

COPY

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

- - - - - x

UNITED STATES OF AMERICA	:	<u>INDICTMENT</u>
	:	<u>UNDER SEAL</u>
v.	:	12 Cr. <u>302</u>
PETER GROMACKI,	:	
Defendant.	:	

- - - - - x

COUNT ONE

(Conspiracy to Violate the International
Emergency Economic Powers Act ("IEEPA"))

The Grand Jury charges:

1. From at least in or about 2006, up to and including in or about the present, PETER GROMACKI, the defendant, and others, known and unknown, unlawfully, willfully, and knowingly did combine, conspire, confederate, and agree together and with each other to commit offenses against the United States, to wit, to violate Sections 1701 to 1706 of Title 50, United States Code and Title 15, Code of Federal Regulations, Sections 730-774.

2. It was a part and an object of the conspiracy that PETER GROMACKI, the defendant, and others known and unknown, unlawfully, willfully, and knowingly, would and did export and re-export, and cause to be exported and re-exported, from the United States, controlled items, to wit, carbon fiber (including T700 carbon fiber), a controlled item under the applicable regulations, to customers located in the People's Republic of

China (the "PRC"), a listed country under the applicable regulations, without obtaining the required approval of the United States Department of Commerce ("DOC"), in violation of Sections 1701 to 1706 of Title 50, United States Code and Title 15, Code of Federal Regulations, Sections 730-774.

Overt Acts

3. In furtherance of the conspiracy and to effect the illegal object thereof, the following overt acts, among others, were committed in the Southern District of New York and elsewhere:

a. On or about May 14, 2007, a co-conspirator not named as a defendant herein ("CC-1") e-mailed PETER GROMACKI, the defendant, who resided in Orange County, New York, and stated, "[p]lease E-Mail me an Invoice for 6665.4LBS (convert into kilogram for pricing)."

b. On or about May 15, 2007, GROMACKI issued a commercial invoice from his company in Orange County, New York, that indicated that 3,029.73 kilograms of a commodity described as "Carbon Fiber Roving [a carbon fiber supplier] T700 12k," was sold to one of CC-1's companies.

c. On or about May 17, 2007, CC-1 arranged for a wire transfer in the amount of \$100,883.86 from Luxembourg to a United States bank account belonging to GROMACKI.

d. On or about June 9, 2007, GROMACKI arranged

for the export of T700 carbon fiber from the United States to Belgium, at CC-1's request.

e. On or about July 9, 2007, CC-1 arranged for the transshipment of T700 carbon fiber referenced in paragraph 3(d) above, to be sent from Belgium to the PRC.

(Title 18, United States Code, Section 371.)

COUNT TWO
(IEEPA)

4. In or about June 2007, PETER GROMACKI, the defendant, in the Southern District of New York and elsewhere, unlawfully, willfully, and knowingly, did export and re-export, and cause to be exported and re-exported, from the United States, controlled items, to wit, T700 carbon fiber, a controlled item under the applicable regulations, to a customer located in the PRC, a listed country under the applicable regulations, without obtaining the required approval from the DOC.

(Title 18, United States Code, Section 2;
Title 50, United States Code, Section 1701 to 1706;
Title 15, Code of Federal Regulations, Sections 736.2, 764.2.)

COUNT THREE
(False Statements)

5. In or about June 2007, PETER GROMACKI, the defendant, in the Southern District of New York and elsewhere, willfully and knowingly, in a matter within the jurisdiction of the executive branch of the Government of the United States, to wit, the United States Department of Commerce, did falsify,

conceal and cover up by trick, scheme, and device, material facts, and did make materially false, fictitious, and fraudulent statements and representations, to wit, GROMACKI caused false statements to be made on a Shippers Export Declaration Form for a shipment of carbon fiber from the United States to the PRC, concerning: (1) the ultimate consignee of the shipment, (2) the ultimate country of destination of the shipment, and (3) whether an export license was required for the shipment.

(Title 18, United States Code, Sections 2 and 1001.)

Forfeiture Allegations

6. As a result of committing one or more of the offenses charged in Counts One through Three of this Indictment, PETER GROMACKI, the defendant, shall forfeit to the United States, pursuant to 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461, all property, real and personal, that constitutes or is derived from proceeds traceable to the commission of the offenses charged in Counts One through Three, including but not limited to a sum of money representing the amount of proceeds obtained as a result of the offenses.

Substitute Assets Provision

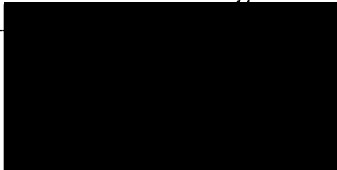
7. If any of the above-described forfeitable property, as a result of any act or omission of the defendant:

- (1) cannot be located upon the exercise of due diligence;

- (2) has been transferred or sold to, or deposited with, a third party;
- (3) has been placed beyond the jurisdiction of the court;
- (4) has been substantially diminished in value;
or
- (5) has been commingled with other property which cannot be subdivided without difficulty;

it is the intent of the United States, pursuant to 18 U.S.C. § 981(a)(1)(C), to seek forfeiture of any other property of said defendant up to the value of the above forfeitable property.

(Title 18, United States Code, Section 981(a)(1)(C);
18, United States Code, Section 2461.)



Preet Bharara
PREET BHARARA
United States Attorney

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT☐ COMPLAINT ☐ INFORMATION ☒ INDICTMENT

Name of District Court, and/or Judge/Magistrate Location (City)

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK****COPY****OFFENSE CHARGED:****DEFENDANT : Peter Gromacki**conspiracy to violate ☐ PettyIEEPA; IEEPA; False
Statements☐ Minor

(a/k/a):

☐ MisdemeanorAddress: **88 White Bridge Road, Middletown, New York
10940**☒ Felony

Birth Date: 10/16/1964

PLACE OF OFFENSE:**U.S. CITATION:**☒ Male☐ Alien (if
applicable)Orange County, New
YorkTitle 18, United States Code, Section 371, 1001, 2;
Title 50, United States Code, Section 1705☐ Female☐ Alien (if
applicable)

(Optional unless a juvenile.)

PROCEEDING**DEFENDANT**Name of complaint agency or person: FBI,
Department of Homeland Security, Department of
CommerceIf person is awaiting trial in another federal
or state court, give name of court:This person or proceeding is transferred from
another district per which rule of the FRCP? [
] 20 [] 21 [] 40 ; Show district**IS NOT IN CUSTODY**1) ☒ Has not been arrested pending this
proceeding. If not detained give date
any prior summons was served on above
charges.2) ☐ Is a fugitive.3) ☐ Is on bail or release from Southern
District of New York**IS IN CUSTODY**4) ☐ On this charge.5) ☐ On another conviction: [] Fed [] State6) ☐ Awaiting trial on other charges. (If
'Yes', show name of institution.)This is a reprosecution of charges previously
dismissed which were
dismissed on motion of:☐ U.S. Attny. ☐ Defendant☒ This prosecution relates to a pending
case involving this same defendant.**SHOW DOCKET NUMBER**

12 Cr. _____

Has detainer ☐ Yesbeen filed? ☒ No (date filed)**DATE OF ARREST**Name and office of person furnishing
information on this form:

PREET BHARARA

☒ U.S. ATTNY. ☐ OTHER U.S. AGENCY

Name of Asst. U.S. Attnys.

Christopher LaVigne/Jason Halperin

Or, if Arresting Agency & Warrant
were not Federal...**DATE TRANSFERRED TO U.S. CUSTODY**☐ This report amends A0257 previously submitted

-----ADDITIONAL INFORMATION OR COMMENTS-----

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

COPY

CRIMINAL

Designation form to be used by the United States Attorney to indicate the
Category of the offense for the purpose of Assignment to the Appropriate Calendar.

This section to be completed by the District Court Clerk's Office

DOCKET NO.: 12 CR 302

DATE OF ASSIGNMENT:

DATE OF FILING: 4/18/12

ASSIGNED JUDGE:

SUPERSEDING INDICTMENT? (Y/N): No

UNITED STATES OF AMERICA
v.

If in Custody,
Indicate Where

Interp.
Lang.

DOB

Counsel

PETER GROMACKI

English

WHEEL: B

COUNTY OF OFFENSE: Orange Cty,
NY

SPEEDY TRIAL ACT
TIME BEGINS ON:

The filing of the
Indictment, or arraignment
on charges, which ever is
later.

Place an X next to ONE category ONLY:

1. Larceny and Theft
2. Embezzlement
3. Income Tax
4. Securities laws
5. Fraud (other than 3 or 4)
6. Forgery and Counterfeiting

x

7. Narc. & Controlled Drugs
8. Bribery
9. Immigration Laws
10. Antitrust
11. Selective Service
12. All others

The United States Attorney designates the particular offense(s) charged to have been violated as follows:

Title:Sec. U.S.C.	No. Counts	Description	Maximum Penalty
18 U.S.C. § 371	1	Conspiracy to Violate IEEPA	Maximum of 5 years' imprisonment; fine is greatest of two times the gross gain, two times the gross loss, or \$250,000; \$100 SA; maximum of 3 years' supervised release.
50 U.S.C. § 1705	1	IEEPA Violation	Maximum sentence of 20 years' imprisonment; a maximum term of three years' supervised release; a maximum fine of \$1,000,000; a mandatory \$100 special assessment.
18 U.S.C. § 1001	1	False Statements	Maximum of 5 years' imprisonment; fine is greatest of two times the gross gain, two times the gross loss, or \$250,000; \$100 SA; maximum of 3 years' supervised release.

Sentencing Guidelines apply to Count(s):

All

PREET BHARARA
UNITED STATES ATTORNEY - SDNY

BY AUSA:

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