

LISTED OTC OPTIONS

**BLOCK ALLOCATION TICKET**

(PLEASE VERIFY TERMS OF ORDER VERSUS BLOCK DESK ENTRY)

ORDER NO. 9950

TRADER: *Donnik*

BUY/SELL/SELL SHORT

Sell

QUANTITY

~~20,000~~ 46,068☐ CALL
☐ PUT☐ OPEN
☐ CLOSE☐ CUST
☐ FIRM☐ CVRD
☐ UNCVRD

SYMBOL/STRIKE/EXPIRATION

*ENE*For Option Spread or Combo
orders use two ticketsAdvised Client
P.W. Makes
Market

DESCRIPTION

Enron

ACCOUNT / TRADE DISCLOSURE INDICATORS

INDICATE
BELOW1. Long in Account 3. Bank/Broker 5. Exch Conv Opt/Wrts/Rights
2. Client Possessions 4. Firm to Lend 6. Short Sell Disclosure (see reverse side)**ALLOCATIONS**

OFF SYM	ACCOUNT NUMBER	TYPE	FA	CLIENT NAME	QUANTITY	UNSO	DISC/ COMM	DE/ DNE	TRAILER	DISC CODE
HIM	01206	IEN		SKilling	46,068	☒	CD.06/8		Rule 14	
						☐				
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						☐				
						☐				

2000/11/07 13:28 59s

EXECUTIONS			EXECUTIONS			EXECUTIONS		
QUANTITY	PRICE	EXCHG	QUANTITY	PRICE	EXCHG	QUANTITY	PRICE	EXCHG
20,000	82 1/2	NY						
20,000	82 5/8	NY						
6,068	82 3/4	NY						

BRANCH-MANAGER'S APPROVAL

Avg Px 82.5872

1-BLOCK ALLOCATIONS

BIMT 3375 (Rev. 4/99)

E: 1 OF: 1

UP-FRONT BLOCK ALLOCATION
ORDER NOTIFICATION

11/07/00

: 110700

S/D: 111000

IE NAME:

ER	ACT	QUANTITY	SYMBOL	STRIKE	PRC	EX	E/R	BR	IE	TOT EXEC	PRICE
50	S	46068	ENE								

CONFIDENTIAL TREATMENT REQUESTED

HIS ORDER REQUIRED UPFRONT ACCORDING TO SHARE ALLOCATION. THIS INFORMATION
SHOULD NOW BE ENTERED INTO THE TUBA SYSTEM. REFER TO COMPLIANCE BULLETIN
98-22 & BRANCH ALERT 33/17-JUL-98 FOR ADDITIONAL INFORMATION

ETF-1858F-000046

**GOVERNMENT
EXHIBIT
2028**

Crim. No. H-04-25 (S-2)

IE: 1 OF: 1 UP-FRONT BLOCK ALLOCATION 11/07/00
COMPLETED ORDER
110700 S/D: 111000 IE NAME:

DER	ACT	QUANTITY	SYMBOL	STRIKE	PRC	EX	E/R	BR	IE	TOT EXEC	PRICE
950	S	46068	ENE			PX	N	HM		46068	82.5872

* ORDER COMPLETED *

QTY	PRICE	EXCH	QTY	PRICE	EXCH
20000	82.5000	NY	20000	82.6250	NY
6068	82.7500	NY			

SE: 1 OF: 1 UP-FRONT BLOCK ALLOCATIONS 11/07/00 13:27:06
ADE DATE: 11/07/00 ALLOCATIONS ENTRY

DER	ACT	QUANTITY	SYMBOL	STRIKE	PRC	EX	E/R	BR	IE	TOT EXEC	PRICE
950	S	46068	ENE				N	HM			

SOL: DE/DNE: OVR L99: MISC:

ST/FIRM: CVR/UNC: OPEN/CLOSE: DISC/COMM:

CUSTOMER	QUANTITY	DISC/COMM	DE/DNE	MISCELLANEOUS	TRADE REF #
-01206-1EN	46068	CD.06		RULE 144	45235

CONFIDENTIAL TREATMENT REQUESTED
660/27771

ETF-1858F-000047