

**MANAGEMENT DECISIONS ON AUDIT REPORTS
NOT IMPLEMENTED WITHIN ONE YEAR
AS OF SEPTEMBER 30, 2010**

Report Number/ Date Issued	Report Title	Management Improvements		Status of Final Action
		Recommended	Implemented	
<u>ATF</u> 05-30 06/28/05	The Bureau of Alcohol, Tobacco, Firearms and Explosives' National Integrated Ballistic Information Network Program	12	11	The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) is implementing various promotional measures to enhance participation in the National Integrated Ballistic Network.
06-15 03/09/06	Follow-up Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Forensic Science Laboratories Workload Management	7	4	The ATF is continuing its efforts to implement corrective measures designed to address its backlog of forensic cases, manage its workload of resource-intensive cases, and manage all incoming evidence submissions.
06-37 09/29/06	Management of Seized Assets by the Bureau of Alcohol, Tobacco, Firearms and Explosives	5	4	The ATF is working diligently to develop, test and implement the N-Force system, a new system that will ensure that all firearms taken into ATF custody will be traced through the National Tracing Center. Presently, the ATF is conducting extensive tests on the N-Force system.
08-29 09/16/08	The Bureau of Alcohol, Tobacco, Firearms and Explosives' Controls Over its Weapons, Laptop Computers, and Other Sensitive Property	14	13	The ATF is either replacing or disposing of many of the laptop computers identified by the OIG while replacing the remaining laptop computers with compliant devices.

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09-20 03/24/09	U.S, Department of Justice Audit of the Bureau of Alcohol, Tobacco, Firearms, and Explosives' Information System Program Pursuant to the Federal Information Security Management Act Fiscal Year 2008	12	10	The ATF is implementing procedures to ensure that NIST-approved minimum security configurations are used throughout the agency. Furthermore, the ATF is developing more rigorous contingency plan testing processes for mission critical and high impact systems.
<u>BOP</u> 03-25 07/15/03	Select Application Controls Review of the Federal Bureau of Prisons' Sentry Database System	7	6	The Bureau of Prisons (BOP) is configuring the SENTRY workstations to restrict authorized users' access to the areas they are authorized to use.
08-08 02/27/08	The Bureau of Prisons' Efforts to Manage Inmate Health Care	11	10	The BOP is implementing new procedures for determining the efficacy and cost effectiveness of current and future health care initiatives.
08-10 03/04/08	Federal Prison Industries, Inc. Annual Financial Statement Fiscal Year 2007	6	4	The OIG will assess the status of the open recommendations during the annual financial statement audit testing.

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GR-40-07-003 03/27/07	The Bureau of Prisons' Management of the Medical Services Contract with Medical Development International Butner, North Carolina Contract No. DJB10611-00	10	9	The BOP has completed final action to address deficiencies identified by the OIG; however, BOP continues to work on rectifying the cost exceptions noted in the audit report.
<u>CIV</u> 09-33 07/20/09	The Civil Division's Laptop Encryption Program and Practices	7	3	The Civil Division (CIV) is converting to the Justice Management Division's UAPM asset tracking system to maintain accurate and reliable information on all CIV laptop computers. The CIV also is developing a process for authorizing the use of non-CIV owned laptop computers for contractors, subcontractors and other entities providing contract support services for CIV and ensuring that all non-CIV laptop computers used to process DOJ data are encrypted or processed on encrypted CIV-provided hardware.

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<u>CRM</u> GR-40-09-001 03/16/09	Use of Equitable Sharing Revenues by the Camden County, Georgia Sheriff's Office Woodbine, Georgia	6	5	The Criminal Division (CRM) is coordinating with the Camden County Sheriff's Department to address the noted cost exceptions. The Camden County Sheriff's Office agreed to pay the questioned costs over a period of two years.
<u>DEA</u> 03-35 09/30/03	The Drug Enforcement Administration's Implementation of the Government Performance and Results Act	7	5	The Drug Enforcement Administration (DEA) is implementing a system for collecting, analyzing and reporting performance data. In addition, the DEA is implementing data verification procedures and controls.
04-17 03/16/04	Follow-up Audit of the Drug Enforcement Administration's Laboratory Operations	15	14	The DEA is working to relocate its Southeast Laboratory to a more appropriate and secure location.
05-25 05/25/05	The Drug Enforcement Administration's Payments to Confidential Sources	15	12	The DEA is developing an automated system for tracking payments to confidential sources. The DEA also is formalizing its reconciliation process for payments made to confidential sources.

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07-06 01/04/07	The Drug Enforcement Administration's Handling of Cash Seizures	7	6	The DEA is implementing policies and procedures pertaining to the timely transport of seized funds to the banks.
07-19 02/14/07	The Drug Enforcement Administration's International Operations	25	24	The DEA Office of Training (TR), Firearms Training and Academics Operations Unit is working with the Department to finalize the Privacy Impact Assessment (PIA). When the PIA is approved, the TR will deploy the Firearms Qualifications Tracking System so DEA offices can begin inputting lists of DEA special agents carrying firearms in foreign countries.
08-21 03/27/08	The Drug Enforcement Administration's Controls Over Weapons and Laptop Computers Follow-up Audit	7	6	The DEA is implementing various measures to ensure that all laptop computers are encrypted and each DEA division office maintains the proper supporting documentation for the purchase and disposal of laptop computers.
09-37 09/29/09	Follow-up Audit of the Drug Enforcement Administration's Handling of Cash Seizures	5	1	The DEA is revising the Agent's Manual to incorporate required time frames for supervisory reviews of cash seizure documentation. Also, the DEA is developing a training video on internal controls for handling seized cash, preparing cash seizure documentation and maintaining cash seizure documentation.

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<u>EOUSA</u> 08-04 01/24/08	The Department of Justice's Victim Notification System	19	13	The Executive Office for United States Attorneys (EOUSA) is implementing corrective actions to strengthen its management of the Department's Victim Notification System (VNS) and to improve the effectiveness of the VNS, the accuracy and completeness of VNS data and VNS services provided to victims.
09-03 11/10/08	Resource Management of United States Attorneys' Offices	10	3	The EOUSA is implementing corrective measures to enhance its utilization and tracking of attorneys' time and casework. Furthermore, the EOUSA is establishing a standardized process for tracking attorney time to cases in order to more accurately reflect attorney utilization.
<u>FBI</u> 02-38 02/13/03	Review of the Federal Bureau of Investigation's Counterterrorism Program	14	13	Closure of this report is dependent upon the implementation of the United Financial Management System (UFMS) in the Federal Bureau of Investigation (FBI). The OIG has suspended all follow-up for this audit report until the UFMS is implemented and operational.

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03-37 09/30/03	Federal Bureau of Investigation Casework and Human Resource Allocation	7	5	The OIG expects the FBI to ensure that the data in its automated case support system is accurate and complete before the data is transferred to the FBI's case management system, Sentinel. The OIG has suspended follow-up for this audit until the data is transferred to Sentinel.
05-07 02/01/05	The Federal Bureau of Investigation's Management of the Trilogy Information Technology Modernization Project	9	8	The FBI replaced the Trilogy system with the Sentinel system. The OIG is monitoring this report through a follow-up audit entitled Sentinel Audit II: Status of FBI's Case Management System (OIG Audit Report Number 07-03)
05-34 08/01/05	Review of the Terrorist Screening Center's Efforts to Support the Secure Flight Program	5	4	The FBI is coordinating with the Transportation Security Administration to implement the Secure Flight Program.
06-32 05/22/06	Combined DNA Index System Operational and Laboratory Vulnerabilities	22	21	The FBI is implementing web-based tools to enhance the Combined DNA Index System community's awareness, understanding and consistent interpretation of the Quality Assurance Standards.
08-03 01/09/08	The Federal Bureau of Investigation's Management of Confidential Case Funds and Telecommunications Costs	16	5	The FBI is implementing various measures to strengthen its management of confidential case funds and telecommunications costs.

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08-24 06/05/08	The Federal Bureau of Investigation's Security Check Procedures for Immigration Applications and Petitions	21	18	The FBI is implementing several initiatives to enhance both the quality and the overall management of the National Name Check Program process.
09-08 01/22/09	The Federal Bureau of Investigation's Efforts to Combat Crimes Against Children	15	6	The FBI is working diligently to develop strategies for decreasing its backlog of digital evidence, develop a mechanism for tracking FBI responses to reports of child abductions, improve its coordination with other national child abduction programs, and develop a strategy and related guidelines for addressing child sex tourism.
09-25 05/06/09	The Federal Bureau of Investigation's Terrorist Watchlist Nomination Practices	16	9	The FBI is implementing various corrective measures to improve its nominations to and removals from the consolidated terrorist watchlist. In accordance with the President's directive, the FBI is evaluating the overall watchlist process to determine if further adjustments are necessary.

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09-31 06/24/09	U.S. Department of Justice Audit of the Federal Bureau of Investigation's BlackNet System Pursuant to the Federal Information Security Management Act Fiscal Year 2008	4	2	The FBI is replacing its Enterprise Management System and implementing a standard security baseline configuration on all servers, workstations, and network devices. In addition, the FBI is assessing the feasibility of implementing tools and techniques that would enable it to monitor user activity and system events, detect attacks or identify unauthorized use of the system.
09-32 06/24/09	U.S. Department of Justice Audit of the Federal Bureau of Investigation's Digital Collection System (DCS) 3000 Pursuant to the Federal Information Security Management Act Fiscal Year 2008	6	2	The FBI has implemented the Active Directory and ArcSight audit log management system at the Digital Collection System (DCS) 3000 program office/laboratory. The system is collecting logs from the laboratory, all network drives, and the Salt Lake City Field Office. The computer logs from all 80 sites will be incorporated into the system over the next two years.
09-36 09/25/09	The Federal Bureau of Investigation's Weapons of Mass Destruction Coordinator Program	13	1	The FBI is working diligently to improve and sustain its use of the Weapons of Mass Destruction (WMD) domain management process and to ensure that WMD Coordinators have the resources and tools necessary to detect and prevent WMDs.

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GR-40-06-006 05/26/06	Compliance with Standards Governing Combined DNA Index System Activities at the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory, Nashville, Tennessee	3	2	The FBI is working with the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory to review all forensic profiles to ensure that all profiles in NDIS are allowable and all specimen identification numbers are complete and accurate.
GR-70-09-003 03/30/09	Compliance with Standards Governing Combined DNA Index System Activities at the Maine State Police Laboratory, Augusta, Maine	3	0	The FBI is coordinating with the Maine State Police to rectify the weaknesses identified by the OIG.
GR-80-09-002 01/23/09	Compliance with Standards Governing Combined DNA Index System Activities at the Louisiana State Police Crime Laboratory Baton Rouge, Louisiana	6	3	The FBI is coordinating with the Louisiana State Police Crime Laboratory to ascertain the accuracy and allowability of profiles uploaded to NDIS prior to January 2007 and to ensure that the Laboratory's arrestee eligibility criterion used to qualify profiles for upload to NDIS are reviewed, updated and consistent with Louisiana state law.

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GR-80-09-003 04/24/09	Compliance with Standard Governing DNA Index System Activities at the North Louisiana Criminalistics Laboratory, Shreveport, Louisiana	5	3	The FBI is coordinating with the North Louisiana Criminalistics Laboratory to ensure that all profiles in NDIS that were analyzed with an unapproved PCR kit are removed from the system.
<u>JMD</u> 07-39 08/07/07	Audit of the Department of Justice Information Technology Studies, Plans and Evaluations	5	1	The JMD is updating the Department's IRM policy order to require program managers to apply the Department's system development life cycle process to ensure all appropriate studies and analysis are performed during the development and implementation of major IT investments. Publication of the order has been delayed to incorporate guidance required by recent OMB Memoranda.
08-01 12/07/07	U.S. Department of Justice Annual Financial Statement Fiscal Year 2007	5	4	The OIG will reassess the status of the remaining recommendation during the annual financial statement audit testing.
09-22 03/24/09	The Department of Justice's Litigation Case Management System	1	0	The Department is discontinuing the Litigation Case Management System (LCMS). The Department notified the OIG of its decision.

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09-28 06/09/09	U.S. Department of Justice Audit of the Justice Management Division's Information Security Program Pursuant to the Federal Information Security Management Act Fiscal Year 2008	7	4	JMD updated the DOJ Configuration Management Plan and DOJ Security Configuration Management Guidance (SCMG). The SCMG requires the components to create secure configuration baselines for priority categories by December 31, 2010. The secure baselines for the remaining categories are to be implemented December 31, 2011. In addition, JMD implemented BigFix, a NIST Security Content Protocol (SCAP) validating tools to use when scanning for FDCC settings. Once implemented, the components will be required to track their FDCC compliance with BigFix.
09-34 08/31/09	The Department of Justice's Management of the Federal Employees' Compensation Act Program	5	3	The Department is implementing corrective action to ensure that periodic medical updates are obtained and evaluated for reemployment opportunities for all DOJ employees and medical opinions are pursued as necessary. Furthermore, the Department is establishing a process to routinely monitor and update FECA case files and further the Department's efforts to return employees back to work or to a lighter or modified duty assignment.

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<u>OJP</u> 07-22 03/26/07	Office of Justice Programs National Law Enforcement and Corrections Technology Centers	3	2	The Office of Justice Programs (OJP) has completed the necessary corrective actions; however, OJP has not addressed the cost exceptions noted in the audit report.
09-24 04/28/09	Procedures Used by the Office of Juvenile Justice and Delinquency Prevention to Award Discretionary Grants in Fiscal Year 2007	9	5	The Office of Juvenile Justice and Delinquency Prevention (OJJDP) is compiling documentation to substantiate corrective actions pertaining to awards and programs for fiscal years 2008 and 2009, OJJDP guidance manual which clarifies how awards should be announced and what information should be included in those announcements, and OJJDP guidance manual for peer reviews. The OJP also is addressing the cost exceptions identified in the audit report.
<u>OVW</u> GR-30-07-005 09/28/07	Office of Violence Against Women West Virginia Division of Criminal Justice Services Rural Domestic Violence and Child Victimization Enforcement Charleston, West Virginia	8	2	The Office on Violence Against Women (OVW) is coordinating with the grantee to compile documentation related to subgrantee training, salary and fringe benefit costs based on actual hours worked, and labor cost allocation procedures.

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GR-60-09-006 12/16/08	Office on Violence Against Women Safe Haven Grant Funding Received by the City of Grand Island, Nebraska	3	1	OVW is working with the grantee to address the cost exceptions noted in the audit report.
GR-90-09-006 05/21/09	Office on Violence Against Women Grant to Encourage Arrest Policies and Enforcement of Protection Orders Awarded to Skagit County, Washington	2	1	OVW is coordinating with the grantee to rectify the cost exceptions identified in the audit report.
SR-60-09-001 11/05/08	Limited Scope Audit and Investigation of Santee Sioux Nation Niobrara, Nebraska	4	0	OVW is coordinating with the grantee to address the audit recommendations and cost exceptions.
SR-60-09-003 02/10/09	Limited Scope Audit of the South Dakota Coalition Against Domestic Violence and Sexual Assault	8	5	The OVW has implemented the necessary corrective actions; however, it still needs to address the noted cost exceptions to close the report.

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<u>USMS</u> 05-10 03/11/05	United States Marshals Service Administration of the Witness Security Program	21	20	The USMS has worked tirelessly with the Department Office of Enforcement Operations (OEO) to implement a memorandum of understanding (MOU) with Immigration and Customs Enforcement (ICE). While the USMS embraces the need for an MOU, it is beyond the USMS's authority as ICE is a component within another Federal agency. Therefore, USMS is compiling documentation to demonstrate the efforts it has made to implement a MOU.
07-01 10/16/06	The United States Marshals Service's Management of the Justice Prisoner and Alien Transportation System	15	14	Prior to the end of the reporting period, the USMS provided the OIG documentation to substantiate that JPATS has implemented a system that will ensure a more efficient and economical scheduling of ICE passengers prior to flight missions. The OIG closed the report on October 21, 2010.
07-38 07/13/07	The United States Marshals Service's Workforce Planning and Management	15	13	The USMS is deploying the Justice Detainee Information System(JDIS) 8 within all of the 94 district offices. In addition, the USMS is providing JDIS 8 training to district office personnel prior to each office's migration to JDIS 8.

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08-15 03/12/08	United States Marshals Service Annual Financial Statement Fiscal Year 2007	14	11	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.
08-34 09/26/08	Independent Evaluation of the U.S. Marshals Service's Warrant Information Network Pursuant to the Federal Information Security Management Act Fiscal Year 2007	9	4	The USMS is implementing various measures that are designed to improve the efficiency and effectiveness of the Warrant Information Network.
09-13 02/04/09	United States Marshals Service Annual Financial Statement Fiscal Year 2008	13	7	The OIG will evaluate the status of open recommendations during the annual financial statement audit testing.
GR-30-96-013 09/30/1996	United States Marshals Service Intergovernmental Service Agreement for Detention Facilities with Union County, New Jersey	4	0	The USMS, Office of Federal Detention Trustee (OFDT) and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-80-97-017 09/04/1997	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Torrance County, New Mexico	2	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.

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GR-90-98-001 10/10/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Pinal County, Arizona at the Corrections Corporation Central Arizona Detention Facility	2	1	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
<u>Multi-Component</u> 07-20 02/16/07	The Department of Justice's Internal Controls Over Terrorism Reporting	16	8	The CRM and FBI are implementing corrective actions that will enable each component to collect and report accurate terrorism statistics.
08-16 03/14/08	Audit of the U.S. Department of Justice Terrorist Watchlist Nomination Process	10	6	The ATF, FBI, and United States National Central Bureau (USNCB) are implementing corrective actions related to the nomination of non-investigative subjects to the consolidated terrorist watchlist, correct sourcing of watchlist records with information shared by the agencies and an agreement on sharing terrorism information for use in the consolidated terrorist watchlist.
08-18 03/25/08	Audit of Department of Justice Key Indicators	22	21	The EOUSA is addressing weaknesses in key indicators and ensuring the accuracy of key indicator performance data.

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09-35 09/18/09	The United States National Central Bureau of INTERPOL	27	2	The United States National Central Bureau (USNCB) and the Office of the Deputy Attorney General are implementing various initiatives to rectify the weaknesses identified by the OIG. These initiatives include preparing a comprehensive outreach program to enhance or increase participation, developing e-learning and traditional curriculum to share with federal, state and locallaw enforcement training academies, and contracting for a complete review and assessment of USNCB and its operations.
<u>COPS</u> Various	23 Grant Audit Reports 12 Single Audit Act Reports	239	111	The Office of Community Oriented Policing Services (COPS) is coordinating with the various grantees to remedy the actual cost exceptions and compile the necessary supporting documentation related to redeployment, retention planning, and supplanting.
<u>OJP</u> Various	20 Grant Audit Reports 37 Single Audit Reports	292	197	OJP is working with the various grantees to remedy the noted deficiencies and cost exceptions.