

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA**

UNITED STATES OF AMERICA,

Plaintiff,

v.

UNITED TECHNOLOGIES CORPORATION

and

ROCKWELL COLLINS, INC.,

Defendants.

CASE NO. 1:18-cv-02279-RC

JUDGE: Rudolph Contreras

**CERTIFICATE OF COMPLIANCE WITH PROVISIONS  
OF THE ANTITRUST PROCEDURES AND PENALTIES ACT**

Plaintiff, United States of America, by the undersigned attorney, hereby certifies that, in compliance with the Antitrust Procedures and Penalties Act, 15 U.S.C. § 16 (b)-(h) (“APPA”), the following procedures have been followed in preparation for the entry of the Final Judgment in this matter:

1. The Complaint, proposed Final Judgment, and Hold Separate Stipulation and Order, by which the parties have agreed to the Court’s entry of the Final Judgment following compliance with the APPA, were filed with the Court on October 1, 2018. The Competitive Impact Statement was filed with the Court on October 10, 2018.

2. Pursuant to 15 U.S.C. § 16(b), the proposed Final Judgment and Competitive Impact Statement were published in the *Federal Register* on October 17, 2018 (*see* 83 Fed. Reg. 52542).

3. Pursuant to 15 U.S.C. § 16(c), a summary of the terms of the proposed Final Judgment was published in *The Washington Post*, a newspaper of general circulation in the District of Columbia, for seven days beginning on October 15, 2018, and ending on October 21, 2018.

4. Pursuant to 15 U.S.C. § 16(b), copies of the proposed Final Judgment, Competitive Impact Statement, Complaint, and Hold Separate Stipulation and Order were furnished to all persons requesting them and made available on the Department of Justice, Antitrust Division's internet site.

5. As noted in the Competitive Impact Statement, there were no determinative materials or documents within the meaning of 15 U.S.C. § 16(b) that were considered by the United States in formulating the proposed Final Judgment, so none was furnished to any person pursuant to 15 U.S.C. § 16(b) or listed pursuant to 15 U.S.C. § 16(c).

6. Pursuant to 15 U.S.C. § 16(g), on October 11, 2018, the Defendants filed with the Court descriptions of their written or oral communications by or on behalf of the Defendants, or any other person, with any officer or employee of the United States concerning the proposed Final Judgment.

7. The sixty-day comment period prescribed by 15 U.S.C. § 16(b) and (d) for the receipt and consideration of written comments, during which the proposed Final Judgment could not be entered, ended on December 20, 2018. The United States did not receive any comments on the proposed Final Judgment.

8. The parties have satisfied all the requirements of the APPA that were conditions for entering the proposed Final Judgment. The Court may now enter the Final Judgment if the

