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CLEICHARD W. S. P. S. 1 NIALL E. LYNCH (State Bar No. 157959) MICHAEL L. SCOTT (State Bar No. 165452) HEATHER S. TEWKSBURY (State Bar No. 222202) ALEXANDRA J. SHEPARD (State Bar No. 205143) 2 | DAVID J. WARD (State Bar. No. 239504) **Antitrust Division** 4 U.S. Department of Justice 450 Golden Gate Avenue Box 36046, Room 10-0101 5 E-tiling San Francisco, CA 94102 Telephone: (415) 436-6660 6 7 Attorneys for the United States 8 UNITED STATES DISTRICT COURT 9 NORTHERN DISTRICT OF CALIFORNIA 10 SAN FRANCISCO DIVISION 11 UNITED STATES OF AMERICA No. CR 12 13 v. JSV 14 VIOLATION: Title 15, United States Code, 15 CHU-HSIANG "JAMES" YANG, Section 1 (Price Fixing) 16 Defendant. San Francisco Venue 17 The United States of America, acting through its attorneys, charges: 18 T. 19 DESCRIPTION OF THE OFFENSE 20 CHU-HSIANG "JAMES" YANG ("defendant") is made a defendant on the 1. 21 charge stated below. 22 From on or about September 14, 2001 until on or about December 1, 2006, the 23 defendant's corporate employer, Chi Mei Optoelectronics Corporation ("Chi Mei"), and 24 coconspirators entered into and engaged in a combination and conspiracy in the United States 25 and elsewhere to suppress and eliminate competition by fixing the prices of thin-film transistor 26 liquid crystal display panels ("TFT-LCD"). The combination and conspiracy engaged in by the 27 defendant's corporate employer and coconspirators was an unreasonable restraint of interstate 28

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and foreign trade and commerce in violation of Section 1 of the Sherman Act (15 U.S.C. § 1). The defendant knowingly joined and participated in the charged conspiracy from as early as April 1, 2004, until on or about December 1, 2006.

- 3. The charged combination and conspiracy consisted of a continuing agreement, understanding, and concert of action among the defendant, his corporate employer, and coconspirators, the substantial terms of which were to agree to fix the prices of TFT-LCD.
- 4. For the purpose of forming and carrying out the charged combination and conspiracy, the defendant, his corporate employer, and coconspirators did those things that they combined and conspired to do, including, among other things:
 - (a) participating in meetings, conversations, and communications in Taiwan,Korea, and the United States to discuss the prices of TFT-LCD;
 - (b) agreeing, during those meetings, conversations, and communications, to charge prices of TFT-LCD at certain predetermined levels;
 - (c) issuing price quotations in accordance with the agreements reached;
 - (d) exchanging information on sales of TFT-LCD, for the purpose of monitoring and enforcing adherence to the agreed-upon prices; and
 - (e) authorizing, ordering, and consenting to the participation of subordinate employees in the conspiracy.

II.

DEFENDANT AND COCONSPIRATORS

- 5. During the time period covered by this Information:
 - (a) Chi Mei was a corporation organized and existing under the laws of Taiwan and was engaged in the business of producing and selling TFT-LCD to customers in the United States and elsewhere.
 - (b) CHU-HSIANG "JAMES" YANG was Director of Sales for Chi Mei.
- 6. Various corporations and individuals, not made defendants in this Information, participated as coconspirators in the offense charged in this Information and performed acts and made statements in furtherance of it.

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7. Whenever in this Information reference is made to any act, deed, or transaction of any corporation, the allegation means that the corporation engaged in the act, deed, or transaction by or through its officers, directors, employees, agents, or other representatives while they were actively engaged in the management, direction, control, or transaction of its business or affairs.

 Π I.

TRADE AND COMMERCE

- 8. TFT-LCD are glass panels composed of an array of tiny pixels that are electronically manipulated in order to display images. TFT-LCD are manufactured in a broad range of sizes and specifications for use in televisions, notebook computers, desktop monitors, mobile devices, and other applications.
- 9. During the period covered by this Information, the defendant, his corporate employer, and coconspirators sold and distributed TFT-LCD in a continuous and uninterrupted flow of interstate and foreign trade and commerce to customers located in states or countries other than the states or countries in which the defendant, his corporate employer, and coconspirators produced TFT-LCD.
- 10. The business activities of the defendant, his corporate employer, and coconspirators that are the subject of this Information were within the flow of, and substantially affected, interstate and foreign trade and commerce.

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IV.

JURISDICTION AND VENUE

The combination and conspiracy charged in this Information was carried out, in part, in the Northern District of California, within the five years preceding the filing of this

ALL IN VIOLATION OF TITLE 15, UNITED STATES CODE, SECTION 1.

Assistant Attorney General

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United States Department of Justice

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