh, 100% of goal completed.		Synchronize
17150	2007		Mission and Business Results	Correctional Activities	Criminal Incarceration	Staff Productivity	System must automate processes and help staff work more efficiently.	System changes or updates will be conducted at the request of program areas or in response to federal law. Such changes will include an analysis of business processes and data requirements of affected persons.	Automated investigative data exchange and call controls (Blocking the numbers of victims and witnesses) goal 100% complete		Synchronize
17147	2007		Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation,	Data is continuously and operationally		Synchronize

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Performance Information Table											
OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
								data integrity regarding inmate funds is validated each day through nationwide use of the system.	validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery. No data integrity issues discovered this year, 100% of goal met.		
17151	2007		Technology	Reliability and Availability	Availability	System Uptime	System availability: System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately	100% of goal met.		Synchronize
17152	2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Customer Benefit	Customer Impact or Burden	Control system costs to lower cost to inmates and the public.	Provide best value telephone services	Take advantage of government wide volume discounts by converting telephone circuits to new NetworkX contract. Complete 50% of sites in FY-08	100% of goal met.		Synchronize
17154	2008	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Correctional Activities	Criminal Incarceration	Data is made accessible to outside Law enforcement agencies.	Continue to provide data through manual processes.	Automate the delivery of existing datasharing initiatives that now require manual process.	100% of goal met.		Synchronize
17155	2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery. No data integrity issues discovered this year, 100% of goal		Synchronize

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Performance Information Table											
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									met.		
17158	2008	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Uptime	Maintain old hardware through tech refresh.	Complete technology refresh of aged call processing equipment at all sites.	100% of goal met.		Synchronize
17153	2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Customer Benefit	Customer Impact or Burden	Control system costs to lower cost to inmates and the public.	Provide best value telephone services	Take advantage of government wide volume discounts by converting telephone circuits to new NetworX contract. Complete conversion of remaining sites in FY-09.	Network contract as been renegotiated to start converting sites in FY10.		Synchronize
17159	2009	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Correctional Activities	Criminal Incarceration	Data is made accessible to outside Law enforcement agencies.	Continue to provide data through current initiatives, OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data sharing through other initiatives that present themselves.	66% is provided to 2 outside agencies LEISP is under review to address privacy issues		Synchronize
17156	2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.	Data is continuously and operationally validated. Programmatic validation occurs at almost every level and keying errors are corrected immediately upon discovery. No data integrity issues discovered this year, 100% of goal met.		Synchronize
17160	2009	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Uptime	Current recording equipment is aged and requires updating.	Convert recording hardware from current INTRUDR hardware to existing PBX.	100% of Goal Met		Synchronize
17161	2010	Prevent Crime, Enforce Federal Laws, and Represent	Customer Results	Customer Benefit	Customer Impact or Burden	Control system costs to lower cost to inmates and the public.	provide best value telephone services	Take advantage of government wide volume discounts by converting			Synchronize

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Performance Information Table											
OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
		the Rights and Interests of the American People						telephone circuits to new Network contract. Complete conversion of all sites in FY-10			
17162	2010	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Correctional Activities	Criminal Incarceration	Data is made accessible to outside law enforcement agencies.	Continue to provide data through current initiatives, OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data sharing through other initiatives that present themselves.			Synchronize
17157	2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Processes and Activities	Quality	Complaints	Data Integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated each day through nationwide use of the system.			Synchronize
17164	2010	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Reliability	System Uptime	Current telephones are aged and require updating	Replace current telephones with more rugged and higher quality telephones	All phones were replaced by 09/01/2009, ahead of planned completion date. 100% of goal met.		Synchronize
17165	2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Customer Results	Customer Benefit	Customer Impact or Burden	Control system costs to lower cost to inmates and the public.	Provide best value telephone services	Take advantage of government wide volume discounts.			Synchronize
17163	2011	Prevent Terrorism and Promote the Nation's Security	Mission and Business Results	Correctional Activities	Criminal Incarceration	Data is made accessible to outside law enforcement agencies.	Continue to provide data through current initiatives, OneDOJ.	Modify data exchange to meet changing standards in OneDOJ. Expand data sharing through other initiatives that present themselves.			Synchronize
17166	2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the	Processes and Activities	Quality	Complaints	Data integrity	Data must be 100% validated.	In addition to programming validation, data integrity regarding inmate funds is validated			Synchronize



Performance Information Table											
OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
		American People						each day through nationwide use of the system.			
17167	2011	Prevent Crime, Enforce Federal Laws, and Represent the Rights and Interests of the American People	Technology	Reliability and Availability	Availability	System Uptime	System must be available except for scheduled outages. Unscheduled outages are to be resolved within an hour.	Network Operations staff maintain a daily log indicating the continuous status of network operations and any unusual events that occur. Outages are investigated and resolved immediately.			Synchronize

**Section E: Security (IT Capital Assets only)**

For IT investments, agencies should maintain up-to-date tracking of which systems in the FISMA inventory support any IT investment. Linking major IT investments to FISMA systems will be addressed outside the context of the A-11 budget submission of the Exhibit 300.

**Section F: Enterprise Architecture (EA) (IT Capital Assets only)**

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Have the requisite investment-level architecture documentation requirements (e.g., reference model mappings, FTF mappings, etc.) for this investment been documented in the corresponding Segment Architecture? For detailed guidance regarding segment architecture requirements, please refer to [www.whitehouse.gov/omb/e-gov](http://www.whitehouse.gov/omb/e-gov). See this guidance also regarding the reporting of six digit codes corresponding to agency segment architectures in Exhibit 53, and, for limited cases determined by the Chief Architect, reporting an investment alignment with multiple segments. No

**Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)**

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

**Section A: Cost and Schedule Performance (All Capital Assets)**

For investments classified as Operations and Maintenance investments, complete the following table on milestones used to measure cost and schedule performance, representing only one level of the investment's Work Breakdown Structure. This should generally show Level 3 of the Work Breakdown Structure.

**2. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:**

Complete the following table to compare actual performance against the current performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004"), baseline and actual start dates, and the baseline and actual total costs (in \$ Millions). Note that the 'Description of Milestone' and 'Percent Completed'-both Planned and Actual-fields are required.

Description of Milestone	Total Cost		Baseline (mm/dd/yyyy)				Percentages Complete	
	Planned Cost (\$M)	Actual Cost (\$M)	Start Date		Completion Date		Planned	Actual
			Planned	Actual	Planned	Actual		
Implement ITS BOP-wide	\$0.160608	\$0.150503	3/1/1998	3/1/1998	9/30/2003	9/30/2003	0%	100%
Maintain ITS at existing sites FY04	\$0.033900	\$0.031158	10/1/2003	10/1/2003	9/30/2004	9/30/2004	0%	100%
Activate ITS at new sites FY04	\$0.007500	\$0.007500	10/1/2003	10/1/2003	9/30/2004	9/30/2004	0%	100%
Maintain ITS at existing sites FY05	\$0.031081	\$0.025407	10/1/2004	10/1/2004	9/30/2005	9/30/2005	0%	100%
Activate ITS at new sites FY05	\$0.000295	\$0.000112	10/1/2004	10/1/2004	9/30/2005	9/30/2005	0%	100%
Maintain ITS at existing sites FY06	\$0.034006	\$0.028499	10/1/2005	10/1/2005	9/30/2006	9/30/2006	0%	100%
Maintain ITS at all sites	\$0.017223	\$0.015777	10/1/2006	10/1/2006	9/30/2007	9/30/2007	0%	100%
Perform tech refresh at 106 facilities	\$0.003500	\$0.001250	10/1/2006	10/1/2006	9/30/2007	9/30/2007	0%	100%
Maintain ITS at all sites	\$0.017667	\$0.016692	10/1/2007	10/1/2007	9/30/2008	9/30/2008	0%	100%
Complete tech refresh at remaining sites	\$0.001750	\$0.000950	10/1/2007	10/1/2007	2/28/2008	2/28/2008	0%	100%
Security Feature Upgrade FY09	\$0.545181	\$0.321830	1/1/2009	5/11/2009	9/30/2009	9/30/2009	0%	100%
Telephone Upgrade FY09	\$1.445777	\$1.445777	6/1/2009	6/1/2009	9/30/2009	9/1/2009	0%	100%
Maintain TRUFONE at all sites			10/1/2008	10/1/2008	9/30/2010		0%	62%
Activate TRUFONE at new sites FY10			10/1/2009		9/30/2010		0%	0%
Security Feature Upgrade FY10			10/1/2009	10/1/2009	9/30/2010		0%	41%
Maintain TRUFONE at all sites FY11			10/1/2010		9/30/2011		0%	0%
Maintain TRUFONE at all sites FY12			10/1/2011		9/30/2012		0%	0%
<b>Project Totals</b>			<b>3/1/1998</b>	<b>3/1/1998</b>	<b>9/30/2012</b>		<b>0%</b>	<b>85.12%</b>