

FILED

UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF RHODE ISLAND

2013 SEP 18 P 2:27

U.S. DISTRICT COURT  
DISTRICT OF RHODE ISLAND

UNITED STATES OF AMERICA

v.

RANDOLPH HURST

:  
:  
:  
:  
:

CR. No. 12-162S

PLEA AGREEMENT

Pursuant to Rule 11(c)(1) (A) and (B), of the Federal Rules of Criminal Procedure, the United States and Defendant RANDOLPH HURST have reached the following agreement:

1. Defendant's Obligations.

a. Defendant will plead guilty to the following Counts of the Indictment:

- (1) Counts 1 and 2, mail fraud in violation of 18 U.S.C. § 1341;
- (2) Count 3, transportation of stolen securities, in violation of 18 U.S.C. § 2314;
- (3) Counts 5 through 7, filing of a false tax return, in violation of 26 U.S.C. § 7206(1);
- (4) Count 8, tax evasion, in violation of 26 U.S.C. § 7201; and
- (5) Count 9, aggravated identity theft, in violation of 18 U.S.C. § 1028A.

b. Defendant Hurst acknowledges that this plea agreement is part of a package plea agreement requiring both defendant Hurst and co-defendant Justin Silveira (Silveira) to plead guilty. Defendant Hurst agrees that if either he or Silveira fails to enter a plea of guilty or later

moves to vacate his guilty plea and that motion is granted by the Court, then this agreement is null and void. The intent of the United States and the defendants is to resolve this entire case by way of guilty pleas entered by all defendants so as to avoid the necessity of any trial. Defendant Hurst further agrees that his decision to enter a package plea agreement is completely knowing and voluntary.

c. The Defendant will promptly submit a completed Department of Justice Financial Statement to the U.S. Attorney's Office, in a form it provides and as it directs. The Defendant promises that his financial statement and disclosures will be complete, accurate and truthful.

d. The Defendant expressly authorizes the U.S. Attorney's Office to obtain a credit report on him in order to evaluate the Defendant's ability to satisfy any financial obligation that may be imposed by the Court.

e. The Defendant will interpose no objection to the entry of an order under Rule 6(e) authorizing disclosure of those documents, testimony and related investigative materials which may constitute grand jury material.

f. The Defendant will make efforts to pay any taxes due and owing on all of his tax returns since 2006.

2. Government's Obligations. In exchange for Defendant's plea/s of guilty:

a. The government will recommend that the Court impose a term of imprisonment within the range of sentences for the offense level determined by the Court under the United States Sentencing Guidelines (the U.S.S.G. or "guidelines") or the mandatory minimum term of imprisonment pursuant to statute, whichever term is greater, but not including probation or a

"split-sentence," even if permitted under the guidelines, provided that Defendant does not seek a downward departure under the guidelines or advocate for a sentence below the guideline range.

b. For purposes of determining the offense level, the government agrees to recommend a two-level reduction in the offense level for acceptance of responsibility under § 3E1.1(a) of the guidelines if Defendant continues to demonstrate acceptance of responsibility through sentencing.

c. As of the date of this agreement, Defendant has timely notified authorities of an intention to enter a plea of guilty. If the offense level is 16 or greater and Defendant enters a plea of guilty pursuant to this agreement, the government will move the sentencing Court for an additional decrease of one level, pursuant to U.S.S.G. § 3E1.1(b)(2), unless Defendant indicates an intention not to enter a plea of guilty, thereby requiring the government to prepare for trial.

d. The government is free to recommend any combination of supervised release, fines, and restitution which it deems appropriate.

e. The government will, at the time of sentencing, move to dismiss Count 4 of the indictment which charges the defendant with money laundering pursuant to 18 U.S.C.

§ 1956(a)(1)(B)(i).

3. Defendant understands that the guidelines are not binding on the Court, and that, although the Court must consult the guidelines in fashioning any sentence in this case, the guidelines are only advisory, and the Court may impose any reasonable sentence in this matter up to the statutory maximum penalties after taking into account the factors enumerated in 18 U.S.C. § 3553(a).

4. The United States and defendant stipulate and agree to the following facts under the guidelines:

- a. The loss pursuant to U.S.S.G. § 2B1.1(b)(1) related to Counts 1, 2 and 3 is \$161,727.95.
- b. The tax loss pursuant to U.S.S.G. § 2T4.1(F) for Counts 5 through 8 are the following:
  - Count 5 - \$3,770
  - Count 6 - \$3,405
  - Count 7 - \$4,850
  - Count 8 - \$49,974

5. Except as expressly provided in the preceding paragraph, there is no agreement as to which Offense Level and Criminal History Category applies in this case. Both the United States and Defendant reserve their rights to argue and present evidence on all matters affecting the guidelines calculation.

6. The maximum statutory penalties for the offenses to which defendant is pleading are:

**Counts 1 and 2**

Each count 10 years imprisonment, a fine of up to \$250,000, a term of supervised release of 3 years, and a mandatory special assessment of \$100.

**Count 3**

Ten years imprisonment, a fine of up to \$250,000, a term of supervised release of 3 years, and a mandatory special assessment of \$100.

**Counts 5, 6, and 7**

Each count 3 years imprisonment, a fine of up to \$100,000, a term of supervised release of 1 year, and a mandatory special assessment of \$100.

**Count 8**

Five years imprisonment, a fine of up to \$100,000, a term of supervised release of 3 years, and a mandatory special assessment of \$100.

**Count 9**

Mandatory consecutive 2 year term of imprisonment, a fine of up to \$250,000, a term of supervised release of 1 year, and a mandatory special assessment of \$100.

Thus, if imposed consecutively, the maximum penalties for all offenses to which Defendant is pleading guilty are 6 years imprisonment; a fine of \$ 1,350,000; and a term of supervised release of 16 years. The mandatory special assessment totals \$900.

7. Defendant agrees that, after Defendant and Defendant's counsel sign this agreement, counsel will return it to the United States Attorney's Office along with a money order or certified check, payable to the Clerk, United States District Court, in payment of the special assessments. Failure to do so, unless the Court has made a previous finding of indigence, will relieve the government of its obligation to recommend a reduction in the offense level under the guidelines for acceptance of responsibility.

8. Defendant is advised and understands that:

a. The government has the right, in a prosecution for perjury or making a false statement, to use against Defendant any statement that Defendant gives under oath;

- b. Defendant has the right to plead not guilty, or having already so pleaded, to persist in that plea;
- c. Defendant has the right to a jury trial;
- d. Defendant has the right to be represented by counsel – and if necessary have the Court appoint counsel – at trial and every other stage of the proceeding;
- e. Defendant has the right at trial to confront and cross-examine adverse witnesses, to be protected from self-incrimination, to testify and present evidence, and to compel the attendance of witnesses; and
- f. Defendant waives these trial rights if the Court accepts a plea of guilty.

9. The government reserves its full right of allocution, including the right to present any information to the Court for its consideration in fashioning an appropriate sentence, the right to correct misstatements, misrepresentations, or omissions by Defendant, and to answer any questions asked by the Court.

10. Except for paragraphs 2 and 4, above, the parties have made no agreement concerning the application of the guidelines in this case.

11. Defendant understands that the Court alone makes all sentencing decisions, including the application of the guidelines and the sentence to be imposed. The Court is not bound by the parties' stipulations of fact, offense level adjustments, or the government's recommendations. The Court is free to impose any sentence it deems appropriate up to and including the statutory maximum. Defendant also understands that even if the Court's guideline determinations and sentence are different than Defendant expects, Defendant will not be allowed to withdraw Defendant's plea of guilty.

12. Defendant hereby waives Defendant's right to appeal the convictions and sentences imposed by the Court, if the sentences imposed by the Court are within or below the sentencing guideline range determined by the Court. This agreement does not affect the rights or obligations of the United States as set forth in 18 U.S.C. § 3742(b), and the government retains its right to appeal any of the Court's sentencing determinations.

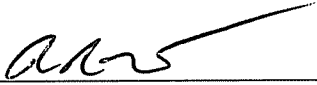
13. This agreement is binding on the government only if Defendant pleads guilty, fulfills all Defendant's obligations under the agreement, does not engage in any conduct constituting obstruction of justice under § 3C1.1 of the guidelines, and does not commit any new offenses. Defendant understands that if Defendant violates this agreement in any way, the government shall be released from its obligations under the agreement and will be free to make any recommendations that it deems appropriate. If that occurs, Defendant shall not have the right to withdraw Defendant's guilty plea.

14. This agreement is limited to the District of Rhode Island and does not bind any other federal, state, or local prosecutive authorities.

15. This agreement constitutes the entire agreement between the parties. No other promises or inducements have been made concerning the plea in this case. Defendant acknowledges that no person has, directly or indirectly, threatened or coerced Defendant to enter this agreement. Any additions, deletions, or modifications to this agreement must be made in writing and signed by all the parties in order to be effective.

16. Counsel for Defendant states that Counsel has read this agreement, been given a copy of it for Counsel's file, explained it to Defendant, and states that to the best of Counsel's knowledge and belief, Defendant understands the agreement.

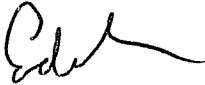
17. Defendant states that Defendant has read the agreement or has had it read to Defendant, has discussed it with Defendant's Counsel, understands it, and agrees to its provisions.



RANDOLPH HURST  
Defendant

9/17/13

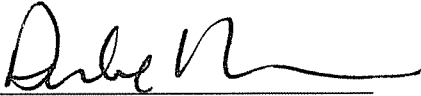
Date



EDWARD C. ROY  
Counsel for Defendant

9/17/13

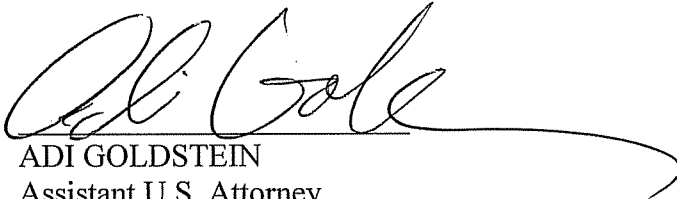
Date



DULCE DONOVAN  
Assistant U.S. Attorney

9/18/13

Date



ADI GOLDSTEIN  
Assistant U.S. Attorney  
Deputy Chief, Criminal Division

9/18/13

Date