

Instructions – Personal Injury Compensation Form

This claims process was established under the September 11th Victim Compensation Fund, part of legislation passed by Congress and signed into law by the President. The Fund provides compensation to individuals who were physically injured or the families and beneficiaries of individuals who were killed as a result of the terrorist-related attacks of September 11, 2001. The United States Department of Justice and the Special Master issued final regulations to implement this fund on March 7, 2002. Both the United States Department of Justice and the Special Master are committed to ensuring that this program is administered expeditiously, fairly, and in a manner that is sensitive to the needs of those who have suffered as a result of the attacks. To help accomplish these goals, assistance will be made available to all claimants to help them complete this claims process and obtain any and all benefits that will be available to eligible claimants under this fund.

These instructions are designed to help you complete and submit the Personal Injury Compensation Form. If you are a Personal Representative for a Victim who was killed as a result of the September 11th attacks, you should use the instructions for the Compensation Form for Deceased Victims.

Please remember to include the Victim's Social Security or National Identification Number at the top of each page and attach any additional pages.

Where can I go for more information?

Through the Internet – The Victim Compensation Fund web site address is:

www.usdoj.gov/victimcompensation

By telephone – The toll-free Helpline number is 1-888-714-3385 (TDD 888-560-0844). If you are calling from outside the United States, please call 202-305-1352.

In person – You can go to one of several Claims Assistance Sites. Because their locations and hours of operation are subject to change, please call the Helpline or visit the website to find the nearest location, directions, and current hours of operation.

Introduction

What is the September 11th Victim Compensation Fund?

The Fund was established by Congress as part of Public Law 107-42 and designed to bring financial relief to those most devastated by the events of September 11th. Specifically, it provides compensation to:

- Individuals who suffered physical harm as a result of the terrorist attacks
- The beneficiaries and families of those killed as a result of the terrorist attacks

Your participation in the Fund is voluntary. The Fund provides a no-fault alternative to tort litigation. By participating in the Fund process, claimants waive their rights to bring such litigation.

What is included in the Personal Injury Compensation Form?

The Compensation Form has four parts:

Part I – Eligibility and Application for Advance Benefits: This identifies the Victim and establishes eligibility requirements. This part also allows you to elect for Advance Benefits against the final award.

Part II – Compensation Information: This addresses the information and documentation the Special Master needs to calculate the award.

Part III – Attestations and Certifications: This part includes your authority for release of information contained in the Compensation Form and your certification that the information in the Compensation Form is true, accurate, and complete.

Part IV – Supporting
Documentation Checklist: This
checklist identifies the supporting
documentation that you must submit
with the Compensation Form.

Who should complete the Personal Injury Compensation Form?

Victims who were physically injured as a result of the terrorist-related aircraft crashes of September 11, 2001 and wish to submit a claim to the fund.

Do I use the Compensation Form to apply for Advance Benefits?

Yes. Injured Claimants hospitalized for one week or longer are eligible for Advance Benefits. You can apply in Part I. If you already submitted the earlier version of the *Eligibility Form and Application for Advance Benefits* and did not apply for Advance Benefits through that form, you can use this Compensation Form to apply now.



Where should I mail my completed Compensation Form?

Completed Compensation Forms should be mailed to the following address:

By regular mail:

Victim Compensation Fund P.O. Box 18698 Washington, DC 20036-8698

By overnight mail:

Victim Compensation Fund 1900 K Street, NW Suite 900 Washington, DC 20006 202-822-4485

General instructions

- Please read all instructions carefully before completing the form.
- Review the Checklist in Part IV for the documents that you will need to provide with your form.
- Include your Social Security
 Number or National Identification
 Number at the top of all pages of the form, and on all additional pages or documents you submit.
- Complete all sections of the Compensation Form. Otherwise, the Special Master will not be able to calculate the compensation award.
- Have a Notary Public (or equivalent if you do not live in the U.S.) notarize your signature in Part III.e – Attestations and Certifications.
- Please make a copy of your completed Compensation Form before you mail it. The Special Master's office may need to contact you for clarification or

additional information based on what you submitted.

- Mail your completed Compensation Form so that it is postmarked no later than December 21, 2003.
- Please send a letter or call the Victim Compensation Fund Helpline if you change your address and/or telephone number between now and December 21, 2003. If the Special Master has questions and cannot locate you, your claim may be deemed abandoned at the end of the program, which is December 21, 2003.

What should I do if I already submitted the form entitled Eligibility Form and Application for Advance Benefits?

This Personal Injury Compensation Form replaces and supplements the earlier version of the *Eligibility Form and Application for Advance Benefits*. It should be used for all physical injury claims submitted after March 24, 2002. If you submitted the earlier document, you will not have to resubmit information you already provided. However, you will need to do the following:

- Part I Please provide the claim number. Also, if you did not apply for Advance Benefits in your previous submission and you wish to do so now, please so indicate in Part I.f. Otherwise, you can skip the rest of Part I.
- Part II Please complete all questions.
- Part III Please initial, sign, and notarize this part.
- Part IV Please identify all supporting documentation you are submitting.
- If you choose to participate in this program, you waive certain rights to litigate. This waiver could

apply to the rights of individuals other than you. This waiver does not apply to a civil action to recover collateral source obligations or to a civil action again any person who is a knowing participant in any conspiracy to hijack any aircraft or commit any terrorist act.

Claims by Non-U.S. Citizens or Residents

- If you do not have a U.S. Social Security Number, you must provide your country's equivalent identification number (such as a national tax identification number). This number will be used to track your claim.
- A Notary Public or equivalent for your country must notarize your signature.
- Please list the amounts for medical expenses, loss of earnings, and collateral source compensation in whatever currency they were or will be earned or paid.
- Unless you have a U.S. bank account, the award will be paid to you in U.S. dollars via a check from the U.S. Department of the Treasury. It will be mailed to the address you provide in Part I.a.

Section-by-section instructions

These instructions provide an overview of the questions in each section, provide more detail on certain questions, and identify the supporting documentation that you must include with your Compensation Form.



Part I – Eligibility and Application for Advance Benefits

Part I.b – Information about Victim's Circumstances on September 11, 2001

This section asks for information about you:

- Were you a rescue worker?
- Where were you injured (please check "other" and provide information if you were injured somewhere other than at the Pentagon or the World Trade Center)

The regulations require that you were present at the site at the time of the aircraft crashes or in the immediate aftermath.

Present at the site (the World Trade Center, Pentagon, or Shanksville) means physically present at the time of the crashes or in the immediate aftermath:

- i) In the buildings or portions of buildings that were destroyed as a result of the airplane crashes: or
- ii) In any area contiguous to the crash sites that the Special Master determines was sufficiently close to the site that there was a demonstrable risk of physical harm resulting from the impact of the aircraft or any subsequent fire, explosions, or building collapses.

Immediate aftermath means the following:

- In general the period of time from the crashes until 12 hours after the crashes
- For rescue workers the period of time from the crashes until 96 hours after the crashes

What is the definition of physical harm?

To document eligible physical harm you must provide proof of a *physical* injury to the body that was treated by a medical professional:

 Within 24 hours of the injury having been sustained or within 24 hours of rescue. However, Victims who were unable to realize immediately the extent of their injuries or did not have appropriate care available on September 11th are eligible if medical treatment was sought within 72 hours of the injury having been sustained or within 72 hours of rescue. The Special Master has discretion to extend the time period even further on a case-by-case basis for rescue personnel who otherwise meet this requirement, but did not seek medical treatment within 72 hours.

AND

- You must show that the injury either
 - Required hospitalization as an inpatient for at least 24 hours,
 or
 - Caused, either temporarily or permanently, partial or total physical disability, incapacity, or disfigurement.

The Special Master cannot compensate those who suffered only emotional harm. Also, the Fund cannot cover those who face only a risk of future injury (i.e., a latent injury that does not fully manifest itself within the two year statutory time period for the Fund).

Part I.c – Information about the Victim's Physical Injury

You need to indicate whether your injury caused temporary or permanent, partial or total, disability, disfigurement, or incapacity.

TIP! For certified medical records contact the medical provider directly and request certified copies.

Please describe your injury and provide **certified** medical records created by or at the direction of the medical professional who provided you the medical care. This would include medical records of hospitals, clinics, physicians, licensed medical staff, or registries maintained by Federal, State, or local governments.

How will disability be defined?

Temporary disability – The Special Master may consider evidence regarding the length of time the Victim was absent from his or her employment. The Special Master will be guided by the definition of temporary disability employed in disability programs or by private insurers.

Permanent disability – The Special Master will make a determination regarding whether the Victim is capable of performing his or her usual profession in light of his or her injuries.

Total permanent disability – The Special Master may accept a determination of disability made by the Social Security Administration without further medical evidence or review. He may also consider the determination of permanent disability made by other government agencies or private insurers in evaluating the claim. The Special Master may require an evaluation of the Victim's disability and ability to perform his or her occupation from medical experts.

Part I.d – Information about the Victim's Guardian

If the Victim is a minor or is incapacitated, information on the guardian or other authorized legal



representative should be provided here.

Please provide the person's name, address, telephone number, and name of the firm (if applicable).

Part I.e – Information about the Victim's Attorney or Other Authorized Individual

You are not required to have a lawyer to file a claim. However, you have the right to be represented by an attorney and you should be aware that you will be waiving and affecting rights to file lawsuits by your submission of a claim.

Please complete this section if you are represented by counsel and indicate if you want either your attorney or another person to deal with the Special Master's office regarding questions about your claim (instead of contacting you directly).

Part I.f – Advance Benefits Election

Advance Benefits is a payment of \$25,000 available to a physically injured Victim facing financial hardship who was hospitalized for one week or longer. The Special Master deducts the Advance Benefits from the final compensation award.

Please indicate if you wish to apply for Advance Benefits. There are two criteria for Advance Benefits eligibility:

 You must have been hospitalized for at least one week (answer this in Part 1.c)

AND

 You have not yet received an amount in excess of your lost wages plus out-of-pocket medical expenses from other sources (Money received from privatelyfunded charitable entities should not be included when calculating this amount).

Method of Payment of Advance Benefits

Please make an election for how you wish to receive the Advance Benefits payment. If you elect direct deposit, enclose the requested financial institution information and attach a voided check.

Acknowledgement of Waiver of Rights

You must acknowledge your understanding that by submitting a substantially complete Eligibility Part I Eligibility and Application for Advance Benefits Form and requesting Advance Benefits you are waiving the right to file a civil action (or be a party to an action) in any Federal or State court relating to or arising out of damages sustained as a result of the terroristrelated aircraft crashes of September 11, 2001. This Waiver of Rights could apply to the rights of individuals other than you. This waiver does not apply to other civil actions to recover collateral source obligations or a civil action against any person who is a knowing participant in any conspiracy to hijack any aircraft or commit any terrorist act.

You must sign that you read and understand this acknowledgement.

Part II – Compensation Information

You must complete all sections of Part II. Otherwise, the Special Master will not be able to calculate your compensation. The compensation will be the sum of the economic loss and the non-economic loss minus collateral offsets.

The **economic loss** will vary depending on the following:

- Loss of earnings or other benefits related to employment because of disability
- Medical expense loss
- Replacement services loss

The Victim's **non-economic loss** will be based on the nature of the injury and non-economic harm.

Please note: The Special Master has not published a table, list, or chart of presumed economic or non-economic loss for injured Victims because the amount will have to be determined in part based on the duration and amount of disability, incapacity, or disfigurement. A Victim who is totally or permanently disabled may look at the presumed awards chart for some guidance as to economic loss.

The Special Master will reduce the loss by the present value of the **collateral source offsets**. These are discussed in more detail in Part II.h.

Part II.a – Selection of Claims Processing Track

You can choose one of two tracks to adjudicate (process) your claim. You must submit a completed Compensation Form and all the supporting documentation, regardless of which Track you select:

Track A has two steps. In Step 1, the claim is reviewed and a presumed award is calculated. Step 2 is optional. In Step 2, you can request a hearing and have the presumed award reviewed. You can present additional information at the hearing.

Track B has one step. The claim is presented at a hearing, after which the award is calculated. You must submit all information before the hearing is held. You cannot submit additional information after the



hearing, although you may offer witnesses to testify at the hearing.

Part II.b – Victim's Employment History

This section asks you to provide information about the Victim's employment. Please describe your employment since September 11, 2001. For employment before September 11, 2001, please describe your employment starting with the most recent employment information and work backwards to 1999.

Part II.c - Dependents not Listed

The number of dependents directly impacts the economic loss and the non-economic loss calculation. The Special Master will be able to identify most of the Victim's dependents from the Victim's 2000 United States Federal tax return or other nation's national tax return.

You should list any of the Victim's dependents not listed on the 2000 Federal/national tax return. These would include a child born or adopted on or after January 1, 2001, children listed on the tax return of the Victim's spouse if the couple filed their taxes separately, any another person who became a dependent on or after January 1, 2001, or any other dependents who could have been, but were not claimed as a dependent on the Victim's 2000 return.

Part II.d - Insurance Information

Please provide information on any insurance policies under which the injured Victim is covered.

Part II.e - Victim's Medical Loss

The Special Master will review your non-reimbursed medical expenses and loss of earnings to determine your economic loss.

You should describe and document non-reimbursed **medical expenses** (i.e., not covered by health insurance) that were incurred as a result of the physical injury. You should also describe any anticipated **future medical expenses** that are not reimbursable associated with the injury you sustained as a direct result of the September 11th aircraft crashes. If you are a military or government employee, indicate what medical program or coverage you are eligible for.

Please remember that the Special Master cannot reimburse you for latent injuries – injuries that have not manifested at the time of the claim.

Part II.f – Victim's Loss of Earnings To Date

This section also asks you to describe and document your loss of earnings – the actual amount of work you missed without compensation (i.e., you were not paid for these days of missed work). You may also provide information about any claimed loss of business or employment opportunities.

All claimants who have lost earnings and who expect to have significant lost earnings in the future as a result of their injuries and disability should provide the compensation data and employer provided benefit information requested in Part II.q.

Part II.g – Victim's Loss of Future Earnings

Complete this section <u>only</u> if you are suffering an ongoing disability or are seeking compensation for loss of future earnings.

This section asks you to describe and document any claimed **future loss of earnings** – the amount of work you will miss without

compensation or reduction in earnings.

Compensation Information for Disabled Claimants

The Victim's compensation award will be based, in part, on earned income. This includes base salary and wages, bonus, commission, overtime, or incentive pay, and certain other employer provided benefits. This does *not* include passive income such as investments or rentals.

Claimants should feel free to contact the Helpline or the Claims Assistance Centers to get assistance in this process.

Please enter the Victim's pre-tax base salary and wages for 1999, 2000, 2001, and 2002. Indicate how the salary was paid and attach supporting documentation. Documentation could include pay stubs, salary letters, or end of year pay statements.

Some Victims received additional sources of compensation, such as bonuses, commissions, overtime, tips, honoraria, or other incentive pay. The Special Master will consider these when determining total compensation. Please describe and provide information for each additional source of compensation the Victim received in 1999, 2000, 2001, and 2002.

If the Victim was self-employed or a business owner, please provide compensation details with the form at Part II.i.

Employer-Provided Benefit Information

Any eligible Victim who lost earnings and who expects to lose earnings in the future as a result of the injuries should provide information on their employer provided benefits. In addition to



base salary and other sources of compensation, many Victims received benefits provided by their employers. The amount of certain benefits will be considered with the compensation information to calculate the economic loss. The most common benefits include the following:

- Health benefits
- Pension
- Employer contribution to Victim's 401(k) or similar plan
- · Housing allowance
- Transportation subsidy or company car
- · Military benefits
- Government employee benefits
- Other- such as profit sharing plans

Please provide details on benefits the Victim received from his/her employer in 2000 and 2001. Some benefits are provided as a dollar amount (such as medical benefits), and some are provided as a percentage of income (such as 401(k) contributions). Please indicate how the employer provided these benefits.

Military housing allowances should be included under the compensation section, instead of the benefit page.

The Special Master will need a variety of supporting documents for this section. Please see the Document Checklist for help determining what documents to include. Employers can be contacted for additional information on benefits provided.

The Special Master recognizes that collecting this information may be a difficult task. Please contact the Helpline or the Claims Assistance Centers if you need further assistance or guidance.

Part II.h – Collateral Source Compensation

When Congress created the Victim Compensation Fund, it legislated that the compensation award be reduced by **collateral source compensation**. These include benefits you received or are entitled to receive related to the terrorist – related aircraft crashes of September 11, 2001.

Please describe any Social Security benefits you have received, are currently receiving, or have applied to receive from the Social Security Administration as a result of your injury. Please provide a copy of documentation from the Social Security Administration stating your eligibility and benefits.

Please describe any worker's compensation payments you have received, are currently receiving, or are entitled to receive as a result of your injury. Please provide a description of your worker's compensation benefit program or coverage. This information should be available from your employer or union (if your employer or union provided the program) or from your insurance agent (if you purchased worker's compensation insurance independently).

Finally, please describe **any other payments** you received, or are entitled to receive. Please provide a copy of appropriate documentation.

Please note: Contributions from privately funded charitable entities to you or your family, will **not** be deducted as a collateral source component.

Part II.i – Other Information (optional)

Please use this section to provide any additional information you believe is relevant to the calculation of compensation. This might include clarification of information you provided elsewhere in the Compensation Form or information not covered elsewhere, including information relevant to the determination of economic and non-economic loss.

Please remember to put your Social Security Number/ National Identification Number at the top of each page of additional information you attach to your Compensation Form.

Part III – Attestations and Certifications

This Part contains a series of important certifications and authorizations you must make. Please take sufficient time to read and understand each of them. They cover the information you submit in and with your claim. You must also have your signature notarized in the space provided.

Part III.b – Certification of Dismissal from Any Legal Action

Participation in the Victim Compensation Fund is voluntary. To participate, however, you must certify that you have not filed a civil action (or been a party to an action) in any Federal or State court relating to or arising out of damages sustained as a result of the terroristrelated aircraft crashes of September 11, 2001. This does not apply to other civil actions to recover collateral source obligations or a civil action against any person who is a knowing participant in any conspiracy to hijack any aircraft or commit any terrorist act.

If you or your spouse or any of your dependents have already filed a civil action (or were party to an action), you must indicate if this civil litigation action was dismissed by March 21, 2002, and you must attach proof of the dismissal.



Part III.c – Acknowledgement of Waiver of Rights

You must acknowledge that, by submitting a substantially complete Personal Injury Compensation Form claim, you are waiving the right to file a civil action (or be a party to an action) in any Federal or State court relating to or arising out of damages sustained as a result of the terroristrelated aircraft crashes of September 11, 2001. This waiver could apply to the rights of individuals other than you. This waiver does not apply to other civil actions to recover collateral source obligations or a civil action against any person who is a knowing participant in any conspiracy to hijack any aircraft or commit any terrorist act.

Part III.d – Authorization for Release of Information

You must authorize the release of information relating to your claim so that the Special Master can review, verify, and process your claim. This authorization allows the U.S. Department of Justice and the Special Master to do the following:

- Obtain information from third parties, such as professionals who provided medical treatment
- Disclose information relating to your claim to other Federal, State, or local agencies, such as the U.S. Department of the Treasury; or other entities having information related to your claim, such as your employer(s) and insurer(s)
- Publish your name as a claimant on the Victim Compensation Fund website, at www.usdoj.gov/victimcompensation
- Release information on you and your claim to law enforcement authorities if there is evidence of fraud
- Allow the U.S. Department of Justice to provide released information to duly accredited

- representatives of the Department during the review of your claim
- Contact your attorney or other individual (identified in Part I.d and I.e) for more information on your submission, if necessary.

Your authorization is valid for five years from the date of your signature, or upon your written termination, whichever is sooner.

Part III.e – Notarized Certification of Accuracy of Information

You must certify that the information contained in and attached to the Compensation Form is true and accurate. The Special Master will use procedures to verify, authenticate, and audit claims. False statements may result in fines, imprisonment, and/or any other remedy available by law. The Special Master shall refer all evidence of false or fraudulent claims to the Department of Justice and other appropriate law enforcement authorities.

What is a Notary Public? What does "notarization" mean?

A Notary Public is a person authorized by the state to notarize certain documents. To notarize means to witness a person signing a document. In New York State, for example, Notary Publics are commissioned in their counties of residence. There is a small fee to notarize a document.

TIP! Please remember to wait and sign the Certification in front of the Notary Public. If you sign the form before you see the Notary Public, you will have to re-sign the form so that the Notary Public can witness your signature.

Part IV – Supporting Documentation Checklist

As noted throughout the Compensation Form, you must submit supporting documentation.

The Special Master developed this checklist to help you prepare and submit a complete Compensation Form. Please use it to collect the required documentation. Please describe all documents you submit (if they are not already listed in the checklist). Unless noted otherwise, you must submit documentation for all Parts. Finally, please submit the completed checklist with your Compensation Form.

Exhibit A – Authorization for Release of Medical Records

You need to submit a completed copy of Exhibit A, Authorization for Release of Medical Records with the Personal Injury Compensation Form. This exhibit contains an authorization for all doctors and health care providers to release the medical records of the Victim. Please sign this authorization and attach it with your completed Compensation Form.

Paperwork Reduction Act Notice

An agency may not conduct or sponsor an information collection and a person is not required to respond to a collection of information unless it contains a currently valid OMB approval number. We try to create forms and instructions that are accurate, can be easily understood, and which impose the least possible burden on you. The estimated average time to complete and file this application is 15 hours. If you have comments regarding the accuracy of this estimate, or suggestions for making this form simpler, you can write to the Office of the Special Master, U.S. Department of Justice, 950 Pennsylvania Ave, NW, Washington, DC 20530; OMB No. 1105-0078. (Do **not** mail your completed application to this address.)



Victim's SSN or Nat'l ID #:

PLEASE COMPLETE THIS FORM BY TYPING OR PRINTING IN CAPITAL LETTERS		
If you have previously submitted an <i>Eligibility Form and Application for Advance Benefits</i> , pleas Number here [Claim #] and proceed directly to Part II.	e enter y	our Claim
Part I. a - General Victim Information		
Fait i. a - General Victim information		
Victim's Last Name		
First Name		
First Name Middle Name		ı
Street Address Line 1	<u> </u>	
Street Address Line 2		
Apartment Number City State/Province	<u> </u>	
Apartment Number City State/Province		
ZIP/Postal Code Country	1	
	<u> </u>	
Passport Country (if not U.S.) Passport Number (if not U.S. and if available)		
Country of Citizenship Victim's Date of Birth (mm/dd/yyyy)		
	 	Ш
Telephone Number (day) Telephone Number (evening)		
Part I. b - Information about Victim's Circumstances on September 11, 2001		
Was the Victim a rescue worker? Yes No		
Location of the Victim at time of injury (choose one) Date and Time of Injury		
Pentagon Pentagon		A.M. □ P.M. □
World Trade Center Date (mm/dd/yyyy)	Time (hour	-)
Public Street near WTC (Please provide address/cross-streets)		
	LL	
	I	
Other		<u> </u>
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Victim's SSN or Nat'l ID #:

If No, was the victim treated within 72 hours? Please provide an explanation for the delay in treatment if not treated within 24 hours: d the Victim's injury require hospitalization for at least 24 hours? If Yes, how many days? d the physical injury cause incapacity? d the physical injury cause disfigurement? If yes, is the disability partial or total? Is the disability temporary or permanent? Asse briefly describe the nature of the Victim's physical injuries and attach certified copies of all supporting means.
If No, was the victim treated within 72 hours? Please provide an explanation for the delay in treatment if not treated within 24 hours: Did the Victim's injury require hospitalization for at least 24 hours? If Yes, how many days? Ploid the physical injury cause incapacity? Did the physical injury cause disfigurement? Poid the physical injury cause disability? If yes, is the disability partial or total? Is the disability temporary or permanent? Perm. Perm. Perm. Perm. Perm.
Please provide an explanation for the delay in treatment if not treated within 24 hours: Did the Victim's injury require hospitalization for at least 24 hours? If Yes, how many days? Did the physical injury cause incapacity? Please briefly describe the nature of the Victim's physical injuries and attach certified copies of all supporting me
Did the Victim's injury require hospitalization for at least 24 hours? If Yes, how many days? Did the physical injury cause incapacity? Poid the physical injury cause disfigurement? Yes No Did the physical injury cause disability? If yes, is the disability partial or total? Is the disability temporary or permanent? Perm. Perm. Ilease briefly describe the nature of the Victim's physical injuries and attach certified copies of all supporting me
If Yes, how many days? Did the physical injury cause incapacity? Poid the physical injury cause disfigurement? Poid the physical injury cause disfigurement? Yes No Did the physical injury cause disability? If yes, is the disability partial or total? Is the disability temporary or permanent? Partial Total Temp. Perm.
If Yes, how many days?
Did the physical injury cause incapacity? Please briefly describe the nature of the Victim's physical injuries and attach certified copies of all supporting metals.
Did the physical injury cause disfigurement? Yes No Did the physical injury cause disability? If yes, is the disability partial or total? Partial Total
Did the physical injury cause disability? If yes, is the disability partial or total? Is the disability temporary or permanent? Perm. Please briefly describe the nature of the Victim's physical injuries and attach certified copies of all supporting me
If yes, is the disability partial or total? Is the disability temporary or permanent? Partial Total Temp. Perm.
Is the disability temporary or permanent? Temp. Perm.
lease briefly describe the nature of the Victim's physical injuries and attach certified copies of all supporting me



ictim's SSN or Nat'l ID #:
Part I. d - Information About the Victim's Guardian (If Applicable)
If someone other than the injured Victim is submitting this claim as a guardian or other authorized legal representative, pleas complete the following (please read the detailed instructions for more information):
Representative's Social Security or National ID Number: Representative's relationship to Victim: Guardian Other explain
Representative's Last Name
First Name Middle Name
Street Address Line 1
Street Address Line 2
Apartment Number City State/Province
Zip/Postal Code Country
Telephone Number (day) Telephone Number (evening) Do you want to allow this person to discuss this claim with the Speci Master and/or the Victim Compensation Fund and receive relate correspondence? Yes No
Part I. e - Information about the Victim's Attorney or Other Authorized Individual (If Applicable)
If an attorney or other authorized individual is assisting the Victim with this claim, please check the applicable box and fill out the information below: Attorney Other Individual If other, explain I
Attorney's Last Name
First Name Middle Name
Firm Name (if applicable) Street Address Line 1
Street Address Line 2
Suite Number City State/Province
Zip/Postal Code Country
correspondence? Yes No

Telephone Number (evening)



Victim's SSN or Nat'l ID #: Part I. f - Advance Benefits Election Eligible injured Victims may apply for Advance Benefits of \$25,000 if the physical injury required hospitalization for one week or more. Do you wish to apply for Advance Benefits? Yes 🗌 If Yes, please continue below. If No, please skip to Part II. ☐ I hereby certify that I need the Advance Benefit to alleviate financial hardship, I am a physically injured Victim or Guardian of a physically injured Victim and I have not yet received an amount in excess of the Victim's lost wages plus out-of-pocket medical expenses from other sources, such as government programs or employerprovided benefits (excluding monies received from privately funded charities). **Method of Payment of Advance Benefits** The payment will go to the Victim. Check one of the boxes below (direct deposit is generally the quickest way to receive payment). Check - Note that the check will be mailed to the address listed in Part I. a ☐ Direct deposit/electronic fund transfer (Available for U.S. banks only) - Note that payments will be wired to the account of the Victim only. Please attach a copy of a voided check and fill out the information below. Savings Account Number Checking ABA Routing Number - This number can be obtained by contacting your Financial Institution or can be located at the bottom of your checks. (Nine digit number preceding your account number.)

Telephone Number

City

Name of Financial Institution

Street Address Line 1

Street Address Line 2

Zip Code



Victim's SSN or Nat'l ID #:		-		-			1					

Acknowledgement of Waiver of Rights (for Advance Benefits)

I hereby acknowledge that by submitting a substantially complete *Part I - Eligibility and Application for Advance Benefits Form* and requesting Advance Benefits, I am **waiving** the right to file a civil action (or be a party to an action) in any Federal or State court for damages sustained as a result of the terrorist-related aircraft crashes of September 11, 2001.

Please note this Waiver of Rights could apply to the rights of individuals other than the injured Claimant. This waiver does not apply to a civil action to recover collateral source obligations or to a civil action against any person who is a knowing participant in any conspiracy to hijack any aircraft or commit any terrorist act.

				1			1	1
Signature of Claimant	·		Date	(mm/	dd/yyy	/y)		

Supporting Documentation - Please see the Supporting Documentation Checklist at the end of this form to identify the documents you need to send with your claim.



Victim's SSN or Nat'l ID #:		
question in full. Use additional paper	part will help determine the value of the compensation awa per if you need more space. If you do so, please add the Victim per to which information is being added.	
Part II. a - Selection of Claims Pro	cessing Track	
Please select one of the adjudicati claim package regardless of which	on tracks described below by checking a box. (Note that you track you choose.)	must submit a completed
	two steps. In step 1, the claim is reviewed and a presumed a fictim may, at his/her option, accept the award or request Iditional information.	
☐ Track B - In this Track, a hearing	ng will be held to determine the amount of the award.	
Part II. b - Victim's Employment H	listory	
	history from January 1999 to the present. Please note any of this period. If self-employed, write Self-Employed in the Employed.	
Date Range	Employer Name and Address	Employer Phone #
/ / to / / Job Title and/or Description		
Employment between January 1		
Date Range	Employer Name and Address	Employer Phone #
/ / to / /		
Job Title and/or Description		
Date Range	Employer Name and Address	Employer Phone #
/ / to / /		
Job Title and/or Description	1	
Date Range	Employer Name and Address	Employer Phone #
/ / to / /		
Job Title and/or Description		1
II		

Note: if you need more space to answer Part II.b, check the box and continue on another copy of this page



	Pa	ırt II - Compens	sation	
Victim's SSN or Nat'l ID #:				
Part II. c - Dependents				
Please attach a copy of y	our 2000 Federal/Natio	nal Tax return (if	you filed one) show	ring dependents listed.
	after December 31, 2000			al/National Tax Return (such as arately-filed return) and explair
Dependent's Name	(First Middle Last)	Date of Birth (mm/dd/yyyy)	SSN or National ID Number	Relationship to Victim
Part II. d - Insurance Information		h care or disability	benefits under which	n the injured Victim is covered.
Insurance Type	Name of Carrier	G	roup or Individual	Policy or ID #
Major Medical		Gro	oup 🗌 Individual 🛭	
Union Benefits		Gro	oup Individual	
Medicare		Gro	oup 🗌 Individual 🛭	
Medicaid		Gro	oup Individual	
Disability Income Insurance		Gro	oup Individual	
Workers Compensation		Gre	oup Individual	
Other (please describe)		Gro	oup Individual	
Other (please describe)		Gro	oup Individual	
Other (please describe)		Gro	oup Individual	
Other (please describe)		Gro	oup Individual [

Note: if you need more space to answer Part II.d, check the box and continue on another copy of this page



Victim's SSN or Nat'l ID #:
Part II. e - Victim's Medical Loss
What amount of medical expenses directly attributable to the Victim's injury from the September 11th attacks were not paid for or reimbursed? Medical Expenses Loss To Date - Please describe below any medical expenses not paid for or reimbursed including rehabilitation treatment, vocational training, home modification, assisted living and other such expenses.
Future Medical Expenses - Please describe below any anticipated future medical expenses that will not be paid for, reimbursed, or provided by a health care program (such as VA).

Note: if you need more space to answer Part II.e, check the box and continue on another copy of this page



Part II. f - Victim's Loss of Earnings To Date
art II. 1 - Victim 3 Loss of Lamings To Date
Loss of Earnings - Please describe below any loss of earnings and/or other benefits from work already missed as a result of the injury (i.e. work missed for which you were not or will not be compensated). Attach documentation regarding uncompensated absences from work as a result of injury sustained on or as a result of the September 11th air crashes.
Replacement Services - Please describe below any household services to date that you have not been able to perform as a result of the injury. Include information about the cost of obtaining replacement services.

Note: if you need more space to answer Part II.f, check the box and continue on another copy of this page



Victim's SSN or Nat'l ID #:		-		-			

Part II. g - Victim's Loss of Future Earnings
Complete Part II.g <u>only</u> if you are suffering an ongoing disability or are seeking compensation for loss of future earnings.
1) Medical Condition - Disability
If you claim permanent disability, ongoing temporary disability or if due to an incapacity you anticipate a loss of future earnings, please: 1) describe the nature of the disability or incapacity, and 2) state whether any government agency, insurer, or physician has made a determination with respect to your disability. Please attach any determination of your capacity to work in the future.
2) If you had a decrease in compensation due to the injury, please describe below:
Note: if you need more space to answer Part II.g, check the box and continue on another copy of this page

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							•					
/ictim's S	SSN or Na	t'l ID #:		_		-						
future e	earnings	as a res	sult of the	injury. F	Please de	scribe ho	sability or w this disa nt or any	bility will a	affect your	scribe below job in the fu	<i>i</i> any anticip ture. Please	pated loss of e also explair
4) Loss as a res	s of Futu	re Repla	acement	Services	- Please	describe	below any	future hou	sehold serv	ices that you	u will be una	ble to perform

Note: if you need more space to answer Part II.g, check the box and continue on another copy of this page



ictim's SSN or Nat'l ID #:		-		-		

5) Compensation Information for Disabled Claimants

If you are disabled and anticipate a loss of future earnings from your injury, please provide your complete compensation history nents, s both W-2

bonuses, incentive pay, etc. Ple are not considered in the calculation employed and self-employed comforms and other attachments) for the	ase note that passive son. For salaried Victims uplete both lines. In ac	sources of income, suc please provide base s	ch as income from ren alary at the end of eac	tal properties or investments, the year. If the Victim was both
	(Ple	Compe	nsation Amount er than US Dollars)
Was the Victim self-employed? If	<u>2002</u>	<u>2001</u>	2000	<u>1999</u>
yes, enter total yearly compensation amount here.				
If not self-employed, enter Base Salary/Wage information here.				
Indicate whether figure provided is a yearly, monthly, bi-weekly, weekly, or hourly figure.				
Additional Compensation - Plea bonuses, overtime, tips, commission				not limited to, incentive pay,
For Victims who were in the arms each category. However, if you wat the end of this statement. If you Leave and Earnings Statement ind	ant the Special Master to do so, there is no need icating the pay level and	to rely on published cond to complete this sect dispense the benefit information.	npensation and benefi ion, but please attach	t scales please check the box
☐ I wish to rely on publish		•		4000
Other Compensation (Please describe)	<u>2002</u>	<u>2001</u>	<u>2000</u>	<u>1999</u>
Other Compensation (Please describe)				السيواليا
Other Compensation (Please describe)				
Other Compensation (Please describe)				
Other Compensation (Please describe)				

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Victim's SSN or Nat'l ID #:		-		-			

6) Employer Provided Benefit Information

In addition to the compensation information provided above, the compensation award for loss of future earnings will be based on certain employment benefits provided to the Victim by his/her employer. Please provide details on employer provided benefits received during the years 2000 and 2001.

	I otal Ber	
Health Benefits - Payroll deduction or cost of employer-provided health benefits to employee and any other covered persons (indicate).	(Please provide currency if other than 2001	US Dollars)
who was covered):	, []	1
☐ Victim only		
or Victim and One Dependent or		
☐ Victim and Family		
2. Pension Benefits - Attach (a) pension plan or pension section from	om employee handbook and (b) rece	ent pension statement. Check one:
Defined Benefit Plan (monthly pension payable at retirement) (indicate victim's hire date at last employer://	_) period)	Plan (employer contribution each payontribution as % of salary:%)
3. Employer Matching Contribution to 401(k)/403(b)	(· · · · · · · · · · · · · · · · · · ·	
Employer matching contributions as a percent of pay:	%	%
Actual dollar amount of employer matching contribution:		
4. Employer-provided transportation subsidy or company car		
If car was provided, please specify % of personal use	%	%
5. Employer-provided club dues, memberships		
Indicate whether figure is yearly, monthly, weekly, hourly, etc.		
6. Housing allowance (Non-military) (Military allowances should be included on previous page.)		<u> </u>
Indicate whether figure is yearly, monthly, weekly, hourly, etc.		
Was the allowance permanent or temporary?	Permanent	Temporary
If temporary, when did it end?		
7. Other employer-provided benefit (please describe)		1
Indicate whether figure is yearly, monthly, weekly, hourly, etc.		
8. Other employer-provided benefit (please describe)		
Indicate whether figure is yearly, monthly, weekly, hourly, etc.		



-					
Victim's SSN or Nat'l ID #:] [
Part II. h - Collateral S	Source Comp	ensation			
received, is receiving or	r has applied to injury. (Include	receive from the uniformed service	Social Security Admi	nistration or from worker's	yments that the Victim has s compensation programs as s compensation.) Attach any
Other Payments - Ple compensation for or in r	ase identify ar	nd describe any o	other payments, inclu charitable contributio	uding medical payments, ns).	that the Victim received as

Note: if you need more space to answer Part II.h, check the box and continue on another copy of this page



Victim's SSN or Nat'l ID #:	
Part II. i - Other Inform	nation (optional)

Please use the area below (and any additional pages) to provide any other information that you believe may be relevant to the individualized circumstances of your claim and the calculation of the economic and non-economic loss as well as collateral offsets. You may also attach any additional documents not already requested that you believe might be relevant.

Check here if you need more space to answer Part II.i and are attaching additional pages.

Supporting Documentation - Please see the Supporting Documentation Checklist at the end of this form to identify the documents you need to send with your claim.

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September 11th Victim Compensation Fund of 2001 Personal Injury Compensation Form Part III - Attestations and Certifications

Victim's SSN or Nat'l ID #:		-	
Part III. a - Privacy Act	Notice		
2001, Title IV of Publ information you submit your eligibility for and the Provision of this information processing or a denial	ic Law 107-42, 115 Sta in your claim is for offici he amount of compensat mation is voluntary; how	ect this information by the September at 230 ("Air Transportation Safety at ial use by the U.S. Department of Justion you may receive under your clair vever, failure to provide complete in on you submit regarding your claim may acy Act.	nd System Stabilization Act"). The stice for the purposes of determining in to the Victim Compensation Fund iformation may result in a delay in
Part III. b - Certification	n of Dismissal of any Le	gal Action	
Federal or State court r September 11, 2001 (c	relating to or arising out of other than civil actions to ipant in any conspiracy to	ciary of the Victim filed a civil action of damages sustained as a result of the recover collateral source obligations of hijack any aircraft or commit any terration been dismissed as of March 21, 2	ne terrorist-related aircraft crashes of or a civil action against any persorist act)?
		Initial here	(please attach proof of dismissal if applicable)
Part III. c - Acknowledg	gement of Waiver of Rig	hts	
the right to file a civil ac		substantially complete Personal Injury action) in any Federal or State court fo er 11, 2001.	
apply to a civil action t	to recover collateral sour	to the rights of individuals other than tree obligations or to a civil action againft or commit any terrorist act.	

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Signature of Claimant



September 11th Victim Compensation Fund of 2001 **Personal Injury Compensation Form** Part III - Attestations and Certifications

Tart in Autostations and Commoditions
Victim's SSN or Nat'l ID #:
Part III. d - Authorization for Release of Information
I Authorize the U.S. Department of Justice to obtain any information relating to my claim under the September 11th Victim Compensation Fund of 2001 (Compensation Fund) from individuals, employers, hospitals, medical service providers, other federal, state or local agencies including the Social Security Administration and the Internal Revenue Service, or other sources having information relating to my claim. This information may include, but is not limited to, medical, employment, and financial information about me or the victim whom I represent.
I Further Authorize the U.S. Department of Justice to disclose any records or information relating to my Compensation Fund claim to: agency contractors assisting in the administration of the Compensation Fund; other federal, state, or local agencies, including the Department of the Treasury; and other individuals or entities having information related to the claim, such as physicians, medical service providers, insurers, and employers.
I Further Authorize the U.S. Department of Justice to publish my name as the claimant filing a claim and the name of the Victim for whom compensation is sought.
I Further Authorize the release of information relating to my claim, where such information indicates a violation or potential violation of law, including submission of fraudulent claims, to any civil or criminal law enforcement authority or other appropriate agency charged with responsibility of investigating or prosecuting such a violation.
I Further Authorize individuals having information pertinent to my claim to release such information to a duly accredited representative of the Department of Justice during the review of my claim to the Compensation Fund, regardless of any previous agreement to the contrary. Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon my written termination whichever is sooner.
I Further Authorize the Special Master, the United States Department of Justice or agency contractors assisting in the administration of the Compensation Fund to contact my attorney or other persons authorized to act on my behalf (if identified in Part I. d or I.e) if the Special Master needs additional information or clarification about my claim.
I Certify that I am the person named below (claimant to the Compensation Fund) and I authorize the release of information listed above.
Signature of Claimant
Signature of Claimant Date (mm/dd/yyyyy)
Part III. e - Notarized Certification of Accuracy of Information
I hereby certify that the information provided in this application is true and accurate to the best of my knowledge. Further, I understand that false statements or claims made in connection with this application may result in fines,

imprisonment and/or any other remedy available by law to the Federal Government.

		1				_L	
Signature of Claimant (Sign in the presence of Notary Public)		-	Date	(mm/	dd/yy	уу)	

Official Notarization - Please have this page certified by a Notary Public (or equivalent for non-U.S. Personal Representatives). The Notary Public should apply seal to this page.





Victim's SSN or National ID #

In order to process your claim, we need certain supporting documents to substantiate information you provided. This checklist has been developed to help you compile those documents. Please submit it with your claim.

Supporting Documentation for Part I (Eligibility)	Attached?	For Internal Use Only
Part I.b Victim's Circumstances on September 11, 2001 (required) Documentation showing the Victim was present at the site (for example, an affidavit from the Victim's employer, records of employment, medical records, records of Federal, State, city or local government, or other sworn statement regarding the presence of the Victim)		
 Part I.c Information About Victim's Physical Injury (required) Documentation that you were physically injured at the site and treated by a medical professional within 24 hours of being injured or rescued, unless you were unable to realize immediately the extent of your injuries or did not have appropriate care available on September 11th and treatment was sought within 72 hours of being injured or rescued. (The Special Master has discretion to extend the time period on a case-by-case basis for rescue personnel who otherwise meet this requirement, but did not seek medical treatment within 72 hours.) Certified medical records (from a hospital, clinic, physician, or other licensed medical professional) Other (please describe) 		
Other (please describe)		
Documentation of the nature and/or severity of your injury (e.g., temporary or permanent):		
Documentation of any disability:		
Evaluation by medical expert		
Determination by Social Security Administration		
Determination by private insurer		
Determination by other government entity – Federal, State, local, other (please describe)		
Other (please describe)		
Other (please describe)		



Victim's SSN or National ID #				
Victim's SSN or National ID #				

In order to process your claim, we need certain supporting documents to substantiate information you provided. This checklist has been developed to help you compile those documents. Please submit it with your claim.

Supporting Documentation for Part I (continued)	Attached?	For Internal Use Only
Other Documentation (optional)		
Other documentation you have included in support of Part I:		
Other (please describe)		
Part I.f – Advance Benefits Election (only if requesting direct deposit)		
Copy of voided check		

Supporting Documentation for Part II (Compensation)	Attached?	For Internal Use Only
Part II.c – Dependents		
Copy of 2000 Federal/National Tax Return		



Victim's SSN or National ID #

Supporting Documentation for Part II (Compensation)	Attached ?	For Internal Use Only
Part II.e – Victim's Medical Loss (required)		
Documentation of all claimed medical expenses not-reimbursed.		
Documentation of all claimed future medical expense that will not be reimbursed.		
Insurance information:		
Documentation of your health insurance coverage(s)		
Part II.f – Victim's Loss of Earnings to date		
Documentation of current loss of earnings (number of days lost that were not reimbursed and related compensation lost) Number of Days		
Affidavit from employer		
Pay stubs		
Salary letter End of year pay statement		
Other (please describe)		
Other (please describe)		



		ı				
Victim's SSN or National ID #						

Supporting Documentation for Part II (continued)	Attached?	For Internal Use Only
Part II.g – Victim's Loss of Future Earnings		
Future loss of earnings (expected duration and related compensation that will be lost) Duration		
Bonus letter		
End of year benefit statement		
End of year pay statement		
Other (please describe)		
Other (please describe)		
Other (please describe)		
Compensation Information for Disabled Claimants (base salary/wages) Please attach written proof of the Victim's base salary/wages for 2002, 2001, 2000, and 1999. Examples of the types of proof to include are listed below. You do not need to attach all of these documents for each year. All that is needed is a single supporting document for each year - one that you believe best substantiates the compensation information you provided in the form: Year-end pay statement Pay stubs Salary letter Other (please describe) Other (please describe) Tax information/returns (Federal/National, State, local, other)	\$\\ \text{01} \\ \text{02} \\ \\ \	



Victim's SSN or National ID #					

Supporting Documentation for Part II (continued)	Attached?	For Internal Use Only
Compensation Information for Disabled Claimants (additional compensation)		
Please attach written proof of additional sources of compensation the Victim received in 2002, 2001, 2000, and 1999. Examples of the types of documents to include are listed below. You do not need to attach all of these documents for each year . All that is needed is a single supporting document for each year -one that you believe best substantiates the additional compensation information you provided in the form:		
End of year pay statement		
Bonus letter	02 01 00 99	
Commission letter	02 '01 '00 '99	
Overtime stubs	02 '01 '00 '99	
Other (please describe)	02 01 00 99	
Other (please describe)	02 01 00 99	
Other (please describe)	02 01 00 99	
Employer-Provided Benefits Please attach written proof of employer-provided benefits in 2001 and 2000. Examples of benefits are listed below. Please check the ones that apply and for which you have attached documentation: Documentation on Health Benefits Pension plan description(s) Pension plan statement(s) Employer-provided transportation 401k documentation Employer-provided club dues Non-military housing allowances Other (please describe) Other (please describe) Other (please describe)		



Victim's SSN or National ID #

Supporting Documentation for Part II (continued)	Attached?	For Internal Use Only
Part II.h Collateral Source Compensation (required)		
Please attach documentation for all collateral sources of compensation the Victim has or is entitled to receive. Examples of collateral sources of compensation are listed below. Please check the ones that apply and for which you have attached documentation.		
Short-term disability insurance		
Long-term disability insurance		
Worker's compensation insurance		
Social Security		
Other (please describe)		
Other (please describe)		
Part II.i Other Information (optional)		
Please list any additional documents that you have included with the Compensation Form that you believe will assist the Special Master in reviewing your claim and considering your individual circumstances in deriving a compensation award for economic and non-economic harm.		
Supporting Documentation for Part III (Attestations and Certification)	Attached ?	For Internal Use Only
Part III.b Certification of Dismissal of Legal Action		
Proof of dismissal (only if applicable)		

September 11th Victim Compensation Fund of 2001 Exhibit A to the Personal Injury Compensation Form Authorization for Release of Medical Records

Instructions for Claimant – please list all doctors and medical care providers who were involved in diagnosing and treating your injury in Section 1. Please copy this page and complete if you need to list more than four health care providers. Then, please print your name and address and sign in the block in Section 2.

Section 1 – Name and telephone number for doctors and health care providers

I hearby authorize the person or carrier or other provider listed below to disclose confidential information about the claimant listed below: Doctor/Provider _____ Doctor/Provider _____ Doctor/Provider _____ Doctor/Provider Section 2 – Claimant information and signature Victim's Last Name First Name Middle Name Victim's Social Security Number Victim's Date of Birth Address Address State/Province Zip/Postal Code City Country I understand that this authorization is voluntary, and that the information to be disclosed may be protected by law. I authorize the following entity to receive confidential information pertaining to me: The September 11th Victim Compensation Fund of 2001 P.O. Box 18698 **Washington, DC 20036-8698** Victim's Signature Information to be disclosed to the Victim Compensation Fund includes application or enrollment information, eligibility information, claims records, claim status, and patient medical records. Disclosure requested will include otherwise confidential information. If the records include claims or other information pertaining to chronic diseases, behavioral health conditions, including alcohol or substance abuse, communicable diseases, including HIV/AIDS, and/or genetic marker information, these records will be included in the information made available to the Victim Compensation Fund. Type of coverage to which this authorization applies (the doctor or health care provider will check all that apply) Pharmacy Long Term Care Medical Disability Other (please specify)

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