

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA**

UNITED STATES OF AMERICA,

Plaintiff,

v.

WESTINGHOUSE AIR BRAKE
TECHNOLOGIES CORPORATION,

FAIVELEY TRANSPORT S.A.,

and

FAIVELEY TRANSPORT NORTH AMERICA

Defendants.

Civil Action No.: 1:16-cv-02147

Judge: Tanya S. Chutkan

**CERTIFICATE OF COMPLIANCE WITH PROVISIONS OF THE ANTITRUST
PROCEDURES AND PENALTIES ACT**

Plaintiff, United States of America, by the undersigned attorney, hereby certifies that, in compliance with the Antitrust Procedures and Penalties Act, 15 U.S.C. §16 (b)-(h) (“APPA”), the following procedures have been followed in preparation for the entry of the Final Judgment in this matter:

1. The Complaint, Competitive Impact Statement, proposed Final Judgment, and Hold Separate Stipulation and Order, by which the parties have agreed to the Court’s entry of the Final Judgment following compliance with the APPA, were filed with the Court on October 26, 2016.

2. Pursuant to 15 U.S.C. §16(b), the proposed Final Judgment and Competitive Impact Statement were published in the *Federal Register* on November 7, 2016. 81 Fed. Reg. 71,187 (Nov. 7, 2016).

3. Pursuant to 15 U.S.C. §16(b), copies of the proposed Final Judgment and Competitive Impact Statement were furnished to all persons requesting them and made available on the Department of Justice, Antitrust Division's internet site, as were the Complaint and Hold Separate Stipulation and Order.

4. Pursuant to 15 U.S.C. §16(c), a summary of the terms of the proposed Final Judgment was published in *The Washington Post*, a newspaper of general circulation in the District of Columbia, for seven days beginning on November 2, 2016 and ending on November 8, 2016.

5. As noted in the Competitive Impact Statement, there were no determinative materials or documents within the meaning of 15 U.S.C. §16(b) that were considered by the United States in formulating the proposed Final Judgment, so none was furnished to any person pursuant to 15 U.S.C. §16(b) or listed pursuant to 15 U.S.C. §16(c).

6. As required by 15 U.S.C. §16(g), on November 3 and 4, 2016, defendants Faiveley Transport S.A., Faiveley Transport North America, and Westinghouse Air Brake Technologies Corporation filed with the Court a description of written or oral communications by or on behalf of each defendant, or any other person, with any officer or employee of the United States concerning the proposed Final Judgment.

7. The sixty-day comment period prescribed by 15 U.S.C. §16(b) and (d) for the receipt and consideration of written comments, during which the proposed Final Judgment could

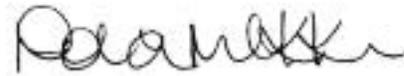
not be entered, ended on January 7, 2017. The United States did not receive any comments on the proposed Final Judgment.

8. The parties have satisfied all the requirements of the APPA that were conditions for entering the proposed Final Judgment. The Court may now enter the Final Judgment if the Court determines that, pursuant to 15 U.S.C. §16(e), entry of the Final Judgment is in the public interest.

Dated: January 26, 2017

Respectfully submitted,

FOR PLAINTIFF UNITED STATES



DOHA MEKKI
Trial Attorney, Litigation II Section
U.S. Department of Justice, Antitrust Division
450 Fifth Street N.W., Suite 8700
Washington, D.C. 20530
(202) 598-8023
doha.mekki@usdoj.gov