

**Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)**

1. Date of Submission: March 29, 2013
2. Agency: Department of Justice
3. Bureau: Bureau of Prisons (BOP)
4. Name of Investment: USP Letcher County, KY
5. Justification for Investment:

U.S. Penitentiary (USP) Letcher County, KY will be designed as a 960 bed high security prison with a 256 bed work camp (minimum security). The project will be awarded as a design/build project using a negotiated procurement which allows contractors input on all aspects of the project. USP Letcher County received partial site and planning funds in FY 2006. The FY 2014 President's Budget proposes a rescission to reduce this project by \$3.8 million, leaving \$500,000 in available funding. As a result, an estimated construction completion date cannot be determined for this project due to uncertainty of future funds.

This investment will provide a Federal correctional facility which directly supports the BOP's mission to protect society by confining offenders in the controlled environments of prisons and community-based facilities that are safe, humane, cost-efficient, and appropriately secure, and that provide work and other self-improvement opportunities to assist offenders in becoming law-abiding citizens. It supports the Department of Justice Strategic Goal 3: Ensure and Support the Fair, Impartial, Efficient, and Transparent Administration of Justice at the Federal, State, Local, Tribal and International Levels.

6. Accountability

a. Business Sponsor

The Business Sponsor is the individual with the authority to allocate resources and make personnel decisions.

- | | | |
|------|-----------|---|
| i. | Name | <u>W. Scott Higgins</u> |
| ii. | Title | <u>Chief of Design & Construction</u> |
| iii. | Telephone | <u>(202) 514-5942</u> |
| iv. | Email | <u>sHiggins@bop.gov</u> |

b. Program/Project Manager

- | | | |
|----|------|-------------------------|
| i. | Name | <u>W. Scott Higgins</u> |
|----|------|-------------------------|

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|------|----------------|---|
| ii. | Telephone | <u>(202) 514-5942</u> |
| iii. | Email | <u>sHiggins@bop.gov</u> |
| iv. | Qualifications | <u>See Below</u> |

The Project Manager has over 40 years in the design and construction of correctional facilities. As Chief of Design and Construction, Federal Bureau of Prisons, he is a licensed architect and responsible for the largest federal prison building program in history.

7. Summary of Funding

Table 1: Summary of Funding									
	PY-1 and Earlier (\$M)	PY 2012 (\$M)	CY 2013 (\$M)	BY 2014 (\$M)	BY+1 2015 (\$M)	BY+2 2016 (\$M)	BY+3 2017 (\$M)	BY+4 and Beyond (\$M)	Total (\$M)
Planning (a)	.6		.5						1.1
Acquisition (b)				0	390	10	8.7		408.7
Subtotal Planning & Acquisition (c)=(a)+(b)	.6		.5	0	390	10	8.7		409.8
Operation & Maintenance (d)									
Residual Value/Disposal Cost (e)									
Total (f)= (c)+(d)+ (e)	.6		.5	0	390	10	8.7		409.8

8. Acquisition Plan

The Acquisition Plan should maximize competition to ensure the government receives a fair Price. The Plan must be available to OMB upon request.

- | | | |
|------|--|----------------------|
| a. | Has an Acquisition Plan been developed? | <u>Yes</u> |
| b. | If an Acquisition Plan has been developed, answer the following questions. | |
| i. | Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1? | <u>Yes</u> |
| ii. | Was the Acquisition Plan approved in accordance with agency requirements? | <u>Yes</u> |
| iii. | If the Plan was approved, enter the date of approval. | <u>November 2005</u> |

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- iv. Is the Acquisition Plan consistent with agency Strategic Sustainability Performance Plan? Yes
- v. Does the Acquisition Plan meet the requirement of EO 13423? Yes
- vi. Does the Acquisition Plan meet the requirement of EO 13514? Yes
- c. If an Acquisition Plan has not been developed, provide a brief explanation. N/A
- d. Enter all (including non-Federal) current and planned contracts and task orders in Table 2. Completed contracts and task orders do not need to be listed. Total Value should Include option years. If a contract has not been awarded, estimates of dates, dollar values and any other information should be provided. Data definitions can be found at www.usapending.gov/learn?tab=FAQ#2.
- e. Do all Procurement Instrument Identifier (PIID) and Indefinite Delivery Vehicle (IDV) PIID entries match www.USAspending.gov? N/A
- f. Do all Solicitation IDs match Fed BizOpps at www.fbo.gov? N/A
- g. If Earned Value Management is not required or will not be a contract requirement for any of the contracts or task orders, provide a brief explanation.

Earned Value Management is considered a major system acquisition and is not utilized in BOP construction contracts.

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Table2: Contracts				
Field	Data Description	Contract 1	Contract 2	Contract X
Contract Status	N/A			
Contracting Agency ID	15-8-1003			
Procurement Instrument Identifier (PIID)		DJB0700CCJA410018		
Indefinite Delivery Vehicle (IDV) Reference ID	N/A			
Solicitation ID				
Alternative Financing	N			
EVM Required	N			
Ultimate Contract Value				
Type of Contract/Task Order (Pricing)	Feasibility Study	\$595,490.15		
Is the Contract a Performance Based Service Acquisition (PBSA)?	N			
Effective Date				
Actual or Expected End Date of Contract/Task Order	N/A			
Extent Competed	Full & Open Competition			
Short Description of Acquisition	Feasibility Study of a prison.			

9. Alternatives Analysis

An Alternatives Analysis must evaluate the costs and the benefits of at least three Alternatives and the status quo. The details of the analysis must be available to OMB upon request.

a. Was an Alternatives Analysis conducted? Yes

b. If an Alternatives Analysis was conducted, answer the following questions.

i. What is the date of the analysis? November 2005

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- ii. How many alternatives were evaluated? 4
- iii. Did the analysis evaluate the costs and the benefits of each alternative? Yes
- iv. Briefly summarize the rationale for the selected alternative.

Constructing a new facility was the alternative determined to provide the greatest benefit to taxpayers and ultimately be more cost effective than the other alternatives.

- c. If an Alternatives Analysis was not conducted, provide a brief explanation. N/A

10. Risk Management

Risk must be actively managed throughout the lifecycle of the investment. The Risk Management Plan must be available to OMB upon request.

- a. Has a Risk Management Plan been developed? Yes
- b. If a Risk Management Plan has been developed, answer the following questions.
 - i. What is the date of the plan? April 2011
 - ii. Does the plan include a list of risks? Yes
 - iii. Does the plan include the probability of occurrence of each risk? Yes
 - iv. Does the plan include the impact of each risk? Yes
 - v. Does the plan include a mitigation strategy for each risk? Yes
 - vi. Does the plan include actively managing risk throughout the lifecycle? Yes
- c. If a Risk Management Plan has not been developed, provide a brief explanation. N/A

11. Performance Information

The investment must support the agency's strategic goals. The performance goals must be clearly measurable and quantifiable.

- a. Enter the strategic goals and the corresponding performance measures in Table 3.

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High Security

Table3: Performance Information				
Fiscal Year	Strategic Goal(s) Supported	Performance Baseline	Performance Goal	Actual Result
2011	3.3	Crowding by security level	52%	55%
2012	3.3	Crowding by security level	49%	51%
2013	3.3	Crowding by security level	55%	N/A
2014	3.3	Crowding by security level	56%	N/A
2015	3.3	Crowding by security level	43%	N/A

b. Explanations:

The table represents inmate crowding by project's security level, by fiscal year.