



# Prof. David Evans

## Data Privacy Expert

Ex. No.




PXRD007

1:20-cv-03010-APM

1:20-cv-03715-APM

**REDACTED FOR PUBLIC FILING**

# Assignment

-  Evaluate **privacy risks** with the proposed sharing of User-side Data, Ads Data, and Search Data
-  Assess whether **privacy-enhancing technologies** can mitigate those privacy risks while still sharing useful information
-  Respond to the reports of Google's privacy expert

# Key Opinions

1

There are well-established **privacy-enhancing techniques** that can be used to protect sensitive information.

2

Many organizations, including Google, **safely release sensitive data** by using privacy-enhancing techniques.

3

Google can share the data at issue in a way that **assures privacy while providing utility**.

# Google's Expert Agrees Data Can Be Shared



**Chris Culnane, PhD**

Google's Expert  
Principal & Consultant  
Castellate Consulting Ltd.

Q. Dr. Culnane, you believe that it is possible for Google to share what you call the DOJ search data by applying privacy-enhancing techniques to achieve suitable privacy safeguards, don't you?

**A. Yes.**



# Experts' Disagreement

## What Dr. Culnane Claims

“In the Search Context,  
Only Frequency  
Thresholds Provide  
Indistinguishability.”

## My Opinion

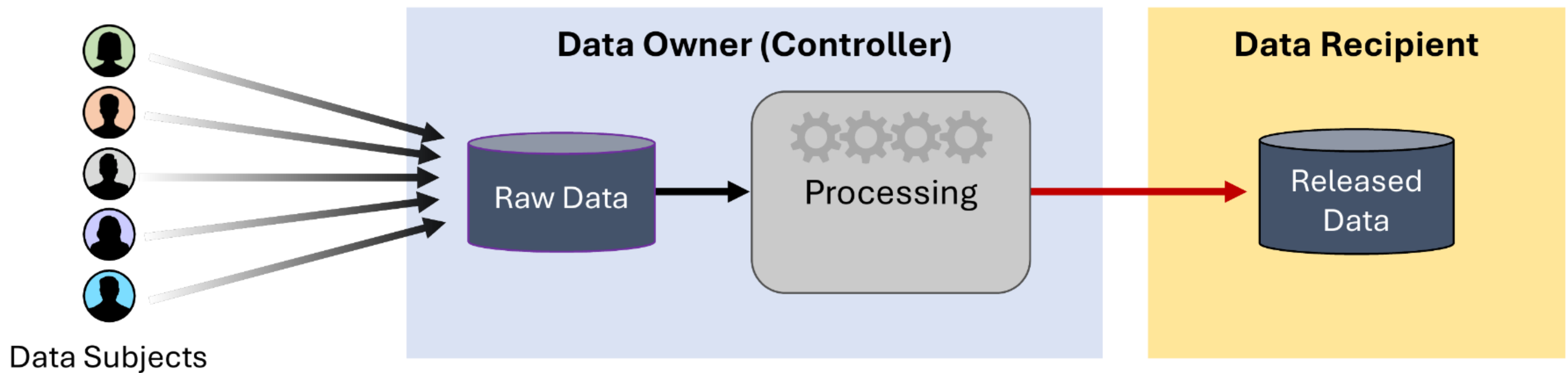
There are many well-established **privacy-enhancing techniques**, and the remedy should **use techniques appropriately to assure privacy while providing high utility.**

# What is Data Privacy?

## Data Collection

## Data Processing

## Data Release

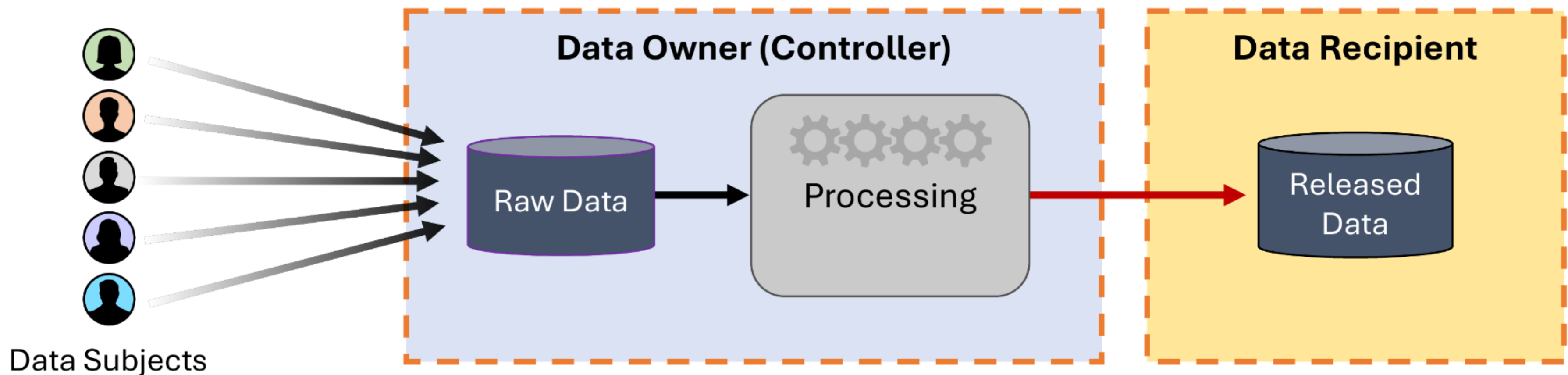


# What is Data Privacy?

## Data Collection

## Data Processing

## Data Release



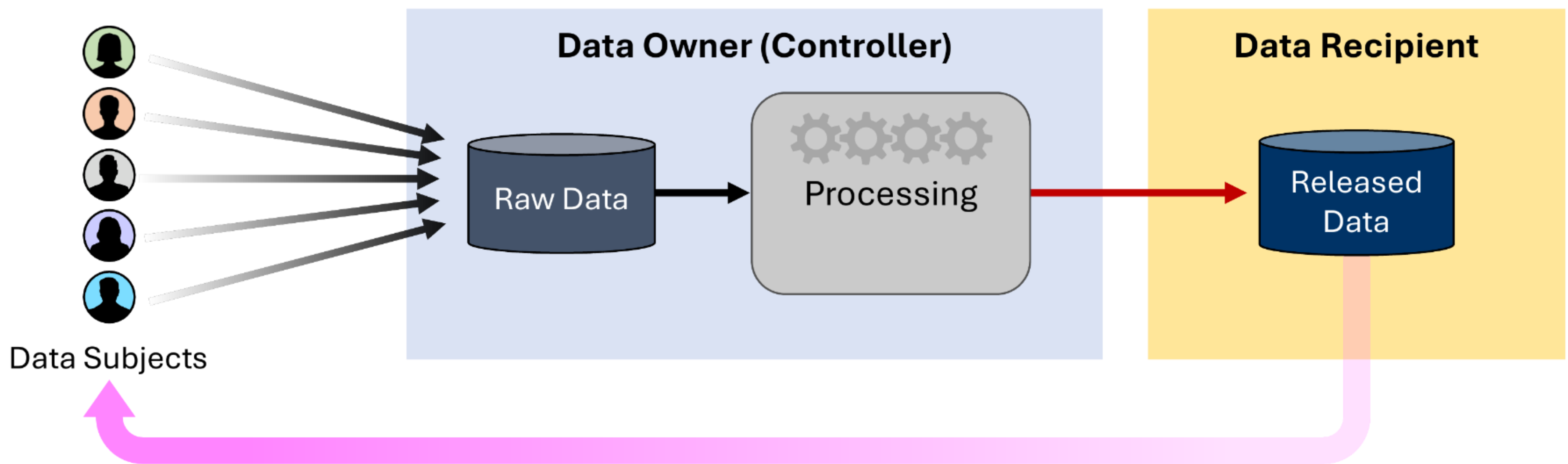
**Data security:** preventing **unintended releases** of data

# What is Data Privacy?

## Data Collection

## Data Processing

## Data Release



**Data privacy:** preventing **unintended disclosure** of sensitive information from **intentionally released data**

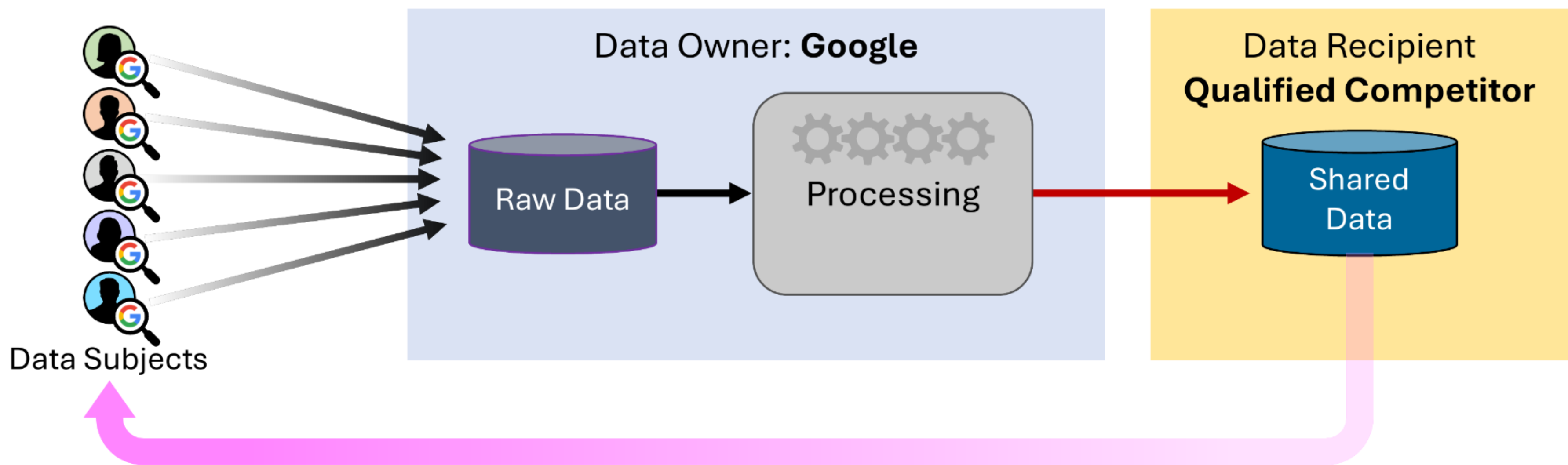


# Data Privacy for Proposed Data Sharing

## Data Collection

## Data Processing

## Data Release



**Data privacy issue:** potential for **disclosure** of sensitive information from **shared data** and mitigations to share safely

# The Data at Issue

## User-side Data

RPFJ Sections VI.A, C, & D

## Search Index Data

RPFJ Section VI.A

## Ads Data

RPFJ Sections VI.E & F



Submitted queries  
Clicked-on links  
Time looking at results  
Hovering over a link  
User location  
User device  
Ranking signals  
...

**Data Google collects from users and uses to train models**  
(RankEmbed, NavBoost, Glue, and [REDACTED])




# Innocuous Data Can Reveal Sensitive Information

The New York Times

## A Face Is Exposed for AOL Searcher No. 4417749

By Michael Barbaro and Tom Zeller Jr.  
Aug. 9, 2006

In the privacy of her four-bedroom home, Ms. Arnold searched for the answers to scores of life's questions, big and small. How could she buy "school supplies for Iraq children"? What is the "safest place to live"? What is "the best season to visit Italy"?



Note: Thelma consented to being exposed in the article (her dog did not consent).

## Linking

### Aggregate Statistics

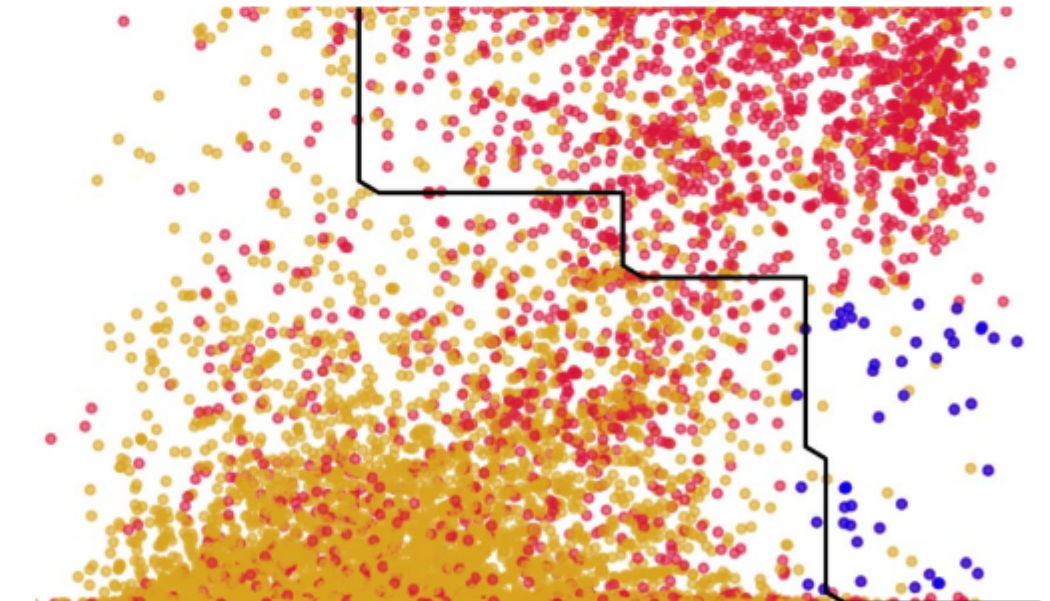
Block	Total	Race 1	...	Race 63	...
⋮	⋮	⋮	⋮	⋮	⋮
20394	712	0	...	82	...
20395	2316	3	...	27	...
⋮	⋮	⋮	⋮	⋮	⋮



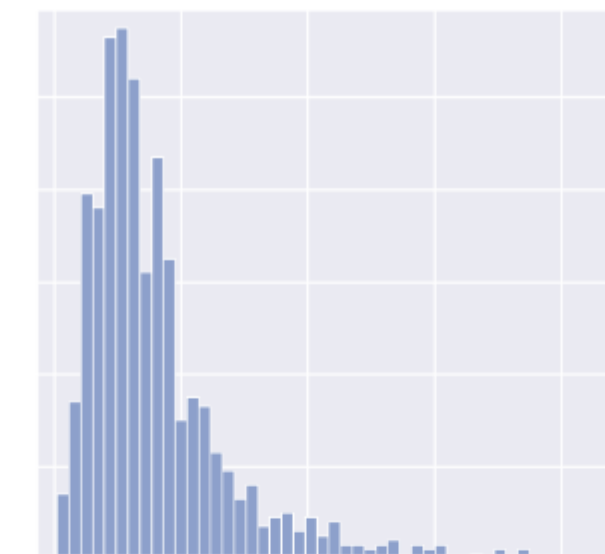
ID	Block	Race	Age	...
⋮	⋮	⋮	⋮	⋮
032	20394	7	23	...
033	20394	5	82	...
⋮	⋮	⋮	⋮	⋮

### Individuals

## Reconstruction



### Attribute Inference Attacks



### Membership Inference Attacks

## Inference Attacks

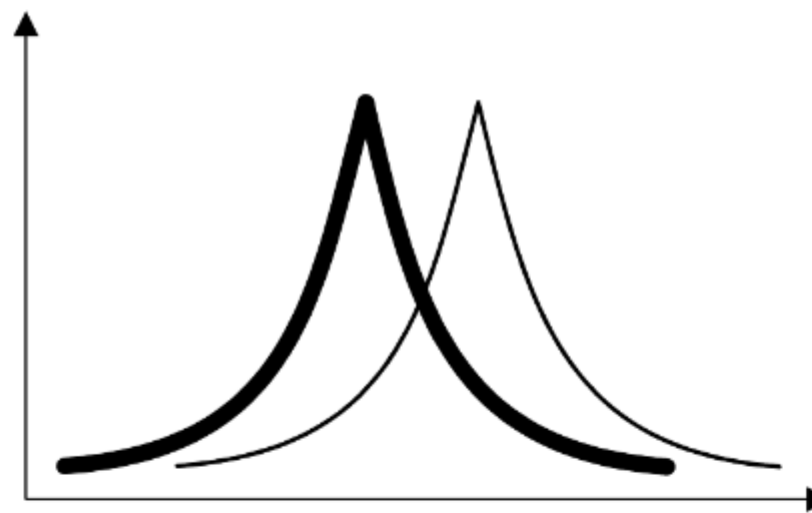
# Assessing Privacy Risk

**Until ~2000:**  
**ad hoc privacy**

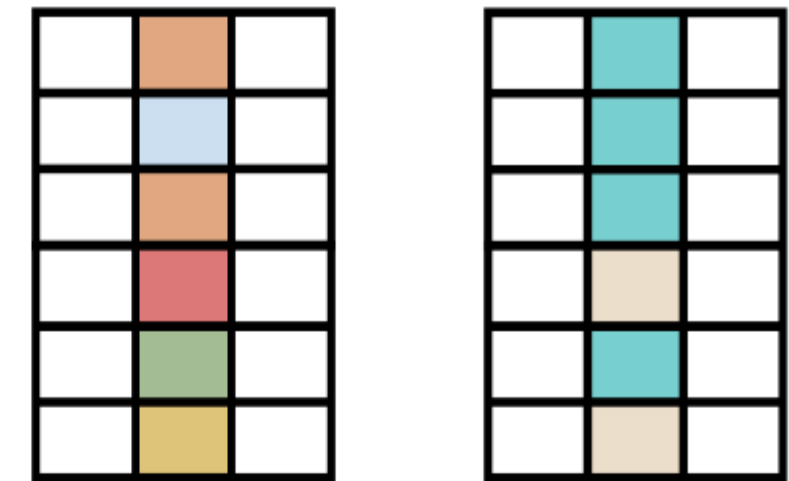
Trying things and  
hope they work

**Today: formal privacy**

Mathematical definitions of privacy and  
principled mechanisms for satisfying them



**differential privacy**

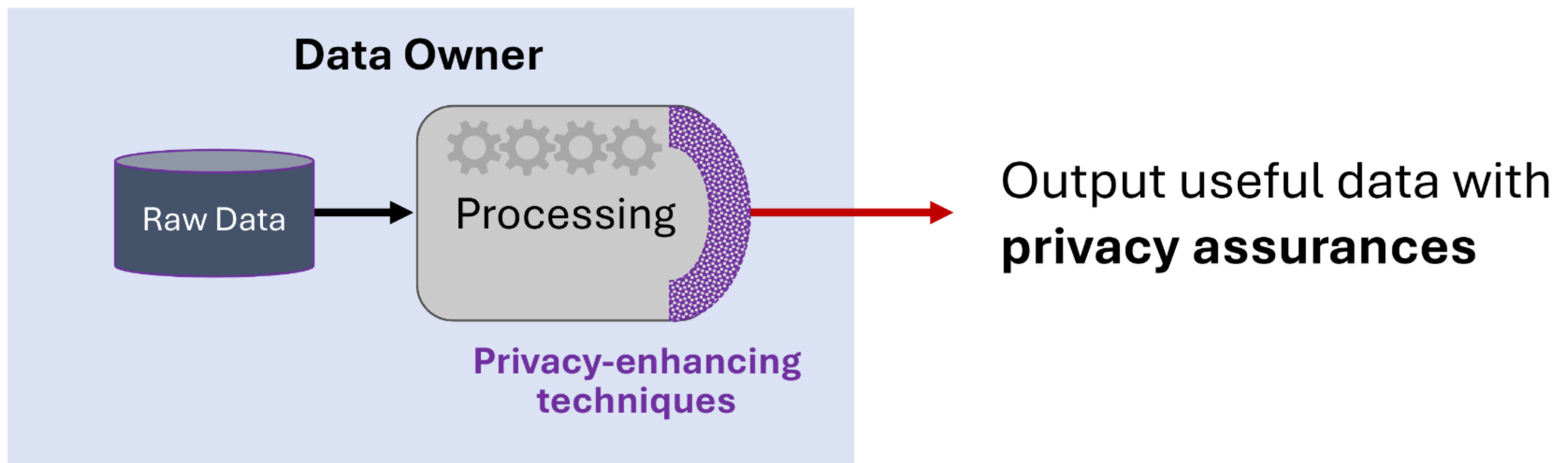


**k-anonymity**

and hundreds of others...



# Privacy-Enhancing Techniques (PETs)



# Opinion 1: Privacy-Enhancing Techniques Work

1

There are well-established **privacy-enhancing techniques** that can be used to protect sensitive information.

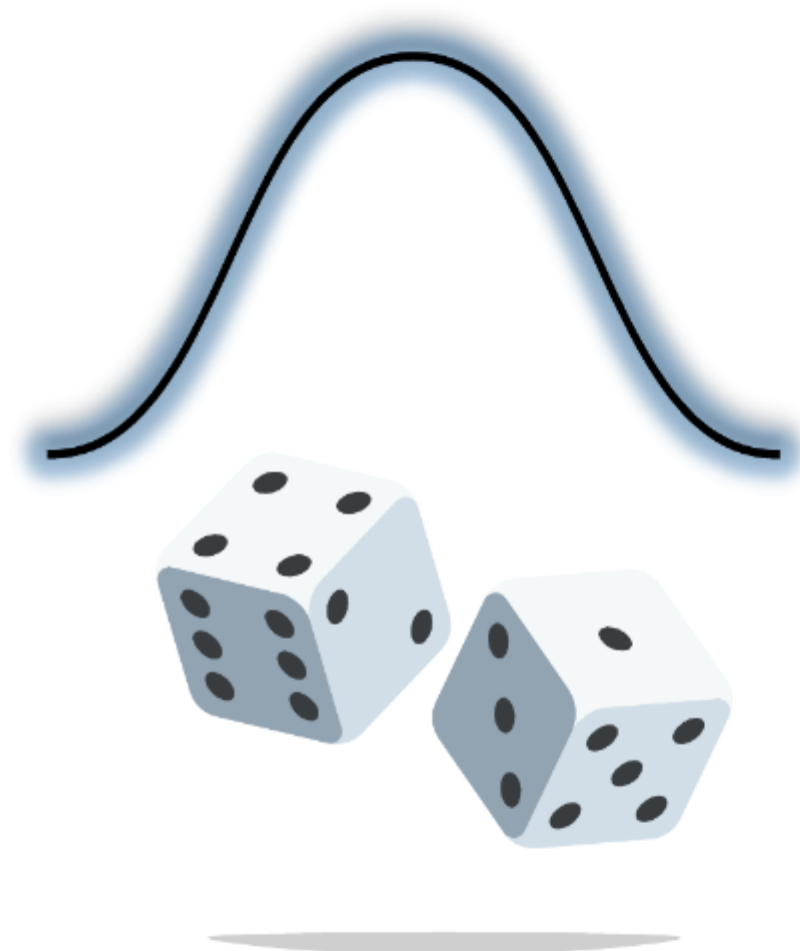
2

Many organizations, including Google, **safely release sensitive data** by using privacy-enhancing techniques.

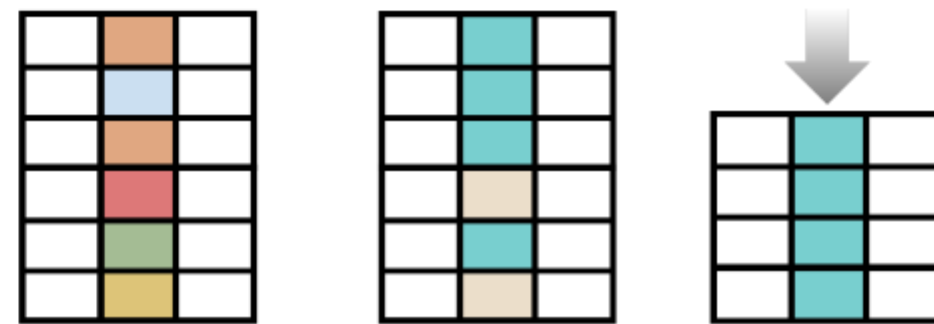
3

Google can share the data at issue in a way that **assures privacy** while **providing utility**.

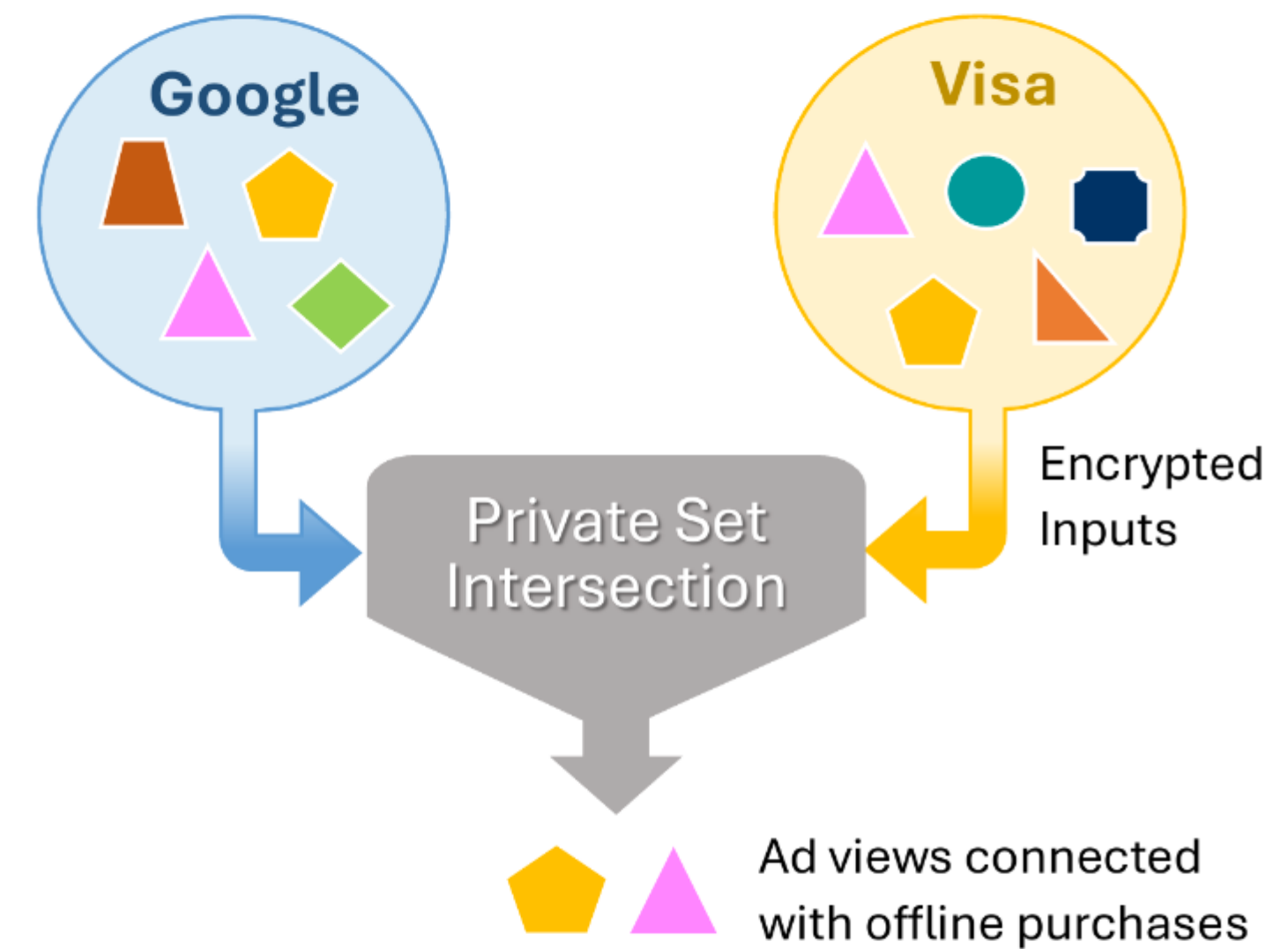
# Broad Types of Privacy-Enhancing Techniques



**Noise**



**Frequency  
Bounds**



**Cryptographic  
Methods**

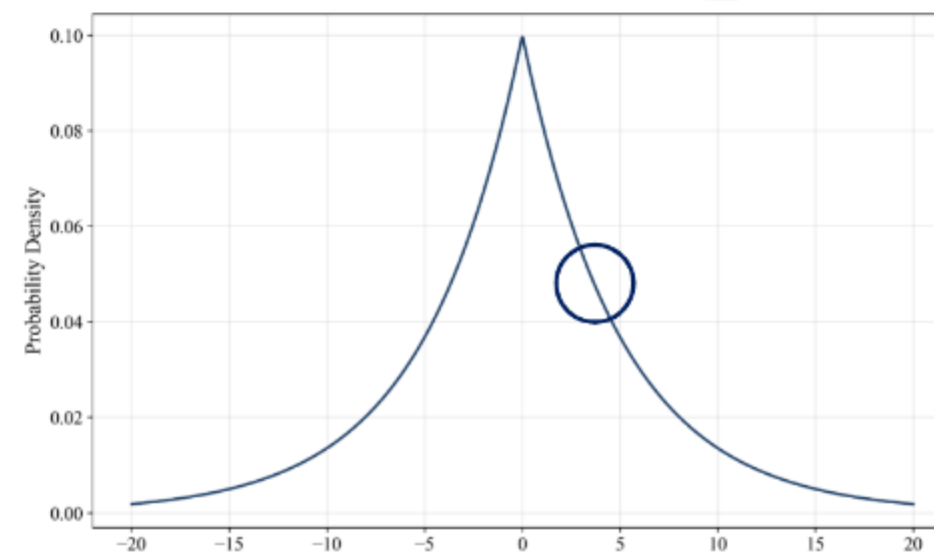
# Noise for Privacy

**Source Data**

629

+

**Noise**

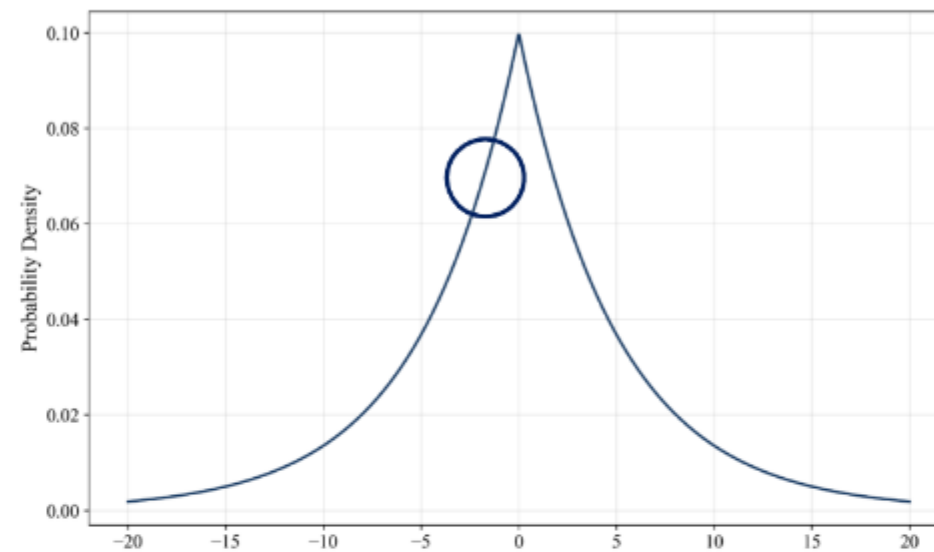


**Released Data**

= 631.52

629

+



= 628.73



# Differential Privacy

Gives a **mathematical bound** on exposure of individual's data

**No assumptions needed** about what is sensitive information, actual data, what adversary can do, what adversary already knows

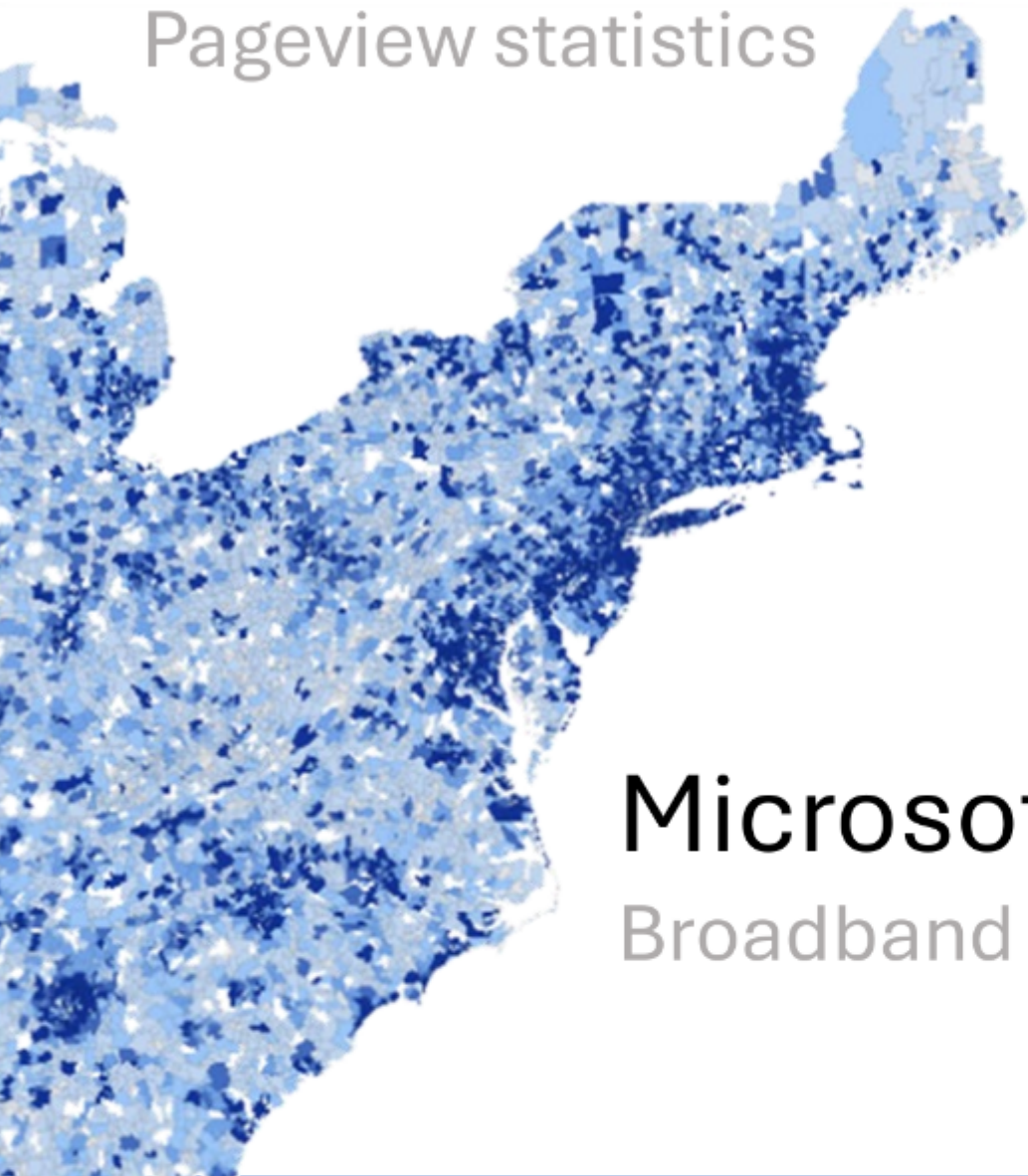
$$\frac{\text{Probability of this output from dataset containing user}}{\text{Probability of this output from dataset **without** user}} \leq \exp(\epsilon)$$

Privacy loss parameter (epsilon) provides precise control of **privacy-utility tradeoff**

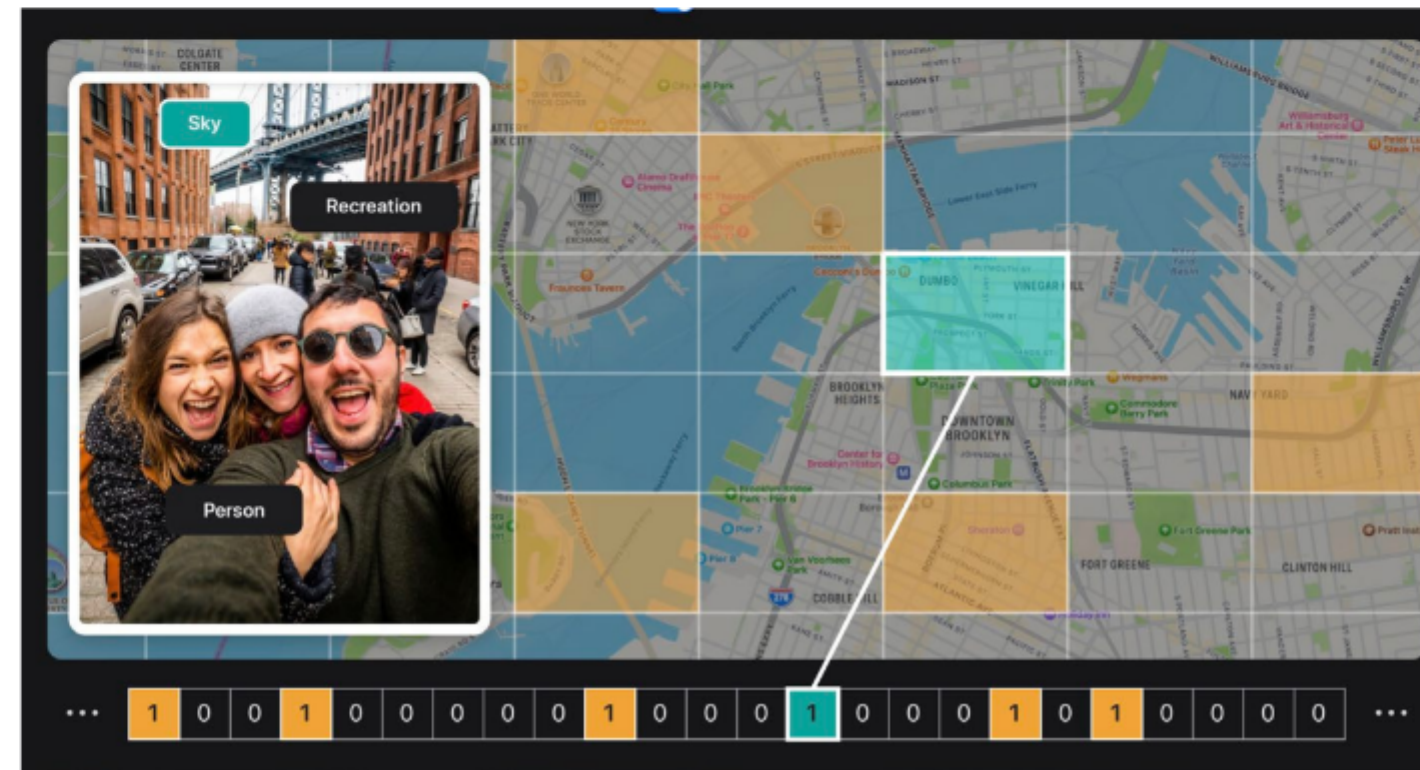
# Widespread Acceptance and Use



Wikimedia  
Pageview statistics

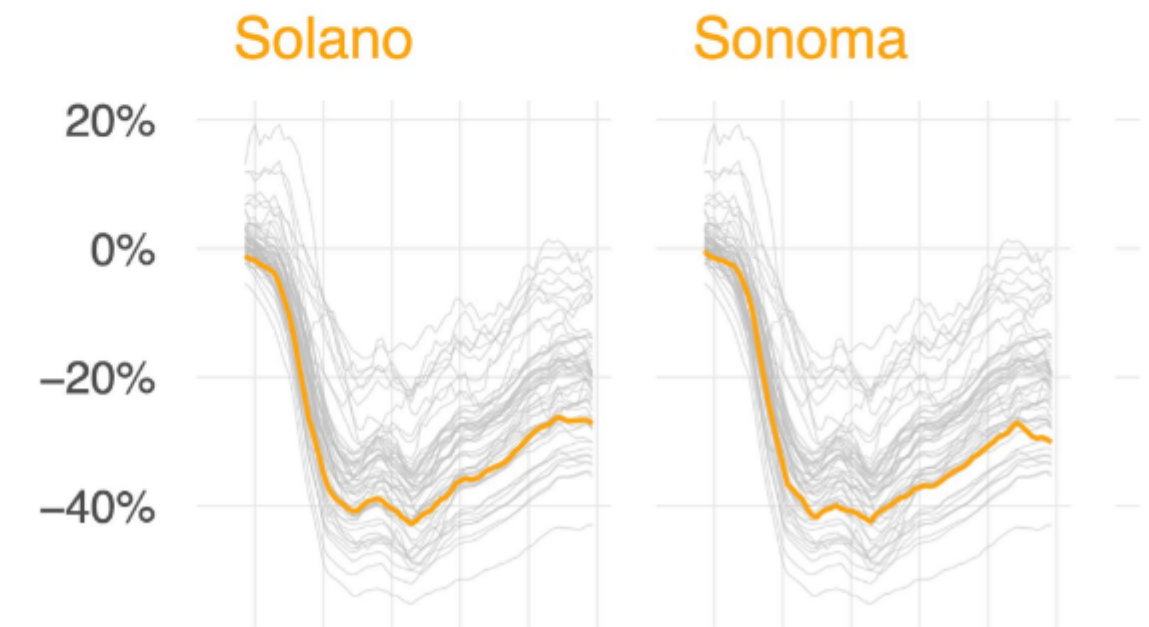


Microsoft  
Broadband usage

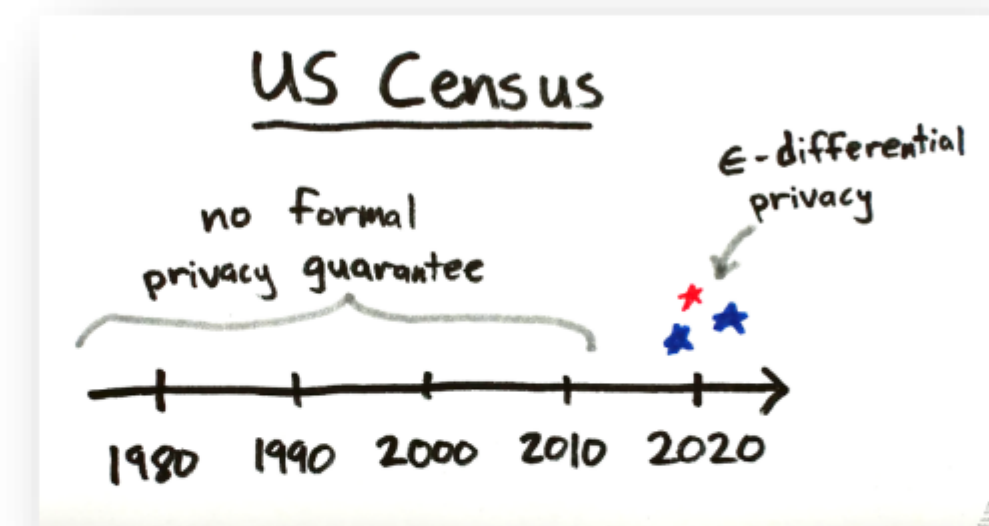


Apple  
Learning iconic scenes

US Census Bureau  
Redistricting data



Facebook  
Movement dataset



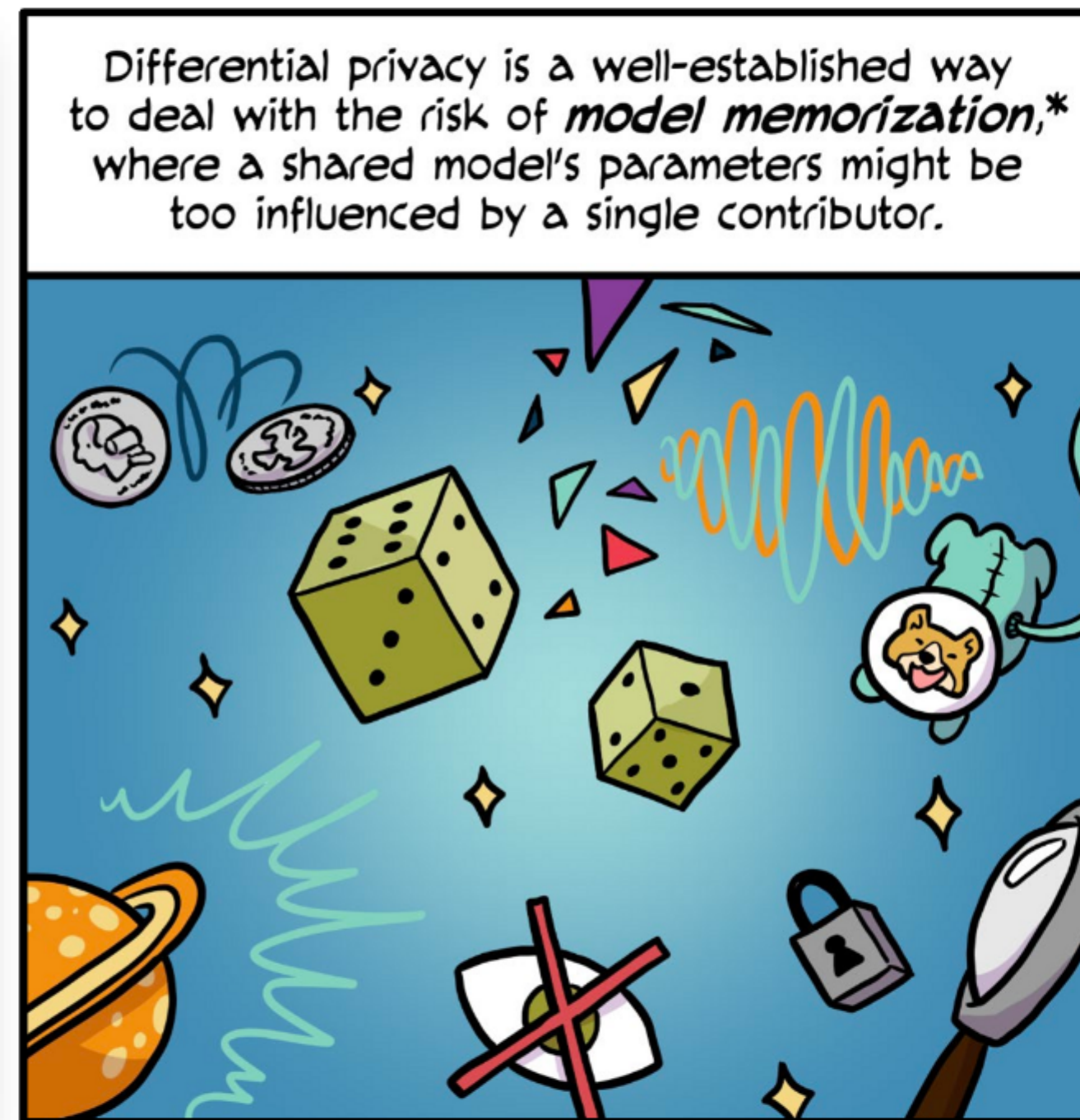
Source: <https://www.tmlt.io/casestudy/revealing-wikipedia-usage-data-while-protecting-privacy>; <https://github.com/microsoft/USBroadbandUsagePercentages/blob/master/assets/broadbandusagezipcode.png>; <https://machinelearning.apple.com/research/scenes-differential-privacy>; [https://scontent-iad3-1.xx.fbcdn.net/v/t39.8562-6/240856930\\_242973511029218\\_5562704693675330708\\_n.pdf?\\_nc\\_cat=101&ccb=1-7&\\_nc\\_sid=e280be&\\_nc\\_ohc=5PyY0fYO49IQ7kNvwFYH-d0&\\_nc\\_oc=AdmPfkN63J\\_Rp-QzysvDOLgV5cCtK7\\_wufNJdC2kayD9msa-F7dM\\_wTxAhR9\\_ut9\\_qHCxx-IM6hvFmS2hj5mjj-N&\\_nc\\_zt=14&\\_nc\\_ht=scontent-iad3-1.xx&\\_nc\\_gid=uAGCgBOL4wKOB6V0ZU9g&ph=00\\_AtGEhAlroWM7wus\\_U9LXz0z2PNTBteIV5-i8gOgaExFRv&qe=680A321B](https://scontent-iad3-1.xx.fbcdn.net/v/t39.8562-6/240856930_242973511029218_5562704693675330708_n.pdf?_nc_cat=101&ccb=1-7&_nc_sid=e280be&_nc_ohc=5PyY0fYO49IQ7kNvwFYH-d0&_nc_oc=AdmPfkN63J_Rp-QzysvDOLgV5cCtK7_wufNJdC2kayD9msa-F7dM_wTxAhR9_ut9_qHCxx-IM6hvFmS2hj5mjj-N&_nc_zt=14&_nc_ht=scontent-iad3-1.xx&_nc_gid=uAGCgBOL4wKOB6V0ZU9g&ph=00_AtGEhAlroWM7wus_U9LXz0z2PNTBteIV5-i8gOgaExFRv&qe=680A321B); <https://www.youtube.com/watch?v=PT19VwBAoKA>; <https://machinelearning.apple.com/research/scenes-differential-privacy>



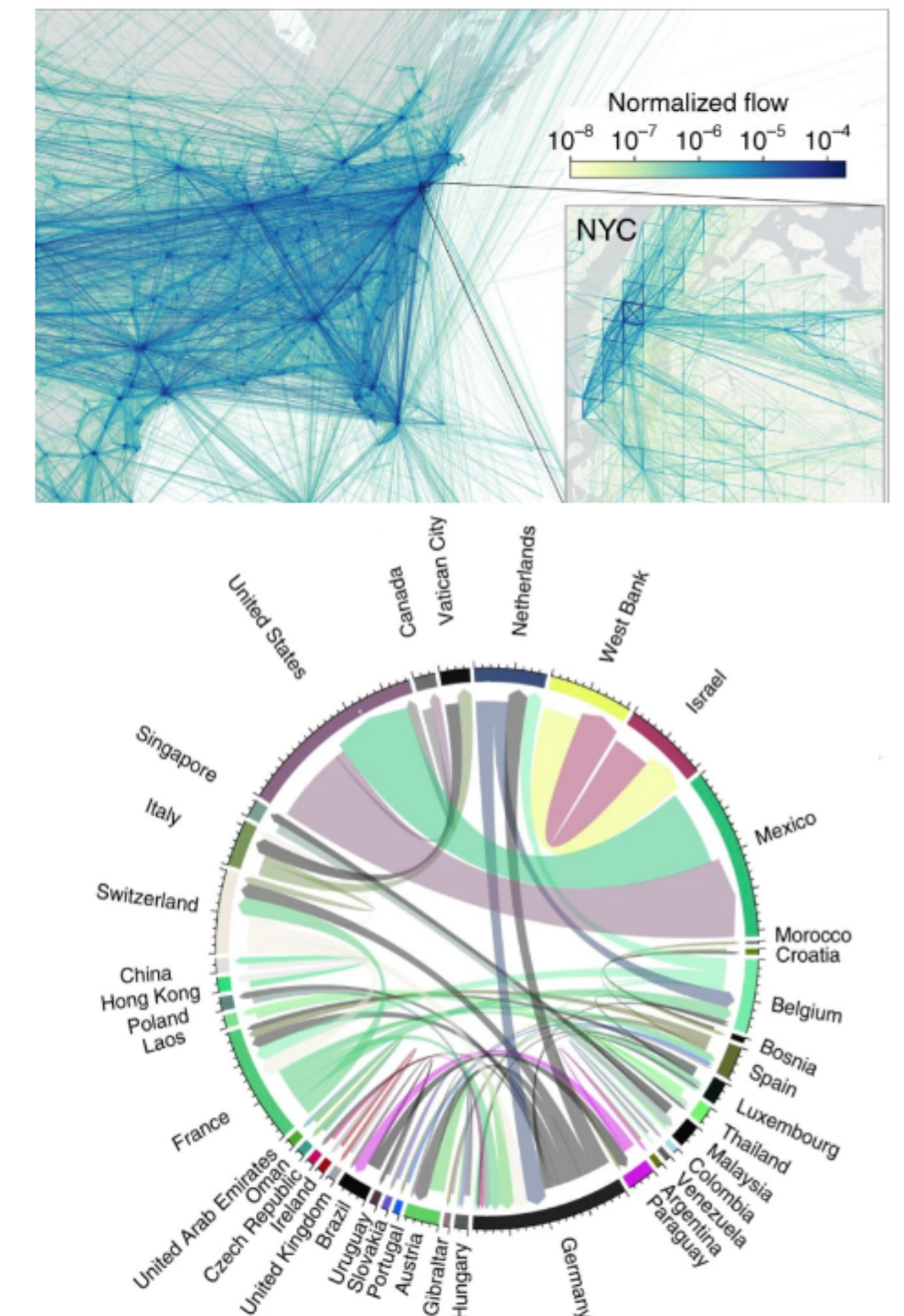
# Google Uses Differential Privacy (DP)



Internal Google Document



Google AI Comic



Variation in Mobility



# K-anonymity Formal Privacy Definition

Privacy definition that requires that any released data record is **indistinguishable** from at least  $k - 1$  other records.

Query	Location	Device	
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523	
Query	Location	Device	Indistinguishable
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523	
Query	Location	Device	Indistinguishable?
best <b>m</b> exican food	(38.8977°, 77.036 <b>4</b> °)	Pixel9a-Android15-v <b>22.173</b>	
Query	Location	Device	Indistinguishable?
mexican <b>restaurant</b>	(38.8977°, 77.0365°)	Pixel9a-Android15-v <b>21.083</b>	



# How to Satisfy $K$ -anonymity

Source data  
( $k=1$ )

Query	Location	Device
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523
best <b>m</b> exican food	(38.8977°, 77.036 <b>4</b> °)	Pixel9a-Android15-v <b>22.173</b>
mexican <b>r</b> estaurant	(38.8977°, 77.0365°)	Pixel9a-Android15-v <b>21.083</b>

Record removal



Released data  
( $k=2$ )

Query	Location	Device
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523
best <b>m</b> exican food	(38.8977°, 77.036 <b>4</b> °)	Pixel9a-Android15-v <b>22.173</b>
mexican <b>r</b> estaurant	(38.8977°, 77.0365°)	Pixel9a-Android15-v <b>21.083</b>

# How to Satisfy $K$ -anonymity with Utility

Source data  
( $k=1$ )

Query	Location	Device
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523
best <b>m</b> exican food	(38.8977°, 77.036 <b>4</b> °)	Pixel9a-Android15-v <b>22.173</b>
mexican <b>restaurant</b>	(38.8977°, 77.0365°)	Pixel9a-Android15-v <b>21.083</b>




Generalization



Suppression



Released data  
( $k=3$ )

Query	Location	Device
best Mexican food	<b>DC 20500</b>	Pixel9a-Android15 
best Mexican food	<b>DC 20500</b>	Pixel9a-Android15 
<b>best Mexican food</b>	<b>DC 20500</b>	Pixel9a-Android15 
mexican <b>restaurant</b>	DC 20500	Pixel9a-Android15-v <b>21.083</b>

# Better Generalization Improves Utility

Source data  
( $k=1$ )

Query	Location	Device
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523
best Mexican food	(38.8977°, 77.0365°)	Pixel9a-Android15-v23.523
best <b>m</b> exican food	(38.8977°, 77.036 <b>4</b> °)	Pixel9a-Android15-v <b>22.173</b>
mexican <b>r</b> estaurant	(38.8977°, 77.0365°)	Pixel9a-Android15-v <b>21.083</b>


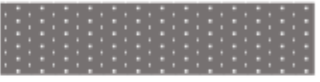


Generalization



Suppression



Released data  
( $k=4$ )

Query Intent	Location	Device
Mexican restaurant	<b>DC 20500</b>	Pixel9a-Android15 
Mexican restaurant	<b>DC 20500</b>	Pixel9a-Android15 
Mexican restaurant	<b>DC 20500</b>	Pixel9a-Android15 
Mexican restaurant	<b>DC 20500</b>	Pixel9a-Android15 



# Example uses Generalization for *K*-anonymity



**CDC**  
Public Use Data

**Generalization**  
Partial Suppression  
**L-diversity**



**Cloudflare**  
Validating Leaked Passwords

**Generalization**  
Partial Suppression

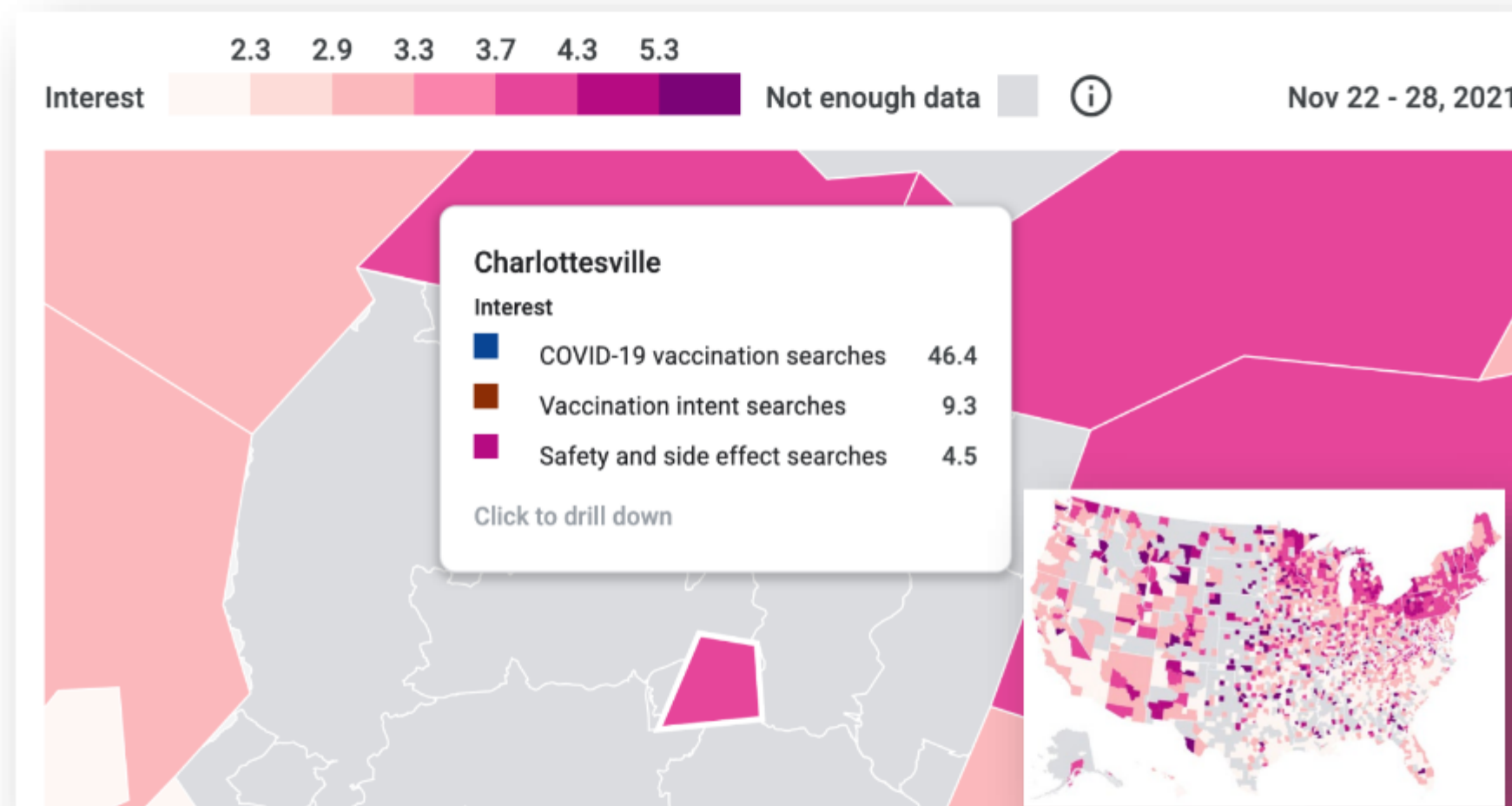


**Facebook**  
URLs Dataset

**Generalization**  
Partial Suppression  
**Differential Privacy**

Source: <https://pmc.ncbi.nlm.nih.gov/articles/PMC8216038/>; <https://blog.cloudflare.com/validating-leaked-passwords-with-k-anonymity/>; <https://blog.cloudflare.com/helping-keep-customers-safe-with-leaked-password-notification/>; [https://solomonmg.github.io/pdf/Facebook\\_DP\\_URLs\\_Dataset.pdf](https://solomonmg.github.io/pdf/Facebook_DP_URLs_Dataset.pdf); <https://socialscience.one/rfps>.

# Uses of Generalization for Privacy at Google



## COVID-19 Vaccination Search Insights

**Generalization** (Geographic, Time, Grouping search queries)

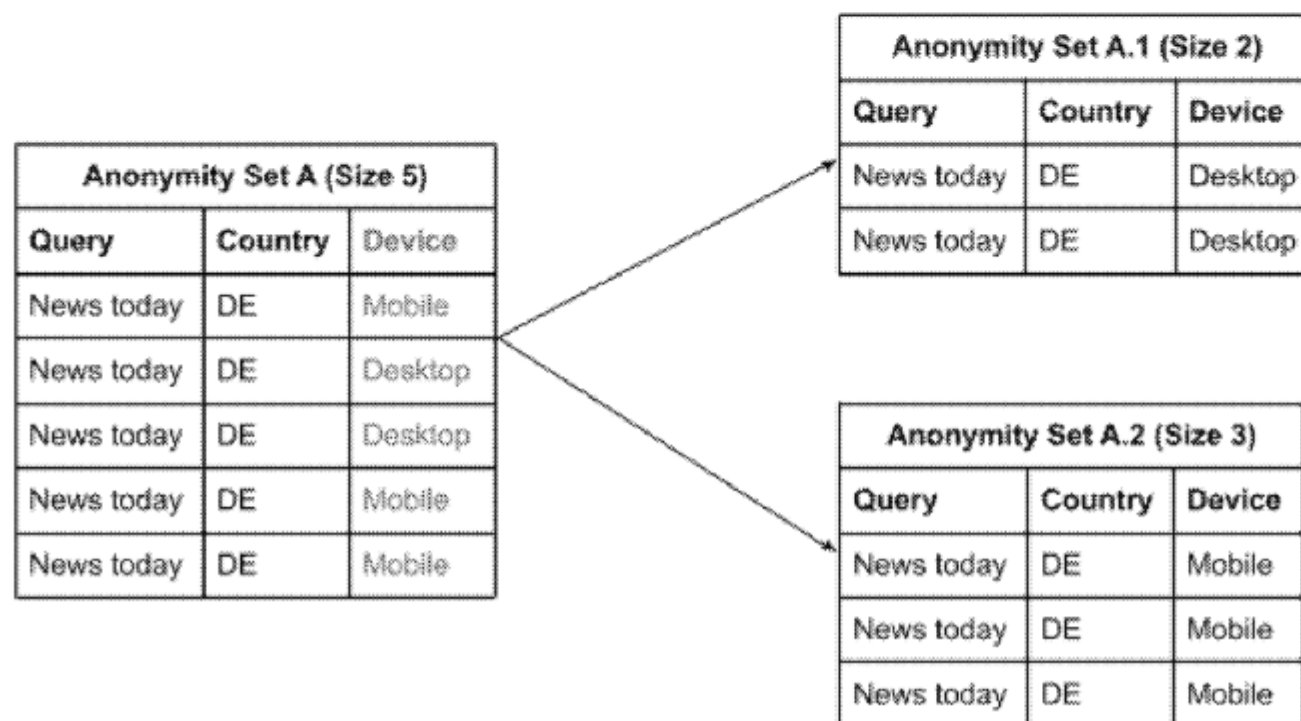
The screenshot shows the "Google Privacy & Terms" page, specifically the "Technologies" section. It discusses two techniques used to protect data: "Generalizing the data" and "Anonymizing data". A text box highlights the following quote:

"[W]e use generalization to remove a portion of the data or replace some part of it with a common value. . . . Generalization allows us to achieve k-anonymity . . . ."

## Google's Privacy Policy **Generalization for k-anonymity**



# Google's Data Sharing Implementation For DMA



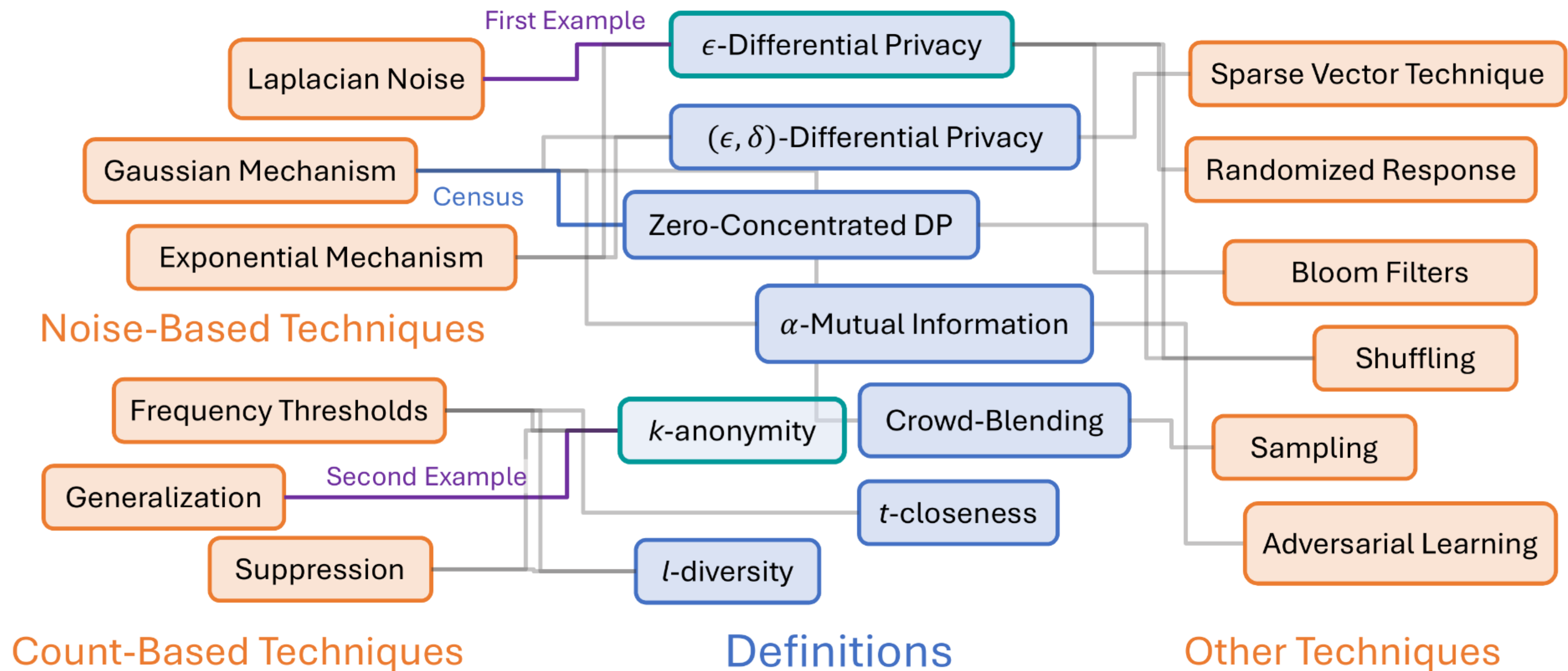
**No Field Suppression**  
**No Generalization**  
**No Spell-Correcting Queries**  
**No Grouping by Query Intent**

**Google's Experts' Report on DMA**  
(Dr. Culnane and Prof. Rubenstein)

21. Google identified three additional recovery mechanisms and is working on implementing them. These mechanisms require significant engineering work to develop and will therefore not be ready for the initial dataset, but Google expects to introduce them for the second quarterly release of its Art. 6(11) dataset.
22. First, Google has developed a privacy-safe way to release additional data about low-volume queries. For queries that typically fail to meet the m-threshold for a given country, Google will apply the threshold at an EEA-wide level, and report combined statistics across the EEA instead of data for many queries that do not support finer country-level data.  
**Generalization by combining all countries**
23. Second, Google Search automatically corrects some typos and misspellings in user queries, showing the user results for the corrected query. Before anonymization, Google will replace "typo" queries that were automatically corrected for the results shown to the user with their corrected versions.  
**Generalization by fixing "typo" queries**
24. Third, Google has developed an additional mechanism to "map" certain low-frequency queries that Search does not automatically correct (e.g., [mssql

**Google's Second Response to European Commission**  
(January 2024, 1¼ years after DMA)

# Many Formal Privacy Definitions And Principled Techniques





## Opinion 2: PETs Can Be Used To Safely Release Useful Data

1

There are well-established **privacy-enhancing techniques** that can be used to protect sensitive information.

2

Many organizations, including Google, **safely release sensitive data** by using privacy-enhancing techniques.

3

Google can share the data at issue in a way that **assures privacy** while **providing utility**.

# Selecting Appropriate Privacy-Enhancing Techniques

## Properties of the source data

- Type and amount
- Granularity
- Dimensionality
- Sensitivity
- Update frequency
- ...

**Disclosure Risk**

## Uses of the released data

- Amount required
- Granularity needed
- Correlations used
- Accuracy thresholds
- Sharing frequency
- ...

**Data Utility**



# Selecting Privacy-Enhancing Techniques for Data at Issue

## Slide 37 from Google's Opening Statement

### Plaintiffs' Privacy Expert Offers No Opinion



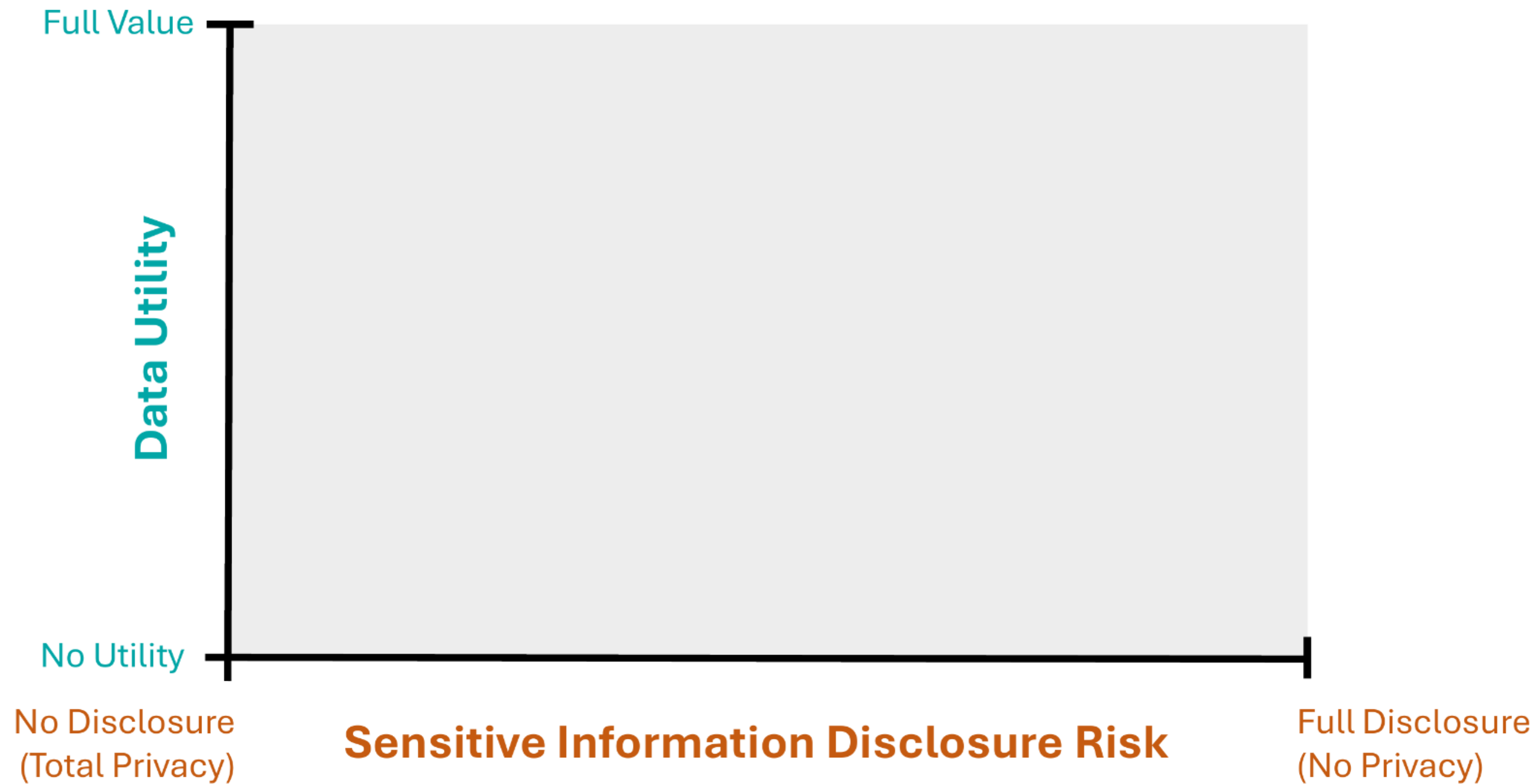
**David  
Evans, PhD.**  
DOJ Expert

- A. There are many ways to protect text data, and one way is to use the frequency-based method to achieve a definition similar to K-anonymity.
- Q. That is what you propose should be done here?
- A. I don't make any proposal as to what should be done here. I just speak to the availability of many different privacy-enhancing techniques that could be used to satisfy the requirements of the RPFJ.

Evans (DOJ) Trial Tr. 130:10-22

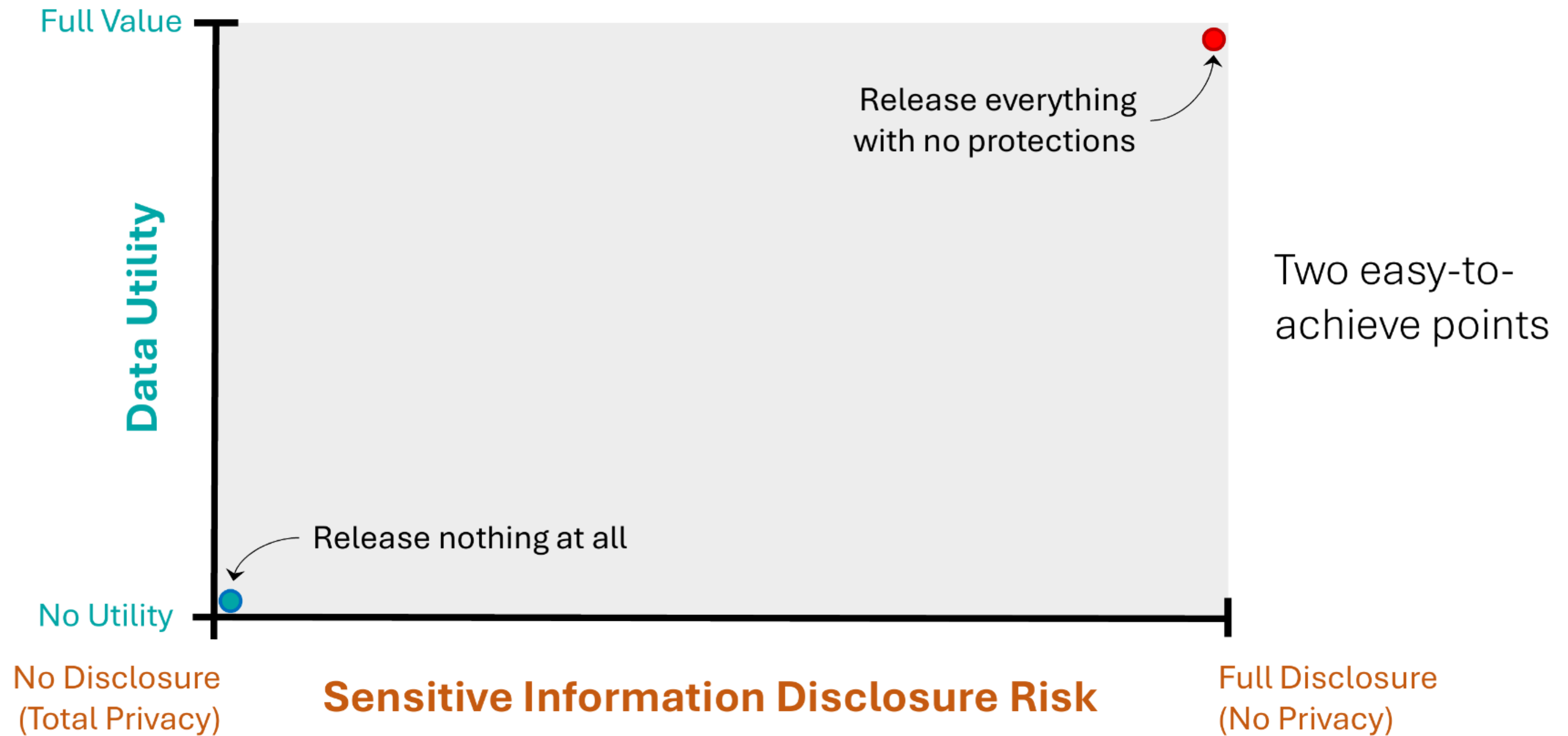
Google  
RDXD-01.037

# Privacy–Utility Tradeoff



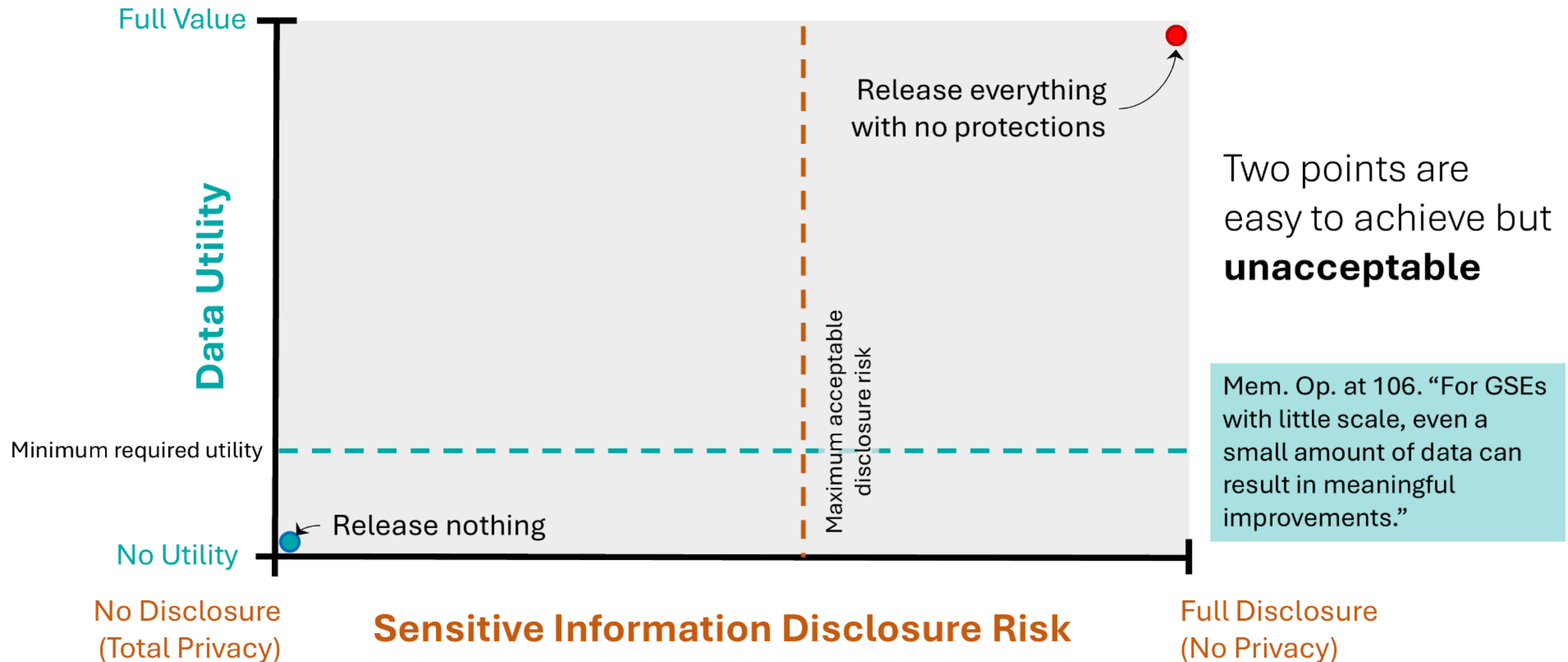
Source: Adapted from Opening Report Figure 1: Privacy – utility tradeoff curve.

# Privacy–Utility Tradeoff



Source: Adapted from Opening Report Figure 1: Privacy – utility tradeoff curve.

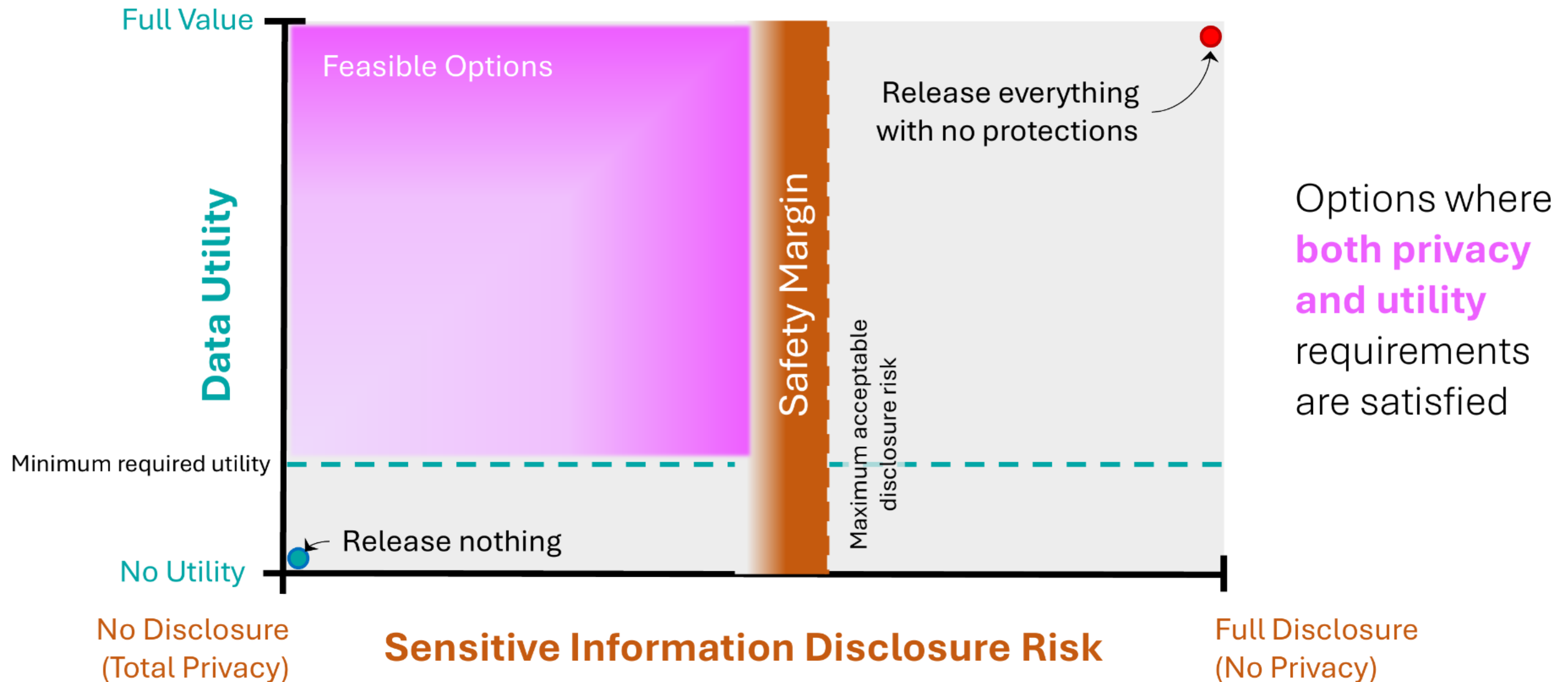
# Privacy–Utility Tradeoff



Source: Adapted from Opening Report Figure 1: Privacy – utility tradeoff curve.

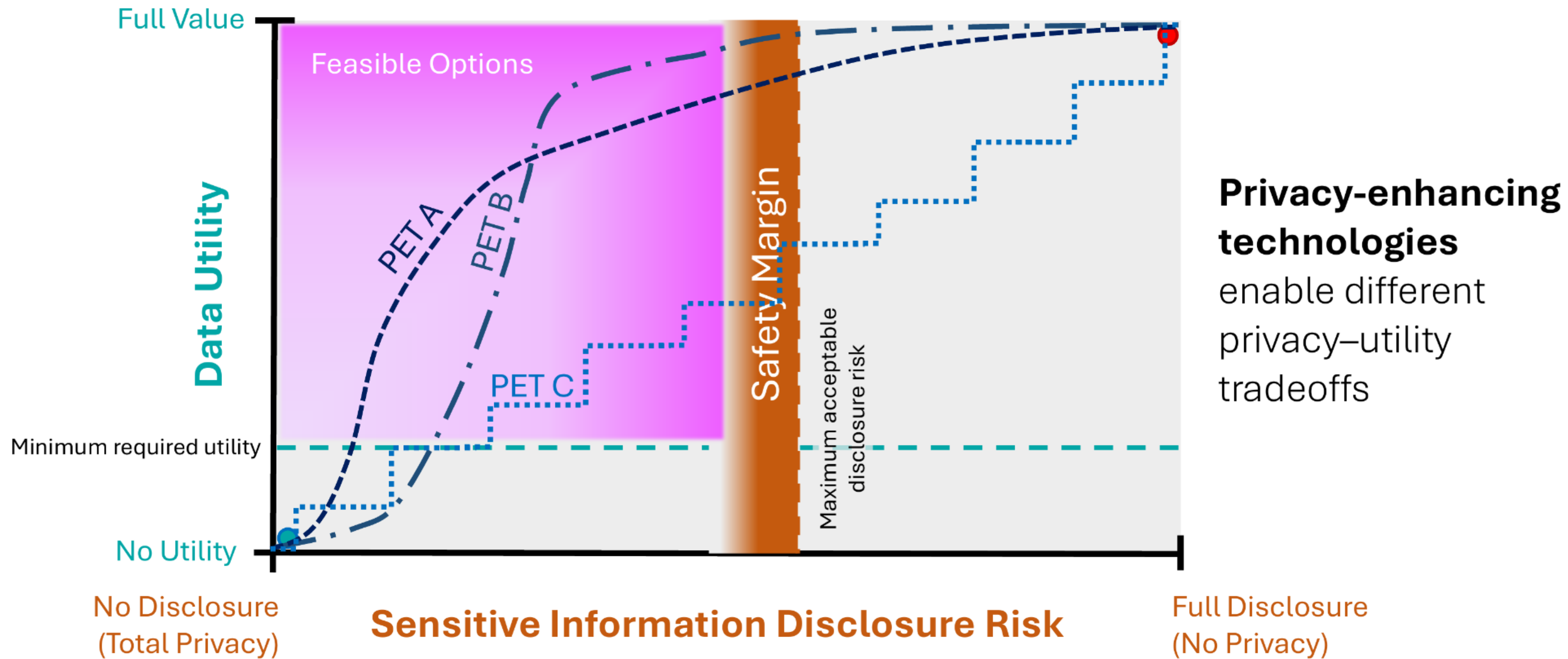


# Privacy–Utility Tradeoff



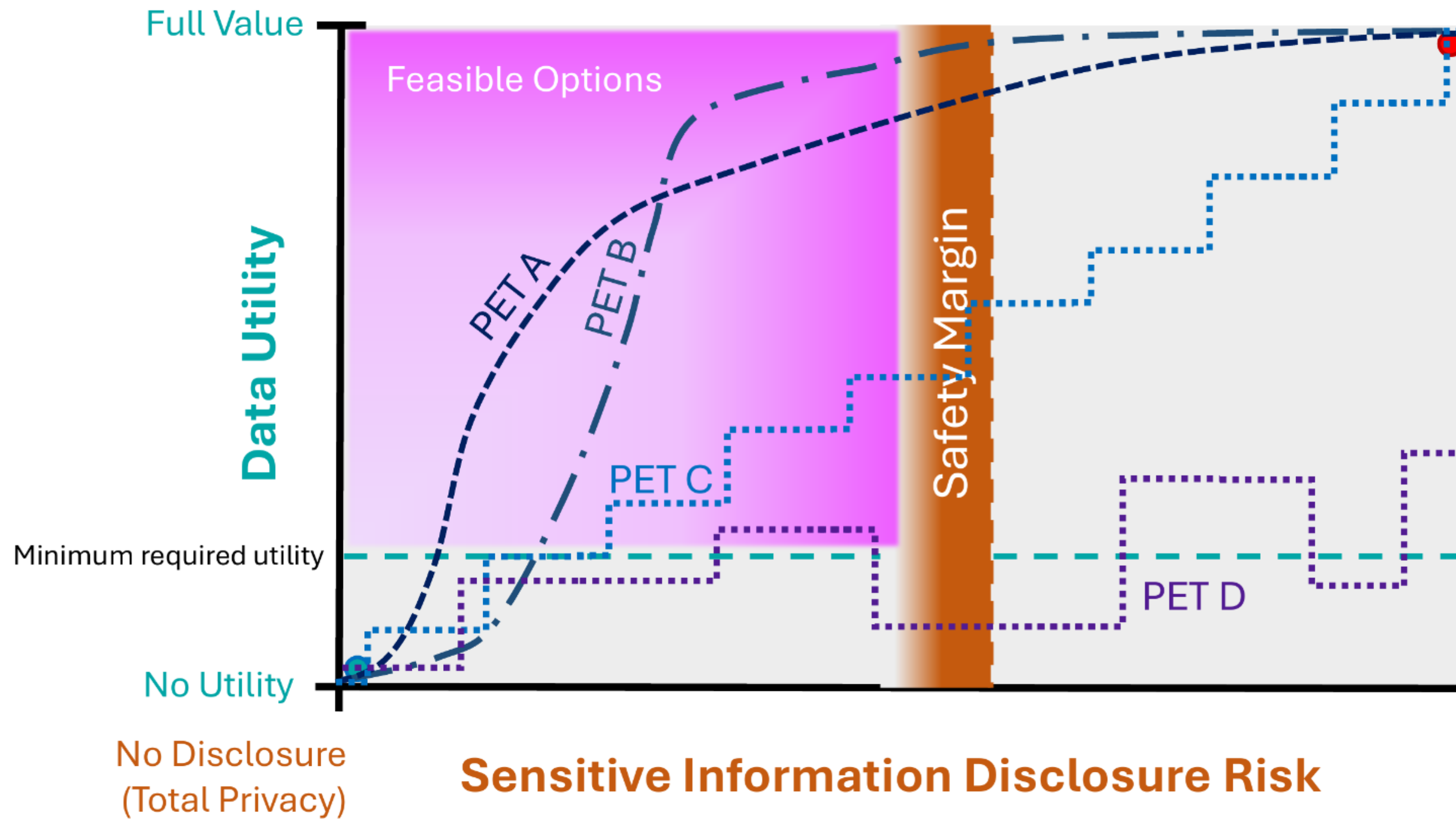
Source: Adapted from Opening Report Figure 1: Privacy – utility tradeoff curve.

# Privacy–Utility Tradeoff



Source: Adapted from Opening Report Figure 1: Privacy – utility tradeoff curve.

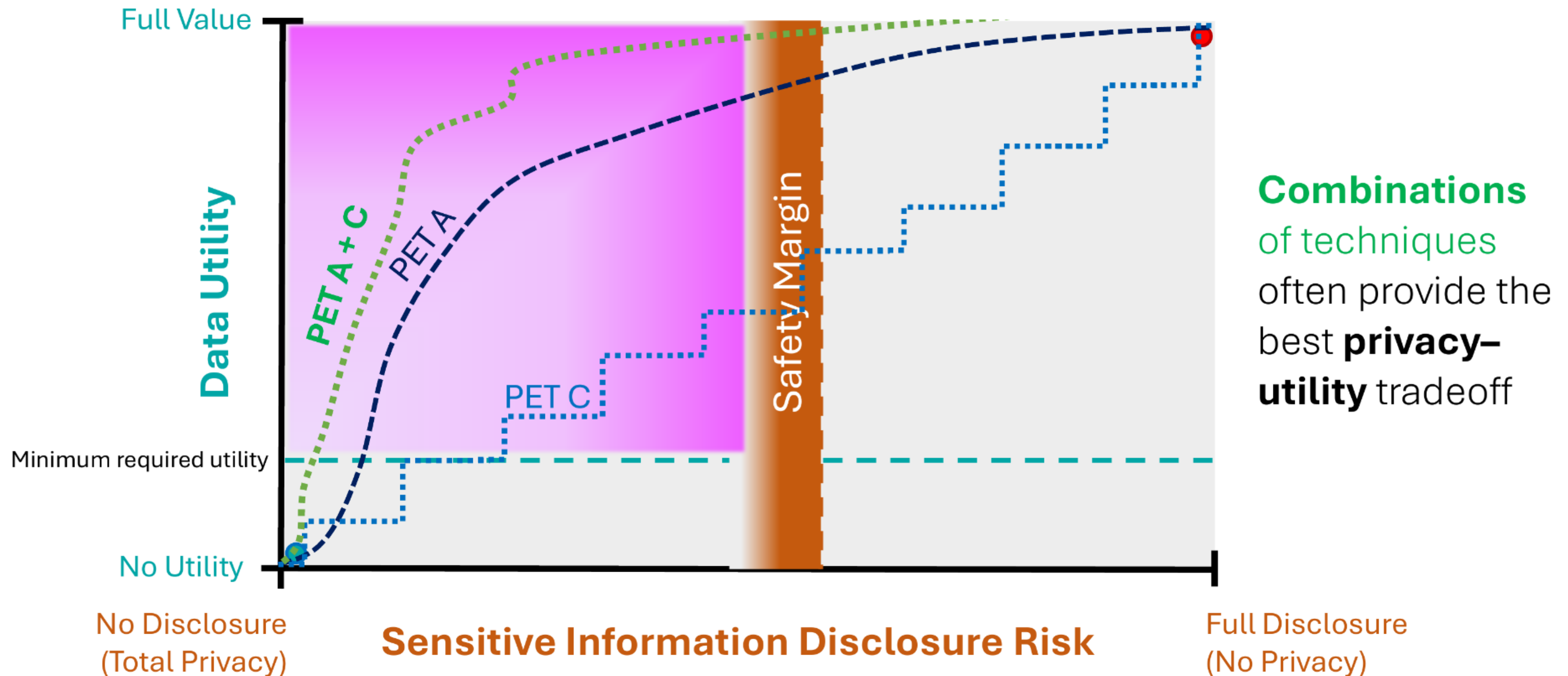
# Privacy–Utility Tradeoff



Privacy-enhancing technologies could (**but never should**) be used in ways that **reduce both privacy and utility**



# Privacy–Utility Tradeoff



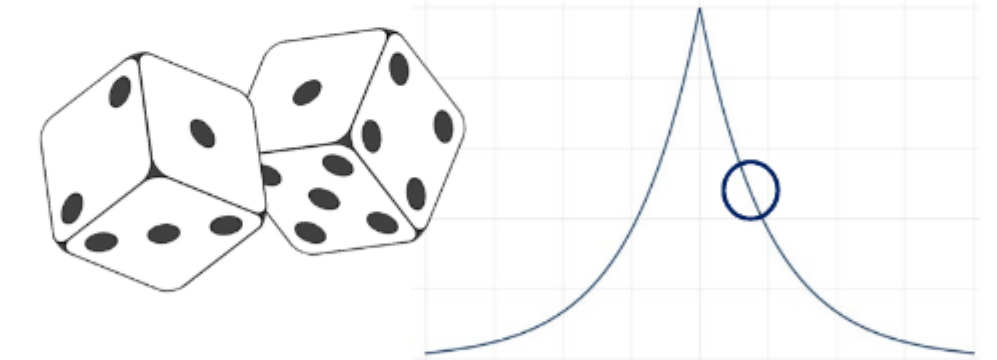
Source: Adapted from Opening Report Figure 1: Privacy – utility tradeoff curve.

# Example: Combining PETs

Query	Location
Mexican restaurant	DC 20500
resturant mexican	DC 20500
Mexican restuarant	DC 20500
mexican history	DC 20500

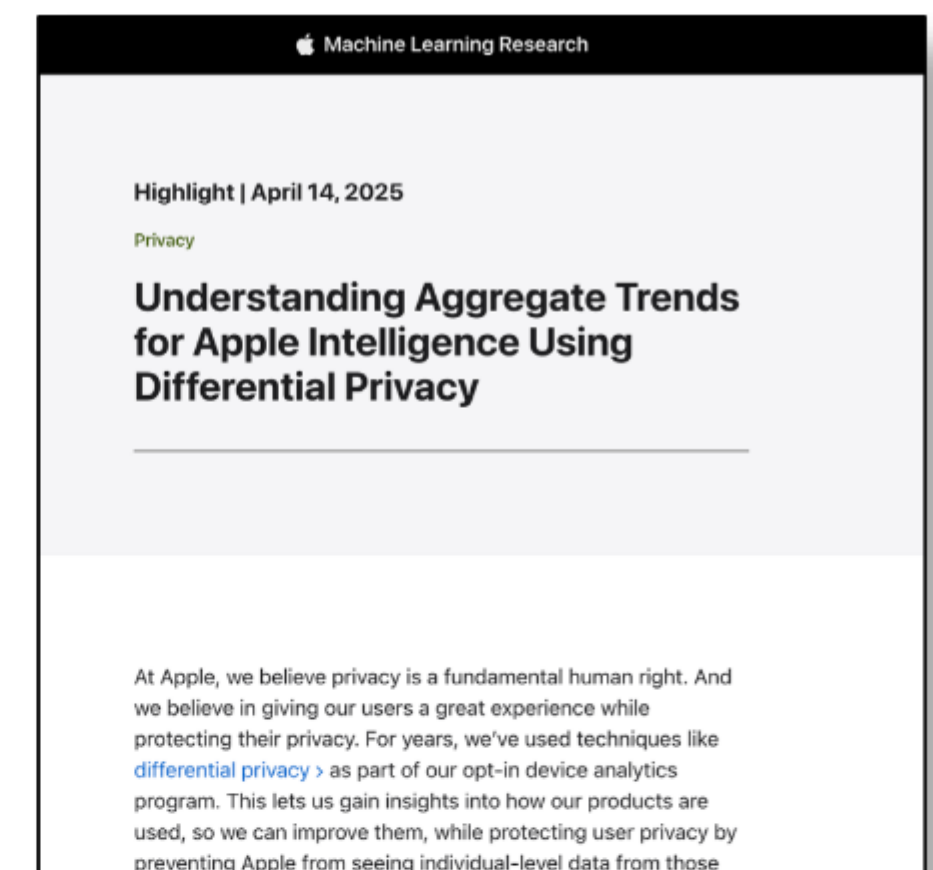
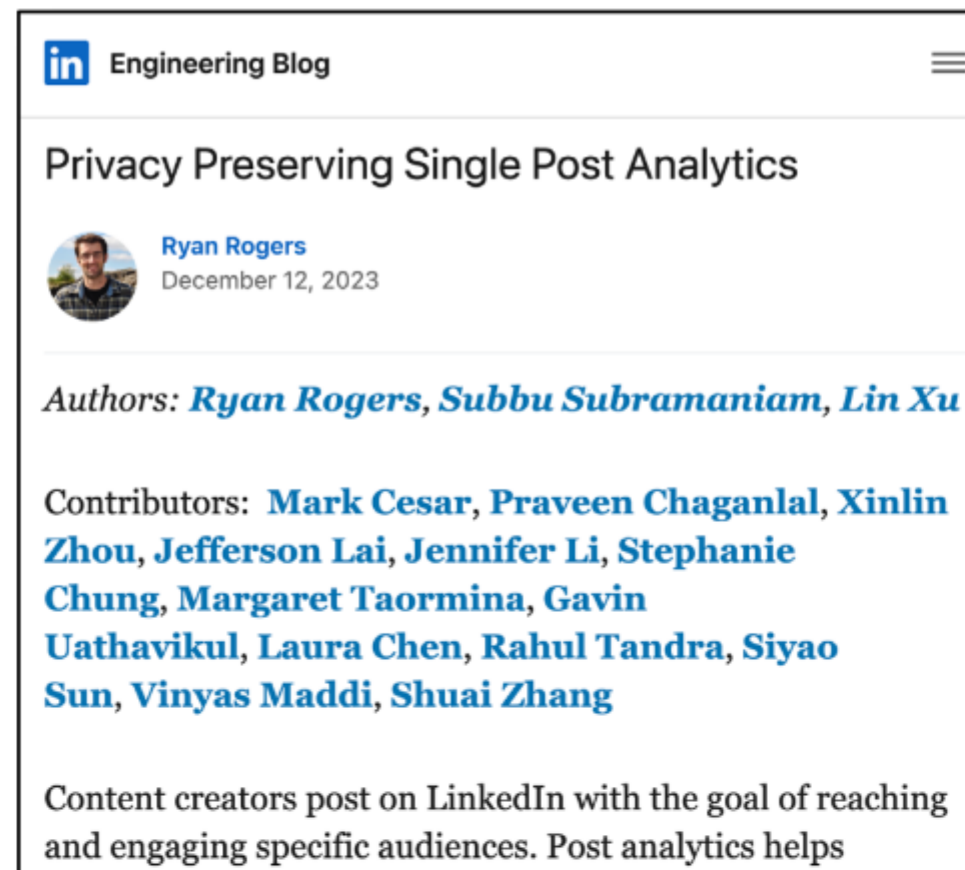
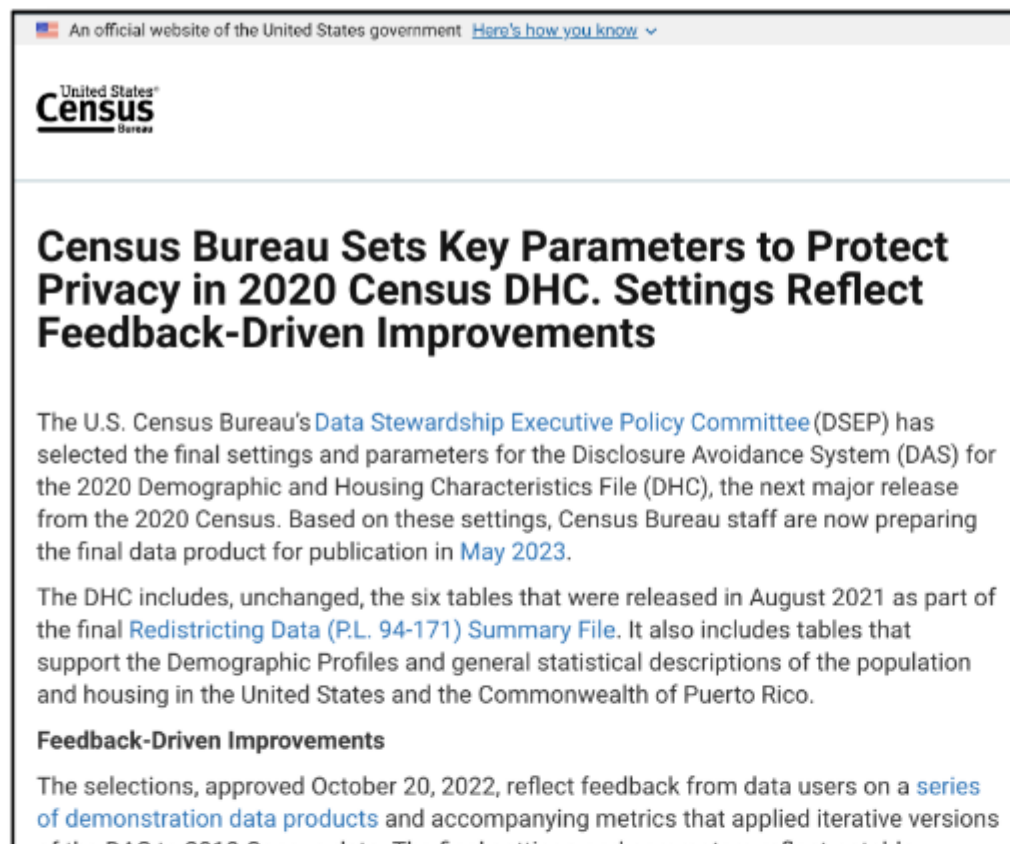
**Generalization** to select  
(query, location)

**Differential Privacy Noise**  
to release statistics



Query	Location	User Behaviors	
Mexican restaurant	DC 20500	Count	631.52
		Clicks	472.24
		Average Time (s)	2.24
		Abandoned	18.02
		districttaco.com	83.24
		dlenadc.com	45.29
		mividamexico.com	21.20
		...	

# Many Organizations Balance Privacy and Utility



Source: <https://www.census.gov/programs-surveys/decennial-census/decade/2020/planning-management/process/disclosure-avoidance/newsletters/key-parameters-set-2020-census-dhc.html>;  
<https://www.linkedin.com/blog/engineering/trust-and-safety/privacy-preserving-single-post-analytics>; <https://machinelearning.apple.com/research/differential-privacy-aggregate-trends>.



# Google Has Experience Balancing Privacy and Utility

Confidential  
Privileged and Confidential

SeDS Engineering Working Group

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DP for SeDS

created: Jul 20, 2022  
last updated: Jul 20, 2022  
author: [Dennis Kraft](#), [Alex Kulesza](#), [Sergei Vassilvitskii](#), [Rachel Wei](#), [Matthew Jagielski](#)  
status: WIP

“Over the years, we have **gained valuable experience** with DP, how it **translates to privacy policy** and how to implement it technically. Moreover, we have developed a **mature set of tools** to deploy DP quickly and efficiently.”

**Robust privacy guarantees:** DP allows us to make strict and principled statements about privacy. If we enforce a certain DP specification, it is mathematically impossible to extract more information from the data than intended. This is particularly important when sharing data externally (as is the case for SeDS) given that we have limited control over the data after it has been released. Common sources of privacy issues DP is robustly protects against include:

## Internal Google Document

### Differentially Private Stream Processing at Scale

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<sup>1</sup>Google  
<sup>2</sup>Google DeepMind  
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#### ABSTRACT

We design, to the best of our knowledge, the first differentially private (DP) stream aggregation processing system at scale. Our system - *Differentially Private SQL Pipelines (DP-SQLP)* - is built using a streaming framework similar to Spark streaming, and is built on top of the Spanner database and the F1 query engine from Google.

Towards designing DP-SQLP we make both algorithmic and systemic advances, namely, we (i) design a novel (user-level) DP aggregation primitive, and (ii) design a novel DP aggregation primitive.

called Differentially Private SQL Pipelines (DP-SQLP), and make algorithmic advances along the way to cater to the scalability needs of it. DP-SQLP is implemented using a streaming framework similar to Spark streaming [52], and is built on top of the Spanner database [12] and F1 query engine [43] from Google. We also present production applications with two use cases in Section 6. The first is a real world use case that deploys DP-SQLP in Google Shopping to generate streaming page-view counts. The second applies the streaming DP algorithm to Google Trends.

“In terms of **data utility** after adopting DP-SQLP, we were able to retain 59% of the page-view.... to **99.9% for pages with an average view rate of 60 views/hour**. When comparing noised impression counts with the raw counts, the **relative error** is around 11%.... to ensure **user level DP guarantee**, per day. We use  $\epsilon = 1$  for ....”

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Publication rights for this work are licensed under a Creative Commons Attribution-NonCommercial-ShareAlike 4.0 International License. It has appeared in the most recent event time window, then this potentially leaks information. Naively,

<sup>1</sup>Our work is most closely related to [9]. We defer a full comparison to Section 1.2.

## Google Research Paper

## Opinion 3: Data at Issue Can Be Shared Safely

1

There are well-established **privacy-enhancing techniques** that can be used to protect sensitive information.

2

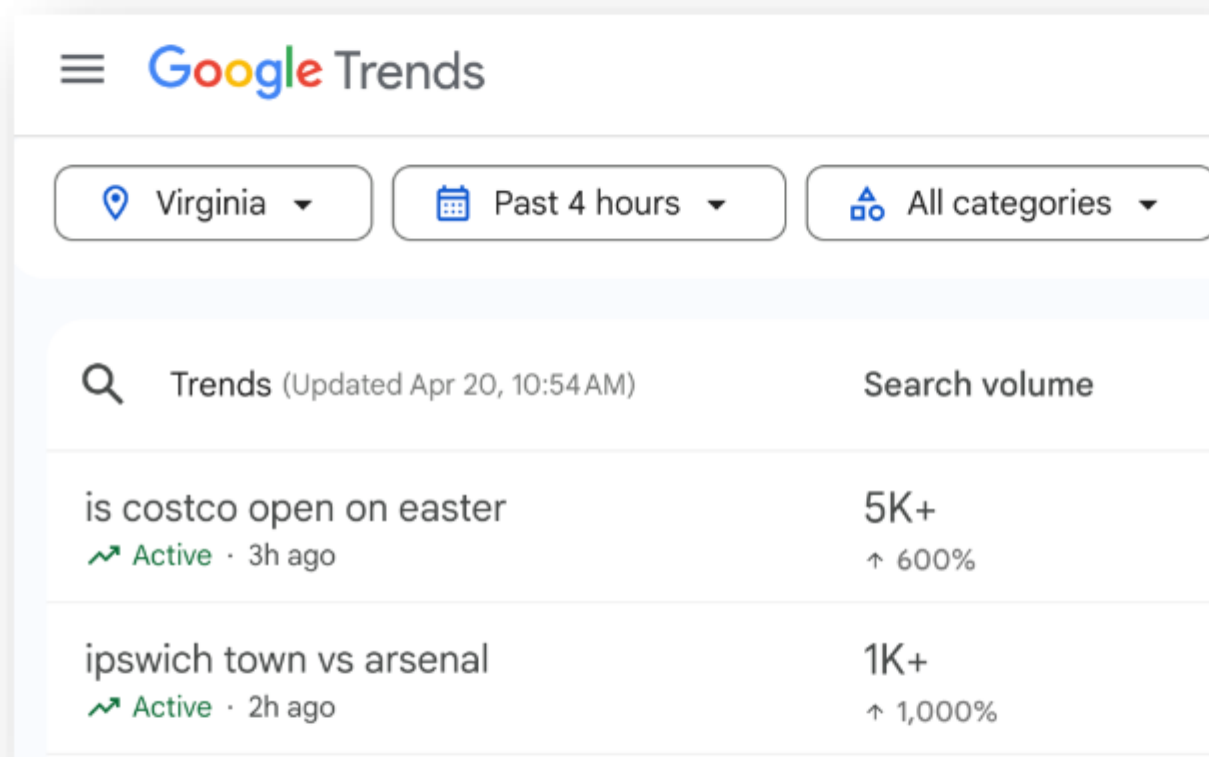
Many organizations, including Google, **safely release sensitive data** by using privacy-enhancing techniques.

3

Google can share the data at issue in a way that **assures privacy** while **providing utility**.

# Google Currently Uses PETs to Release Similar Data

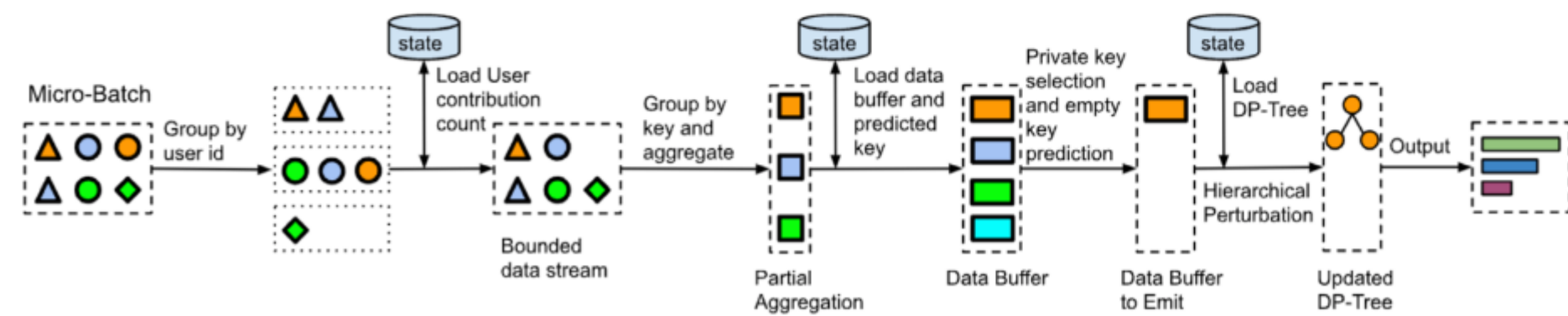
## Search Queries



### Google Trends

Covid Symptoms, Vaccination Insights, ...

## User Interactions



### Google Shopping

## Advertising Data

Private-Set Intersection, Analytics

## Real-time

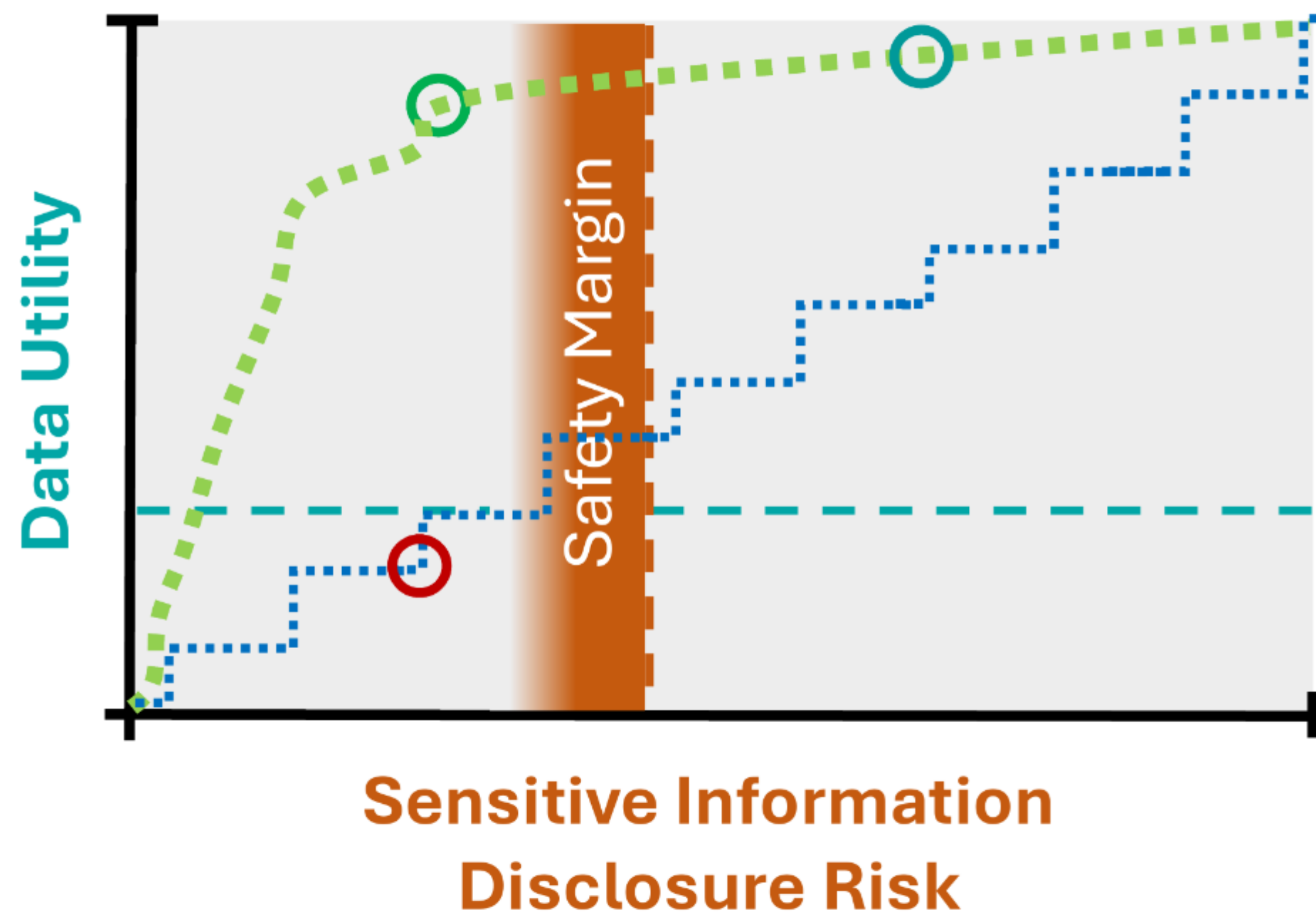
Google Trends, Google Shopping

## Enormous Scale

Plume (Trillions of records with DP)



# Implementing the Data Sharing Remedy



The **Technical Committee** with understanding of **intended uses** and **data content** can assess use of privacy-enhancing techniques and parameters for an appropriate **privacy-utility** tradeoff.

# Google's Expert Agrees: Data Can Be Shared Safely

## Dr. Culnane's Deposition

Q. Dr. Culnane, you believe that it is possible for Google to share what you call the DOJ search data by applying privacy-enhancing techniques to achieve suitable privacy safeguards, don't you?

A. Yes.

Q. Do you have any opinion as to whether it is technologically feasible to share the DOJ search data as Plaintiffs describe in Plaintiffs' Proposed Final Judgment?

A. The subject of my report is looking at the ability to do that safely, so there is an opinion as -- if it is correctly protected, and in my view, if you protect personal data as opposed to PII, then you can anonymize the dataset. If you successfully do that, then you can protect privacy by doing that, yes.

# Conclusion

- 1** There are well-established **privacy-enhancing techniques** that can be used to protect sensitive information.
- 2** Many organizations, including Google, **safely release sensitive data** by using privacy-enhancing techniques.
- 3** Google can share the data at issue in a way that **assures privacy while providing utility.**