



**CAP Link**  
SUMMARY STATEMENT

11/01/2001 thru 11/30/2001 HC01 1 W2 R P O

013286 03 5DG 113/646 \*\*\*\*\* SNGLP

CYPRESS ASSET MANAGEMENT, INC.  
2929 ALLEN PARKWAY  
SUITE 2200  
HOUSTON TX 77019



**Financial Advisor,**  
**ROCKY EMERY**

Office Phone:  
713-853-2401  
or  
866-753-9556

Office Address:  
EMERY FINANCIAL GROUP  
OF FIRST UNION SECURITIES, INC  
909 FANNIN STE 2100  
HOUSTON, TX 77010

**CAP Link - Summary of Accounts**

Total Linked Account Value as of 11/30/2001  
**\$3,061,983.51**

**Message from First Union Securities:**

First Union Securities, Inc., is pleased to provide you with our CAP Link summary statement which provides a comprehensive view of your household's accounts. This service allows multiple statements within your household to come in one envelope with a cover page summary. To make the most of this service, you may wish to transfer accounts from other financial institutions to First Union Securities. Please contact your Financial Advisor for more information.

**Linked Statement Information**

Account Number	Account Name	Account Type	Previous Market Value	Market Value 11/30	YTD Realized	Unrealized
	KENNETH L LAY &	INVESTMENT	2,887,082.14	3,061,983.51	92,881.98-	302,839.04
<b>Total</b>			<b>\$ 2,887,082.14</b>	<b>\$ 3,061,983.51</b>	<b>\$ 92,881.98-</b>	<b>\$ 302,839.04</b>

**Summary of Accounts**

**Total Value**      **Gains and Losses**

**GOVERNMENT EXHIBIT**  
24122  
Crim No. H-04-0025

GJ 00181  
Confidential Treatment  
Requested by K & L



# Investment Account STATEMENT

11/01/2001 thru 11/30/2001 HC01 1 W2 R P O



013286 03 5DG 113/646 \*\*\*\*\* SINGLP

CYPRESS ASSET MANAGEMENT, INC.  
2929 ALLEN PARKWAY  
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Office Address:  
EMERY FINANCIAL GROUP  
OF FIRST UNION SECURITIES, INC  
909 FANNIN STE 2100  
HOUSTON, TX 77010

## Account Summary

Investment Account number: ~~XXXXXXXXXX~~

Total Account Value as of 11/30/2001  
**\$3,061,983.51**

Assets	Previous Market Value	Market Value 11/30	Percent of assets
Cash and Money Market Funds	25,413.34	20,314.87	
Stocks and Options	2,857,767.85	3,058,352.20	100.0%
Bonds			
Mutual Funds			
Unit Investment Trusts			
Other Investments			
<b>Total Account Assets</b>	<b>2,832,354.31</b>	<b>3,038,037.33</b>	<b>100.0%</b>
Margin Balance	34,707.83	23,946.18	
<b>Total Account Value</b>	<b>\$2,867,062.14</b>	<b>\$3,061,983.51</b>	

### News From First Union Securities:

**PLANNING TO SEND A CHILD OR GRANDCHILD TO COLLEGE? TAX-DEFERRED 529 COLLEGE SAVINGS PLANS OFFER SUBSTANTIAL NEW BENEFITS FOR INVESTORS-- INCLUDING MARKET-BASED RETURNS AND COMPLETE CONTROL OVER ASSETS AND WITHDRAWALS. CONTACT YOUR FINANCIAL ADVISOR TODAY.**

First Union Securities Inc. is a non-bank subsidiary of First Union Corporation. Investments obtained through FUSI are not FDIC Insured, unless otherwise stated, are not deposits or other obligations of FUSI or any bank, and are subject to investment risk, including the possible loss of the principal amount invested.

# Investment Account STATEMENT

11/01/2001 thru 11/30/2001 HC01 2 W2 R P



Questions? Write to:  
First Union Securities Client Services  
10750 Wheat First Drive  
Glen Allen, VA 23060

Investment Account number: [REDACTED]

### Earnings Summary

This section should be used for informational purposes only. Do not use it for tax purposes. If required, Forms 1099 or 1042-S that we will send you during the tax season will provide tax reporting information.

	Month to Date	Year to Date
<b>Taxable Earnings</b>		
Dividends and Short-Term Distributions	2,605.38	6,051.13
Interest	0.00	0.00
Partnership Distributions	0.00	0.00
<b>Total Taxable Earnings</b>	<b>\$ 2,605.38</b>	<b>\$ 6,051.13</b>
<b>Federally Tax-Exempt Earnings</b>		
Dividends	0.00	0.00
Interest	0.00	0.00
<b>Total Federally Tax-Exempt Earnings</b>	<b>\$ 0.00</b>	<b>\$ 0.00</b>
<b>Money Market Earnings</b>		
Money Market Dividends	7.56	46.87
<b>Total Money Market Earnings</b>	<b>\$ 7.56</b>	<b>\$ 46.87</b>
<i>This income can either be taxable or tax-exempt</i>		
<b>Total Asset Earnings</b>	<b>\$ 2,612.94</b>	<b>\$ 6,098.00</b>

### Gain/Loss Summary \*

Realized Gain/Loss - YTD	\$92,881.98-
Unrealized Gain/Loss - Current	\$302,839.04

\* Please refer to appropriate section.

GJ 00184  
Confidential Treatment  
Requested by K & L

Brokerage accounts are carried by First Clearing Corporation, member NYSE and SIPC.

0211013280000 RBMRW2PO





# Investment Account STATEMENT

11/01/2001 thru 11/30/2001      HC01      4      W2      R      P



Investment Account number: XXXXXXXXXX

## Portfolio Holdings

Investments in stocks, bonds, mutual funds and other securities are offered through FIRST UNION SECURITIES. This section includes estimated unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing Corporation and should not be relied upon for legal or tax purposes. Bonds purchased at a premium or O.I.D. (Original Issue Discount) will be carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for payoff of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact your Financial Advisor. Estimated Annual Income reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield reflects the current estimated annual income divided by the current value of the security as of the statement closing date.

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
<b>Cash</b>									
	Cash						23,946.18-		
<b>Money Market Mutual Funds</b>									
	EVERGREEN MONEY MKT FD						3,631.31		56.28 / 1.55%
	CL A								
<b>Total - Money Market Mutual Funds</b>							<b>\$ 3,631.31</b>		<b>\$ 56.28</b>

## Stocks and Options

Common Stock	Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
ABT	ABBOTT LABORATORIES		5/24/1999	46,6400	4,664.00	100,00000	55.0000	5,500.00	836.00	336.00 / 1.52%
			2/29/2000	33,0625	9,943.25	300,00000		16,500.00	6,556.75	
					14,607.25	400,00000		22,000.00	7,392.75	
		Subtotal						15,705.00	2,044.00-	
AOL	AOL TIME WARNER INC		1/13/1999	39,4400	17,748.00	450,00000	34.9000	5,235.00	1,973.00-	
			5/07/1999	48,0533	7,208.00	160,00000		10,470.00	3,388.00-	
			5/24/1999	46,1933	13,858.00	300,00000		5,235.00	1,519.00-	
			6/02/1999	44,9666	6,754.00	150,00000		5,235.00	1,135.00-	
			6/11/1999	42,4666	6,370.00	150,00000		5,235.00	1,754.00-	
			8/16/1999	46,5933	6,989.00	150,00000		5,235.00		

**GJ 00186**

Confidential Treatment  
Requested by K & L

Portfolio Holdings continued on next page

Broverage accounts are carried by First Clearing Corporation, member NYSE and SIPC.

\*031101328000\* RBMRW2PQ





# Investment Account STATEMENT

11/01/2001 thru 11/30/2001

HCS1 5 W2 R P

Investment Account number: XXXXXXXXXX

## Portfolio Holdings *continued*

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
	Subtotal			89,222.50	2,100,000.00		73,290.00	15,932.50-	Not available
AXP	AMERICAN EXPRESS COMPANY	11/13/1998	31.6100	14,224.50	450,000.00	32.9100	14,809.50	585.00	240.00 / 0.97%
		12/01/1998	33.0366	9,911.00	300,000.00		9,873.00	38.00-	
	Subtotal			24,135.50	750,000.00		24,682.50	547.00	240.00 / 0.97%
AHP	AMER HOME PRODUCTS CORP	5/12/2000	55.5000	22,216.00	400,000.00	60.1000	24,040.00	1,824.00	1,150.00 / 1.53%
		5/17/2000	58.4375	8,471.63	150,000.00		9,015.00	543.37	
		5/23/2000	57.3750	8,793.56	150,000.00		9,015.00	221.44	
		9/19/2000	54.8750	16,482.50	300,000.00		18,030.00	1,567.50	
		2/26/2001	60.6400	6,064.00	100,000.00		6,010.00	54.00-	
		8/17/2001	55.9500	8,392.50	150,000.00		9,015.00	622.50	
	Subtotal			70,400.19	1,250,000.00		75,125.00	4,724.81	1,150.00 / 1.53%
AIG	AMERICAN INTL GROUP INC	11/13/1998	44.4533	21,338.00	480,000.00	82.4000	39,552.00	18,214.00	347.42 / 0.20%
		11/17/1998	46.7933	5,615.20	120,000.00		9,888.00	4,272.80	
		2/04/1999	58.2297	3,371.50	57,000.00		4,770.96	1,399.46	
		2/08/1999	58.1693	6,738.00	115,800.00		9,541.92	2,805.92	
		8/02/1999	53.5695	10,018.00	187,000.00		15,408.80	5,390.80	
		9/08/1999	61.1333	9,170.00	150,000.00		12,360.00	3,190.00	
		10/21/1999	64.5066	7,225.00	112,000.00		9,228.80	2,003.80	
		2/09/2000	58.7880	8,599.50	151,000.00		12,442.40	3,842.90	
		2/29/2000	50.1402	17,443.25	347,400.00		28,625.76	11,182.51	
		2/20/2001	44.0415	10,224.50	231,600.00		19,083.84	8,859.34	
			66.3731	7,986.00	115,300.00		9,500.72	1,814.72	
	Subtotal			107,426.95	2,088,000.00		170,403.20	62,976.25	347.42 / 0.20%
APC	ANADARKO PETROLEUM CORP	3/27/2001	63.5000	31,750.00	500,000.00	51.9000	25,950.00	5,800.00-	270.00 / 0.57%
		4/09/2001	63.4200	12,684.00	200,000.00		10,380.00	2,304.00-	
		5/03/2001	60.1800	60.18	200,000.00		10,380.00	10,319.82	
	Subtotal			44,494.18	900,000.00		46,710.00	2,215.82	270.00 / 0.57%
ADI	ANALOG DEVICES INC	2/05/1999	15.0750	6,030.00	400,000.00	42.5000	17,000.00	10,970.00	

Portfolio Holdings *continued on next page*

GJ 00187  
Confidential Treatment  
Requested by K & L

Relevage accounts are carried by First Clearing Corporation,  
member NYSE and SIPC.



# Investment Account

## STATEMENT

11/01/2001 thru 11/30/2001

HC01

6 W2 R P

Investment Account number: XXXXXXXXXX

### Portfolio Holdings *continued*

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
<b>AMAT APPLIED MATERIALS INC</b>									
		4/10/2000	103.4375	31,043.24	300.00000	39.7400	11,922.00	19,121.24-	Not available
		5/12/2000	87.3125	21,838.13	250.00000		9,935.00	11,903.13-	
		8/03/2000	66.1875	9,982.62	150.00000		5,961.00	4,021.62-	
	<b>Subtotal</b>			<b>62,863.99</b>	<b>700.00000</b>		<b>27,818.00</b>	<b>35,045.99-</b>	<b>Not available</b>
<b>BK BANK OF NEW YORK INC</b>									
		1/13/1999	35.1900	17,595.00	500.00000	39.2400	19,620.00	2,025.00	1,584.00 / 1.83%
		1/27/1999	33.9600	6,793.00	200.00000		7,848.00	1,055.00	
		2/02/1999	34.0400	10,212.00	300.00000		11,772.00	1,560.00	
		2/04/1999	33.9600	6,793.00	200.00000		7,848.00	1,055.00	
		9/13/2000	52.5825	10,568.99	200.00000		7,848.00	2,718.99-	
		9/19/2000	51.8750	5,187.50	100.00000		3,924.00	1,263.50-	
		10/12/2000	48.8750	24,437.50	500.00000		19,620.00	4,817.50-	
		10/29/2001	34.9400	6,988.00	200.00000		7,848.00	860.00	
	<b>Subtotal</b>			<b>88,572.99</b>	<b>2,200.00000</b>		<b>86,328.00</b>	<b>2,244.99-</b>	<b>1,584.00 / 1.83%</b>
<b>BRK/B BERKSHIRE HATHAWAY INC CLASS B</b>									
		11/13/1998	2,185.4100	30,598.00	14.00000	2,333.0000	32,662.00	2,066.00	
		2/04/1999	2,450.0000	7,350.00	3.00000		6,999.00	351.00-	
		6/11/1999	2,324.6700	6,974.01	3.00000		6,999.00	24.99	
		10/21/1999	1,835.0000	7,364.50	4.00000		9,332.00	1,967.50	
		10/03/2001	2,454.0000	4,908.00	2.00000		4,666.00	242.00-	
		11/23/2001	2,261.0000	2,261.00	1.00000		2,333.00	72.00	
	<b>Subtotal</b>			<b>59,453.51</b>	<b>27.00000</b>		<b>62,991.00</b>	<b>3,537.49</b>	<b>Not available</b>
<b>BMV BRISTOL MYERS SQUIBB CO</b>									
		9/07/2001	55.9000	33,540.00	600.00000	53.7600	32,256.00	1,284.00-	660.00 / 2.04%
<b>BR BURLINGTON RESOURCES INC</b>									
		1/27/1999	31.5600	18,936.00	600.00000	35.1400	21,084.00	2,148.00	770.00 / 1.56%
		2/23/1999	32.9900	3,299.00	100.00000		3,514.00	215.00	
		5/24/1999	43.2500	12,976.00	300.00000		10,542.00	2,434.00-	
		12/06/2000	43.8125	8,762.50	200.00000		7,028.00	1,734.50-	
		2/26/2001	45.8800	9,176.00	200.00000		7,028.00	2,148.00-	
	<b>Subtotal</b>			<b>53,149.50</b>	<b>1,400.00000</b>		<b>49,196.00</b>	<b>3,953.50-</b>	<b>770.00 / 1.56%</b>

**GJ 00188**  
Confidential Treatment  
Requested by K & L

*Portfolio Holdings continued on next page*

\*0411013266000 RBMRW2PQ



Brokerage accounts are carried by First Clearing Corporation,  
member NYSE and SIPC.



**FIRST UNION**  
Securities

**Investment Account**  
STATEMENT

11/01/2001 thru 11/30/2001 H001 7 W2 R P

Investment Account number: XXXXXXXXXX

**Portfolio Holdings** *continued*

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
CVX	CHEVRONTEXACO CORP	1/27/1999	60.3636	13,944.00	231.00000	85.0100	19,637.31	5,693.31	2,520.00 / 3.29%
		2/23/1999	64.2338	9,893.00	154.00000		13,091.54	3,198.54	
		5/24/1999	83.2987	6,414.00	77.00000		6,545.77	131.77	
		9/13/2000	69.0747	5,373.24	77.00000		6,545.77	1,172.53	
		9/19/2000	69.9675	8,081.25	115.50000		9,818.66	1,737.41	
		2/13/2001	82.4675	6,350.00	77.00000		6,545.77	195.77	
		2/20/2001	84.0649	3,236.50	38.50000		3,272.88	36.38	
		2/26/2001	82.2338	6,332.00	77.00000		6,545.77	213.77	
		11/23/2001	86.4400	4,581.32	53.00000		4,505.53	75.79-	
	<b>Subtotal</b>			<b>64,205.31</b>	<b>900.00000</b>		<b>76,509.00</b>	<b>12,303.69</b>	<b>2,520.00 / 3.29%</b>
CSCO	CISCO SYSTEMS INC	11/13/1998	16.4800	9,899.00	600.00000	20.4400	12,264.00	2,365.00	
		1/13/1999	24.4450	4,888.00	200.00000		4,088.00	801.00-	
		2/09/1999	24.7750	4,955.00	200.00000		4,088.00	867.00-	
		6/25/1999	27.5200	11,283.20	410.00000		8,380.40	2,902.80-	
		2/05/2001	33.6250	9,751.25	290.00000		5,927.60	3,823.65-	
		2/13/2001	30.3750	24,300.00	800.00000		16,352.00	7,948.00-	
		5/10/2001	18.8100	9,405.00	500.00000		10,220.00	815.00	
	<b>Subtotal</b>			<b>74,482.45</b>	<b>3,000.00000</b>		<b>61,320.00</b>	<b>13,162.45-</b>	<b>Not available</b>
C	CITIGROUP INC	11/13/1998	23.5314	19,437.00	826.00000	47.9000	39,565.40	20,128.40	1,792.00 / 1.33%
		11/17/1998	24.7260	4,674.00	189.00000		9,053.10	4,379.10	
		11/25/1998	27.2418	7,764.00	285.00000		13,651.50	5,887.50	
		12/04/1998	25.3721	7,231.06	285.00000		13,651.50	6,420.44	
		6/02/1999	22.2479	9,189.00	413.00000		19,782.70	10,593.70	
		10/22/1999	36.5204	9,812.00	268.00000		12,837.20	3,025.20	
		10/03/2001	42.9400	10,047.96	234.00000		11,208.60	1,160.64	
		10/29/2001	46.5800	9,316.00	200.00000		9,580.00	264.00	
		11/23/2001	49.6900	4,969.00	100.00000		4,790.00	179.00-	
	<b>Subtotal</b>			<b>82,440.02</b>	<b>2,800.00000</b>		<b>134,120.00</b>	<b>51,679.98</b>	<b>1,792.00 / 1.33%</b>
COST	COSTCO WHSL CORP NEW COM	11/13/1998	29.2300	11,693.00	400.00000	40.8800	16,352.00	4,659.00	
		11/25/1998	31.0900	6,218.00	200.00000		8,176.00	1,958.00	
		12/01/1998	31.1500	6,230.00	200.00000		8,176.00	1,946.00	
		6/11/1999	36.4450	7,289.00	200.00000		8,176.00	887.00	

*Portfolio Holdings continued on next page*

GJ 00189  
Confidential Treatment  
Requested by K & L

Brokerage accounts are carried by Firat Clearing Corporation,  
member NYSE and SIPC.

**Investment Account**  
STATEMENT

11/01/2001 thru 11/30/2001

HC01 8 W2 R P

Investment Account number: [REDACTED]

**Portfolio Holdings** *continued*

Symbol	Description	Subtotal	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
KO	COCA-COLA COMPANY		11/13/1998	69.9100	20,974.00	300.00000	46.9600	14,088.00	6,886.00-	864.00 / 1.53%
			11/25/1998	73.3000	7,330.00	100.00000		4,696.00	2,634.00-	
			12/01/1998	69.4500	10,418.00	150.00000		7,044.00	3,374.00-	
			12/02/1998	68.8900	10,333.50	150.00000		7,044.00	3,289.50-	
			1/13/1999	64.7000	9,705.00	150.00000		7,044.00	2,661.00-	
			1/27/1999	62.5100	9,377.00	150.00000		7,044.00	2,333.00-	
			10/08/1999	52.8750	10,599.50	200.00000		9,392.00	1,207.50-	
	<b>Subtotal</b>				<b>78,737.00</b>	<b>1,200.00000</b>		<b>56,352.00</b>	<b>22,385.00-</b>	<b>864.00 / 1.53%</b>
DELL	DELL COMPUTER CORP		4/25/2001	28.1100	18,277.00	700.00000	27.9300	19,551.00	1,274.00	
			5/10/2001	24.4500	7,335.00	300.00000		8,379.00	1,044.00	
			5/30/2001	24.2500	4,850.00	200.00000		5,586.00	736.00	
			8/17/2001	23.4100	11,705.00	500.00000		13,965.00	2,260.00	
	<b>Subtotal</b>				<b>42,167.00</b>	<b>1,700.00000</b>		<b>47,481.00</b>	<b>5,314.00</b>	<b>Not available</b>
DIS	DISNEY WALT COMPANY		11/13/1998	28.8100	14,405.00	500.00000	20.4700	10,235.00	4,170.00-	420.00 / 1.02%
			12/02/1998	31.3400	6,268.00	200.00000		4,094.00	2,174.00-	
			1/11/1999	33.9100	10,174.00	300.00000		6,141.00	4,033.00-	
			1/13/1999	37.3000	3,730.00	100.00000		2,047.00	1,683.00-	
			1/27/1999	33.9000	6,780.00	200.00000		4,094.00	2,686.00-	
			2/02/1999	33.4100	10,024.00	300.00000		6,141.00	3,883.00-	
			2/09/1999	34.5500	3,455.00	100.00000		2,047.00	1,408.00-	
			6/02/1999	29.0700	8,721.00	300.00000		6,141.00	2,580.00-	
	<b>Subtotal</b>				<b>63,557.00</b>	<b>2,000.00000</b>		<b>40,940.00</b>	<b>22,617.00-</b>	<b>420.00 / 1.02%</b>
FNM	FANNIE MAE ASSN		12/15/1998	67.9800	20,394.00	300.00000	78.6000	23,580.00	3,186.00	600.00 / 1.52%
			5/24/1999	66.2300	13,246.00	200.00000		15,720.00	2,474.00	
	<b>Subtotal</b>				<b>33,640.00</b>	<b>500.00000</b>		<b>39,300.00</b>	<b>5,660.00</b>	<b>600.00 / 1.52%</b>
GX	GLOBAL CROSSING LTD		10/08/1999	34.0000	23,832.50	700.00000	1.2700	889.00	22,943.50-	Not available
			10/21/1999	33.5625	10,093.25	300.00000		381.00	9,712.25-	
	<b>Subtotal</b>				<b>33,925.75</b>	<b>1,000.00000</b>		<b>1,270.00</b>	<b>32,655.75-</b>	<b>Not available</b>

Portfolio Holdings continued on next page

GJ 00190  
Confidential Treatment  
Requested by K & L

105110132860001 RBMRW/2PC



Brokerage accounts are carried by First Clearing Corporation, member NYSE and SIPC.



# Investment Account STATEMENT

11/01/2001 thru 11/30/2001

HC01 9 W2 R P

Investment Account number: XXXXXXXXXX

## Portfolio Holdings *continued*

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
GE	GENERAL ELECTRIC COMP	11/13/1998	29.5100	17,706.00	600.00000	38.5000	23,100.00	5,394.00	1,792.00 / 1.66%
		12/08/1998	30.0600	9,018.00	300.00000		11,550.00	2,532.00	
		1/13/1999	32.4333	9,730.00	300.00000		11,550.00	1,820.00	
		2/05/1999	32.5800	9,774.00	300.00000		11,550.00	1,776.00	
		5/24/1999	34.9100	20,946.00	600.00000		23,100.00	2,154.00	
		6/11/1999	33.6500	10,095.00	300.00000		11,550.00	1,455.00	
		10/11/2001	39.0800	7,816.00	200.00000		7,700.00	116.00-	
		10/29/2001	37.4000	7,480.00	200.00000		7,700.00	220.00	
	<b>Subtotal</b>			<b>92,565.00</b>	<b>2,800.00000</b>		<b>107,800.00</b>	<b>15,235.00</b>	<b>1,792.00 / 1.66%</b>
HON	HONEYWELL INTERNATIONAL INC	7/25/2000	37.0625	37,066.59	1,000.00000	33.1400	33,140.00	3,926.59-	1,050.00 / 2.26%
		8/17/2001	37.1600	5,574.00	150.00000		4,971.00	603.00-	
		10/29/2001	29.7600	7,440.00	250.00000		8,285.00	845.00	
	<b>Subtotal</b>			<b>50,080.59</b>	<b>1,400.00000</b>		<b>46,396.00</b>	<b>3,684.59-</b>	<b>1,050.00 / 2.26%</b>
INTC	INTEL CORP	3/03/1999	28.1150	14,394.88	512.00000	32.6600	16,721.92	2,327.04	112.00 / 0.24%
		5/24/1999	26.7250	18,388.00	688.00000		22,470.08	4,082.08	
		4/09/2001	22.4200	4,484.00	200.00000		6,532.00	2,048.00	
	<b>Subtotal</b>			<b>37,266.88</b>	<b>1,400.00000</b>		<b>45,724.00</b>	<b>8,457.12</b>	<b>112.00 / 0.24%</b>
IBM	INTERNATIONAL BUSINESS MACHINE CORP	1/13/1999	91.9600	18,393.00	200.00000	115.5900	23,118.00	4,725.00	560.00 / 0.48%
		1/22/1999	92.0500	9,205.00	100.00000		11,559.00	2,354.00	
		1/27/1999	91.1400	13,671.00	150.00000		17,338.50	3,667.50	
		2/02/1999	89.6700	13,450.50	150.00000		17,338.50	3,888.00	
		2/04/1999	85.9500	12,893.00	150.00000		17,338.50	4,445.50	
		2/05/2001	110.5000	11,050.00	100.00000		11,559.00	509.00	
		2/13/2001	115.4000	17,310.00	150.00000		17,338.50	28.50	
	<b>Subtotal</b>			<b>95,972.50</b>	<b>1,000.00000</b>		<b>115,590.00</b>	<b>19,617.50</b>	<b>560.00 / 0.48%</b>
JUNJ	JOHNSON & JOHNSON	11/25/1998	41.4150	12,424.50	300.00000	58.2500	17,475.00	5,050.50	1,440.00 / 1.23%
		12/01/1998	40.4150	12,124.50	300.00000		17,475.00	5,350.50	
		12/02/1998	40.5250	8,105.00	200.00000		11,650.00	3,545.00	
		2/08/1999	41.9000	8,380.00	200.00000		11,650.00	3,270.00	
		2/09/1999	41.6500	8,330.00	200.00000		11,650.00	3,320.00	
		5/24/1999	46.1300	9,228.00	200.00000		11,650.00	2,424.00	

Portfolio Holdings *continued on next page*

GJ 00191  
Confidential Treatment  
Requested by K & L

Brokerage accounts are carried by First Clearing Corporation,  
member NYSE and SIPC.

# Investment Account STATEMENT

11/01/2001 thru 11/30/2001      HC01   10   W2   R   P



Investment Account number: XXXXXXXXXX

## Portfolio Holdings *continued*

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
LLY	ELI LILLY & CO	12/04/1998	84.1500	16,830.00	200.00000	82.6700	16,534.00	296.00-	448.00 / 1.35%
		11/11/1999	78.4300	7,843.00	100.00000	8,267.00	8,267.00	424.00	
		1/15/1999	82.3000	8,230.00	100.00000	8,267.00	8,267.00	37.00	
			32,903.00	32,903.00	400.00000	33,068.00	33,068.00	165.00	448.00 / 1.35%
Subtotal				88,003.25	2,000.00000	116,500.00	116,500.00	28,496.75	1,440.00 / 1.23%
LLTC	LINEAR TECHNOLOGY CORP	10/08/1998	29.0000	11,612.24	400.00000	41.0300	16,412.00	4,799.76	160.00 / 0.38%
		10/21/1999	29.9375	17,987.00	600.00000	24.618.00	24,618.00	6,831.00	
			29,599.24	29,599.24	1,000.00000	41,030.00	41,030.00	11,430.76	160.00 / 0.38%
Subtotal									
LMT	LOCKHEED MARTIN CORP	11/27/2001	45.5600	4,556.00	100.00000	46.4500	4,645.00	89.00	220.00 / 0.94%
		11/16/2001	44.7600	17,904.00	400.00000	46.4500	18,580.00	676.00	
			22,460.00	22,460.00	500.00000	23,225.00	23,225.00	765.00	220.00 / 0.94%
Subtotal									
MIMC	MARSH AND MC LENNAN COMPANIES INC	11/16/2001	105.2600	26,315.00	250.00000	106.9700	26,742.50	427.50	636.00 / 1.98%
		11/23/2001	107.0800	5,354.00	50.00000	5,348.50	5,348.50	5.50-	
			31,669.00	31,669.00	300.00000	32,091.00	32,091.00	422.00	636.00 / 1.98%
Subtotal									
MIDT	MEDTRONIC INC	10/21/1999	35.0000	24,532.50	700.00000	47.2800	33,096.00	8,563.50	230.00 / 0.48%
		10/22/1999	32.5625	9,793.25	300.00000	14.184.00	4,390.75	4,390.75	
			34,325.75	34,325.75	1,000.00000	47,280.00	47,280.00	12,954.25	230.00 / 0.48%
Subtotal									
MEL	MELLON FINANCIAL CORP	8/17/2001	38.8600	48,575.00	1,250.00000	37.3900	46,737.50	1,837.50-	600.00 / 1.28%
MRK	MERCK & CO INC	12/01/1998	76.4600	15,293.00	200.00000	67.7500	13,550.00	1,743.00-	1,260.00 / 2.06%
		12/10/1998	74.5300	14,906.00	200.00000	67.7500	13,550.00	1,356.00-	
		12/15/1998	72.1400	7,214.00	100.00000	67.7500	6,775.00	439.00-	
		1/13/1999	73.2100	14,643.00	200.00000	67.7500	13,550.00	1,093.00-	
		10/08/1999	72.3125	7,255.75	100.00000	67.7500	6,775.00	480.75-	
		10/21/1999	78.8750	7,912.00	100.00000	67.7500	6,775.00	1,137.00-	
			87,223.75	87,223.75	900.00000	60,975.00	60,975.00	6,248.75-	1,260.00 / 2.06%
Subtotal									

Portfolio Holdings *continued on next page*

GJ 00192  
Confidential Treatment  
Requested by K & L



Brokerage accounts are carried by First Clearing Corporation,  
member NYSE and SIPC.

# Investment Account STATEMENT

11/01/2001 thru 11/30/2001 HC01 11 W2 R P



Investment Account number: XXXXXXXXXX

## Portfolio Holdings *continued*

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
MER	MERRILL LYNCH & CO INC	11/13/1998	30.4250	12,170.00	400.00000	50.0900	20,036.00	7,866.00	640.00 / 1.27%
		11/17/1998	33.0950	3,309.50	100.00000		5,009.00	1,699.50	
		12/04/1998	35.6800	7,136.00	200.00000		10,018.00	2,882.00	
		2/04/1999	37.4900	3,749.00	100.00000		5,009.00	1,260.00	
		5/24/1999	36.1950	7,239.00	200.00000		10,018.00	2,779.00	
	<b>Subtotal</b>			<b>33,603.50</b>	<b>1,000.00000</b>	<b>50,090.00</b>	<b>50,090.00</b>	<b>16,486.50</b>	<b>640.00 / 1.27%</b>
MSFT	MICROSOFT CORP	9/13/2000	67.7500	33,929.49	500.00000	64.2100	32,105.00	1,824.49-	
		9/19/2000	64.7500	64.75	100.00000		6,421.00	6,356.25	
		10/12/2000	54.8125	5,481.25	100.00000		6,421.00	939.75	
		11/06/2000	69.5000	5,212.50	75.00000		4,815.75	386.75-	
		5/30/2001	69.2400	8,655.00	125.00000		8,028.25	628.75-	
		6/07/2001	72.6400	7,264.00	100.00000		6,421.00	843.00-	
		8/13/2001	Not avail.	Not available	100.00000		6,421.00	Not available	
	<b>Subtotal</b>			<b>60,606.99</b>	<b>1,100.00000</b>	<b>70,631.00</b>	<b>70,631.00</b>	<b>3,603.01</b>	<b>Not available</b>
MOLXA	MOLEX INC CL A	11/13/1998	24.0480	18,036.00	750.00000	24.9800	18,735.00	699.00	250.00 / 0.40%
		12/08/1998	26.6240	6,656.00	250.00000		6,245.00	411.00-	
		12/16/1998	23.5280	8,824.00	375.00000		9,367.50	543.50	
		1/11/1999	24.0800	9,030.00	375.00000		9,367.50	337.50	
		1/27/1999	21.3520	16,014.00	750.00000		18,735.00	2,721.00	
	<b>Subtotal</b>			<b>58,560.00</b>	<b>2,500.00000</b>	<b>62,450.00</b>	<b>62,450.00</b>	<b>3,890.00</b>	<b>250.00 / 0.40%</b>
JPM	J.P. MORGAN & CHASE CO	11/27/2001	39.7400	19,870.00	500.00000	37.7200	18,860.00	1,010.00-	680.00 / 3.60%
MWD	MORGAN STANLEY DEAN WITTER & CO	11/20/1998	35.0750	14,030.00	400.00000	55.5000	22,200.00	8,170.00	920.00 / 1.65%
		11/25/1998	36.1500	7,230.00	200.00000		11,100.00	3,870.00	
		12/01/1998	34.0250	8,805.00	200.00000		11,100.00	4,295.00	
		12/04/1998	33.6200	6,724.00	200.00000		11,100.00	4,376.00	
	<b>Subtotal</b>			<b>34,789.00</b>	<b>1,000.00000</b>	<b>55,500.00</b>	<b>55,500.00</b>	<b>20,711.00</b>	<b>920.00 / 1.65%</b>
NOK	NOKIA CORP SPONSORED ADR	10/22/1999	28.0312	33,865.13	1,300.00000	23.0100	29,913.00	3,952.13-	485.30 / 0.91%
		7/05/2000	60.0625	10,066.99	200.00000		4,602.00	5,464.99-	
		8/03/2000	39.1250	7,879.49	200.00000		4,602.00	3,277.49-	
		2/13/2001	29.2800	8,784.00	300.00000		6,903.00	1,881.00-	

Portfolio Holdings *continued on next page*

**GJ 00193**  
Confidential Treatment  
Requested by K & L

Brokerage accounts are carried by First Clearing Corporation,  
member NYSE and SIPC.

**FIRST**  
Securities

**Investment Account**  
STATEMENT

11/01/2001 thru 11/30/2001 HC01 12 W2 R P

Investment Account number: [REDACTED]

**Portfolio Holdings** continued

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
PEP	PEPSICO INCORPORATED	3/12/2001	21.0100	6,303.00	300.00000	6,903.00	6,903.00	600.00	485.30 / 0.91%
	Subtotal			66,898.61	2,300.00000	52,923.00	52,923.00	13,975.61-	485.30 / 0.91%
		11/24/1998	37.4100	11,224.00	300.00000	48.6300	14,589.00	3,365.00	1,276.00 / 1.19%
		12/11/1998	38.0300	7,606.00	200.00000	9,726.00	9,726.00	2,120.00	
		1/12/1999	40.4000	8,080.00	200.00000	9,726.00	9,726.00	1,646.00	
		1/13/1999	39.9500	5,993.00	150.00000	7,294.50	7,294.50	1,301.50	
		6/11/1999	36.0100	5,401.50	150.00000	7,294.50	7,294.50	1,893.00	
		10/08/1999	33.8125	6,787.00	200.00000	9,726.00	9,726.00	2,939.00	
		2/09/2000	35.0000	10,524.50	300.00000	14,689.00	14,689.00	4,064.50	
		2/05/2001	44.5000	22,250.00	500.00000	24,315.00	24,315.00	2,065.00	
		2/07/2001	46.6400	9,328.00	200.00000	9,726.00	9,726.00	398.00	
	Subtotal			87,194.00	2,200.00000	106,986.00	106,986.00	19,792.00	1,276.00 / 1.19%
PFE	PFIZER INCORPORATED	4/01/1999	24.0363	7,210.90	300.00000	43.3100	12,993.00	5,782.10	1,936.00 / 1.01%
		4/13/1999	26.3600	7,249.00	275.00000	11,910.25	11,910.25	4,661.25	
		4/15/1999	43.1500	8,630.22	200.00000	8,662.00	8,662.00	31.78	
		5/24/1999	23.8872	19,708.00	825.00000	35,730.75	35,730.75	16,022.75	
		5/24/1999	36.1700	10,851.00	300.00000	12,993.00	12,993.00	2,142.00	
		6/02/1999	22.7781	6,264.00	275.00000	11,910.25	11,910.25	5,646.25	
		6/11/1999	23.0363	12,670.00	550.00000	23,820.50	23,820.50	11,150.50	
		6/11/1999	32.1900	9,658.00	300.00000	12,993.00	12,993.00	3,335.00	
		10/08/1999	25.8636	35,587.00	1,375.00000	59,651.25	59,651.25	23,964.25	
	Subtotal			117,828.12	4,400.00000	190,564.00	190,564.00	72,735.88	1,936.00 / 1.01%
PG	PROCTER & GAMBLE CO	12/01/1998	86.5300	17,306.00	200.00000	77.4600	15,492.00	1,814.00-	1,216.00 / 1.96%
		12/08/1998	88.6600	4,433.00	50.00000	3,873.00	3,873.00	560.00-	
		12/10/1998	84.8600	8,486.00	100.00000	7,746.00	7,746.00	740.00-	
		1/13/1999	87.1800	8,718.00	100.00000	7,746.00	7,746.00	972.00-	
		1/27/1999	85.6100	8,561.00	100.00000	7,746.00	7,746.00	815.00-	
		6/11/1999	87.8800	13,183.00	150.00000	11,619.00	11,619.00	1,564.00-	
		2/05/2001	73.8300	7,383.00	100.00000	7,746.00	7,746.00	363.00	
	Subtotal			68,070.00	800.00000	61,968.00	61,968.00	6,102.00-	1,216.00 / 1.96%
RD	ROYAL DUTCH PETE CO NY REGISTRY SH PAR N	1/27/1999	41.3100	15,491.25	375.00000	48.3400	18,127.50	2,636.25	1,199.00 / 2.48%
		2/23/1999	43.8000	4,380.00	100.00000	4,834.00	4,834.00	454.00	

GJ 00194  
Confidential Treatment  
Requested by K & L

Portfolio Holdings continued on next page

\*0711013286000 RBMRW2PC



Brokerage accounts are carried by First Clearing Corporation,  
member NYSE and SIPC.

# Investment Account STATEMENT

11/01/2001 thru 11/30/2001      HC01   13   W2   R   P



Investment Account number: XXXXXXXXXX

## Portfolio Holdings *continued*

Symbol	Description	Acquisition Date	Unit Cost	Acquisition Cost	Quantity	Market Price	Market value 11/30	Unrealized Gain/Loss	Estimated Annual Income / Yield%
	GLDR 1.25	5/12/2000	61.5000	7,692.50	125.00000	6,042.50	6,042.50	1,650.00-	
		5/17/2000	60.4375	6,047.75	100.00000	4,834.00	4,834.00	1,213.75-	
		2/13/2001	61.3400	9,201.00	150.00000	7,251.00	7,251.00	1,950.00-	
		2/20/2001	60.0200	3,001.00	50.00000	2,417.00	2,417.00	584.00-	
		2/26/2001	59.3600	5,936.00	100.00000	4,834.00	4,834.00	1,102.00-	
	<b>Subtotal</b>			51,749.50	1,000.00000	48,340.00	48,340.00	3,409.50-	1,199.00 / 2.48%
SBC	SBC COMMUNICATIONS CORP INC	10/21/1999	24.3300	21,872.67	899.00000	37.3800	33,604.62	11,731.95	1,025.00 / 2.74%
		2/13/2001	47.9500	4,842.95	101.00000	3,775.38	3,775.38	1,067.57-	
	<b>Subtotal</b>			26,715.62	1,000.00000	37,380.00	37,380.00	10,664.38	1,025.00 / 2.74%
TGT	TARGET CORP	11/13/1998	22.3200	17,856.00	800.00000	37.5400	30,032.00	12,176.00	396.00 / 0.58%
		11/25/1998	21.8050	4,361.00	200.00000		7,508.00	3,147.00	
		5/24/1999	31.8300	12,733.00	400.00000		15,016.00	2,283.00	
		10/21/1999	32.5000	13,024.50	400.00000		15,016.00	1,991.50	
	<b>Subtotal</b>			47,974.50	1,800.00000	67,572.00	67,572.00	19,597.50	396.00 / 0.58%
TXN	TEXAS INSTRUMENTS INC	11/17/1998	17.3450	5,203.50	300.00000	32.0500	9,615.00	4,411.50	204.00 / 0.26%
		1/15/1999	23.3400	4,668.00	200.00000		6,410.00	1,742.00	
		2/04/1999	24.5250	4,905.00	200.00000		6,410.00	1,505.00	
		2/05/1999	23.4000	4,680.00	200.00000		6,410.00	1,730.00	
		2/08/1999	23.1850	9,274.00	400.00000		12,820.00	3,546.00	
		10/21/1999	38.8750	7,799.50	200.00000		6,410.00	1,389.50-	
		1/07/2000	46.3046	6,970.20	150.00000		4,807.50	2,162.70-	
		10/12/2000	40.4375	6,065.63	150.00000		4,807.50	1,258.13-	
		2/05/2001	42.1600	12,648.00	300.00000		9,615.00	3,033.00-	
		3/12/2001	31.0000	9,300.00	300.00000		9,615.00	315.00	
	<b>Subtotal</b>			71,513.83	2,400.00000	76,920.00	76,920.00	5,406.17	204.00 / 0.26%
VZ	VERIZON COMMUNICATIONS COM	12/02/1998	51.5327	15,718.00	305.00000	47.0000	14,335.00	1,383.00-	1,386.00 / 3.27%
		1/11/1999	52.2459	6,374.00	122.00000		5,734.00	640.00-	
		1/27/1999	54.7049	6,674.00	122.00000		5,734.00	940.00-	
		2/02/1999	54.6557	6,668.00	122.00000		5,734.00	934.00-	
		7/25/2000	48.9375	6,317.44	129.00000		6,063.00	254.44-	
		2/13/2001	53.6800	5,368.00	100.00000		4,700.00	668.00-	

Portfolio Holdings continued on next page

**GJ 00195**  
Confidential Treatment  
Requested by K & L

Brokerage accounts are carried by First Clearing Corporation,  
member NYSE and SIPC.





# Investment Account STATEMENT

11/01/2001 thru 11/30/2001    HC01    15    W2    R    P



Investment Account number: XXXXXXXXXX

## Detail of Realized Gain/Loss

The following is the realized gain/loss information on the securities you sold this month (provided we have the acquisition date and cost information). In December, a recap of all sales for the year is listed. This section should be used for informational purposes only. Please consult your tax advisor when preparing your tax returns. Cost basis information may have been provided by account owner and is not verified by First Clearing Corporation. Systematic investments in mutual funds and reinvested dividends for both stocks and mutual funds have been consolidated for each position. Bonds purchased at a premium or O.I.D. (Original Issue Discount) bonds are carried at the original cost basis. Factored bonds (GNMA, CMO, etc.) will be adjusted for payoff of principal. When available, each closed position will be designated S (short term) or L (long term).

Description	Quantity	Acquisition Date	Acquisition Cost	Sale Date	Sale Proceeds	Realized Gain/Loss
<b>Stocks and Options</b>						
APPLIED MATERIALS INC	250.00000	4/10/2000	25,869.38	11/16/2001	9,809.67	16,059.71 - L
	50.00000	4/10/2000	5,173.88	11/27/2001	1,994.93	3,178.95 - L
Subtotal	300.00000		31,043.26		11,804.60	19,238.66 -
BMC SOFTWARE INC	400.00000	6/11/1999	18,116.00	11/16/2001	6,259.79	11,856.21 - L
	400.00000	6/11/1999	18,116.00	11/23/2001	6,447.78	11,668.22 - L
	300.00000	7/26/2000	5,210.74	11/23/2001	4,835.84	374.90 - L
Subtotal	1,100.00000		41,442.74		17,543.41	23,899.33 -
HONEYWELL INTERNATIONAL INC	100.00000	7/25/2000	3,706.66	11/27/2001	3,300.88	405.78 - L
LUCENT TECHNOLOGIES INC	169.00000	9/30/1999	Not available	11/16/2001	1,385.75	Not available L
	168.00000	10/08/1999	9,841.84	11/16/2001	1,377.55	8,464.29 - L
	63.00000	2/28/2000	3,609.63	11/16/2001	516.58	3,093.05 - L
	163.00000	2/29/2000	9,171.32	11/16/2001	1,336.55	7,834.77 - L
	637.00000	7/25/2000	29,508.03	11/16/2001	5,223.22	24,284.81 - L
	300.00000	5/10/2001	3,045.00	11/16/2001	2,459.91	585.09 - S
	1,000.00000	8/17/2001	6,340.00	11/16/2001	8,199.75	1,859.75 S
Subtotal	2,500.00000		61,515.82		20,499.31	42,402.26 -
PFIZER INCORPORATED	100.00000	4/01/1999	2,403.64	11/27/2001	4,378.85	1,975.21 L
XILINX INC	300.00000	11/25/1998	3,916.50	11/16/2001	10,985.63	7,069.13 L

Detail of Realized Gain/Loss continued on next page

GJ 00197  
Confidential Treatment  
Requested by K & L

# Investment Account STATEMENT

11/01/2001 thru 11/30/2001      HC01   15   W2   R   P



Investment Account number: XXXXXXXXXX

## Detail of Realized Gain/Loss continued

Description	Quantity	Acquisition Date	Acquisition Cost	Sale Date	Sale Proceeds	Realized Gain/Loss
	200.00000	1/13/1999	3,349.00	11/27/2001	7,135.76	3,786.76 L
	200.00000	6/02/1999	4,141.50	11/27/2001	7,135.76	2,994.26 L
Subtotal			11,407.00		25,257.15	13,850.15
<b>Total - Stocks and Options</b>			<b>\$ 151,519.12</b>		<b>\$ 82,784.20</b>	<b>\$ 70,120.67-</b>
<b>Total - Realized Gain/Loss</b>			<b>\$ 151,519.12</b>		<b>\$ 82,784.20</b>	<b>\$ 70,120.67-</b>

## Activity Detail by Date

Account Date	Transaction Type	Description	Quantity	Price/Amount
11/01	MARGIN DIVIDEND	BANK OF NEW YORK INC 110101 2,000		360.00
11/01	MARGIN DIVIDEND	BRISTOL MYERS SQUIBB CO 110101 600		165.00
11/01	MARGIN DIVIDEND	SBC COMMUNICATIONS CORP INC 110101 1,100		281.88
11/01	MARGIN DIVIDEND	VERIZON COMMUNICATIONS COM 110101 900		346.50
11/01	CASH TRANSFER TO	EVERGREEN MONEY MKT FD CLA		1,0000 3,483.83-
11/01	CASH TRANSFER FROM	EVERGREEN MONEY MKT FD CLA		1,0000 5,250.00
11/02	CASH CHECK ISSUED	CYPRESS ASSET MANAGEMENT CHK ISS #RC66003270		5,250.00-

Account Activity continued on next page

**GJ 00198**  
Confidential Treatment  
Requested by K & L

\*09101326000\* RBMRW2PQ



Brokerage accounts are carried by First Clearing Corporation,  
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# Investment Account STATEMENT

11/01/2001 thru 11/30/2001    HC01    17    W2    R    P



Investment Account number: XXXXXXXXXX

### Activity Detail by Date *continued*

Date	Account Type	Transaction Type	Description	Quantity	Price/Amount
11/05	CASH	TRANSFER TO	EVERGREEN MONEY MKT FD CLA		1,000 1,153.38-
11/09	MARGIN DIVIDEND		AMERICAN EXPRESS COMPANY 110901 750		60.00
11/13	CASH	TRANSFER TO	EVERGREEN MONEY MKT FD CLA		1,000 60.00-
11/14	MARGIN DIVIDEND		LINEAR TECHNOLOGY CORP 111401 1,000		40.00
11/15	MARGIN DIVIDEND		ABBOTT LABORATORIES 111501 500		105.00
11/15	MARGIN DIVIDEND		MELLON FINANCIAL CORP 111501 1,250		150.00
11/15	MARGIN DIVIDEND		PROCTER & GAMBLE CO 111501 800		304.00
11/16	MARGIN SALE		APPLIED MATERIALS INC	250.00000-	39.2400 9,809.67
11/16	MARGIN SALE		BMC SOFTWARE INC	400.00000-	15.6500 6,259.79
11/16	MARGIN SALE		LUCENT TECHNOLOGIES INC	2,500.00000-	8.2000 20,499.31
11/16	MARGIN SALE		XILINX INC	300.00000-	35.6200 10,985.63
11/16	CASH PURCHASE		LOCKHEED MARTIN CORP	400.00000	44.7500 17,904.00-
11/16	CASH PURCHASE		MARSH AND MC LENNAN COMPANIES INC	250.00000	105.2500 26,315.00-
11/19	MARGIN DIVIDEND		TEXAS INSTRUMENTS INC 111901 2,400		51.00

*Account Activity continued on next page*

GJ 00199  
Confidential Treatment  
Requested by K & L

# Investment Account STATEMENT

11/01/2001 thru 11/30/2001      HC01   18   W2   R   P



Investment Account number: XXXXXXXXXX

## Activity Detail by Date *continued*

Account Date	Transaction Type	Description	Quantity	Price/ Amount
11/21	MARGIN DIVIDEND	CITIGROUP INC 112101 2,700		432.00
11/21	MARGIN DIVIDEND	MERRILL LYNCH & CO INC 112101 1,000		160.00
11/21	CASH TRANSFER TO	EVERGREEN MONEY MKT FD CL A		1,0000 3,985.40-
11/23	MARGIN SALE	BMC SOFTWARE INC	700.00000-	16,1200 11,283.62
11/23	CASH PURCHASE	BERKSHIRE HATHAWAY INC CLASS B	1.00000	2,261.0000 2,261.00-
11/23	CASH PURCHASE	CHEVRONTEXACO CORP	53.00000	86.4400 4,581.32-
11/23	CASH PURCHASE	CITIGROUP INC	100.00000	49.6900 4,969.00-
11/23	CASH PURCHASE	MAPSH AND MC LENNAN COMPANIES INC	50.00000	107.0800 5,354.00-
11/26	CASH DIVIDEND	EVERGREEN MONEY MKT FD CL A		6.83
11/26	MARGIN DIVIDEND	FANNIE MAE ASSN 112501 500		150.00
11/26	CASH TRANSFER FROM	EVERGREEN MONEY MKT FD CL A		1,0000 9,243.27
11/27	MARGIN SALE	APPLIED MATERIALS INC	50.00000-	39.9000 1,994.93
11/27	MARGIN SALE	HONEYWELL INTERNATIONAL INC	100.00000-	33.0100 3,300.88
11/27	MARGIN SALE	PFIZER INCORPORATED	100.00000-	43.7900 4,378.85

Account Activity continued on next page

\*10101328000\* RBMRV2PC



Brokerage accounts are carried by First Clearing Corporation,  
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GJ 00200  
Confidential Treatment  
Requested by K & L



# Investment Account STATEMENT

11/01/2001 thru 11/30/2001 HC01 19 W2 R P

Investment Account number: [REDACTED]

## Activity Detail by Date *continued*

Date	Account Type	Transaction Type	Description	Quantity	Price/Amount
11/27	CASH	MARGIN SALE	XILINX INC	400.00000-	35.6800 14,271.52
11/27	CASH	PURCHASE	LOCKHEED MARTIN CORP	100.00000	45.5600 4,556.00-
11/27	CASH	PURCHASE	J.P. MORGAN & CHASE CO	500.00000	39.7400 19,870.00-
11/28	CASH	TRANSFER TO	EVERGREEN MONEY MKT FD CL A		1.0000 4,110.40-
11/28	CASH	TRANSFER FROM	EVERGREEN MONEY MKT FD CL A		1.0000 479.82
11/30	CASH	DIVIDEND	EVERGREEN MONEY MKT FD CL A		0.73
11/30	CASH	REINVEST DIV	EVERGREEN MONEY MKT FD CL A		0.73-

GJ 00201  
Confidential Treatment  
Requested by K & L