US Department of Justice

United States Trustee Program

Uniform Final Reports Release Notes

Release Notes for July 1, 2009

Data Dictionary

Recent changes made to the Data Dictionary are identified by the "6/29/09" date in the "Date Changed" column (column K) of the Excel spreadsheet. For ease of finding these changes, they are in bolded blue font. See the Data Dictionary for a complete list of the changes:

http://www.usdoj.gov/ust/eo/bapcpa/uniform_final_rpts/docs/Uniform_Final_Forms_Data_ Dictionary.xls

All Uniform Final Forms —

Changed form.version value to 1.1.
Changed form.date value to 09/01/2009.
Added a field for division name in the header, and a field to indicate whether the report is amended:

Division Name	district.division	Text	Court division name; may be blank
Final or Amended	final_amended	Text: 1=final, 2=amended	This value indicates whether this is the original report (1) or is amended (2)

TFR and NFR —

Added fields for new paragraph preceding Tardily filed general (unsecured) claims:

Tardily Filed General Unsecured Claim Total	late_filed_gen_unsecured_claim_total	number
Tardily Filed Dividend Percentage	late_filed_gen_unsecured_dividend_percent	number, 1-digit precision (ex. 5.1, 10.0)

Added fields for new paragraph preceding Subordinated unsecured claims:

Subordinated General Unsecured Claim Total	subordinated_gen_unsecured_claim_total	number
Subordinated Dividend Percentage	subordinated_gen_unsecured_dividend_percent	number, 1-digit precision (ex. 5.1, 10.0)

NFR only –

Deleted all fields from Notice section:

Address of Office of Court Clerk	address_court_clerk_office	text
Hearing Time	hearing_time	time
Hearing Date	hearing_date	date
Courtroom number	courtroom_number	text
Address of courthouse	courthouse_address	text

Deleted trustee signature date field:

Trustee Signature Date	trustee_signature_date	date

Decimal and Date Fields that Allow "NA"

TDR only -

Changed data types to text for those decimal fields that allow "NA" as a value (note that the data type for the Claims Scheduled field in Exhibits 4 and 5 was already text in the XML schema, since the default value is "NA" for these fields):

Assets Exempt	assets_exempt	number or text ("NA" is allowed)
Claims Discharged without Payment	claims_discharged_without_payment	number or text ("NA" is allowed)
Exhibit 3 Claims Scheduled	exh3.exhibit_3_claims_scheduled	number or text ("NA" is allowed)
Exhibit 3 Claims Asserted	exh3.exhibit_3_claims_asserted	number or text ("NA" is allowed)
Exhibit 3 Claims Allowed	exh3.exhibit_3_claims_allowed	number or text ("NA" is allowed)
Exhibit 6 Claims Scheduled	exh6.exhibit_6_claims_scheduled	number or text ("NA" is allowed)
Exhibit 6 Claims Asserted	exh6.exhibit_6_claims_asserted	number or text ("NA" is allowed)
Exhibit 6 Claims Allowed	exh6.exhibit_6_claims_allowed	number or text ("NA" is allowed)
Exhibit 7 Claims Scheduled	exh7.exhibit_7_claims_scheduled	number or text ("NA" is allowed)
Exhibit 7 Claims Asserted	exh7.exhibit_7_claims_asserted	number or text ("NA" is allowed)
Exhibit 7 Claims Allowed	exh7.exhibit_7_claims_allowed	number or text ("NA" is allowed)

Ch. 12/13 Trustee Final Reports only –

Changed data types to text for those date and decimal fields that allow "NA" as a value:

Plan Confirmed Date	plan_confirmed_date	date or text ("NA" is allowed)
Plan Modified Date	modified.plan_modified_date	date or text ("NA" is allowed)
Filed Action to Remedy Date	remedy.filed_action_to_remedy_date	date or text ("NA" is allowed)
Value of Assets Abandoned	value_of_assets_abandoned	number or text ("NA" is allowed)
Claim Scheduled	sched_creditors.claim_scheduled	number or text ("NA" is allowed)
Claim Asserted	sched_creditors.claim_asserted	number or text ("NA" is allowed)
Claim Allowed	sched_creditors.claim_allowed	number or text ("NA" is allowed)

XML Schemas

The changes listed above and in the Data Dictionary are reflected in the XML Schemas. See the complete list of schemas posted on: http://www.usdoj.gov/ust/eo/bapcpa/uniform_final_rpts/index.htm.

General Information for March 18, 2009 Release

PDF/A Compliance

Based on information provided by Adobe, in order for the PDF to be PDF/A compliant, the data must be encoded and embedded in a tag in the XML.

For the purposes of the uniform reports, please use this tag name for the embedded data:

<xmp:Nickname>

There are other criteria for PDF/A compliance. A tool in Adobe Acrobat 9.0 called *Preflight* can be used to determine compliance. To perform the Preflight test, open the PDF in Acrobat, select Advanced | Preflight… Open/expand the PDF/A Compliance option. Select Verify compliance with PDF/A-1a, and click Analyze. The Results tab lists the errors (if any) that cause the PDF to not comply with PDF/A-1a. Go back to the Profiles tab. Select Verify compliance with PDF/A-1b, and repeat the steps for PDF/A-1a. The AOUSC is accepting either substandard (1a or 1b) for PDF/A compliance.

Form Date Change

Please note that the values for form.date and form.rules_date fields should be 04/01/2009 for all the uniform forms.

In addition, the date in the footer of the form image should be 4/1/2009 (include the day).

Release Notes for March 18, 2009

The Data Dictionary was updated on March 18, 2009. The following paragraph was changed.

Changed this paragraph on the Instructions tab of the data dictionary from this:

Recurring Field

This column indicates whether the field can recur multiple times on the form. In most forms there are repeating rows of information on the document. The fields in these rows are identified in the tag name by the use of a subform name. For example, "Claimants.proposed_payment" represents the recurring proposed payment in the Claimants subform. Although the XMPs will not necessarily use subforms, the XML must still use the subform name in the field's tag name.

To this:

Recurring Field

This column indicates whether the field can recur multiple times on the form. In most forms there are repeating rows of information on the document. The fields in these rows are identified in the tag name by the use of a subform name. For example, "Claimants.proposed_payment" represents the recurring proposed payment in the Claimants subform. The naming convention of <subform name>.<field name> applies to AcroForm files. For XMP files, use the nested fields as specified in the XML schema for the form.

Release Notes for March 6, 2009

The xml schemas for the Chapter 12 and 13 uniform final forms were updated on March 6, 2009. The following changes were made.

The Chapter 12 and 13 Schemas Changed From

```
<xs:element name="remedy">
        <xs:element ref="filed_action_to_remedy_date"/>
</xs:element>


<xs:element name="modified">
        <xs:element ref="plan_modified_date"/>
</xs:element>
```

The Chapter 12 and 13 Schemas Changed To:

```
<xs:element name="remedy">
    <xs:complexType>
       <xs:sequence>
                    <xs:element ref="filed_action_to_remedy_date"/>
              </xs:sequence>
        </xs:complexType>
</xs:element>
```

```
<xs:element name="modified">

    <xs:complexType>

        <xs:sequence>

                        <xs:element ref="plan_modified_date"/>

                </xs:sequence>

        </xs:complexType>

</xs:element>
```

Release Notes for February 25, 2009

The xml schemas and the Complete Data Dictionary for the uniform final forms were updated on February 25, 2009. The following changes were made.

Ch. 12 and 13 forms:

Changed form.date value to 04/01/2009.*

Changed form.rules_date value to 04/01/2009.*

Changed subform names for the following fields:

- From declare.plan_modified_date to modified.plan_modified_date.
- From declare.filed_action_to_remedy_date to remedy.filed_action_to_remedy_date.

TFR, NFR, TDR:

Changed form.date value to 04/01/2009.*

Changed form.rules_date value to 04/01/2009.*

TFR, TDR:

Removed us_trustee_signature_date field.

TDR:

Added form.vendor field

*Please note that field values are listed in the Complete Data Dictionary on the USTP Web site. The value change to 04/1/2009 for these date fields is required in all forms.