

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: February 9, 2011
2. Agency: Department of Justice
3. Bureau: Bureau of Prisons
4. Name of this Capital Asset: USP Letcher County, KY
5. Unique Project (Investment) Identifier:
(For IT investment only, see section 53. For all other, use agency ID system.)
6. What kind of investment will this be in FY 2012?
(Please NOTE: Investments with Planning/Acquisition activities prior to FY 2011 should not select O&M.)

Planning	<u> </u>
Full Acquisition	<u> X </u>
Operations and Maintenance	<u> </u>
Mixed Life Cycle	<u> </u>
Multi-Agency Collaboration	<u> </u>
7. What was the first budget year this investment was submitted to OMB? 2006
8. a) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.

USP Letcher County, KY will be designed as a 960 bed high security prison with a 256 bed work camp (minimum security). The project will be awarded as a design/build project using a negotiated procurement which allows contractors input on all aspects of the project. USP Letcher County received partial site and planning funds in FY 2006. Environmental Studies are in progress. Completion is planned for 2017, contingent on receiving full funding in FY 2014.

This investment will provide a Federal correctional facility which directly supports the BOP's mission to protect society by confining offenders in the controlled environments of prisons and community-based facilities that are safe, humane, cost-efficient, and appropriately secure, and that provide work and other self-improvement opportunities to assist offenders in becoming law-abiding citizens. It supports the Department of Justice Strategic Goal 3: Ensure the Fair and Efficient Administration of Justice.

b) Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.
 For each link, provide a title of the content found at that link. _____ N/A _____

9. a) Provide the date of the Agency’s Executive/Investment Committee approval of this investment. November 2005

b) Provide the date of the most recent or planned approved project charter. _____ N/A _____

10. Contact information?

a) Program/Project Manager Name? Scott Higgins
 Phone Number 202-514- 5942
 E-mail sHiggins@bop.gov

b) Business Function Owner Name (i.e. Executive Agent or Investment Owner) _____ N/A _____
 Phone Number _____
 Email _____

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)?

The Project Manager has over 40 years in the design and construction of correctional facilities. As Chief of Design and Construction, Federal Bureau of Prisons, he is responsible for the largest federal prison building program in history. He is a licensed architect and is currently applying for the FAC-P/PM. Certification of his qualifications is estimated to be received by March 2011 at the Expert/Advanced level.

(1) Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment. No

(2) Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment. Yes

(3) Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria. No

(4) Project manager assigned but qualification status review has not yet started. No

(5) No project manager has yet been assigned to this investment. No

Section B: Summary of Funding (Budget Authority for Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions and are rounded to three decimal places. Thus, 'carry-over' funds should be represented in the year they were authorized. Variation from planned expenditures will be reflected in the cost and schedule table.

Federal personnel costs should be included only in the row designated Planning & Acquisition "Government FTE Cost," and "Operation/Maintenance, Disposition Government FTE Costs," and should be excluded from the amounts shown for "Planning," "Acquisition," "Operation/Maintenance," and "Disposition Costs." When reporting Federal personnel costs, agencies should use responsible discretion to indicate whether staff supports DME or O&M activities, avoiding duplication.

The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Acquisition," and "Operation/Maintenance."

For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. Funding for all costs associated with the entire life-cycle of the investment should be included in this report.

Funding levels should be shown for budget authority by year, and for IT consistent with funding levels in Exhibit 53. The Summary of Funding table shall include the amounts allocated to the investment from, and should be directly tied to, the Fiscal Year Budget. This includes direct appropriations (discretionary or mandatory accounts), user fees, and approved self-funding activities and will provide the actual annual "budget" for the investment.

For the multi-agency investments, this table should include all funding (both managing partner and partner agency contributions).

This "budget" will be a subset of the congressionally approved budget for each fiscal year. This will provide Departments/Agencies and OMB useful information on the actual Fiscal Year dollars being asked for and spent on an investment.

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY +1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and Earlier	PY 2010	CY 2011	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 And Beyond	Total
Planning:	.1		.1	.3					
Acquisition:									
Planning & Acquisition Government FTE Cost									
Subtotal Planning & Acquisition (DME)	.1		.1	.3					
Operations & Maintenance:									
Operations, Maintenance Deposition Government FTE Cost									
Subtotal O&M and Disposition Costs (SS):									
Total FTE Costs									
TOTAL (not including FTE costs):	.1		.1	.3					
TOTAL (including FTE costs):	.1		.1	.3					
Total number of FTE represented by Costs:									

Note 1: The two sub-total rows and total row will be calculated – not for data entry.

2. Insert the number of years covered in the column “PY-1 and earlier” _____ 4yrs _____
3. Insert the number of years covering the column BY+4 and beyond”: _____ 2 yrs _____
4. If the summary of spending has changed from the FY2011 President’s Budget request, briefly explain those changes:

The total preliminary estimated project cost changed, consistent with when full funding is anticipated.

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Contracts and /or task orders completed do not need to be listed. Total Value should include all option years for each contract. All dates dollar values and other information should be best available estimates for contracts not yet awarded. Data definitions can be found at www.usapending.gov/learn?tab=FAQ#s.

Agencies should confirm all Procurement Instrument Identifiers (PIIDs) and Indefinite Delivery Vehicle (IDV) PIID entries match with www.usapending.gov and all Solicitation IDs entries match with FedBizOpps at www.fbo.gov.

Because data can be auto populated from other sources with valid IDV, PIID, and Solicitation numbers, certain fields are not required for IT investments. For specifics, please see notes 1 and 2 below the table.

Table I.C.1 Contracts Table				
Field	Data Description	Contract 1	Contract 2	Contract X
Contract Status	N/A			
Contracting Agency ID	15-8-1003			
Procurement Instrument Identifier (PIID)	N/A			
Indefinite Delivery Vehicle (IDV) Reference ID	N/A			
Solicitation ID				
Alternative Financing	N			
EVM Required	N			
Ultimate Contract Value				
Type of Contract/Task Order(Pricing)	Design Build			
Is the contract a Performance Based Service Acquisition (PBSA)?	N			
Effective date				
Actual or expected End Date of Contract/Task Order	N			

Table I.C.1 Contract Table				
Field	Data Description	Contract 1	Contract 2	Contract X
Extent Competed	Full & Open Competition			
Short description of acquisition				

2. If earned value is not required or will not be a contract requirement for any of contracts or task orders above, explain why:

Earned value management is considered a major system acquisition and is not utilized in BOP construction contracts.

- 3. a) Has an Acquisition Plan been developed? If yes, please answer the questions that follow: Yes No
- b) Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 Yes No
- c) Was the Acquisition Plan approved in accordance with the agency requirements Yes No
- d) If "yes," enter date of approval? November 2005
- e) Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? Yes No
- f) Does the acquisition plan meet the requirements of EOs 13423 and 13514? Yes No
- g) If an Acquisition Plan has not been developed, provide a brief explanation.

Part III: Non – IT Capital Investments

Section A: Alternative Analysis

An Alternative Analysis must evaluate the costs and the benefits of at least three alternative and the status quo. The details of the analysis must be available to OMB upon request

- 1. Was an Alternative Analysis conducted (yes/no) Yes
- 2. If an Alternative Analysis was conducted, answer the following questions.
 - a) What is the date of the analysis? November 2005
 - b) How many alternatives were considered? 4
 - c) Did the analysis evaluate the costs and the benefits of each alternative (yes/no)? Yes
 - d) Briefly summarize the rationale for selected alternative.

Construct a new facility was the alternative determined to provide the greatest tax payer benefits and ultimately be more cost effective than the other alternatives.

3. If an Alternative Analysis was not Conducted, provide a brief explanation. _____

Section B: Risk Management

Risk must be actively managed throughout the lifecycle of the investment. The Risk Management Plan must be available to OMB upon request.

- 1. Has a Risk Management Plan been Developed (yes/no)? Yes

2. If a Risk Management Plan has been developed, answer the following questions.

- a) What is the date of the plan? November 2005
- b) Does the plan include a list of risks (yes/no) __ No __
- c) Does the plan include the probability of occurrence for each risk (yes/no)? __ No __
- d) Does the plan include the impact of each risk (yes/no)? __ No __
- e) Does the plan include a mitigation strategy for each risk (yes/no)? __ No __
- f) Does the plan include activity managing risk throughout the lifecycle (yes.no) __ No __

3. If a Risk Management Plan has not been developed, provide a brief explanation.

The Risk Management Plan is currently under review. An updated assessment is being conducted to ensure the plan conforms to the Capital Programing Guide and the Capital Planning and Investment Control process.

Section C: Performance Information

- 1. Performance Information Table
Enter the agency strategic goals supported by the investment and the corresponding performance measures in Table III.

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Baseline	Target	Actual Results
2010	3.3	Crowding by security level	49%	51%	53%
2011	3.3	Crowding by security level	53%	58%	N/A
2012	3.3	Crowding by security level	(Actual FY 2011)	47%	N/A
2013	3.3	Crowding by security level	(Actual FY 2012)	41%	N/A
2014	3.3	Crowding by security level	(Actual FY 2013)	40%	N/A

- 2. Explanations

The table represents inmate crowding by project’s security level, by Fiscal Year.