

**MANAGEMENT DECISIONS ON AUDIT REPORTS
NOT IMPLEMENTED WITHIN ONE YEAR
AS OF MARCH 31, 2011**

Report Number/ Date Issued	Report Title	Management Improvements		Status of Final Action
		Recommended	Implemented	
<u>ATF</u> 05-30 06/28/2005	The Bureau of Alcohol, Tobacco, Firearms and Explosives' National Integrated Ballistic Information Network Program	12	11	The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) is enhancing its promotion of the National Integrated Ballistic Information Network to increase awareness of and participation in the program.
06-15 03/09/2006	Follow-up Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Forensic Science Laboratories Workload Management	7	5	The ATF's efforts to eliminate the backlog in the regional forensic science laboratories and to reduce the time it takes to fill examiner vacancies have been hindered by budget constraints and a position freeze across the Department.
08-29 09/16/2008	The Bureau of Alcohol, Tobacco, Firearms and Explosives' Controls Over its Weapons, Laptop Computers, and Other Sensitive Property	14	13	The ATF is working diligently to ensure that all of its laptop computers are encrypted. In addition, the ATF is replacing its remaining non-networked, non-encrypted laptops with GuardianEdge encrypted devices.
09-20 03/24/2009	U.S. Department of Justice Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives' Information System Program Pursuant to the Federal Information Security Management Act Fiscal Year 2008	12	10	The ATF is implementing procedures to ensure that NIST-approved minimum security configurations are used throughout the agency. Furthermore, the ATF is developing more rigorous contingency plan testing processes for mission critical and high impact systems.

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<u>BOP</u> 03-25 07/15/2003	Select Application Controls Review of the Federal Bureau of Prisons' Sentry Database System	7	6	The Bureau of Prisons (BOP) is configuring the SENTRY workstations to ensure that users with system authorization are restricted to only those areas that they have been authorized to access..
08-08 02/27/2008	The Bureau of Prisons' Efforts to Manage Inmate Health Care	11	10	The BOP is implementing new procedures for determining the efficacy and cost effectiveness of current and future health care initiatives.
08-10 03/04/2008	Federal Prison Industries, Inc. Annual Financial Statement Fiscal Year 2007	6	4	The Office of the Inspector General (OIG) will assess the status of the remaining open recommendations during the annual financial statement audit testing.
09-01 10/16/2008	The Federal Bureau of Prisons' Witness Security Program	18	16	The BOP drafted a policy memorandum directing Wardens with Protective Custody Units (PCU) to lengthen PCU correctional officer assignments. The policy is pending approval by the correctional officers' union. The BOP also drafted a Non-Disclosure Acknowledgment that it incorporated into the PCU manual. The new policy is pending the Director's approval.
GR-40-07-003 03/27/2007	The Bureau of Prisons' Management of the Medical Services Contract with Medical Development International Butner, North Carolina Contract No. DJB10611-00	10	9	The BOP has completed corrective actions to address the deficiencies identified by the OIG; however, the BOP continues to work on addressing the cost exceptions identified in the audit report.

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<u>CIV</u> 09-33 07/20/2009	The Civil Division's Laptop Encryption Program and Practices	7	4	The Civil Division (CIV) is converting to the Justice Management Division's (JMD) UAPM asset tracking system to maintain accurate and reliable information on all CIV laptop computers. CIV also is developing processes for authorizing the use of non-CIV owned laptop computers for contractors, subcontractors and other entities providing contract services for CIV and for ensuring that all non-CIV laptop computers used to process departmental data are encrypted or processed on encrypted CIV-provided hardware.
<u>CRM</u> GR-40-09-001 03/16/2009	Use of Equitable Sharing Revenues by the Camden County, Georgia Sheriff's Office Woodbine, Georgia	6	5	The Criminal Division (CRM) is working with the Camden County Sheriff's Office to rectify the noted cost exceptions. The Camden County Sheriff's Office agreed to repay the questioned costs over a two year period.
<u>DEA</u> 03-35 09/30/2003	The Drug Enforcement Administration's Implementation of the Government Performance and Results Act	7	5	The Drug Enforcement Administration (DEA) is implementing a system for collecting, analyzing , and reporting performance data. The DEA also is implementing data verification procedures and controls.

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04-17 03/16/2004	Follow-up Audit of the Drug Enforcement Administration's Laboratory Operations	15	14	The DEA is working to relocate its Southeast Laboratory to a more appropriate and secure location. A new site was selected in Miami-Dade County and a new facility is currently under construction.
05-25 05/25/2005	The Drug Enforcement Administration's Payments to Confidential Sources	15	12	The DEA is developing a new CSS database that will provide an automated method for tracking payments to confidential sources and non-appropriated funds payments.
07-06 01/04/2007	The Drug Enforcement Administration's Handling of Cash Seizures	7	6	The DEA is implementing policies and procedures pertaining to the timely transport of seized funds to the banks.
07-19 02/14/2007	The Drug Enforcement Administration's International Operations	25	24	The DEA developed the Firearms Qualifications Tracking System (FAQTS) and submitted it to the Department for a Privacy Impact Assessment (PIA) on the privacy data that the system will contain. The DEA will deploy the FAQTS when the Department approves the PIA.
08-21 03/27/2008	The Drug Enforcement Administration's Controls Over Weapons and Laptop Computers Follow-up Audit	7	6	The DEA is encrypting all of its laptop computers with GuardianEdge software. To date, the DEA has encrypted all of its laptops that access the Sensitive But Unclassified (SBU) network and approximately 96 percent of all non-Firebird laptops.

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09-37 09/29/2009	Follow-up Audit of the Drug Enforcement Administration's Handling of Cash Seizures	5	2	The DEA is revising the Agents Manual to incorporate required time frames for supervisory review of cash seizure documentation. In addition, the DEA is producing a new training program designed to address deficiencies identified in the audit report.
<u>EOUSA</u> 08-04 01/24/2008	The Department of Justice's Victim Notification System	19	15	The Executive Office for United States Attorneys (EOUSA) is implementing various measures to strengthen its management of the Department's Victim Notification System (VNS) and to improve the effectiveness of the VNS, the accuracy and completeness of VNS data and VNS services provided to victims.
09-03 11/10/2008	Resource Management of United States Attorneys' Offices	10	4	The EOUSA is implementing corrective actions to improve its utilization and tracking of attorneys' time and casework. In addition, the EOUSA is developing a standardized process for tracking attorney time to cases to more accurately reflect attorney utilization.
<u>FBI</u> 02-38 02/13/2003	Review of the Federal Bureau of Investigation's Counterterrorism Program	14	13	Closure of this report is dependent upon the implementation of the United Financial Management System (UFMS) in the Federal Bureau of Investigation (FBI). The OIG has suspended all audit follow-up for this audit report until the UFMS is implemented and operational.

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03-37 09/30/2003	Federal Bureau of Investigation Casework and Human Resource Allocation	7	5	The OIG expects the FBI to ensure that the data in its automated case support system is accurate and complete before the data is transferred to the FBI's Sentinel case management system. The OIG has suspended audit follow-up for this audit report until the data is transferred to Sentinel.
05-07 02/01/2005	The Federal Bureau of Investigation's Management of the Trilogy Information Technology Modernization Project	9	8	The FBI replaced the Trilogy system with the Sentinel system. The OIG is monitoring this report through a follow-up audit entitled Sentinel Audit II: Status of the FBI's Case Management System.
08-03 01/09/2008	The Federal Bureau of Investigation's Management of Confidential Case Funds and Telecommunications Costs	16	5	The FBI is implementing various measures to enhance its management of confidential case funds and telecommunications costs
08-24 06/05/2008	The Federal Bureau of Investigation's Security Check Procedures for Immigration Applications and Petitions	21	18	To enhance the quality and overall management of its National Name Check Program process, the FBI is establishing protocols for periodically testing and updating its name matching phonetic search tools, developing and implementing a new name search tool, and implementing a next generation name check system that will modernize its name matching capability.

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09-08 01/22/2009	The Federal Bureau of Investigation's Efforts to Combat Crimes Against Children	13	12	The FBI is developing a online awareness course pertaining to the stress associated with investigating crimes involving the sexual exploitation of children. This course will assist FBI supervisors in determining whether any online covert employees or non-online covert employees would require the FBI to conduct a psychological assessment.
09-25 05/06/2009	The Federal Bureau of Investigation's Terrorist Watchlist Nominations Practices	16	9	In response to the President's Directive, the FBI is evaluating its overall watchlist nomination process and related guidance and developing recommendations on whether the FBI needs to make any adjustments.
09-31 06/24/2009	U.S. Department of Justice Audit of the Federal Bureau of Investigation's BlackNet System Pursuant to the Federal Information Security Management Act Fiscal Year 2008	5	2	The FBI is pursuing corrective actions to ensure that a standard security baseline configuration is formally documented and implemented for all servers, workstations and network devices. Furthermore, the FBI is implementing techniques to provide real-time alerts for monitoring system events, detecting attacks, or identifying unauthorized use of the system. The FBI also is implementing a centralized user account management process that will include establishing, activating, modifying, disabling and removing non-required BlackNet user accounts.

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09-32 06/24/2009	U.S. Department of Justice Audit of the Federal Bureau of Investigation's Digital Collection System (DCS) 3000 Pursuant to the Federal Information Security Management Act Fiscal Year 2008	6	2	The FBI is strengthening its configuration management controls by ensuring that a standard security baseline configuration is formally documented and implemented for all servers, workstations and network devices. Furthermore, the FBI is strengthening its security posture by employing tools or techniques that monitor and generate system events, detect attacks, and identify unauthorized use of the system. The FBI also is implementing a centralized user account management process that includes establishing, activating, modifying, disabling, and removing DCS 3000 accounts.
09-36 09/25/2009	The Federal Bureau of Investigation's Weapons of Mass Destruction Coordinator Program	13	8	The FBI drafted two new policy guidance that are under final review. One policy directs Weapons of Mass Destruction (WMD) Coordinators to coordinate and share information with other coordinators in their field office while the other policy requires WMD Coordinators to conduct and report, at least quarterly, activities that address WMD domain threats and vulnerabilities. The second guidance also requires each region's WMD Coordinator to review the activity reports and verify that each WMD Coordinator is adequately addressing the identified threats, vulnerabilities, and intelligence needs of his/her respective area.

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10-02 10/21/2009	The Federal Bureau of Investigation's Foreign Language Translation Program	24	20	The FBI is implementing new analytical tools to reduce its volume of electronic files requiring translation and review. In addition, the FBI is developing protocols for monitoring and ensuring that unreviewed foreign language material collected for high-priority counterterrorism and counterintelligence cases are reviewed and translated in a timely manner. The FBI also is ensuring that all of its collection systems provide the means to extract reliable statistics and to develop a means to accurately compile those statistics across collection platforms.
GR-40-06-006 05/26/2006	Compliance with Standards Governing Combined DNA Index System Activities at the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory, Nashville, Tennessee	3	2	The FBI is working with the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory to review all forensic profiles to ensure that all profiles in NDIS are allowable and all specimen identification numbers are complete and accurate.
GR-70-09-003 03/30/2009	Compliance with Standards Governing Combined DNA Index System Activities at the Maine State Police Laboratory, Augusta, Maine	3	2	The FBI is coordinating with Maine State Police Laboratory officials to confirm that Laboratory officials develop policies to ensure corrective action plans for QAS evaluation findings are provided to the NDIS Custodian in a timely manner.

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GR-80-09-002 01/23/2009	Compliance with Standards Governing Combined DNA Index System Activities at the Louisiana State Police Crime Laboratory Baton Rouge, Louisiana	6	3	The FBI is coordinating with the Louisiana State Police Crime Laboratory to ascertain the accuracy and allowability of profiles uploaded to NDIS prior to January 2007 and to ensure that the Laboratory's arrestee eligibility criterion used to qualify profiles for upload to NDIS are reviewed, updated and consistent with Louisiana state law.
GR-80-10-002 12/18/2009	Compliance with Standards Governing Combined DNA Index System Activities at the Southwestern Institute of Forensic Sciences Dallas County, Texas	10	9	The Southwestern Institute of Forensic Sciences Laboratory agreed to review approximately 616 profiles for allowability. The CODIS Unit of the FBI is working with the Laboratory to formulate a mutually acceptable plan to review those profiles for eligibility.
<u>JMD</u> 07-39 08/07/2007	Audit of the Department of Justice Information Technology Studies, Plans and Evaluations	5	1	JMD is updating the Department's IRM policy order to require program managers to apply the Department's system development life cycle process to ensure all appropriate studies and analysis are performed during the development and implementation of major IT investments. Publication of this order has been delayed to incorporate guidance required by recent OMB Memoranda.

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09-28 06/09/2009	U.S. Department of Justice Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2008	7	4	JMD updated the DOJ Configuration Management Plan and DOJ Security Configuration Management Guidance (SCMG). The SCMG requires the components to create secure configuration baselines for priority categories by December 31, 2010. The secure baselines for the remaining categories are to be implemented by December 31, 2011. In addition, JMD implemented BigFix, an NIST Security Content Protocol (SCAP) validating tools to use when scanning for FDCC settings. BigFix will enable the components to track their FDCC compliance.
10-10 01/11/2010	Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statement Fiscal Year 2009	3	1	The OIG will assess the status of this audit report during the annual financial statement audit testing.
<u>OJP</u> 07-22 03/26/2007	Office of Justice Programs National Law Enforcement and Corrections Technology Centers	3	2	The Office of Justice Programs (OJP) has completed the necessary corrective actions; however, OJP has not addressed the cost exceptions noted in the audit report.
09-38 09/30/2009	U.S. Department of Justice Audit or the National Institute of Justice's Practices for Awarding Grants and Contracts in Fiscal Years 2005 through 2007	9	8	On March 28, 2011, the OJP provided to the OIG documentation that supports the completion of corrective actions for all of the audit recommendations and cost exceptions.

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<u>OVW</u> GR-30-07-005	Office of Violence Against Women West Virginia Division of Criminal Justice Services Rural Domestic Violence and Child Victimization Enforcement Charleston, West Virginia	8	2	The Office on Violence Against Women (OVW) is coordinating with the grantee to compile documentation related to subgrantee training, salary and fringe benefit costs based on actual hours worked, and labor cost allocation procedures.
GR-60-09-006 12/16/2008	Office on Violence Against Women Safe Haven Grant Funding Received by the City of Grand Island, Nebraska	3	1	OVW is working with the grantee to address the cost exceptions identified in the audit report.
GR-90-09-006 05/21/2009	Office on Violence Against Women Grant to Encourage Arrest Policies and Enforcement of Protection Orders Awarded to Skagit County, Washington	2	1	OVW is coordinating with the grantee to rectify the weaknesses and cost exceptions identified in the audit report.
SR-60-09-001 11/05/2008	Limited Scope Audit and Investigation of Santee Sioux Nation Niobarra, Nebraska	4	0	OVW is coordinating with the grantee to address the audit recommendations and cost exceptions set forth in the audit report.
SR-60-09-003 02/10/2009	Limited Scope Audit of the South Dakota Coalition Against Domestic Violence and Sexual Assault	8	5	The OVW has implemented the necessary corrective actions; however, OVW is still working to rectify the cost exceptions identified in the audit report.

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SR-60-09-005 04/02/2009	Follow-up Review and Investigation of the Hopi Tribe, Kykotsmovi, Arizona	8	0	The grantee is reviewing its Accounting and Financial Control Manual and making revisions based upon the audit recommendations. The grantee expects to adopt the revised manual in June 2011.
SR-60-10-002 03/25/2010	Limited Scope Audit of Advocates Crisis Support Services Craig, Colorado	6	4	The grantee has implemented the necessary corrective actions but they still need to rectify the cost exceptions identified in the audit report.
SR-80-10-001 11/20/2009	Limited Scope Audit of Southwest Louisiana Law Center, Lake Charles, Louisiana	3	0	OVW is coordinating with the grantee to address the audit report recommendations and the cost exceptions identified by the OIG.
<u>USMS</u> 07-38 07/13/2007	The United States Marshals Service's Workforce Planning and Management	15	13	The United States Marshals Service (USMS) is deploying the Justice Detainee Information System (JDIS) 8 in all of the 94 district offices. The USMS also is providing JDIS 8 training to district office personnel prior to each office's migration to JDIS 8.
08-34 09/26/2008	Independent Evaluation of the U.S. Marshals Service's Warrant Information Network Pursuant to the Federal Information Security Management Act Fiscal Year 2007	9	4	The USMS is implementing various measures that are designed to improve the efficiency and effectiveness of the Warrant Information Network.

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09-13 02/04/2009	United States Marshals Service Annual Financial Statement Fiscal Year 2008	13	10	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.
GR-30-96-013 09/30/1996	United States Marshals Service Intergovernmental Service Agreement for Detention Facilities with Union County, New Jersey	4	0	The USMS, Office of Federal Detention Trustee and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
<u>Multi-Component</u> 07-20 02/16/2007	The Department of Justice's Internal Controls Over Terrorism Reporting	16	8	CRM and the FBI are enhancing their policy guidance, improving their oversight and strengthening their internal controls for gathering, verifying, and reporting terrorism-related statistics.
09-35 09/18/2009	The United States National Central Bureau of INTERPOL	27	2	The United States National Central Bureau (USNCB) and the Office of the Deputy Attorney General are implementing various initiatives to address the weaknesses identified by the OIG. These initiatives include preparing a comprehensive outreach program to enhance or increase participation, developing e-learning and traditional curriculum to share with federal, state and local law enforcement training academies, and contracting for a complete review and assessment of USNCB and its operations.

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10-21 03/29/2010	The Department of Justice's Efforts to Combat Identity Theft	14	7	Department leadership and DOJ components responsible for combating identity theft are working diligently to implement measures to improve the Department's efforts to combat identity theft.
<u>COPS</u> Various	20 Grant Audit Reports 15 Single Audit Act Reports	231	116	The Office of Community Oriented Policing Services (COPS) is coordinating with the various grantees to remedy the actual cost exceptions and compile the necessary supporting documentation related to redeployment, retention planning, and supplanting.
<u>OJP</u> Various	15 Grant Audit Reports 33 Single Audit Act Reports	221	141	OJP is working with the various grantees to remedy the noted deficiencies and cost exceptions.