

**MANAGEMENT DECISIONS ON AUDIT REPORTS
NOT IMPLEMENTED WITHIN ONE YEAR
AS OF SEPTEMBER 30, 2009**

Report Number/ Date Issued	Report Title	Management Improvements		Status of Final Action
		Recommended	Implemented	
<u>ATF</u> 05-30 06/28/05	The Bureau of Alcohol, Tobacco, Firearms and Explosives' National Integrated Ballistic Information Network Program	12	10	The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) is enhancing its promotional efforts in an attempt to improve participation in the National Integrated Ballistic Network.
06-15 03/09/06	Follow-up Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Forensic Science Laboratories Workload Management	7	4	The ATF is implementing corrective actions to address its backlog of forensic cases, manage its workload from resource-intensive cases, and manage all incoming evidence submissions.
06-37 09/29/06	Management of Seized Assets by the Bureau of Alcohol, Tobacco, Firearms and Explosives	5	4	The ATF is implementing corrective measures to ensure that firearms taken into custody since July 2005 are traced through the National Tracing Center.
08-29 09/16/08	The Bureau of Alcohol, Tobacco, Firearms and Explosives' Controls Over its Weapons, Laptop Computers, and Other Sensitive Property	14	4	The ATF is revising ATF Order 1850.2E, Property Management Program, and implementing various other corrective measures to improve its controls over weapons, laptop computers and ammunition.

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<u>BOP</u>				
03-25 07/15/03	Select Application Controls Review of the Federal Bureau of Prisons' Sentry Database System	7	6	The Bureau of Prisons (BOP) is configuring the SENTRY workstations to restrict authorized users' access to areas they are authorized to use.
04-16 03/09/04	The Federal Bureau of Prisons Inmate Release Preparation and Transitional Reentry Program	13	10	The BOP is enhancing its inmate release preparation and reentry programs to provide inmates the proper programs and necessary skills to re-enter their communities successfully.
08-08 02/27/08	The Bureau of Prisons' Efforts to Manage Inmate Health Care	11	10	The BOP is establishing procedures for the collection and evaluation of data regarding current and future health care initiatives to determine their efficacy and cost effectiveness.
08-09 03/04/08	Federal Bureau of Prisons Annual Financial Statement Fiscal Year 2007	10	7	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.
08-10 03/04/08	Federal Prison Industries, Inc. Annual Financial Statement Fiscal Year 2007	6	4	The OIG will reevaluate the status of the open recommendations during the annual financial statement audit testing.

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GR-40-07-003 03/27/07	The Bureau of Prisons' Management of the Medical Services Contract with Medical Development International Butner, North Carolina Contract No. DJB10611-00	10	9	The BOP has implemented the necessary corrective actions to address the noted weaknesses; however, the BOP is still addressing the cost exceptions identified in the audit report.
<u>CRM</u> GR-50-08-007 05/20/08	Use of Equitable Sharing Revenues by the Detroit Police Department Detroit, Michigan	9	7	The Criminal Division (CRM) is coordinating with the Detroit, Michigan Police Department to implement the necessary corrective action.
<u>DEA</u> 03-35 09/30/03	The Drug Enforcement Administration's Implementation of the Government Performance and Results Act	7	5	The Drug Enforcement Administration (DEA) is implementing a system to collect, analyze and report performance data and developing procedures and controls for data verification.
04-17 03/16/04	Follow-up Audit of the Drug Enforcement Administration's Laboratory Operations	15	14	This audit remains open pending the relocation of DEA's Southeast Laboratory to a more appropriate facility.

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05-25 05/25/05	The Drug Enforcement Administration's Payments to Confidential Sources	15	12	The DEA is implementing an automated system to track payments made to confidential sources and formalizing the process for the reconciliation of all payments made to confidential sources.
07-06 01/04/07	The Drug Enforcement Administration's Handling of Cash Seizures	7	5	The DEA is implementing policies and procedures pertaining to the timely transport of seized cash to the banks.
08-21 03/27/08	The Drug Enforcement Administration's Controls Over Weapons and Laptop Computers Follow-up Audit	7	4	The DEA is taking steps to ensure that all laptop computers are encrypted and each division office maintains supporting documentation for the purchase and disposal of laptop computers.
08-23 05/02/08	The Drug Enforcement Administration's Use of Intelligence Analysts	9	6	The DEA is implementing corrective actions to determine the most economical means for providing training, to monitor the status of security clearances and to ensure that all intelligence analysts have Top Secret clearances.

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<u>EOUSA</u> 08-04 01/24/08	The Department of Justice's Victim Notification System	19	4	The Executive Office for United States Attorneys (EOUSA) is implementing corrective actions to strengthen its management of the Department's Victim Notification System (VNS) and to improve the effectiveness of the VNS, the accuracy and completeness of VNS data and VNS services provided to victims.
<u>FBI</u> 02-38 02/13/03	Review of the Federal Bureau of Investigation's Counterterrorism Program	14	13	Closure for this report is dependent upon the implementation of the United Financial Management System (UFMS) in the Federal Bureau of Investigation (FBI). The OIG has suspended follow-up for this report until the UFMS is implemented.
03-37 09/30/03	Federal Bureau of Investigation Casework and Human Resource Allocation	7	5	The FBI is implementing a virtual case file system to replace its automated case support system.

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05-07 02/01/05	The Federal Bureau of Investigation's Management of the Trilogy Information Technology Modernization Project	9	8	The FBI replaced the Trilogy system with the Sentinel system. Consequently, the OIG is monitoring this report through its follow-up on OIG audit report 07-03, Sentinel Audit II: Status of FBI's Case Management System.
05-20 05/03/05	The Federal Bureau of Investigation's Efforts to Hire, Train and Retain Intelligence Analysts	15	5	The OIG is conducting a follow-up audit that will specifically address the recommendations in this audit report. Therefore, the OIG has suspended follow-up activities for this audit report.
05-34 08/01/05	Review of the Terrorist Screening Center's Efforts to Support the Secure Flight Program	5	4	The FBI is coordinating with the Transportation Security Administration to implement the Secure Flight Program and to establish true workload figures.
06-14 03/09/06	The Federal Bureau of Investigation's Pre-Acquisition Planning For and Controls Over the Sentinel Case Management System	7	4	Follow-up for this audit has been suspended while the OIG conducts follow-up audits. The OIG will continue to monitor the status of the open recommendations.

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06-32 05/22/06	Combined DNA Index System Operational and Laboratory Vulnerabilities	22	21	The FBI is implementing web-based tools to aid the Combined DNA Index System (CODIS) community's awareness, understanding and consistent interpretation of the Quality Assurance Standards.
07-18 02/09/07	The Federal Bureau of Investigation's Controls Over Weapons and Laptop Computers Follow-up Audit	13	11	The FBI is implementing corrective measures to improve supporting documentation for the destruction of excess laptop computers and hard drives and to strengthen the exit processing for departing employees to ensure that all issued property is returned to the FBI.
08-03 01/09/08	The Federal Bureau of Investigation's Management of Confidential Case Funds and Telecommunications Costs	16	4	The FBI is implementing corrective action to strengthen the management of the confidential case funds and telecommunications costs.
08-24 06/05/08	The Federal Bureau of Investigation's Security Check Procedures for Immigration Applications and Petitions	21	0	The FBI is actively engaged in numerous initiatives to improve both the quality and the overall management of the National Name Check Program process, such as developing long-term solutions to improve technology, training and supervision.

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GR-40-06-006 05/26/06	Compliance with Standards Governing Combined DNA Index System Activities at the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory, Nashville, Tennessee	3	2	The FBI is working with the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory to review all forensic profiles to ensure that all profiles in NDIS are allowable and all specimen identification numbers are complete and accurate.
GR-50-08-008 09/22/08	Compliance with Standards Governing Combined DNA Index System Activities at the Illinois State Police Forensic Science Center at Chicago Chicago, Illinois	3	2	The FBI is coordinating with the Illinois State Police Forensic Science Center to implement measures regarding the submission of annual audit reports.
<u>JMD</u> 01-01 11/06/00	Departmental Critical Infrastructure Protection	4	1	The Justice Management Division (JMD) is compiling documentation on the development of the Department's mission essential inventory and the completion of the related vulnerability assessments and remediation plans.

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02-21 06/10/02	Summary of the Independent Evaluation Pursuant to the Government Information Security Reform Act Fiscal Year 2001	7	0	JMD is implementing various initiatives to strengthen the Department's IT security management and oversight activities.
02-31 08/01/02	The Department of Justice's Control Over Weapons and Laptop Computers	13	12	JMD is implementing a DOJ lifecycle management policy.
05-22 05/03/05	The Joint Automated Booking System	6	5	JMD will evaluate the implementation of the new ABS fingerprint quality screening procedures in a future upgrade of JABS functionality. JMD anticipates that this will coincide with the FBI Next Generation Identification initiative which has yet to be developed.
07-15 01/25/07	Asset Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statement Fiscal Year 2006	3	2	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.

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07-37 06/20/07	Identification and Review of the Department's Major Information Technology Systems Inventory	3	1	In coordination with the Departmental component Chief Information Officers, JMD is developing and implementing cost effective mechanisms for reporting accurate, complete and verifiable costs for the individual IT systems.
07-39 08/07/07	Audit of the Department of Justice Information Technology Studies, Plans and Evaluations	5	1	JMD is assessing the process and standards for producing IT studies, plans, and evaluations for individual IT projects and will implement corrective actions, as necessary.
08-01 12/07/07	U.S. Department of Justice Annual Financial Statement Fiscal Year 2007	5	0	The OIG will reevaluate the status of the report recommendations during the annual financial statement audit testing.
08-14 03/12/08	Asset Forfeiture and Seized Asset Deposit Fund Annual Financial Statement Fiscal Year 2007	8	0	The OIG will reassess the status of the report recommendations during the annual financial statement audit testing.
08-28 09/11/09	Independent Evaluation of the Justice Management Division's Civil Applicant System Pursuant to the Federal Information Security Management Act Fiscal Year 2007	7	6	As recommended by the OIG, JMD reviewed the CAS environment and identified some vulnerabilities in the system. JMD is implementing corrective measures to address the vulnerabilities.

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<u>OJP</u> 07-22 03/26/07	Office of Justice Programs National Law Enforcement and Corrections Technology Centers	3	2	The Office of Justice Programs (OJP) has completed the necessary corrective actions; however, OJP has not fully addressed the cost exceptions identified in the report.
08-11 03/07/08	Office of Justice Programs Annual Financial Statement Fiscal Year 2007	8	6	The OIG will reevaluate the status of the open recommendations during the annual financial statement audit testing.
08-26 07/31/08	Management of the Office of Justice Programs' Grant Programs for Trafficking Victims	15	11	In addition to routine programmatic site visits, OJP is reviewing methods for implementing an ongoing system of random audits of the data provided by task forces. In addition, OJP is developing a more in-depth, online training course for OJP grantees that focuses on post-award grant management.

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<u>OVW</u>				
GR-30-07-005 09/28/07	Office on Violence Against Women West Virginia Division of Criminal Justice Services Rural Domestic Violence and Child Victimization Enforcement Charleston, West Virginia	8	5	The Office on Violence Against Women (OVW) is coordinating with the grantee to rectify the deficiencies noted by the OIG.
GR-50-08-002 01/25/08	Legal Assistance for Victims Grant and Services for Human Trafficking Victims Grant Administered by the Heartland Alliance for Human Needs and Human Rights Chicago, Illinois	11	7	OVW is coordinating with the grantee to address the weaknesses and cost exceptions identified by the OIG.
GR-60-05-012 09/08/05	Office on Violence Against Women Grant Awarded to the Legal Aid of Nebraska, Omaha, Nebraska	11	7	OVW is working with the grantee to redress the weaknesses and cost exceptions identified by the OIG.
<u>USMS</u>				
04-14 02/18/04	United States Marshals Service Prisoner Medical Care	17	16	The United States Marshals Service (USMS) is working to obtain a national managed health care contract for prisoner services.

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5-10 03/11/05	United States Marshals Service Administration of the Witness Security Program	21	19	The USMS is actively engaged in corrective measures that will enable it to manage the Witness Security Program more effectively.
07-01 10/16/06	The United States Marshals Service's Management of the Justice Prisoner and Alien Transportation System	15	14	The USMS is implementing a process for providing data on aliens prior to flight missions to ensure more efficient and economic scheduling of passengers.
07-38 07/13/07	The United States Marshals Service's Workforce Planning and Management	15	10	The USMS is implementing corrective measures to improve the efficiency and effectiveness of its workforce planning and management.
08-15 03/12/08	United States Marshals Service Annual Financial Statement Fiscal Year 2007	14	11	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.
08-33 09/26/08	Independent Evaluation of the U.S. Marshal Service's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2007	2	1	The USMS is striving to report incidents in a timely manner in accordance with the Computer Incident Response Plan.

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08-34 09/26/08	Independent Evaluation of the U.S. Marshal Service's Warrant Information Network Pursuant to the Federal Information Security Management Act Fiscal Year 2007	9	4	The USMS is actively engaged in numerous initiatives to enhance the efficiency and effectiveness of the Warrant Information Network.
GR-30-96-013 09/30/96	United States Marshals Service Intergovernmental Service Agreement for Detention Facilities with Union County, New Jersey	4	0	The USMS, Office of the Federal Detention Trustee (OFDT) and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-80-97-017 09/04/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Torrance County, New Mexico	2	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-90-98-001 10/10/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Pinal County, Arizona at the Corrections Corporation Central Arizona Detention Facility	2	1	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.

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<u>Multi-Component</u>				
06-36 09/05/06	Department of Justice Purchase Card Expenditures Related to Hurricane Recovery Efforts	20	11	The ATF, BOP, DEA, Executive Office for United States Attorneys (EOUSA) and FBI are implementing corrective actions to ensure that cardholder profiles are updated and to reinforce their policies on what items may be purchased with the purchase card, the requirement to document the availability of funds and the retention of supporting documentation.
07-17 01/29/07	Efforts to Prevent, Identify and Recover Improper and Erroneous Payments by Selected Department of Justice Components	20	19	The ATF continues to pursue corrective actions related to its recovery audit program.
07-20 02/16/07	The Department of Justice's Internal Controls Over Terrorism Reporting	16	8	The Criminal Division and the FBI are implementing corrective actions that will allow each Departmental component to collect and report accurate terrorism statistics.

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07-42 09/22/07	Department of Justice Conference Expenditures	14	13	Prior to the end of the reporting period, OJP provided documentation to the OIG supporting the completion of corrective action. The OIG had not concurred on the final actions as of September 30, 2009.
08-16 03/14/08	Audit of the U.S. Department of Justice Terrorist Watchlist Nomination Processes	10	6	The ATF, FBI and USNCB are implementing corrective actions pertaining to the nomination of non-investigative subjects to the consolidated terrorist watchlist, correct sourcing of watchlist records with information shared by the agencies and an agreement on sharing terrorism information for use in the consolidated terrorist watchlist.
08-18 03/25/08	Audit of Department of Justice Key Indicators	22	20	OJP and EOUSA are addressing weaknesses in key indicators identified by the OIG and ensuring the accuracy of key indicator performance data.
<u>COPS</u> Various	22 Grant Audit Reports 17 Single Audit Act Reports	257	92	COPS is coordinating with the various grantees to remedy the actual cost exceptions and to compile the required documentation related to redeployment, retention planning, and supplanting.

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<u>OJP</u> Various	27 Grant Audit Reports 39 Single Audit Act Reports	397	219	OJP is coordinating with the grantees to remedy the deficiencies and cost exceptions identified by the OIG.