



Prison Rape Elimination Act (PREA) Workbook Instructions

As a result of VAWA 2013 and the penalty provision of the Prison Rape Elimination Act (PREA), States are required to certify compliance with PREA. If States cannot certify compliance, they have the option of forfeiting 5 percent of covered funds* (95 percent of the STOP award because the court set-aside is excluded) or executing an assurance that five percent of covered funds* will be used towards coming into compliance with PREA.

Option 1	Please use this worksheet if the State confirms compliance with PREA. At the bottom of the form, the State STOP Administrator should print his/her name, sign, and date the form.
Option 2	Please use this worksheet to view the reallocation and indicate which actions will be completed to be compliant with PREA. At the bottom of the form, the State STOP Administrator should print his/her name, sign, and date the form.
Option 3	Please use this worksheet to view the penalty for non-compliance with PREA. At the bottom of the form, the State STOP Administrator should print his/her name, sign, and date the form.

*Covered funds refers to the amount the State will be awarded after the five percent court set-aside has been deducted.



Prison Rape Elimination Act Worksheet: Option 1

As a result of VAWA 2013 and the penalty provision of the Prison Rape Elimination Act (PREA), States are required to certify compliance with PREA. If States cannot certify compliance, they have the option of forfeiting 5 percent of covered funds* (95 percent of the STOP award because the court set-aside is excluded) or executing an assurance that five percent of covered funds* will be used towards coming into compliance with PREA. Please use this worksheet if the State can confirm compliance with PREA. Please select your State from the drop-down menu, print your name, sign, and date the form. **Please note: All yellow cells require user input.**

The State of **(Please select a state.)** is in compliance with the Prison Rape Elimination Act (PREA).

State STOP Administrator Printed Name: _____

State STOP Administrator Signature: _____ Date: _____

*Covered funds refers to the amount the State will be awarded after the five percent court set-aside has been deducted.

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Prison Rape Elimination Act (PREA) Worksheet: Option 2

The purpose of this form is to guide States when confirming compliance with PREA. As a result of VAWA 2013 and the penalty provision of the Prison Rape Elimination Act (PREA), States are required to certify compliance with PREA. If States cannot certify compliance, they can execute an assurance that five percent of covered funds* will be used towards coming into compliance with PREA. Please use this worksheet to view the reallocation and indicate which actions, if any, will be completed to be in compliance with PREA. **Please note: All yellow cells require user input.**

STEP 1

Please select your State from the drop-down menu and then proceed to STEP 2.

Please select a state.

STEP 2

Please identify steps that will be taken to be compliant with PREA. Please check the box if the activity will use STOP funds to become compliant with PREA. Then, please proceed to STEP 3.

STOP funding will be used to accomplish these activities to come into compliance with PREA:	<input type="checkbox"/>	[Insert text here.]
	<input type="checkbox"/>	[Insert text here.]
	<input type="checkbox"/>	[Insert text here.]
	<input type="checkbox"/>	[Insert text here.]

STEP 3

1) In the appropriate category in the column *State Determination: Distribution of PREA Reallocation* , enter the amount that will be expended to come into compliance with PREA. Please note: The category **must** match the activities to be completed to be compliant with PREA. For example, if the State will hire additional police officers to be compliant with PREA, then the State must specify the amount in the *Law Enforcement* category or the *discretionary* category. The amount(s) entered in the categories (yellow cells) cannot exceed the PREA reallocation amount.
2) States may allocate up to 10 percent of each of the STOP allocations to be used for administration of the STOP award. Please enter a percentage, **not to exceed 10 percent**, in each allocation (*Administrative Costs (%) Per Set-Aside* column) to be used to administer the STOP award. For further information, please refer to page nine of the STOP Formula FAQs found at <http://www.ovw.usdoj.gov/docs/stop-formula-faq.pdf>.

	Original Allocation Amount	State Determination: Distribution of PREA Reallocation	Total After State Determination of PREA Reallocation	Administrative Costs (%) Per Set-Aside	TOTAL Administrative Costs (\$)	STOP Allocation After PREA Reallocation and Administrative Costs
Allocation	#N/A	N/A	N/A	N/A	N/A	
Court Set-Aside (5%)	#N/A	N/A	#N/A	0%	#N/A	#N/A
Victim Services (30%)	#N/A	\$ -	#N/A	0%	#N/A	#N/A
Law Enforcement (25%)	#N/A	\$ -	#N/A	0%	#N/A	#N/A
Prosecution (25%)	#N/A	\$ -	#N/A	0%	#N/A	#N/A
Discretionary (15%)	#N/A	\$ -	#N/A	0%	#N/A	#N/A
TOTAL	#N/A	\$ -	#N/A	N/A	#N/A	#N/A

PREA Reallocation	#N/A	#N/A	
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STEP 4

The State of **Please select a state.** will use STOP funds to come into compliance with PREA.

State STOP Administrator Printed Name: _____

State STOP Administrator Signature: _____ Date: _____

*Covered funds refers to the amount the State will be awarded after the five percent court set-aside has been deducted.



Prison Rape Elimination Act (PREA) Worksheet: Option 3

The purpose of this form is to guide States when confirming compliance with PREA. As a result of VAWA 2013 and the penalty provision of the Prison Rape Elimination Act (PREA), States are required to certify compliance with PREA. If States cannot certify compliance, they have the option of forfeiting 5 percent of covered funds* (95 percent of the STOP award because the court set-aside is excluded). Please use this worksheet to view the penalty for non-compliance with PREA. At the bottom of the form, please print your name, sign, and date the form. **Please note: All yellow cells require user input.**

STEP 1

Please select your State from the drop-down menu and then proceed to STEP 2.

(Please select a state.)

STEP 2

1) The State will be assessed a five percent penalty for non-compliance with PREA. This five percent penalty will be deducted from the State's covered funds.* The State cannot choose from which category of the allocation that amount is deducted.
2) States may allocate up to 10 percent of each of the STOP allocations to be used for administration of the STOP award. Please enter a percentage, **not to exceed 10 percent**, in each allocation (yellow cells) to be used to administer the STOP award. For further information, please refer to page nine of the STOP Formula FAQs found at <http://www.ovw.usdoj.gov/docs/stop-formula-faq.pdf> . Then, please proceed to STEP 3.

		PREA Penalty (5%)	Total After PREA Penalty**	Administrative Costs (%)	Administrative Costs (\$)	STOP Allocation After PREA Penalty and Administrative Costs
Allocation	#N/A	N/A	N/A	N/A	N/A	N/A
Court Set-Aside (5%)	#N/A	N/A	#N/A	0%	#N/A	#N/A
Victim Services (30%)	#N/A	#N/A	#N/A	0%	#N/A	#N/A
Law Enforcement (25%)	#N/A	#N/A	#N/A	0%	#N/A	#N/A
Prosecution (25%)	#N/A	#N/A	#N/A	0%	#N/A	#N/A
Discretionary (15%)	#N/A	#N/A	#N/A	0%	#N/A	#N/A
TOTAL	#N/A	#N/A	#N/A	N/A	#N/A	#N/A

STEP 3

The State of **(Please select a state.)** is not in compliance with PREA and accepts the five percent PREA penalty.

State STOP Administrator Printed Name: _____

State STOP Administrator Signature: _____ Date: _____

*Covered funds refers to the amount the State will be awarded after the five percent court set-aside has been deducted. **Total rounded.