

**MANAGEMENT DECISIONS ON AUDIT REPORTS
NOT IMPLEMENTED WITHIN ONE YEAR
AS OF MARCH 31, 2010**

Report Number/ Date Issued	Report Title	Management Improvements		Status of Final Action
		Recommended	Implemented	
<u>ATF</u> 05-30 06/28/05	The Bureau of Alcohol, Tobacco, Firearms and Explosives' National Integrated Ballistic Information Network Program	12	10	The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) is enhancing its promotional efforts to improve participation in the National Integrated Ballistic Network.
06-15 03/09/06	Follow-up Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Forensic Science Laboratories Workload Management	7	4	The ATF is implementing corrective actions to address its backlog of forensic cases, manage its workload from resource-intensive cases, and manage all incoming evidence submissions.
06-37 09/29/06	Management of Seized Assets by the Bureau of Alcohol, Tobacco, Firearms and Explosives	5	4	The ATF is implementing procedures to ensure that firearms taken into custody after July 2005 are traced through the National Tracing Center.
08-29 09/16/08	The Bureau of Alcohol, Tobacco, Firearms and Explosives' Controls Over its Weapons, Laptop Computers, and Other Sensitive Property	14	5	The ATF is revising ATF Order 1850.2E, Property Management Program, and implementing various measures to improve its controls over weapons, laptop computers and ammunition.

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09-20 03/24/09	U.S. Department of Justice Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information System Program Pursuant to the Federal Information Security Management Act Fiscal Year 2008	12	10	The ATF is implementing procedures to ensure that NIST-approved minimum security configurations are utilized. In addition, the ATF is establishing a process for more rigorous contingency plan testing for mission critical and high impact systems.
<u>BOP</u> 03-25 07/15/03	Select Application Controls Review of the Federal Bureau of Prisons' Sentry Database System	7	6	The Bureau of Prisons (BOP) is configuring the SENTRY workstations to restrict authorized users' access to areas they are authorized to use.
04-16 03/09/04	The Federal Bureau of Prisons Inmate Release Preparation and Transitional Reentry Program	13	10	The BOP is enhancing its inmate release preparation and reentry programs to provide inmates the proper programs and necessary skills for successful re-entry into their communities.
08-08 02/27/08	The Bureau of Prisons' Efforts to Manage Inmate Health Care	11	10	The BOP is implementing procedures to determine the efficacy and cost effectiveness of current and future health care initiatives.
08-10 03/04/08	Federal Prison Industries, Inc. Annual Financial Statement Fiscal Year 2007	6	4	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.

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GR-40-07-003 03/27/07	The Bureau of Prisons' Management of the Medical Services Contract with Medical Development International Butner, North Carolina Contract No. DJB10611-00	10	9	The BOP has implemented the necessary corrective actions to address the noted weaknesses; however, the BOP has not completed final action to address the cost exceptions identified in the report.
<u>CRM</u> GR-50-08-007 05/20/08	Use of Equitable Sharing Revenues by the Detroit Police Department Detroit, Michigan	9	7	The Criminal Division (CRM) is coordinating with the Detroit, Michigan Police Department to implement the necessary corrective actions.
<u>DEA</u> 03-35 09/30/03	The Drug Enforcement Administration's Implementation of the Government Performance and Results Act	7	5	The Drug Enforcement Administration (DEA) is implementing a system to collect, analyze and report performance data. In addition, the DEA is developing data verification procedures and controls.
04-17 03/16/04	Follow-up Audit of the Drug Enforcement Administration's Laboratory Operations	15	14	The OIG will close this report once the DEA successfully relocates the Southeast Laboratory to a more appropriate facility.

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05-25 05/25/05	The Drug Enforcement Administration's Payments to Confidential Sources	15	12	The DEA is developing an automated system for tracking payments made to confidential sources. The DEA also is formalizing its process for reconciling payments to confidential sources.
07-06 01/04/07	The Drug Enforcement Administration's Handling of Cash Seizures	7	6	The DEA is implementing policies and procedures for the timely transport of seized cash to the banks.
07-19 02/14/07	The Drug Enforcement Administration's International Operations	25	23	The DEA Office of Training (TR), Firearms Training and Academics Operations Unit is working with the Department to finalize the Privacy Impact Assessment (PIA). When the PIA is approved, the TR plans to deploy the Firearms Qualification Tracking System so the DEA offices can begin inputting a list of Special Agents carrying firearms in foreign countries.
08-21 03/27/08	The Drug Enforcement Administration's Controls Over Weapons and Laptop Computers Follow-up Audit	7	6	The DEA is taking action to ensure that all laptop computers are encrypted and each DEA division office maintains the proper supporting documentation for the purchase and disposal of laptop computers.

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08-23 05/02/08	The Drug Enforcement Administration's Use of Intelligence Analysts	9	8	The DEA has implemented the DEA Learning System (DEALS) in both domestic and international offices. The DEA is working with the TR, Intelligence Training Section, to identify and develop on-line training through DEALS.
<u>EOUSA</u> 08-04 01/24/08	The Department of Justice's Victim Notification System	19	11	The Executive Office for United States Attorneys (EOUSA) is implementing corrective actions to strengthen its management of the Department's Victim Notification System (VNS) and to improve the effectiveness of the VNS, the accuracy and completeness of VNS data and VNS services provided to victims.
09-03 11/10/08	Resource Management of United States Attorneys' Offices	10	3	The EOUSA is implementing various measures to enhance its utilization and tracking of attorneys' time and casework. In addition, the EOUSA is establishing a standardized process for tracking attorney time to cases in order to more accurately reflect attorney utilization.

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<u>FBI</u> 02-38 02/13/03	Review of the Federal Bureau of Investigation's Counterterrorism Program	14	13	Closure for this report is dependent upon the implementation of the United Financial Management System (UFMS) in the Federal Bureau of Investigation (FBI). The OIG has suspended follow-up for this report until the UFMS is implemented.
03-37 09/30/03	Federal Bureau of Investigation Casework and Human Resource Allocation	7	5	The FBI is implementing a virtual case file system to replace its automated case support system.
05-07 02/01/05	The Federal Bureau of Investigation's Management of the Trilogy Information Technology Modernization Project	9	8	The FBI replaced the Trilogy system with the Sentinel system. The OIG is monitoring this report through a follow-up audit entitled Sentinel Audit II: Status of FBI's Case Management System (OIG Audit Report Number 07-03).
05-20 05/03/05	The Federal Bureau of Investigation's Efforts to Hire, Train and Retain Intelligence Analysts	15	5	The OIG is currently conducting a follow-up audit that specifically addresses the recommendations contained in this report. Consequently, the OIG has suspended follow-up activities for this report.
05-34 08/01/05	Review of the Terrorist Screening Center's Efforts to Support the Secure Flight Program	5	4	The FBI is working with the Transportation Security Administration to implement the Secure Flight Program.

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06-32 05/22/06	Combined DNA Index System Operational and Laboratory Vulnerabilities	22	21	The FBI is implementing web-based tools to enhance the Combined DNA Index System (CODIS) community's awareness, understanding and consistent interpretation of the Quality Assurance Standards.
07-18 02/09/07	The Federal Bureau of Investigation's Controls Over Weapons and Laptop Computers Follow-up Audit	13	12	The FBI developed a Corporate Policy Directive on the exit process for departing employees to ensure that all weapons, laptop computers and other issued properties are returned to the FBI. The policy had not been issued as of March 31, 2010.
08-03 01/09/08	The Federal Bureau of Investigation's Management of Confidential Case Funds and Telecommunications Costs	16	4	The FBI is implementing corrective action to strengthen the management of the confidential case funds and telecommunications costs.
08-24 06/05/08	The Federal Bureau of Investigation's Security Check Procedures for Immigration Applications and Petitions	21	17	The FBI is implementing several initiatives to enhance both the quality and the overall management of the National Name Check Program process.

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09-08 01/22/09	The Federal Bureau of Investigation's Efforts to Combat Crimes Against Children	15	0	The FBI is diligently working on strategies to decrease its backlog of digital evidence, develop a mechanism for tracking its response to reports of child abductions, improve its coordination with other national child abduction programs, and develop a strategy and guidelines for addressing child sex tourism.
GR-40-06-006 05/26/06	Compliance with Standards Governing Combined DNA Index System Activities at the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory, Nashville, Tennessee	3	2	The FBI is working with the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory to review all forensic profiles to ensure that all profiles in NDIS are allowable and all specimen identification numbers are complete and accurate.
GR-70-09-003 03/30/09	Compliance with Standards Governing Combined DNA Index System Activities at the Maine State Police Laboratory, Augusta, Maine	3	0	The FBI is coordinating with the Maine State Police Laboratory to rectify the weaknesses noted in the audit report.

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GR-80-09-002 01/23/09	Compliance with Standards Governing Combined DNA Index System Activities at the Louisiana State Police Crime Laboratory Baton Rouge, Louisiana	6	3	The FBI is coordinating with the Louisiana State Police Crime Laboratory to ascertain the accuracy and allowability of profiles uploaded to NDIS prior to January 2007 and to ensure that the Laboratory's arrestee eligibility criterion used to qualify profiles for upload to NDIS are reviewed, updated and consistent with Louisiana state law.
<u>JMD</u> 07-39 08/07/07	Audit of the Department of Justice Information Technology Studies, Plans and Evaluations	5	1	The Justice Management Division (JMD) is updating the Department's IRM policy order to require program managers to apply the Department's system development life cycle (SDLC) process to ensure all appropriate studies and analysis are performed during the development and implementation of major IT investments. The updated policy order is expected to be issued by July 31, 2010. This action will close the remaining two recommendations from this audit.
08-01 12/07/07	U.S. Department of Justice Annual Financial Statement Fiscal Year 2007	5	4	The OIG will reassess the status of the remaining report recommendation during the annual financial statement audit testing.

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08-28 09/11/08	Independent Evaluation of the Justice Management Division's Civil Applicant System Pursuant to the Federal Information Security Management Act Fiscal Year 2007	7	6	On November 9, 2009, the JMD submitted to the OIG documentation substantiating the completion of all corrective actions and requested report closure. The JMD is awaiting the OIG's response as to whether the remaining recommendation has been closed.
09-22 03/24/09	The Department of Justice's Litigation Case Management System	1	0	The Department is committed to the Litigation Case Management System (LCMS) and is involved in several activities to reconfirm the litigating divisions commitment to the system and to address contractor performance issues. Most of these actions are expected to be completed by fall 2010.
<u>OJP</u> 07-22 03/26/07	Office of Justice Programs National Law Enforcement and Corrections Technology Centers	3	2	The Office of Justice Programs (OJP) has completed the necessary corrective actions; however, the OJP has not fully addressed the cost exceptions identified in the audit report.

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<u>OVW</u> GR-30-07-005 09/28/07	Office on Violence Against Women West Virginia Division of Criminal Justice Services Rural Domestic Violence and Child Victimization Enforcement Charleston, West Virginia	8	7	The Office on Violence Against Women (OVW) is coordinating with the grantee to rectify the weaknesses identified by the OIG.
GR-50-08-002 01/25/08	Legal Assistance for Victims Grant and Services for Human Trafficking Victims Grant Administered by the Heartland Alliance for Human Needs and Human Rights Chicago, Illinois	11	7	The OVW is coordinating with the Heartland Alliance for Human Needs and Human Rights to implement the recommended corrective actions and remedy the noted cost exceptions.
GR-60-05-012 09/08/05	Office on Violence Against Women Grant Awarded to the Legal Aid of Nebraska, Omaha, Nebraska	11	8	The OVW is working with the grantee to address the weaknesses and cost exceptions identified in the audit report.
SR-60-09-003 02/10/09	Limited Scope Audit of the South Dakota Coalition Against Domestic Violence and Sexual Assault	8	5	The OVW is coordinating with the grantee to address the noted weaknesses.

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<u>USMS</u>				
04-14 02/18/04	United States Marshals Service Prisoner Medical Care	17	16	The United States Marshals Service (USMS) is working to obtain a national managed health care contract for prisoner services.
05-10 03/11/05	United States Marshals Service Administration of the Witness Security Program	21	19	The USMS is pursuing corrective measures that will enable it to manage the Witness Security Program more effectively.
07-01 10/16/06	The United States Marshals Service's Management of the Justice Prisoner and Alien Transportation System	15	14	The USMS is implementing a process for providing data on aliens prior to flight missions to ensure more efficient and economic scheduling of passengers.
07-38 07/13/07	The United States Marshals Service's Workforce Planning and Management	15	10	The USMS is implementing corrective measures to improve the efficiency and effectiveness of its workforce planning and management.
08-15 03/12/08	United States Marshals Service Annual Financial Statement Fiscal Year 2007	14	11	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.

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08-34 09/26/08	Independent Evaluation of the U.S. Marshal Service's Warrant Information Network Pursuant to the Federal Information Security Management Act Fiscal Year 2007	9	4	The USMS is pursuing various initiatives to enhance the efficiency and effectiveness of the Warrant Information Network.
09-13 02/04/09	United States Marshals Service Annual Financial Statement Fiscal Year 2008	13	7	The OIG will evaluate the status of the open audit recommendations during the annual financial statement audit testing.
GR-30-96-013 09/30/06	United States Marshals Service Intergovernmental Service Agreement for Detention Facilities with Union County, New Jersey	4	0	The USMS, Office of the Federal Detention Trustee (OFDT) and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-80-97-017 09/04/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Torrance County, New Mexico	2	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.

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GR-90-98-001 10/10/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Pinal County, Arizona at the Corrections Corporation Central Arizona Detention Facility	2	1	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
<u>Multi-Component</u> 06-36 09/05/06	Department of Justice Purchase Card Expenditures Related to Hurricane Recovery Efforts	20	14	The ATF, BOP, DEA, EOUSA and FBI are implementing corrective actions to ensure that cardholder profiles are updated and to reinforce their policies related to what items may be purchased with the purchase card, the requirements for documenting the availability of funds and the retention of supporting documentation.
07-17 01/29/07	Efforts to Prevent, Identify and Recover Improper and Erroneous Payments by Selected Department of Justice Components	20	19	The ATF is developing and implementing written policies and procedures for its audit recovery program.

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07-20 02/16/07	The Department of Justice's Internal Controls Over Terrorism Reporting	16	8	The Criminal Division and the FBI are implementing corrective measures that will enable each component to collect and report accurate terrorism statistics.
08-16 03/14/08	Audit of the U.S. Department of Justice Terrorist Watchlist Nomination Process	10	6	The ATF, FBI and United States National Central Bureau (USNCB) are implementing corrective actions pertaining to the nomination of non-investigative subjects to the consolidated terrorist watchlist, correct sourcing of watchlist records with information shared by the agencies and an agreement on sharing terrorism information for use in the consolidated terrorist watchlist.
08-18 03/25/08	Audit of Department of Justice Key Indicators	22	21	The EOUSA is addressing weaknesses in key indicators identified by the OIG and ensuring the accuracy of key indicator performance data.
GR-80-09-001 10/31/08	Office of Justice Programs, Bureau of Justice Assistance Grants and Office on Violence Against Women Grants to Encourage Arrest Policies and Enforcement of Protective Orders Awarded to the Tulsa County Sheriff's Office	10	8	OJP is working with the grantee to ensure that the grantee develops grant performance measures and monitors the performance of the grant. In addition, the OJP is addressing the cost exceptions identified in the audit report.

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<u>COPS</u> Various	23 Grant Audit Reports 13 Single Audit Act Reports	248	105	The Office of Community Oriented Policing Services (COPS) is coordinating with the various grantees to remedy the actual cost exceptions and assemble the required supporting documentation related to redeployment, retention planning and supplanting.
<u>OJP</u> Various	24 Grant Audit Reports 47 Single Audit Act Reports	337	208	OJP is working with the grantees to remedy the reported deficiencies and cost exceptions.