

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA**

UNITED STATES OF AMERICA,

Plaintiff,

v.

MONSANTO COMPANY and  
DELTA AND PINE LAND COMPANY,

Defendants.

Civil Case No.:

Filed:

---

**PROPOSED FINAL JUDGMENT**

WHEREAS, Plaintiff United States of America filed its Complaint on May 31, 2007, Plaintiff and Defendants, Monsanto Company (“Monsanto”) and Delta and Pine Land Company (“DPL”), by their respective attorneys, have consented to the entry of this Final Judgment without trial or adjudication of any issue of fact or law, and without this Final Judgment constituting any evidence against or admission by any party regarding any issue of fact or law;

AND WHEREAS, Defendants agree to be bound by the provisions of this Final Judgment pending its approval by the Court;

AND WHEREAS, the essence of this Final Judgment is the prompt and certain divestiture of certain rights and assets and alterations of certain existing license terms by Defendants to assure that competition is not substantially lessened;

AND WHEREAS, Plaintiff requires Defendants to make certain divestitures and alter

certain existing license terms for the purpose of remedying the loss of competition alleged in the Complaint;

AND WHEREAS, Defendants have represented to Plaintiff that the divestitures and license term alterations required below can and shall be made and that Defendants shall later raise no claim of hardship or difficulty as grounds for asking the Court to modify any of the divestiture or license alteration provisions contained below;

NOW THEREFORE, before any testimony is taken, without trial or adjudication of any issue of fact or law, and upon consent of the parties, it is ORDERED, ADJUDGED AND DECREED:

### **I. Jurisdiction**

This Court has jurisdiction over the subject matter of and each of the parties to this action. The Complaint states a claim upon which relief may be granted against Defendants under Section 7 of the Clayton Act, 15 U.S.C. § 18.

### **II. Definitions**

As used in this Final Judgment:

A. “Acquirer of the Enhanced Stoneville Assets” means the entity or entities to whom Defendant Monsanto divests the Enhanced Stoneville Assets.

B. “Cotton States” means Defendant Monsanto’s cotton variety licensing business pursuant to which Defendant Monsanto licenses other cottonseed companies to produce or sell Defendant Monsanto’s own cotton varieties, cotton varieties Defendant Monsanto in-licenses from other breeders, or cotton varieties Defendant Monsanto produces from such varieties.

C. “DPL” means Defendant Delta and Pine Land Company, a Delaware corporation with its headquarters in Scott, Mississippi, its successors and assigns, and its subsidiaries, divisions, groups, affiliates, interests in partnerships and joint ventures, and their directors, officers, managers, agents, and employees.

D. “DPL Acquirer” means the entity to whom Defendant Monsanto divests Defendant DPL.

E. “Monsanto” means Defendant Monsanto Company, a Delaware corporation with its headquarters in St. Louis, Missouri, its successors and assigns, and its subsidiaries, divisions, groups, affiliates, partnerships and joint ventures, and their directors, officers, managers, agents, and employees.

F. “Stoneville” means all assets used exclusively or primarily in, or to support, the U.S. business of Stoneville Pedigreed Seed Company, including, but not limited to the assets described in Schedule A.

G. “Enhanced Stoneville Assets” means Stoneville and the additional assets, properties, and rights listed in Schedule B.

H. “Syngenta” means Syngenta Crop Protection AG, a Swiss corporation with its headquarters in Basel, Switzerland, its successors and assigns, and its subsidiaries, divisions, groups, affiliates, partnerships and joint ventures, and their directors, officers, managers, agents, and employees.

I. “VipCot Assets” means the assets, properties, and rights listed in Schedule C.

### **III. Applicability**

A. This Final Judgment applies to Defendants Monsanto and DPL, as defined above, and all other persons in active concert or participation with any of them who receive actual notice of this Final Judgment by personal service or otherwise.

B. If, prior to complying with Sections IV and V of this Final Judgment, Defendants sell or otherwise dispose of all or substantially all of their assets that include the Enhanced Stoneville Assets or the VipCot Assets, they shall require, as a condition of the sale or other disposition, that the purchaser(s) agree to be bound by the provisions of this Final Judgment. Defendants need not obtain such an agreement from the acquirers of the assets divested pursuant to this Final Judgment.

### **IV. Divestiture of Enhanced Stoneville Assets**

A. Defendants are ordered and directed, in accordance with the terms of this Final Judgment, within ninety (90) calendar days after the filing of the Complaint in this matter, to divest the Enhanced Stoneville Assets to an acquirer acceptable to Plaintiff in Plaintiff's sole discretion. Defendants shall use their best efforts to accomplish the divestiture of the Enhanced Stoneville Assets as expeditiously as possible. Plaintiff, in its sole discretion, may grant one or more extensions of this time period, not to exceed sixty (60) calendar days in total, and shall notify the Court in each such circumstance.

B. Within two (2) business days following execution of a definitive agreement or agreements for the divestiture of the Enhanced Stoneville Assets, or the filing of this Final Judgment, whichever is later, Defendants shall notify Plaintiff in writing of the proposed

divestiture. The notice shall set forth the details of the proposed divestiture, including a list of the name, address, and telephone number of each person who offered, or expressed an interest in or desire, to acquire any ownership interest in the Enhanced Stoneville Assets, together with full details of the same. Defendants need not include in this notice information about any persons previously identified in an affidavit filed in compliance with this Final Judgment as offering, or expressing an interest in or desiring, to acquire any ownership interest in the Enhanced Stoneville Assets. Defendants shall include with the notice a copy of the divestiture agreement or agreements and copies of any other agreements entered into by either or both of the Defendants and the proposed Acquirer of the Enhanced Stoneville Assets since the Complaint in this matter was filed, or up to three (3) months before the filing of the Complaint in this matter. Defendants may incorporate by reference in this notice any responsive information or documents previously provided to Plaintiff, provided that Defendants identify with specificity when the information or documents were previously provided and, if the information or documents were part of a larger submission, where in the submission the information or documents may be located.

C. Within fifteen (15) calendar days of receipt by Plaintiff of such notice, Plaintiff may request from Defendants, the proposed Acquirer of the Enhanced Stoneville Assets, or any other third party, additional information concerning the proposed divestiture, the proposed Acquirer of the Enhanced Stoneville Assets, and any other potential acquirer. Defendants shall furnish any additional information requested of Defendants within fifteen (15) calendar days of the receipt of the request, unless Defendants and Plaintiff shall otherwise agree.

D. Within fifteen (15) calendar days after receipt of the notice or within ten (10)

calendar days after Plaintiff has been provided the additional information requested from Defendants, the proposed Acquirer of the Enhanced Stoneville Assets, and any third party, whichever is later, Plaintiff shall provide written notice to Defendants stating whether or not it objects to the proposed divestiture. If Plaintiff provides written notice that it does not object, the divestiture may be consummated. Absent written notice that Plaintiff does not object to the proposed Acquirer of the Enhanced Stoneville Assets or upon objection by Plaintiff, the divestiture of the Enhanced Stoneville Assets to that proposed Acquirer shall not be consummated.

E. The divestiture of the Enhanced Stoneville Assets shall be accomplished in such a way as to satisfy Plaintiff, in its sole discretion, that the Enhanced Stoneville Assets can and shall be used by the Acquirer of the Enhanced Stoneville Assets to operate a viable, ongoing business engaged in the development, production and sale of traited cottonseed. The divestiture of the Enhanced Stoneville Assets:

- (1) shall be made to an Acquirer of the Enhanced Stoneville Assets that, in Plaintiff's sole judgment, has the intent and capability (including the necessary managerial, operational, technical, and financial capability and intellectual property rights) of competing effectively in the business of developing, producing and selling traited cottonseed in the United States, including a credible commitment to the traited cottonseed market;
- (2) shall be accomplished so as to satisfy Plaintiff, in its sole discretion, that the divestiture shall not result in the substantial lessening of competition

for the development, production, and sale of traited cottonseed in any geographic area; and

- (3) shall be accomplished so as to satisfy Plaintiff, in its sole discretion, that none of the terms of any agreement between an Acquirer of the Enhanced Stoneville Assets and Defendants give Defendants the ability unreasonably to raise the Acquirer's costs, to lower the Acquirer's efficiency, or otherwise to interfere in the ability of the Acquirer to compete effectively.

F. Defendants shall provide to the Acquirer of the Enhanced Stoneville Assets and Plaintiff information relating to the personnel primarily involved in the operation of Stoneville to enable the Acquirer of the Enhanced Stoneville Assets to make offers of employment.

Defendants shall not interfere with any negotiations by the Acquirer of the Enhanced Stoneville Assets to employ any such personnel.

G. For a period of two (2) years from the filing of the Complaint in this matter, Defendants shall not solicit to hire, or hire, any individual primarily involved in the operation of Stoneville on the date of the filing of the Complaint in this matter who receives a substantially equivalent offer of employment from the Acquirer, unless such individual is terminated or laid off by the Acquirer, or the Acquirer agrees that Defendants may solicit and employ that individual.

H. Defendants shall not take any action that shall impede in any way the operation, use or divestiture of the Enhanced Stoneville Assets.

I. Defendants shall warrant to the Acquirer of the Enhanced Stoneville Assets that

there are no material defects in the environmental, zoning or other permits pertaining to the operation of each asset that shall have a material adverse effect on the operation of the Enhanced Stoneville Assets, and that following the sale of the Enhanced Stoneville Assets, Defendants shall not undertake, directly or indirectly, any challenges to the environmental, zoning, or other permits relating to the use or operation of the Enhanced Stoneville Assets based on actions or inactions that existed prior to the date of divestiture.

**V. Divestiture of VipCot Assets**

A. Defendants are ordered and directed, in accordance with the terms of this Final Judgment, to offer Syngenta the VipCot Assets listed in the attached Schedule C within thirty (30) calendar days of the filing of the Complaint in this matter. The offer shall remain open for at least six (6) months. Defendants shall use their best efforts to accomplish the divestiture of the VipCot Assets as expeditiously as possible, but in any event no later than ninety (90) calendar days after the divestiture of the Enhanced Stoneville Assets or thirty (30) calendar days after Syngenta accepts the offer, whichever is latest. Plaintiff, in its sole discretion, may extend the time period for Defendants to divest the VipCot Assets to Syngenta by granting one or more extensions, not to exceed ninety (90) calendar days in total, and shall notify the Court in each such circumstance.

B. Prior to transmitting to Syngenta the offer for the assets described in the attached Schedule C, Defendants shall provide Plaintiff with copies of the offer for the approval of the Plaintiff in its sole discretion. Along with the offer, Defendants shall provide Plaintiff copies of any other agreements not previously provided to Plaintiff entered into by either or both of the



Defendants and Syngenta since the Complaint in this matter was filed, or up to three (3) months before the filing of the Complaint in this matter. Within five (5) business days following receipt of the offer, Plaintiff shall provide written notice to Defendants stating whether the offer must be amended to meet the objectives of the divestiture of the VipCot Assets. Absent written notice that Plaintiff does not object to the offer, the divestiture of the VipCot Assets to Syngenta pursuant to the offer shall not proceed. Upon objection by Plaintiff, Defendants shall alter the terms of the offer to satisfy Plaintiff in its sole discretion.

C. Defendants shall permit Syngenta to have reasonable access to personnel and to any and all financial, operational, or other documents and information relating to the VipCot Assets customarily provided as part of a due diligence process.

D. Defendants shall not take any action that shall harm the VipCot Assets or impede in any way the divestiture of the VipCot Assets.

## **VI. Changes in Third Party Licenses**

A. Defendant Monsanto agrees to offer to its licensees, within thirty (30) calendar days of the date of the sale of the Enhanced Stoneville Assets, to make the following changes to its third-party cottonseed trait and Cotton States licenses, subject to the approval of Plaintiff in its sole discretion:

1. Current Cotton Insect Resistance and Herbicide Tolerance Trait Licensing Agreements: Defendant Monsanto shall modify its current cottonseed trait licenses to provide the licensees with the flexibility Defendant DPL currently has to develop, market or sell cottonseed containing non-Monsanto traits by removing any provisions that require

or incentivize the licensee to develop, market or sell cottonseed containing only traits from Defendant Monsanto.

2. Cotton States Licenses: Defendant Monsanto shall modify its Cotton States licenses to eliminate any provision that allows Defendant Monsanto to terminate the license if the licensee sells cottonseed containing non-Monsanto traits in brands not licensed under the Cotton States license.

B. Prior to making the offers, and no later than five (5) days after the date of sale of the Enhanced Stoneville Assets, Defendant Monsanto shall provide Plaintiff with copies of the offers for the approval of Plaintiff in its sole discretion. Within five (5) days of receipt of the offers to modify the license agreements, Plaintiff shall provide written notice to Defendant Monsanto stating whether the offers must be amended. Absent written notice that Plaintiff does not object to the offers, Defendant Monsanto may not proceed with offering the modifications to the licensees. Upon objection by Plaintiff, Defendant Monsanto shall alter the terms of the offers to satisfy Plaintiff in its sole discretion. In the event any of the licensees do not accept the offer containing the modifications described in Section VI.A. as approved by Plaintiff in its sole discretion, Defendant Monsanto shall act as though such modification has been made and shall not enforce any license provision that is the subject of any such modification.

## **VII. Divestiture of Defendant DPL**

A. If Defendants have not divested the Enhanced Stoneville Assets by the end of the time period permitted by this Final Judgment, Defendants shall notify Plaintiff of that fact in writing. Defendant Monsanto shall then divest DPL within sixty (60) days. If Defendant Monsanto has not divested Defendant DPL by the end of the sixty-day period, Defendant

Monsanto shall notify Plaintiff of that fact in writing. Upon application of Plaintiff, the Court shall appoint a trustee selected by Plaintiff and approved by the Court to effect the divestiture of Defendant DPL.

B. Defendant Monsanto shall use its best efforts to assist the trustee in accomplishing the required divestiture of Defendant DPL, including its best efforts to effect all necessary regulatory approvals. The trustee and any consultants, accountants, attorneys, and other persons retained by the trustee shall have full and complete access to the personnel, books, records, and assets at the facilities to be divested, and Defendant Monsanto shall develop financial or other information relevant to the assets to be divested customarily provided in a due diligence process as the trustee may reasonably request, subject to reasonable protection for confidential commercial information. In addition, Defendant Monsanto shall:

- (1) permit prospective acquirers of Defendant DPL who have been invited to submit binding bids for Defendant DPL to have reasonable access to Defendant DPL's personnel and to make such inspection of Defendant DPL and any and all financial, operational, or other documents and other information as may be relevant to the divestiture of Defendant DPL, subject to reasonable protection for confidential commercial information;
- (2) provide the DPL Acquirer and Plaintiff information relating to the personnel of Defendant DPL to enable the DPL Acquirer to make offers of employment;
- (3) take no action to interfere with any negotiations by the DPL Acquirer to employ any Defendant DPL employee;

- (4) take no action to interfere with or to impede the trustee's accomplishment of the divestiture of Defendant DPL;
- (5) warrant to the DPL Acquirer that on the date of sale each asset shall be in the same condition as when Defendant Monsanto acquired Defendant DPL, except for the harvesting of cotton plants and selection of lines in the ordinary course of business, and ordinary wear and tear of assets and facilities;
- (6) warrant to the DPL Acquirer that there are no material defects in the environmental, zoning or other permits pertaining to the operation of each asset that have arisen since Defendant Monsanto acquired Defendant DPL; and
- (7) shall not, following divestiture of Defendant DPL, undertake, directly or indirectly, any challenges to the environmental, zoning, or other permits relating to the operation of Defendant DPL, or otherwise take any action that shall impede in any way the permitting, operation, or divestiture of Defendant DPL.

C. Unless Plaintiff otherwise consents in writing, the divestiture of Defendant DPL pursuant to this Section of the Final Judgment, whether accomplished by Defendant Monsanto or a trustee, shall include the entirety of Defendant DPL, and shall be accomplished in such a way as to satisfy Plaintiff, in its sole discretion, that (a) Defendant DPL shall remain no less viable than when Defendant Monsanto acquired it, (b) the divestiture of Defendant DPL shall remedy the competitive harm alleged in the Complaint, and (c) none of the terms of any agreement

between a DPL Acquirer and Defendant Monsanto give Defendant Monsanto the ability unreasonably to raise that person's costs, to lower that person's efficiency, or otherwise to interfere in the ability of that person to compete effectively.

D. The trustee shall have the power and authority to accomplish the divestiture of Defendant DPL at the earliest possible time to an acquirer acceptable to Plaintiff, in its sole discretion, at such price and on such terms as are then obtainable upon reasonable effort by the trustee, and shall have such other powers as the Court deems appropriate. Subject to Section VII.F of this Final Judgment, the trustee shall have the power and authority to hire at the cost and expense of Defendant Monsanto any investment bankers, attorneys, or other agents who are reasonably necessary in the judgment of the trustee to assist in the divestiture of Defendant DPL and who shall be solely accountable to the trustee.

E. Defendant Monsanto shall not object to a sale by the trustee on any ground other than the trustee's malfeasance. Any such objections by Defendant Monsanto must be conveyed in writing to Plaintiff and the trustee within ten (10) calendar days after the trustee has provided the notice required under this Section.

F. The trustee shall serve at the cost and expense of Defendant Monsanto, on such terms and conditions as Plaintiff approves, and shall account for all monies derived from the sale of the assets sold by the trustee and all costs and expenses so incurred. After approval by the Court of the trustee's accounting, including fees for its services and those of any professionals and agents retained by the trustee, all remaining money shall be paid to Defendant Monsanto, and the trust shall then be terminated. The compensation of the trustee and of any professionals and agents retained by the trustee shall be reasonable in light of the value of Defendant DPL and

based on a fee arrangement providing the trustee with an incentive based on the price and terms of the divestiture of Defendant DPL and the speed with which it is accomplished, but timeliness is paramount.

G. After its appointment, the trustee shall file monthly reports with Plaintiff, Defendant Monsanto, and the Court setting forth the trustee's efforts to accomplish the divestiture of Defendant DPL, provided however, that to the extent such reports contain information that the trustee deems confidential, such reports shall not be filed in the public docket of the Court and Defendant Monsanto's copy of the report shall have such confidential information redacted. Such reports shall include the name, address, and telephone number of each person who, during the preceding month, made an offer to acquire, expressed an interest in acquiring, entered into negotiations to acquire, or was contacted or made an inquiry about acquiring, any interest in Defendant DPL, and shall describe in detail each contact with any such person during that period. The trustee shall maintain full records of all efforts made to divest Defendant DPL.

H. If the trustee has not accomplished such divestiture of Defendant DPL within ninety (90) calendar days after its appointment, the trustee shall file promptly with the Court a report setting forth (1) the trustee's efforts to accomplish the required divestiture of Defendant DPL; (2) the reasons, in the trustee's judgment, why the required divestiture of Defendant DPL has not been accomplished; and (3) the trustee's recommendations. To the extent such report contains information that the trustee deems confidential, such report shall not be filed in the public docket of the Court. The trustee shall at the same time furnish such report to Plaintiff. Plaintiff shall have the right to make additional recommendations consistent with the purpose of

the trust. The Court shall enter thereafter such orders as it shall deem appropriate to carry out the purpose of this Final Judgment which may, if necessary, include extending this Final Judgment and the term of the trustee's appointment by a period requested by Plaintiff.

I. The trustee shall notify Plaintiff and Defendant Monsanto within two (2) business days following execution of a definitive agreement for the sale of Defendant DPL. The notice shall set forth the details of the proposed divestiture of Defendant DPL and list the name, address, and telephone number of each person not previously identified who offered or expressed an interest in or desire to acquire any ownership interest in Defendant DPL, together with full details of the same.

J. Within fifteen (15) calendar days of receipt by Plaintiff of such notice, Plaintiff may request from Defendants, the proposed DPL Acquirer, any other third party, or the trustee, additional information concerning the proposed divestiture of Defendant DPL, the proposed DPL Acquirer, and any other potential acquirer. Defendants and the trustee shall furnish any additional information requested within fifteen (15) calendar days of the receipt of the request, unless the Defendants and Plaintiff shall otherwise agree.

K. Within thirty (30) calendar days after receipt of the notice or within twenty (20) calendar days after Plaintiff has been provided the additional information requested from Defendant Monsanto, the proposed DPL Acquirer, any third party, and the trustee, whichever is later, Plaintiff shall provide written notice to Defendant Monsanto and the trustee stating whether or not it objects to the proposed divestiture of Defendant DPL. If Plaintiff provides written notice that it does not object, the sale of Defendant DPL may be consummated, subject only to Defendant Monsanto's limited right to object to the sale under Section VII.E of this Final

Judgment. Absent written notice that Plaintiff does not object to the proposed DPL Acquirer or upon objection by Plaintiff, the sale of Defendant DPL shall not be consummated. Upon objection by Defendant Monsanto under Section VII.E, a sale of Defendant DPL proposed under this Section shall not be consummated unless approved by the Court.

### **VIII. Financing**

Defendants shall not finance all or any part of any purchase made pursuant to Section IV or Section VII of this Final Judgment.

### **IX. Hold Separate**

Until the divestitures required by this Final Judgment have been accomplished, Defendants shall take all steps necessary to comply with the Hold Separate and Preservation of Assets Stipulation and Order entered by this Court. Defendants shall take no action that would jeopardize the divestitures ordered by this Court.

### **X. Affidavits**

A. Within ten (10) calendar days of the filing of the Complaint in this matter, and every thirty (30) calendar days thereafter until the divestitures have been completed under Sections IV and V, Defendants shall deliver to Plaintiff an affidavit as to the fact and manner of its compliance with Sections IV, V, and VI of this Final Judgment. Each such affidavit shall include the name, address, and telephone number of each person who, during the preceding thirty days, made an offer to acquire, expressed an interest in acquiring, entered into negotiations to acquire, or was contacted or made an inquiry about acquiring, any interest in the Enhanced Stoneville Assets, and shall describe in detail each contact with any such person during that period, including a summary of all conversations (1) between Defendants and any Acquirer of the



Enhanced Stoneville Assets, and (2) between Defendants and Syngenta with respect to the VipCot Assets. Defendants may incorporate by reference in any such affidavit any responsive information or documents previously provided to Plaintiff, provided however, that Defendants identify with specificity when the information or documents were previously provided and, if the information or documents were part of a larger submission, where in the submission the information or documents may be located. Assuming the information set forth in the affidavit is true and complete, any objection by Plaintiff to information provided by Defendants, including any limitation on information, shall be made within fourteen (14) days of receipt of such affidavit.

B. Defendants shall keep all records of all efforts made to preserve and divest the Enhanced Stoneville Assets and VipCot Assets until one year after each such divestiture has been completed.

#### **XI. Compliance Inspection**

A. For the purposes of determining or securing compliance with this Final Judgment, or of determining whether the Final Judgment should be modified or vacated, and subject to any legally recognized privilege, from time to time duly authorized representatives of the United States Department of Justice, including consultants and other persons retained by the United States, shall, upon written request of a duly authorized representative of the Assistant Attorney General in charge of the Antitrust Division, and on reasonable notice to Defendants, be permitted:

- (1) access during Defendants' office hours to inspect and copy, or at Plaintiff's option, to require Defendants to provide copies of all books, ledgers, accounts,

records and documents in the possession, custody, or control of Defendants, relating to any matters contained in this Final Judgment; and

- (2) to interview, either informally or on the record, Defendants' officers, employees, or agents, who may have their individual counsel present, regarding such matters.

The interviews shall be subject to the reasonable convenience of the interviewee and without restraint or interference by Defendants.

B. Upon the written request of a duly authorized representative of the Assistant Attorney General in charge of the Antitrust Division, Defendants shall submit written reports or responses to written interrogatories, under oath if requested, relating to any of the matters contained in this Final Judgment as may be requested.

C. No information or documents obtained by the means provided in this Section shall be divulged by Plaintiff to any person other than an authorized representative of the executive branch of the United States, except in the course of legal proceedings to which the United States is a party (including grand jury proceedings), or for the purpose of securing compliance with this Final Judgment, or as otherwise required by law.

D. If, at the time information or documents are furnished by Defendants to Plaintiff, Defendants represent and identify in writing the material in any such information or documents to which a claim of protection may be asserted under Rule 26(c)(7) of the Federal Rules of Civil Procedure, and Defendants mark each pertinent page of such material, "Subject to claim of protection under Rule 26(c)(7) of the Federal Rules of Civil Procedure," then Plaintiff shall give Defendants ten (10) calendar days' notice prior to divulging such material in any legal

proceeding (other than a grand jury proceeding).

## **XII. Notification**

A. Unless such transaction is otherwise subject to the reporting and waiting period requirements of the Hart-Scott-Rodino Antitrust Improvements Act of 1976, as amended, 15 U.S.C. § 18a (the “HSR Act”), Defendant Monsanto, without providing advance notification to Plaintiff, shall not directly or indirectly acquire (1) voting securities, (2) all or substantially all of the cotton germplasm, or (3) substantially all of the assets relating to cottonseeds or cottonseed traits, of any company that develops and sells cottonseed in the United States, or any company that has developed, or has under development traits for commercialization in cottonseed in the United States, where such acquisition would be reportable under the HSR Act but for a failure to satisfy the thresholds of 15 U.S.C. § 18a(a)(2).

B. Such notification shall be provided to Plaintiff in the same format as, and per the instructions relating to the Notification and Report Form set forth in the Appendix to Part 803 of Title 16 of the Code of Federal Regulations as amended, except that the information requested in Items 5 through 9 of the instructions must be provided only about cottonseeds or transgenic traits that shall be or could be used in cottonseeds. Notification shall be provided at least thirty (30) days prior to acquiring any such interest, and shall include, beyond what may be required by the applicable instructions, the names of the principal representatives of the parties to the agreement who negotiated the agreement, and any management or strategic plans discussing the proposed transaction. If within the thirty (30) day period after notification, representatives of Plaintiff make a written request for additional information, Defendant Monsanto shall not consummate

the proposed transaction or agreement until twenty (20) days after submitting all such additional information. Early termination of the waiting periods in this paragraph may be requested and, where appropriate, granted in the same manner as is applicable under the requirements and provisions of the HSR Act and rules promulgated thereunder. This Section shall be broadly construed and any ambiguity or uncertainty regarding the filing of notice under this Section shall be resolved in favor of filing notice.

### **XIII. No Reacquisition**

If Defendant Monsanto divests the Enhanced Stoneville Assets and the VipCot Assets, Defendant Monsanto may not reacquire any part of the Enhanced Stoneville Assets or the VipCot Assets during the term of this Final Judgment. If Defendant Monsanto divests Defendant DPL, it may not reacquire any part of Defendant DPL during the term of this Final Judgment.

### **XIV. Retention of Jurisdiction**

This Court retains jurisdiction to enable any party to this Final Judgment to apply to this Court at any time for further orders and directions as may be necessary or appropriate to carry out or construe this Final Judgment, to modify any of its provisions, to enforce compliance, and to punish violations of its provisions.

### **XV. Expiration of Final Judgment**

Unless this Court grants an extension, this Final Judgment shall expire ten (10) years from the date of its entry.

**XVI. Public Interest Determination**

Entry of this Final Judgment is in the public interest. The parties have complied with the requirements of the Antitrust Procedures and Penalties Act, 15 U.S.C. § 16, including making copies available to the public of this Final Judgment, the Competitive Impact Statement, and any comments thereon and Plaintiff's responses to comments. Based upon the record before the Court, which includes the Competitive Impact Statement and any comments and response to comments filed with the Court, entry of this Final Judgment is in the public interest.

**Date:** \_\_\_\_\_

Court approval subject to procedures  
of Antitrust Procedures and Penalties  
Act, 15 U.S.C. § 16

\_\_\_\_\_  
**United States District Judge**

## DEFINITIONS FOR SCHEDULES

1. “Advanced Exotic Yield Lines” means the Breeding populations and proprietary Lines created by Defendant Monsanto from a cross between *Gossypium hirsutum* and *Gossypium barbadense* that are identified in Schedule D.
2. “Backcross” means to cross a hybrid with one of its parents and then to cross the resulting progeny with the same parent Line (perhaps multiple times) in order to develop progeny with a genetic make up that approximates the genetic make up of that parent while retaining certain desirable characteristics of the genetic make up of the other parent of the hybrid.
3. “Breed” means to purposefully modify the Germplasm of a plant so as to alter its genetic make up, and to develop the progeny from the altered Germplasm.
4. “DPL Marker Data” means Fingerprints that Defendant Monsanto shall create for the DPL Germplasm being divested pursuant to Schedule B.2.
5. “Donor Lines” means the cotton Lines used by Defendant Monsanto to create or transmit novel cotton traits or events, and identified in Schedule F.
6. “Fingerprint” means a record of the presence or absence of genetic markers for which a Line has been tested.
7. “Germplasm” means a collection of heterozygous and homozygous cotton plants or parts thereof. For purposes of Schedules B and C of this Final Judgment, when the Defendants are required to convey Germplasm to a party, the Defendant may satisfy that obligation by conveying that Germplasm in seed form, or if necessary, in potted plant form.
8. “Introgress” means to move a gene from one cotton plant into another.
9. “Line” means a set of cottonseed or plants that share a common reasonably homogenous genotype that originate from a cross between two cotton plants.
10. “MAB Populations” means the Germplasm populations for which Defendant Monsanto has conducted significant marker analyses that are identified in Schedule E.
11. “Monsanto B.t. Gene” means a DNA molecule, or a replicate thereof, developed and out-licensed by Defendant Monsanto for use in commercial cottonseed in the United States, and which encodes a B.t. toxin that when present in cotton plants results in those plants being toxic to lepidopteran insects.

12. "Monsanto Cotton Traits" means: (1) the transgenic event denominated "Event 531" currently sold under the "Bollgard" brand; (2) the transgenic event denominated "Event 15985" currently sold under the "Bollgard II" brand; (3) the transgenic event denominated "Event 1445" currently sold under the "Roundup Ready" brand; and (4) the transgenic event denominated "Event 88913" currently sold under the "Roundup Ready Flex" brand, or any combination thereof.

13. "Monsanto Marker Library" means (1) two collections of genetic information concerning variations in the genetic make up of *Gossypium*, specifically a set of SSRs and a set of SNPs, and (2) cotton mapping data owned by Defendant Monsanto prior to its acquisition of Defendant DPL pursuant to the Merger Agreement.

14. "Monsanto Roundup Ready Gene" means a DNA molecule, or a replicate thereof, developed and out-licensed by Defendant Monsanto for use in commercial cottonseed in the United States, and which when present in cotton plants results in those plants exhibiting commercial tolerance to glyphosate herbicides.

15. "Null Line" shall mean a reasonably genetically homogenous Line of cotton that is selected from a cross in which one of the parents was from the Advanced Exotic Yield Lines or MAB populations and that does not contain one or more of the Monsanto Cotton Traits that was contained in the parental Advanced Exotic Yield Line or MAB population. A grant of a right to create a Null Line to the Acquirer of the Enhanced Stoneville Assets includes an obligation by Defendant Monsanto to provide the Acquirer of the Enhanced Stoneville Assets with assays, materials, and information regarding the Monsanto Cotton Trait(s) formerly in the Null Line necessary to obtain requisite regulatory approvals, provided the Acquirer of the Enhanced Stoneville Assets reimburses Defendant Monsanto its reasonable expenses in providing such assistance.

16. "Publicly Available Cotton Germplasm" means any non-Monsanto proprietary cotton Germplasm that has not been exclusively in-licensed by Defendant Monsanto.

17. "Recurrent Parent" means the parent to which successive Backcrosses are made in Backcross Breeding.

18. "Roundup Ready Flex" means the Monsanto Roundup Ready Gene denominated "Event 88913."

19. "SNP" (or Single Nucleotide Polymorphisms) means variations at a single position in a given DNA sequence, which occur within a population of cotton plants.

20. "SSR" (or Simple Sequence Repeat) means variations in the number of repetitions of a DNA

sequence.

21. “Transform” means to alter the genetic makeup of a cotton plant variety through means other than Breeding, for example, by the introduction of foreign genetic material.



## **SCHEDULE A – STONEVILLE**

1. Cotton Germplasm: All U.S. Stoneville cotton Germplasm, including, for each variety, Line and population to be divested: all patents, patent applications and Plant Variety Protection Act certificates applied for or granted with respect to that Germplasm (and excluding any patents or patent applications on Monsanto cotton traits); and copies of all performance and other test results, phenotypic data, product descriptions, research data and any Fingerprint information.

2. Physical Assets:

a. Defendant Monsanto's interest in the real property at the following sites or locations:

- (1) The manufacturing, storage and delinting facility at Stoneville, Mississippi;
- (2) The research & development facility at Arcola, Mississippi;
- (3) The research & development facility, including greenhouse and labs, at Memphis, Tennessee; and
- (4) The manufacturing, storage and delinting and the Breeding and testing facilities at N. Powerline Road, Maricopa, Arizona.

b. Defendant Monsanto's interest in the leased real property at the following sites or locations:

- (1) The land at Maricopa, Arizona;
- (2) The AgriCenter international research facility at Memphis, Tennessee;
- (3) The Memphis Redbirds Suite;
- (4) The Columbus & Greenville Railway Lease;
- (5) The delinting plant at Marble Hall, South Africa;
- (6) The property described in the W.B. Sutton Farms Partnership Lease;
- (7) The storage facility described in the Farmers Feed Storage Agreement;
- (8) The storage facility described in the David Storage Company Industrial Space

Lease; and

(9) The storage facility described in the Cascio Refrigerated Warehouse Agreement.

c. At the option of the Acquirer of the Enhanced Stoneville Assets, Defendant Monsanto's interest in the real property at the following sites or locations:

- (1) The farm at Lubbock, Texas;
- (2) The manufacturing, storage, and delinting facility at Big Spring, Texas;
- (3) The research and development facility at Idalou, Texas;
- (4) 7.2 acres in Idalou, Texas (leased); and
- (5) 80 acres in Idalou, Texas (leased).

d. All tangible assets other than Germplasm located at each of the locations identified in a. and b. above that are exclusively or primarily used in connection with the Stoneville U.S. branded business, including:

- (1) all manufacturing and agricultural equipment, tooling and fixed assets; personal property; materials; supplies; and other tangible property.
- (2) all existing drawings; blueprints; designs; plans for improvements or expansion; design protocols; specifications for materials; and specifications for parts and devices.
- (3) all safety procedures for the handling of materials and substances; and quality assurance and control procedures relating to the locations listed in a. and b. above or the tangible assets listed in this paragraph d.
- (4) business records relating to the Stoneville U.S. branded cottonseed business, including stock record books, minute books, direct customer or direct distributor lists; a list of names and addresses of U.S. cotton growers with Monsanto trait licenses; and other information to the extent related to the operation of the business during the past three years which is in the possession of or available to the Defendants.

e. At the option of the Acquirer of the Enhanced Stoneville Assets, all tangible assets

other than Germplasm located at each of the locations identified in c. above that are exclusively or primarily used in connection with the Stoneville U.S. branded business, including:

- (1) all manufacturing and agricultural equipment, tooling and fixed assets; personal property; materials; supplies; and other tangible property.
- (2) all existing drawings; blueprints; designs; plans for improvements or expansion; design protocols; specifications for materials; and specifications for parts and devices.
- (3) all safety procedures for the handling of materials and substances; and quality assurance and control procedures relating to the locations listed in c. above or the tangible assets listed in this paragraph e.
- (4) business records relating to the Stoneville U.S. branded cottonseed business, including stock record books, minute books, direct customer or direct distributor lists; a list of names and addresses of U.S. cotton growers with Monsanto trait licenses; and other information to the extent related to the operation of the business during the past three years which is in the possession of or available to the Defendants.

f. At the option of the Acquirer of the Enhanced Stoneville Assets, all equipment used exclusively or primarily in connection with the Stoneville branded cottonseed business stored at Monsanto sites at Leesburg, Georgia, Mt. Olive, North Carolina and Leland, Mississippi.

### 3. Intangible Assets:

a. Brand Names, Goodwill, and Trade Secrets – The Stoneville brand names, goodwill, and trade secrets relating to Stoneville’s U.S. branded cottonseed business. Defendant Monsanto may retain exclusive rights to the Stoneville brand in connection with the sale of Germplasm in Spain, Greece, and Turkey, such rights expire on a country-by-country basis with the term of the relevant current distributor agreements in Spain and Greece, and one (1) year from the date of divestiture of the Enhanced Stoneville Assets in Turkey, provided that in all cases the relevant distributors shall be allowed to sell any inventory of goods already packaged in containers bearing the Stoneville trademarks as of the relevant termination date.

b. Intangible and Contractual Rights:

(1) Exclusive rights to (a) Breeder records and/or notebooks, including pedigrees, relating to Stoneville U.S. cotton Germplasm, identities of non-public lines of Stoneville U.S. cotton Germplasm in breeding and trial results, including yield results (subject to the redaction of any data that may be included in such records relating to the identity of any non-public lines other than Stoneville U.S. cotton Germplasm), (b) existing fingerprints for Stoneville U.S. cotton Germplasm, and (c) quality control data relating to Stoneville U.S. cotton Germplasm (subject to Defendant Monsanto's right to keep under the control of its Law Department (i) one copy of such quality control data and (ii) access to the identities of any Stoneville U.S. cotton Germplasm present in trial results that also include results relating to non-public lines of Germplasm other than Stoneville U.S. cotton Germplasm; Monsanto's Law Department may not disclose this information to any other component of Monsanto).

(2) Non-exclusive rights to, and the tangible embodiments of, (i) non-proprietary procedures, methods, techniques, know-how, specifications, processes, analyses, and protocols used in Stoneville's U.S. branded cottonseed business (such as Monsanto's procedures for the inspection, sampling and delivery of cottonseed at production facilities, procedures for analyzing job safety and complying with environmental regulations, and specifications for production-related data entry), and (ii) Monsanto's low acid delinting process.

(3) All assignable licenses, permits, and authorizations issued by any governmental organization relating to the Stoneville U.S. branded cottonseed business.

(4) All contracts to which Stoneville Pedigreed Seed Company is a party, including supply and distribution agreements.

(5) All other intangible and contractual rights used exclusively or primarily in Stoneville's U.S. branded cottonseed business not otherwise specifically addressed in b.(1)-(4).

4. Exclusions:

Excluded from the assets to be divested that are listed in this Schedule A are: (1) real property not specifically identified in Schedule A.2., and (2) software owned by or licensed to Defendant Monsanto (except that Stoneville will receive a non-exclusive license to TaqPro), and hardware used exclusively to access such software.

## **SCHEDULE B – ENHANCED STONEVILLE ASSETS**

1. Stoneville: As defined in the Final Judgment.
2. DPL Germplasm: Defendants shall divest all interests in the DPL varieties listed in Table B, including, for each variety, any Plant Variety Protection Act certificates applied for or granted, patents applied for or granted, copies of all performance and other test results, phenotypic data, product descriptions, research data and DPL Marker Data.
  - a. With respect to this DPL Germplasm, Defendant Monsanto may:
    - (1) continue to sell during 2007 any existing inventories of these DPL varieties that Defendant DPL currently offers for sale in the United States;
    - (2) take back an exclusive license to commercialize varieties that (i) contain only traits out-licensed by Defendant Monsanto, and (ii) are essentially derived from these DPL varieties, or are essentially derived from a cross between any of these DPL varieties, which license may require the Acquirer of the Enhanced Stoneville Assets to seek U.S. patents for the DPL varieties listed in Table B, and may provide for enforcement of Monsanto's exclusive rights with respect to these varieties;
    - (3) retain exclusive rights (i) to continue to sell these DPL varieties in countries outside the United States in which Defendant DPL currently offers the varieties for sale, but such rights shall terminate with respect to a particular country and variety if Defendant Monsanto discontinues sales of that variety in that country, and (ii) to sell 05X460, 05Y063, and 05Z629 outside of the United States;
    - (4) retain sufficient quantities of cottonseed to enable it to continue its current sales of seed relating to sales made pursuant to subparagraph 3 above (provided that any such retention by Defendant Monsanto shall only be permitted to the extent it does not adversely affect the Acquirer of the Enhanced Stoneville Assets);
    - (5) retain sufficient quantities of cottonseed for Breeding purposes (provided that any such retention by Defendant Monsanto shall only be permitted to the extent it does not adversely affect the Acquirer of the Enhanced Stoneville Assets), and take back a non-exclusive license to use these DPL varieties in its Breeding program;
    - (6) take back a license that grants Defendant Monsanto only those rights

necessary to accomplish the divestiture of the VipCot Assets described in Schedule C; and

(7) require the Acquirer of the Enhanced Stoneville Assets to agree that for seven (7) years after the divestiture of the Enhanced Stoneville Assets it shall not commercialize a variety that is essentially derived from one of the DPL varieties listed in Table B, if that variety contains a Monsanto glyphosate tolerance trait, a Monsanto insect resistance trait, and any non-glyphosate herbicide tolerance trait commercialized in cottonseed in the United States as of the date of the filing of this Final Judgment.

b. Defendants' divestiture of the DPL varieties 00W12, 02T15, 02Z55, 03Y047, 03Y056, 03Y062, 04T048, 04W019, 04Y341, 05X460, 05Y063, 05Z629, 25105N, and DP491 to the Acquirer of the Enhanced Stoneville Assets is subject to the license to Syngenta described in Schedule C.2.

3. Syngenta Germplasm: Defendants shall divest all interests in the conventional Germplasm originating from the United States cotton Breeding program purchased by Defendant DPL from Syngenta pursuant to an agreement dated May 15, 2006, along with any conventional progeny of that material.

4. Advanced Exotic Yield Lines: Defendants shall divest exclusive rights to commercialize, and non-exclusive rights to Breed with, the Advanced Exotic Yield Lines set forth in Schedule D, including the right, subject to reasonable indemnification requirements, to create Null Lines (other than a Null Line that contains only one of the B.t. Genes of Bollgard II). In connection with this divestiture:

a. Defendants shall divest copies of all performance and other test results, phenotypic data, product descriptions, research data and Fingerprint information for those populations and Lines, excluding data regarding the presence or function of any genetic material from *Gossypium barbadense* present in the Lines.

b. Defendants may not assert against the Acquirer of the Enhanced Stoneville Assets any rights Defendants may have or acquire with respect to (1) the Germplasm used in the Advanced Exotic Yield Lines, and (2) any non-transgenic yield trait contained in those Lines.

c. Defendants may retain research quantities of the Advanced Exotic Yield Lines to enable them to continue their trait development research (provided that any such retention by Defendant Monsanto shall only be permitted to the extent it does not adversely affect the Acquirer of the Enhanced Stoneville Assets); and

d. Defendants may (1) prohibit the Acquirer of the Enhanced Stoneville Assets from conveying Lines from the Advanced Exotic Yield Lines or their progeny to third parties, other than for contract production work or for distribution to growers as commercial seed, and (2) require the Acquirer of the Enhanced Stoneville Assets to seek U.S. patents and enforce Breeding and resale restrictions on any varieties that are commercialized from the Advanced Exotic Yield Lines. Defendants shall lose the ability to require these terms (4.d.1 & 2) if Defendants have not licensed to a third party a non-transgenic cotton yield trait contained in one or more of the Advanced Exotic Yield Lines within five (5) years of the date of this Final Judgment.

5. MAB Populations: Defendants shall divest the MAB Populations set forth in Schedule E, including copies of all performance and other test results, phenotypic data, product descriptions, research data and Fingerprint information, and the right, subject to reasonable indemnification requirements, to create Null Lines (other than a Null Line that contains only one of the B.t. genes of Bollgard II).

6. Cotton States Germplasm: Defendant Monsanto shall grant the Acquirer of the Enhanced Stoneville Assets a non-exclusive, royalty-free license to sell under the Stoneville and NexGen brand names and Breed with the four (4) Cotton States varieties currently being sold by Stoneville. Defendant Monsanto shall relinquish evaluation rights to the Acquirer of the Enhanced Stoneville Assets for material comprised of Germplasm from pre-existing Breeding crosses between Cotton States' in-licensed Lines and any Lines being transferred exclusively to Stoneville pursuant to this Final Judgment.

a. In connection with its divestiture of this Cotton States Germplasm, Defendant Monsanto may retain exclusive rights to Germplasm already in-licensed to or commercialized through Cotton States at the date of this Final Judgment, or Germplasm from pre-existing Breeding crosses between two Cotton States' in-licensed Lines or between one of those Lines and a public variety, except that Defendant Monsanto may only retain non-exclusive rights to the Stoneville variety designated STX0502 which has been commercialized solely through Cotton States. Defendant Monsanto may only commercialize the Stoneville variety designated STX0502 to licensees other than Defendant DPL.

7. Other Monsanto Germplasm: Defendants shall divest all cotton Germplasm in the United States held by Defendant Monsanto prior to its acquisition of Defendant DPL and not otherwise addressed in Schedules A and B, subject to the following exceptions:

a. Any Publicly Available Cotton Germplasm, provided that if the Acquirer of the Enhanced Stoneville Assets does not otherwise possess the Germplasm and cannot otherwise reasonably obtain it, Defendant Monsanto must, if the Acquirer of the

Enhanced Stoneville Assets requests, provide the Acquirer of the Enhanced Stoneville Assets with sufficient quantities for use in a Breeding program;

b. Exclusive rights to (1) the Donor Lines for Defendant Monsanto's commercialized transgenic traits, (2) Germplasm Transformed or Introgressed with cotton transgenic traits other than Monsanto's Cotton Traits, (3) any Germplasm containing experimental transgenic events, and (4) Germplasm used in Monsanto's non-transgenic trait research and development program, with the exception of the Advanced Exotic Yield Lines, as addressed above; and

c. Rights to any third party Germplasm held in connection with the provision of trait Introgression services to third parties.

8. Monsanto Marker Library: Defendants shall provide access to the information in, and a non-exclusive, royalty-free license to use, Monsanto's Marker Library.

9. Licenses: Defendants shall grant licenses to the Acquirer of the Enhanced Stoneville Assets to develop, produce, have produced, and sell under the Stoneville and NexGen brands cottonseed containing Monsanto's Cotton Traits for use in the United States. Such licenses shall be based on commercially reasonable terms, and in particular shall provide that the licensee:

a. Shall be entitled to a proportion of the net license revenue for those traits at least as great as the net license revenue Defendant DPL is entitled to under its current licenses for those traits;

b. May, subject to reasonable regulatory and stewardship conditions, Breed into and sell cottonseed containing Monsanto Cotton Traits, non-Monsanto genes not naturally occurring in cotton;

c. Shall have an option to license future Monsanto B.t. Genes on the same terms as those used in the current DPL licenses. Defendants may terminate this option at such time as the Acquirer of the Enhanced Stoneville Assets' total annual sales of cottonseed containing a non-Monsanto B.t. Gene being marketed by the Acquirer of the Enhanced Stoneville Assets as conferring lepidopteran resistance under the Stoneville and NexGen brands, exceed 60% of the Acquirer of the Enhanced Stoneville Assets' annual sales of cottonseed that is marketed as lepidopteran resistant under the Stoneville and NexGen brands; and

d. Shall have an option to license future Monsanto Roundup Ready Genes on the same terms as those used in the current DPL licenses. Defendants may terminate this option at such time as the Acquirer of the Enhanced Stoneville Assets' total annual sales of



cottonseed containing a non-Monsanto glyphosate tolerance gene being marketed by the Acquirer of the Enhanced Stoneville Assets as conferring glyphosate tolerance under the Stoneville and NexGen brands, exceed 60% of the Acquirer of the Enhanced Stoneville Assets' annual sales of cottonseed that is marketed as glyphosate tolerant under the Stoneville and NexGen brands. Defendants need not grant an option to any non-glyphosate herbicide tolerance trait stacked with any such glyphosate tolerance gene.

Table B – DPL Germplasm

00W12 (DP393)	05Y063
02T15	05Z629
02Z55	Delta Pearl
03Y047	DP 5690
03Y056	DP 491
03Y062	DP2156
04T048	DP565
04W019	DP5305
04Y341	DP5415
05X460	AZ2099

## **SCHEDULE C – THE VIPCOT ASSETS**

1. All DPL Germplasm identified in Table C containing only a Syngenta trait; and, provided that Syngenta has obtained a license (identified in Section C.4. below) to the Roundup Ready Flex trait, all DPL Germplasm Lines identified in Table C containing a Syngenta trait and the Roundup Ready Flex trait. The Germplasm Lines identified in Table C shall be conveyed along with:

- a. Exclusive rights to commercialize varieties developed from the traited DPL Germplasm Lines identified in Table C, provided that any varieties commercialized from this Germplasm include, in addition to any other traits, the Cry67B event, Cry69D event, Cry02A event, or the Cot102 event;
- b. Exclusive rights to Breed with the traited DPL Germplasm Lines identified in Table C, provided that any varieties commercialized from such Breeding include, in addition to any other traits, either the Cry67B event, Cry69D event, Cry02A event, or the Cot102 event;
- c. Reports that provide all performance and other test results, phenotypic data, product descriptions, purity information, breeding histories, pedigrees and statuses for the Germplasm that is conveyed;
- d. At Syngenta's request, Fingerprint information regarding the Recurrent Parents of each of the DPL Germplasm Lines listed in Table C sufficient to allow Syngenta to reasonably perform Backcrossing with this Germplasm (subject to reasonable compensation from Syngenta for such services), if Syngenta does not possess, cannot reasonably develop itself or contract for, the capability to develop this Fingerprint information; and
- e. An exclusive license to commercialize varieties that contain the Cry67B event, Cry69D event, Cry02A event, or Cot102 event that are essentially derived from the Recurrent Parent Lines identified in Table C that are not otherwise being divested pursuant to Schedule B, which license shall require Monsanto to seek U.S. patents for those Recurrent Parent Lines and provide for enforcement of Syngenta's exclusive rights with respect to those lines.

2. Breeding quantities of the Recurrent Parents of each of the DPL Germplasm Lines identified in Table C, subject to a license to Syngenta (a) permitting use of the Recurrent Parents only for crossing or Backcrossing between a Line and its relevant Recurrent Parent; (b) requiring that the Recurrent Parent Germplasm be returned or destroyed no later than December 31, 2014; and (c) prohibiting transfer of the Recurrent Parent Germplasm to any third party other than with an exclusive license to the relevant Line derived from that Recurrent Parent, with the same limitations on use of the Recurrent Parent Germplasm.

3. A non-exclusive royalty-free license to a PCR assay and/or an ELISA assay to enable detection of Monsanto's Roundup Ready Flex trait.
4. A non-exclusive license to (a) develop, produce, and sell cottonseed containing the Roundup Ready Flex trait under the standard commercial terms offered by Defendant Monsanto, including changes required by this Decree to the standard license, and (b) transfer such cottonseed to a third party with a commercial Roundup Ready Flex license.
5. Defendant DPL's interest in Germplasm populations Introgressed with the Cry67B event, Cry69D event, Cry02A event, and/or the Cot102 in the U.S. cotton Breeding program that Defendant DPL purchased from Syngenta pursuant to an agreement dated May 15, 2006, along with any progeny of that material.
6. Defendant Monsanto may condition the divestitures on Syngenta's acknowledgment that Defendant Monsanto is not conveying to Syngenta any rights not held by Defendant DPL prior to Defendant Monsanto's acquisition of Defendant DPL.
7. Defendants acknowledge that nothing in this Final Judgment relating to the divestiture of the VipCot Assets shall, in and of itself, modify, alter, terminate or otherwise affect any rights and obligations in any contract between Syngenta and either of the Defendants in effect as of the date of the filing of the Complaint in this matter.

**TABLE C – VipCot Germplasm**  
**(V1 = Cot102; C1 = Cry67B; C2 = Cry69D, C3 =Cry02A; RF = Roundup Ready Flex)**

<b>Recurrent Parent</b>	<b>V1C1 RF</b>	<b>V1C1</b>	<b>C1</b>	<b>V1</b>	<b>C1RF</b>	<b>V1RF</b>	<b>C2</b>	<b>C3</b>	<b>C2V1RF</b>	<b>C3V1 RF</b>	<b>C2V1</b>	<b>C3V1</b>
00H29	X		X				X	X	X	X		
00S07		X	X	X	X		X	X				
00W12	X	X	X	X		X	X	X	X	X	X	
01W34		X		X		X						
02T15		X	X	X			X	X			X	X
02Z55		X	X	X		X	X	X				
02Z89		X										
03H070		X	X	X			X	X				
03Q066		X	X	X			X	X				
03Y047		X	X	X			X	X				
03Y056		X	X	X	X		X	X				
03Y062		X	X	X			X	X				
04P011		X		X								
04P024		X		X								
04Q035		X		X								
04T042		X		X								
04T048		X	X	X			X	X				
04T056		X		X								
04T067		X		X								
04V073		X	X	X	X		X	X				
04W019		X	X	X			X	X			X	
04Y288		X		X							X	
04Y341		X	X	X	X		X	X				
04Z007		X										
04Z353		X		X								
05H210		X										
05H229		X		X								
05H270		X		X								
05H284		X	X	X			X	X				
05Q153		X	X				X	X				
05T103		X		X								
05V341		X		X								
05X460		X	X	X			X	X				
05Y063		X										
05Y067		X										
05Y070		X										
05Z629		X	X	X			X	X				
05Z855		X		X								
25105N		X	X									
DP491	X		X	X	X	X	X	X	X	X	X	X
DP6207		X	X				X	X				
SG747		X	X	X			X	X			X	X
UA-4		X										

### SCHEDULE D – ADVANCED EXOTIC YIELD LINES

(The Lines identified by the following serial numbers or variety name in Defendant Monsanto's Breeding database)

MCS0719B2RF	60066403610	60066410398
MSC0720B2RF	60066403634	60066410475
MCS0721B2RF	60066404080	60066410502
MCS0722B2RF	60066404181	60066410552
MCS0723B2RF	60066404294	60066410588
MCS0724B2RF	60066404395	60066411326
MCS0725B2RF	60066404434	60066411883
MCS0726B2RF	60066404446	60066412001
MCS0727B2RF	60066404559	60066412164
MCS0728B2RF	60066404840	60066412380
MCS0729RF	60066405082	60066414586
MCS0730RF	60066404207	60066414649
MCS0731RF	60066405676	60066406666
MCS0732RF	60066405703	60066406767
MCS0733RF	60066406399	60066407644
MCS0734RF	60066406515	60066416821
MCS0735RF	60066407442	60066409686
MCS0736RF	60066415021	60066409701
MCS0737RF	60066415122	60066410146
MCS0738RF	60066415285	60067807314
MCS0739RF	60066407846	60067807720
MCS0740RF	60066416124	60067808924
60066412443	60066408519	60067809433
60066412455	60066408608	60067809774
60066412532	60066408747	60067810082
60066412683	60066409129	60067810208
60066412859	60066409131	60067810347
60066403254	60066409220	60067810501
60066403367	60066409585	60067811325
60066403418	60066410350	60067811642
60067812303	I00000002189566943270000	I00000002189651484710000
60067812620	I00000002189570220070000	I00000002189652140070000
60067813494	I00000002189570875430000	I00000002189654761510000
60067813646	I00000002189573496870000	I00000002189658038310000
60067814903	I00000002189575462950000	I00000002189659349030000
60067815638	I00000002189576118310000	I00000002189661315110000
60067815791	I00000002189580705830000	I00000002189664591910000
60067816147	I00000002189581361190000	I00000002189665247270000
60067817050	I00000002189586604070000	I00000002189669834790000
60067818115	I00000002189587259430000	I00000002189678354470000
60067818571	I00000002189591191590000	I00000002189679009830000
60067819193	I00000002189593157670000	I00000002189680975910000

60067806259	I00000002189597745190000	I00000002189682286630000
60067806297	I00000002189598400550000	I00000002189682286630000
60067809534	I00000002189600366630000	I00000002189683597350000
60067809661	I00000002189601677350000	I00000002189684908070000
60067810676	I00000002189604954150000	I00000002189686874150000
60067810878	I00000002189608230950000	I00000002189696704550000
60067810979	I00000002189614784550000	I00000002189699325990000
60067810993	I00000002189615439910000	I00000002189700636710000
60067811185	I00000002189616095270000	I00000002189709811750000
60067813228	I00000002189618716710000	I00000002189712433190000
60067813444	I00000002189620682790000	I00000002189714399270000
60067814268	I00000002189621338150000	I00000002189717020710000
60067815296	I00000002189623959590000	I00000002189718986790000
60067815981	I00000002189624614950000	I00000002189719642150000
60067816058	I00000002189629857830000	I00000002189720297510000
60067816692	I00000002189631168550000	I00000002189722263590000
60067818711	I00000002189637722150000	I00000002189725540390000
60067819371	I00000002189638377510000	I00000002189726195750000
I00000002189562355750000	I00000002189642309670000	I00000002189730783270000
I00000002189564321830000	I00000002189649518630000	I00000002189731438630000
I00000002189734715430000	I00000002189819912230000	I00000002189914939430000
I00000002189736681510000	I00000002189825155110000	I00000002189916905510000
I00000002189738647590000	I00000002189829087270000	I00000002189917560870000
I00000002189739958310000	I00000002189834330150000	I00000002189923459110000
I00000002189748477990000	I00000002189836951590000	I00000002189926080550000
I00000002189751099430000	I00000002189837606950000	I00000002189930012710000
I00000002189753720870000	I00000002189839573030000	I00000002189932634150000
I00000002189757653030000	I00000002189843505190000	I00000002189935910950000
I00000002189758963750000	I00000002189844815910000	I00000002189936566310000
I00000002189766172710000	I00000002189847437350000	I00000002189938532390000
I00000002189769449510000	I00000002189853335590000	I00000002189943119910000
I00000002189772070950000	I00000002189853990950000	I00000002189945085990000
I00000002189773381670000	I00000002189855957030000	I00000002189947707430000
I00000002189776003110000	I00000002189857267750000	I00000002189949018150000
I00000002189778624550000	I00000002189862510630000	I00000002189952294950000
I00000002189781901350000	I00000002189865132070000	I00000002189954261030000
I00000002189784522790000	I00000002189867098150000	I00000002189958848550000
I00000002189787144230000	I00000002189870374950000	I00000002189960159270000
I00000002189790421030000	I00000002189876273190000	I00000002189962780710000
I00000002189792387110000	I00000002189876928550000	I00000002189970645030000
I00000002189794353190000	I00000002189880860710000	I00000002189976543270000
I00000002189798285350000	I00000002189885448230000	I00000002189979820070000
I00000002189798940710000	I00000002189887414310000	I00000002189983752230000
I00000002189800251430000	I00000002189888725030000	I00000002190001446950000
I00000002189801562150000	I00000002189891346470000	I00000002190005379110000
I00000002189803528230000	I00000002189894623270000	I00000002190009311270000

I00000002189805494310000	I00000002189897244710000	I00000002190015209510000
I00000002189806149670000	I00000002189897900070000	I00000002190019141670000
I00000002189808771110000	I00000002189899210790000	I00000002190020452390000
I00000002189812047910000	I00000002189901176870000	I00000002190021763110000
I00000002189815980070000	I00000002189912973350000	I00000002190027661350000
I00000002189816635430000	I00000002189914284070000	I00000002190029627430000
I00000002190036683630000	I00000002190144970790000	I00000002190264246310000
I00000002190038147110000	I00000002190150213670000	I00000002190268178470000
I00000002190038802470000	I00000002190150869030000	I00000002190268833830000
I00000002190040113190000	I00000002190152835110000	I00000002190270144550000
I00000002190048632870000	I00000002190161354790000	I00000002190275387430000
I00000002190054531110000	I00000002190162010150000	I00000002190276042790000
I00000002190055841830000	I00000002190167908390000	I00000002190277353510000
I00000002190059773990000	I00000002190171840550000	I00000002190279974950000
I00000002190061084710000	I00000002190175117350000	I00000002190281285670000
I00000002190065672230000	I00000002190177738790000	I00000002190286528550000
I00000002190066327590000	I00000002190181670950000	I00000002190293082150000
I00000002190075502630000	I00000002190187569190000	I00000002190298325030000
I00000002190076157990000	I00000002190190190630000	I00000002190298980390000
I00000002190082056230000	I00000002190192156710000	I00000002190302912550000
I00000002190086643750000	I00000002190192812070000	I00000002190304223270000
I00000002190089920550000	I00000002190193467430000	I00000002190308155430000
I00000002190091886630000	I00000002190196088870000	I00000002190312087590000
I00000002190097129510000	I00000002190196744230000	I00000002190316675110000
I00000002190099750950000	I00000002190212472870000	I00000002190319296550000
I00000002190101717030000	I00000002190213128230000	I00000002190320607270000
I00000002190104993830000	I00000002190215749670000	I00000002190325194790000
I00000002190110236710000	I00000002190220337190000	I00000002190327816230000
I00000002190110892070000	I00000002190223613990000	I00000002190328471590000
I00000002190113513510000	I00000002190225580070000	I00000002190330437670000
I00000002190115479590000	I00000002190232789030000	I00000002190331093030000
I00000002190118101030000	I00000002190234099750000	I00000002190336991270000
I00000002190123343910000	I00000002190238687270000	I00000002190338301990000
I00000002190123999270000	I00000002190239979990000	I00000002190339612710000
I00000002190127276070000	I00000002190245896230000	I00000002190340268070000
I00000002190127931430000	I00000002190247206950000	I00000002190345793190000
I00000002190133174310000	I00000002190256381990000	I00000002190366482470000
I00000002190137106470000	I00000002190257692710000	I00000002190367779310000
I00000002190371069990000	I00000002190460198950000	I00000002190547361830000
I00000002190377623590000	I00000002190463475750000	I00000002190548017190000
I00000002190378278950000	I00000002190470029350000	I00000002190553915430000
I00000002190380900390000	I00000002190471340070000	I00000002190557847590000
I00000002190384832550000	I00000002190471995430000	I00000002190561779750000
I00000002190385487910000	I00000002190474616870000	I00000002190563090470000
I00000002190387453990000	I00000002190478549030000	I00000002190565711910000
I00000002190388109350000	I00000002190481825830000	I00000002190567022630000

I00000002190393352230000	I00000002190482481190000	I00000002190570954790000
I00000002190394007590000	I00000002190486413350000	I00000002190571610150000
I00000002190396629030000	I00000002190489690150000	I00000002190576853030000
I00000002190398595110000	I00000002190491656230000	I00000002190582095910000
I00000002190399905830000	I00000002190493622310000	I00000002190582751270000
I00000002190401871910000	I00000002190494933030000	I00000002190583406630000
I00000002190403182630000	I00000002190500175910000	I00000002190584061990000
I00000002190406459430000	I00000002190501486630000	I00000002190584717350000
I00000002190407114790000	I00000002190503452710000	I00000002190592581670000
I00000002190409080870000	I00000002190508040230000	I00000002190593892390000
I00000002190411702310000	I00000002190511317030000	I00000002190597824550000
I00000002190414979110000	I00000002190513283110000	I00000002190598479910000
I00000002190415634470000	I00000002190514593830000	I00000002190602412070000
I00000002190422188070000	I00000002190520492070000	I00000002190603067430000
I00000002190431363110000	I00000002190522458150000	I00000002190603722790000
I00000002190432673830000	I00000002190523768870000	I00000002190612242470000
I00000002190433329190000	I00000002190524424230000	I00000002190620106790000
I00000002190433984550000	I00000002190525079590000	I00000002190620762150000
I00000002190435950630000	I00000002190527045670000	I00000002190622072870000
I00000002190439882790000	I00000002190533599270000	I00000002190623383590000
I00000002190449713190000	I00000002190536220710000	I00000002190627315750000
I00000002190451023910000	I00000002190538186790000	I00000002190627971110000
I00000002190454300710000	I00000002190540152870000	I00000002190629281830000
I00000002190455611430000	I00000002190545395750000	I00000002190631903270000
I00000002190633213990000	I00000002190717755430000	I00000002190801641510000
I00000002190637146150000	I00000002190719721510000	I00000002190802952230000
I00000002190638456870000	I00000002190725619750000	I00000002190804262950000
I00000002190643044390000	I00000002190726275110000	I00000002190805573670000
I00000002190646976550000	I00000002190728241190000	I00000002190812782630000
I00000002190649597990000	I00000002190729551910000	I00000002190818680870000
I00000002190650253350000	I00000002190730862630000	I00000002190821957670000
I00000002190653530150000	I00000002190734139430000	I00000002190823268390000
I00000002190654185510000	I00000002190734794790000	I00000002190823923750000
I00000002190654840870000	I00000002190740037670000	I00000002190824579110000
I00000002190655496230000	I00000002190744625190000	I00000002190825234470000
I00000002190656151590000	I00000002190745935910000	I00000002190826545190000
I00000002190656806950000	I00000002190747901990000	I00000002190829821990000
I00000002190658773030000	I00000002190749868070000	I00000002190833098790000
I00000002190659428390000	I00000002190751178790000	I00000002190837686310000
I00000002190664015910000	I00000002190752489510000	I00000002190840963110000
I00000002190665326630000	I00000002190757077030000	I00000002190841618470000
I00000002190670569510000	I00000002190759043110000	I00000002190847516710000
I00000002190675157030000	I00000002190761009190000	I00000002190848172070000
I00000002190676467750000	I00000002190762319910000	I00000002190849482790000
I00000002190677778470000	I00000002190763630630000	I00000002190854070310000
I00000002190678433830000	I00000002190764285990000	I00000002190854725670000



I00000002190679089190000	I00000002190764941350000	I00000002190856036390000
I00000002190681055270000	I00000002190769528870000	I00000002190856691750000
I00000002190682365990000	I00000002190774116390000	I00000002190858002470000
I00000002190688264230000	I00000002190780669990000	I00000002190859313190000
I00000002190689574950000	I00000002190782636070000	I00000002190859968550000
I00000002190696128550000	I00000002190785257510000	I00000002190861279270000
I00000002190700716070000	I00000002190789189670000	I00000002190861934630000
I00000002190703992870000	I00000002190789845030000	I00000002190865866790000
I00000002190706614310000	I00000002190793777190000	I00000002190866522150000
I00000002190712512550000	I00000002190798364710000	I00000002190867177510000
I00000002190871109670000	I00000002190909775910000	I00000002190954340390000
I00000002190871765030000	I00000002190913052710000	I00000002190959583270000
I00000002190873075750000	I00000002190914363430000	I00000002190963515430000
I00000002190873731110000	I00000002190915674150000	I00000002190966136870000
I00000002190876352550000	I00000002190917640230000	I00000002190966792230000
I00000002190877007910000	I00000002190920917030000	I00000002190973345830000
I00000002190878318630000	I00000002190922883110000	I00000002190975967270000
I00000002190878973990000	I00000002190923538470000	I00000002190978588710000
I00000002190880284710000	I00000002190926815270000	I00000002190981865510000
I00000002190884216870000	I00000002190929436710000	I00000002190982520870000
I00000002190884872230000	I00000002190932713510000	I00000002190985142310000
I00000002190887493670000	I00000002190933368770000	ICA000000003347367788989
I00000002190888804390000	I00000002190934679590000	ICA000000003347473105341
I00000002190903877670000	I00000002190937301030000	ICA000000003347683279293
I00000002190905843750000	I00000002190945165350000	ICA000000003348103168445
I00000002190909120550000	I00000002190953029670000	P00000000000123710341565
P00000000000123713880509	P00000000000123714666941	P00000000000123714994621
P00000000000123717091773	P00000000000123717419453	P00000000000123717943741
P00000000000123718795709	P00000000000123720630717	P00000000000123722662333
P00000000000123724497341	P00000000000123726856637	P00000000000123727184317
60044433150	60035225831	60035225879
60035225881	60035225906	60035225920
60043573686		

### SCHEDULE E – MAB POPULATIONS

(The Lines identified by the following code numbers in Defendant Monsanto's Breeding database)

L0001	L0025	L0049	L0235	L0271	L0310	L0334	L0357	L0380	L0404
L0002	L0027	L0050	L0236	L0282	L0311	L0335	L0358	L0381	L0406
L0003	L0028	L0051	L0237	L0283	L0312	L0336	L0359	L0382	L0407
L0004	L0029	L0052	L0238	L0284	L0313	L0337	L0360	L0383	L0408
L0005	L0030	L0053	L0239	L0290	L0314	L0338	L0361	L0384	L0409
L0006	L0031	L0054	L0240	L0291	L0315	L0339	L0362	L0385	L0410
L0007	L0032	L0055	L0241	L0292	L0317	L0340	L0363	L0386	L0411
L0008	L0033	L0056	L0242	L0293	L0318	L0341	L0364	L0387	L1002
L0009	L0034	L0057	L0243	L0294	L0319	L0342	L0365	L0388	L1003
L0010	L0035	L0059	L0244	L0295	L0320	L0343	L0366	L0390	L1004
L0012	L0036	L0100	L0245	L0296	L0321	L0344	L0367	L0391	L1005
L0013	L0037	L0175	L0246	L0297	L0322	L0345	L0368	L0392	L1008
L0014	L0038	L0224	L0247	L0298	L0323	L0346	L0369	L0393	L1009
L0015	L0039	L0225	L0248	L0299	L0324	L0347	L0370	L0394	
L0016	L0040	L0226	L0249	L0301	L0325	L0348	L0371	L0395	
L0017	L0041	L0227	L0250	L0302	L0326	L0349	L0372	L0396	
L0018	L0042	L0228	L0251	L0303	L0327	L0350	L0373	L0397	
L0019	L0043	L0229	L0252	L0304	L0328	L0351	L0374	L0398	
L0020	L0044	L0230	L0253	L0305	L0329	L0352	L0375	L0399	
L0021	L0045	L0231	L0254	L0306	L0330	L0353	L0376	L0400	
L0022	L0046	L0232	L0255	L0307	L0331	L0354	L0377	L0401	
L0023	L0047	L0233	L0256	L0308	L0332	L0355	L0378	L0402	
L0024	L0048	L0234	L0257	L0309	L0333	L0356	L0379	L0403	

## **SCHEDULE F – DONOR LINES**

MON 531 in Coker 312

MON 757 in any variety

MON 1445 in Coker 312

MON1698 in any variety

MON 15985 in DP50B or PS7

MON 88913 in Coker 130, PS7 or Suregro 125

MON15985 x MON 88913 in PS7 or Suregro 125

MON 1076 in any variety

MON 15947 in any variety