



U.S. Department of Justice

Civil Division

Washington, D.C. 20530

December 29, 2020

MEMORANDUM

To: ALL UNITED STATES ATTORNEYS

From: James G. Touhey, Jr.
Director, Torts Branch (FTCA Litigation Section)
Civil Division

Subject: First Update of the 2020 Calendar-Year List of Annuity Brokers

Attached is the First Update of the 2020 calendar-year list of annuity brokers who meet minimum qualifications for providing annuity brokerage services in connection with structured settlements entered by the United States. Effective this date, the attached List supersedes all prior lists and will remain in effect until such time as it is replaced by the 2021 Calendar-Year List.¹

Section 11015(b) of the 21st Century Department of Justice Appropriations Authorization Act provides that “[i]n any structured settlement that is not negotiated exclusively through the Civil Division of the Department of Justice, the United States Attorney (or his designee) involved in any settlement negotiations shall have the exclusive authority to select an annuity broker from the list of such brokers established by the Attorney General, provided that all documents related to any settlement comply with Department of Justice requirements.”

The appearance of an annuity broker’s name on the list does not signify that the annuity broker actually meets the minimum qualifications or is otherwise competent to provide structured settlement brokerage services to the United States. Title 28 C.F.R. § 50.24 provides the following disclaimers:

(c) *Disclaimers.* (1) The inclusion of an annuity broker on the list signifies only that the individual declared under penalty of perjury that he or she meets the minimum qualifications required by the Attorney General for providing annuity brokerage services in connection with structured settlements entered into by the

¹ This first updated 2020 Calendar-Year List also appears at www.justice.gov/civil/structured-settlement-brokers in PDF format and is available to the public.

United States. Because the decision to include an individual annuity broker on the list is based solely and exclusively on the Declaration submitted by the annuity broker, the appearance of an annuity broker's name on the list does not signify that the annuity broker actually meets those minimum qualifications or is otherwise competent to provide structured settlement brokerage services to the United States. No preferential consideration will be given to an annuity broker appearing on the list except to the extent that United States Attorneys utilize the list pursuant to section 11015(b) of Public Law 107-273.

(2) By submitting a Declaration to the Department of Justice, the individual annuity broker agrees that the Declaration and the list each may be made public in its entirety, and the annuity broker expressly consents to such release and disclosure of the Declaration and list.

Accordingly, any broker being considered for selection should be required to verify at the time of selection that he or she meets the minimum qualifications set forth in 28 C.F.R. § 50.24(a) and is otherwise competent to provide structured settlement brokerage services to the United States.

If you have any questions, please contact the Torts Branch (FTCA Litigation Section) at 202-616-4400.

Attachment



U.S. Department of Justice

Civil Division

Washington, DC 20530

**First Update of the 2020 Calendar-Year List of Annuity Brokers
Established Pursuant to Section 11015 of the 21st Century
Department of Justice Appropriations Authorization Act**

ABDELFATTAH, Ameriah Marie; New Orleans, LA

ADAMS, Thomas M.; St. Louis, MO

ALEXANDER, Jr., Albert May; Memphis, TN

ANDERSON, Fernandez; Lawrenceville, GA

ANDERSON, Rhys; East Brunswick, NJ

ANNANDONO, Brian; Warren, OH

ANNBRICE, Kathleen; Walnut Creek, CA

AUDI, George; Sinking Springs, PA

BARBARINO, Clement D.; Pittsburgh, PA

BARKETT, Cassie; Tulsa, OK

BARKHAUSEN, Henry W.; Chicago, IL

BATES, Scott; West Des Moines, IA

BEATTY, James M.; Duluth, GA

BECKERT, Julia Diane; Tulsa, OK

BELL, Patrick; Beaumont, TX

BENCIVENGA, Patrick; Brooklyn, NY

BLANKENSHIP, Gary Gill; Roanoke, VA

BLANZY, Jennifer; Gosse Pointe Park; MI
BLONDER, Leonard A.; Los Angeles, CA
BORNSTEIN, Joseph H.; Highland Village, TX
BOWERS, Brenton J.; St. Louis, MO
BOWERS, Jeffrey S.; St. Louis, MO
BOWERSOX, Susan; Austin, TX
BOYLE, James; Omaha, NE
BRADY, James J.; Newport Beach, CA
BRANT, Gary; Cranberry, PA
BRANDT, Paul S.; Arlington, VA
BROOKS, Jeffrey C.; Towaco, NJ
BURCHIK, LeAnne G.; Mt. Gretna, PA
CANTRELL, Jennifer; Orlando, FL
CANTWELL, Bradford; Greenwood Village, CO
CAPLE, J. Kevin; Charlotte, NC
CAPLES, Robert; Sugar Land, TX
CARROLL, Richard; San Francisco, CA
CARRIE, Frank; Hilliard, OH
CARTER, Joshua Bryant; Denver, CO
CARTER, Sheila Mae; Powder Springs; GA
CHASE, Stephen E.; East Syracuse, NY
CHEW LOW, Jeffrey; San Francisco, CA
CHRISTEN, Gayle Susan; Columbus, OH

CHRISTIE, Keith M.; Metairie, LA
COCCIMIGLIO, Nicholas; Danville, CA
COCHRAN, John; Tory, AL
COHEN, Lawrence A.; Boston, MA
COOPER, Roger F.; Darnestown, MD
CURRAN, Scott B.; Boston, MA
CURTIS, Lawrence; Derwood, MD
DeBACHER, Bruce Edward; Homestead, FL
DELGADO, Saphyna; Costa Mesa, CA
DESTRALO, Joseph M.; Margate City, NJ
DIVICIO, Daniel G.; Orlando, FL
DOLNY, Thomas Joseph; Ft. Worth, TX
DOWNS, Jeffrey; Santa Ana, CA
EARLY, Peter J.; Windham, NH
ELGIN, Michelle M.; Middleburg, VA
ELGIN, Robert S.; Middleburg, VA
ELY, Brigitte; St. Petersburg, FL
ENGLES, Don; Evanston, IL
EVANS, Nathan M.; Jacksonville, FL
EVERETTE-COOPER, Alice; Darnestown, MD
FARBER, Patrick; Santa Ana, CA
FARRELL, Brian; Clinton, CT
FAY, Pauline A.; Albuquerque, NM

FAZIO, William B.; Lake St. Louis, MO
FEELEY, Rischelle; Baltimore, MD
FELDHEIM, Mark S.; Washington, DC
FELDHEIM, Michele M.; Washington, DC
FILLION, Brian; Medford, NJ
FINN, Colin; Chicago, IL
FINN, Daniel J.; Newport Beach, CA
FORAN, John Alexander; Annapolis, MD
FREEMAN, Scott; Houston, TX
GASSMAN, Randal; Tampa, FL
GOLDSTEIN, Richard J.; Manlius, NY
GOODMAN, Daniel J.; Chicago, IL
GOODMAN, William Stuart; Pittsburgh, PA
GREGER, Gerald D.; Pompono Beach, FL
HANAIKE, Dona L.; Honolulu, HI
HARDY, Eric Tyler; Atlanta, GA
HARLAN, Chris M.; Waukee, IA
HARLAN, Stan K.; Waukee, IA
HART, David; Utica, NY
HATHAWAY, Christopher James; Warren, MI
HATHAWAY, Michael Greer; Rochester, MI
HATHAWAY, Paul; Ann Arbor, MI
HAYS, Byron; Marietta, GA

HEATH, Linda A.; Piedmont, CA
HICKEY, David; Cranberry, PA
HICKEY, Joshua Ryan; Chicago, IL
HOMOLASH, Claudine; Philadelphia, PA
HORDING, Kyle; Pasadena, CA
HUVER, Daniel C.; Exton, PA
JACOBSMEIER, Paulette; Chicago, IL
JACOBSON, Charles; East Brunswick, NJ
JACOBSON, Martin; East Brunswick, NJ
JESBERGER, Erin; Dallas, TX
JEWETT, Darren S.; Overland Park, KS
KAAS, Traci Lynn; Irvine, CA
KAUFMAN, Harold R.; Las Vegas, NV
KEEFE, Shawn M.; Atlanta, GA
KENNEDY, Angus; San Marcos, CA
KENNEY, Audrey Vaclavik; Boise, ID
KENNEY, Kevin; San Clemente, CA
KIMES, Walter L.; Scottsdale, AZ
KIPNES, Todd A.; White Plains, NY
KLAPPS, James P.; Washington, DC
KOEHLER, Peter; St. Charles, IL
KORMANN, Jamie L.; Bonita Springs, FL
KOVACEVICH, Thomas J.; Schaumburg, IL

KRAUSE, Phillip Michael; Fort Lauderdale, FL
KRISTYNIK, Christopher; Austin, TX
KRISTYNIK, Paul; Austin, TX
LAMB, JoDeanne; Phoenix, AZ
LARNED, Christopher E.; East Providence, RI
LAWTER, Anne E.; Troy, MI
LENER, Morris; East Brunswick, NJ
LINNELL, Jennifer; Celina, TX
LIVINGSTON, Jeffrey Michael; Charlotte, NC
LOGAN, Christine M.; Novi, MI
LOPER, Brian P.; Birmingham, AL
LUKENS, Gregory; Minneapolis, MN
MACGREGOR, Scott; Encinitas, CA
MAGUIRE, Raymond Gregory; Pittsburgh, PA
MAIDENS, Mark E.; Lithia, FL
MARTIN, Ryan Len; Dallas, TX
MATHEWS, William S. III; St. Petersburg, FL
MAXWELL, Gregory; Centerville, VT
McANALLY, Annemarie; Exton, PA
McANDREWS, Edward; Swarthmore, PA
McCULLOCH, John; Racine, WI
MILLER, Daniel Charles; Greenwood Village, CO
MILLER, Rebekah Reedy; Dallas, TX

MILLER, Reginald; Chagrin Falls, OH
MILNER, Douglas Bryan; Cedar Park, TX
MILOSH, Brian; Naples, FL
MILOSH, Lauren; Ft. Lauderdale, FL
MORCOM, Stephen; Katy, TX
MUIR, John M.; Bloomington, IL
MUIR, John W.; Bloomington, IL
MUNOZ, Francisco; Orlando, FL
MURPHY, Michael; Doylestown, PA
MWOSE, Ndeto; Indianapolis, IN
NARBY, Mark; Depew, NY
NAYLON, Maurice; Buffalo, NY
NEWMAN, Brett; Nanuet, NY
NEWTON, Mark A.; Atlanta, GA
OHLER, Michael; Cincinnati, OH
OLIPHANT, Ryan Patrick Christen; Covington, KY
OMANSKY, Tanna G.; Baltimore, MD
OOT-QUINN, Kathleen; Syracuse, NY
OSLER, Kathleen S.; Bellevue, WA
PAGNANO, Joan L.; Hingham, MA
PARMELEE, Joseph; St. Louis, MO
PARMELEE, Thomas; St. Louis, MO
PARSONS, Anna Melissa; Mesquite, TX

PATTERSON, Jeffrey Glenn; Houston, TX
PEAK, Carmen Lisa; Floyds Knobs, IN
PETROUSKI, Lynn; Chicago, IL
PETTINGILL, Bernard Josh; Maitland, FL
PHILYAW, Ryan Hayes; Lee's Summit, MO
POWERS, Douglas; Seattle, WA
PULLIN, David L.; Mesquite, TX
REGNA, Richard; Pittsburg, PA
REIL, Stephen; Old Bridge, NJ
RILEY-PUGH, Jane; Tustin, CA
ROBERTS, Karen; Sacramento, CA
ROBINSON, Anthony Allen; Bellevue, WA
ROBINSON, Nolan William; Beaverton, OR
ROSSETTER, Simon; Medford, NY
ROWLAND, James E.; Cincinnati, OH
RUDER, Lawrence Michael; St. Louis, MO
RYAN, Richard B.; Baltimore, MD
SARNI, Terry Wilkes; San Rafael, CA
SASANECKI, Bethany; Tallmadge, OH
SCHACHTER, Brian; Mt. Kisco, NY
SCHLEEDE, Kimberly J.; Washington, DC
SCHRIEFER, Richard; East Williston, NY
SCHROEDER, W. Michael; Duluth, GA

SCHWARTZ, Noah S. A.; Sacramento, CA
SHIPLEY, Lori A.; Peoria, AZ
SHUMATE, W. Christian; The Woodlands, TX
SILO, Kevin J.; White Plains, NY
SMALL, David M.; Roswell, GA
SMITH, Kimberlee; Los Angeles, CA
SPAULDING, Denise M.; Indianapolis, IN
SPERANZINI, Jane; Duxbury, MA
SPINETTI, Gregory; Winter Springs, FL
STANFORD, Jr., Johnston Hollis; Atlanta, GA
STEELE, Ralph; Fort Worth, TX
STEVENSON, Tommy Peter; Laguna Niguel, CA
STOLL, Ray Ulmer; Tampa, FL
STOVIK, Michael W; Florence, KY
STOVIK, Pamela; Florence, KY
STREET, Hanson; Houston, TX
STRONG, Henry Lockwood; Washington, DC
SWIFT, Ford; Austin, TX
SWIGART, Jeffrey F.; Exton, PA
TEWES, Cynthia K.; Goss Pointe Park, MI
TEWES, John Karl.; Goss Pointe Park, MI
TEZANOS-PINTO, John; Fair Oaks, CA
TRAPLETTI, Megan; Salt Lake City, UT

UPCHURCH, Michael; Jacksonville, FL
VALDEZ, Manny J.; San Diego, CA
VALDEZ, Manuel R.; San Diego, CA
VALTCHANOVA; Iliana; Pittsburg, PA
VEGA, Paul; Los Angeles, CA
VIERA, Angel; Montebello; CA
VIERA, Olivia Bermudez; Montebello; CA
VIVONA, Marianne; Seattle, WA
VOGEL, Mark R.; Grand Rapids, MI
VOLANTI, James J.; Weston, FL
VON BANK, AnnMarie Elizabeth; Lakeville, MN
WAKELEE, William; Exton, PA
WALSH, Thomas D.; Bethesda, MD
WALTERS, Christopher; Charleston, WV
WALTERS, Jr., Ronald N.; Charleston, WV
WALTERS, Sr., Ronald N.; Charleston, WV
WANG, Christopher; Charleston, WV
WAREING, Eileen M.; Scottsdale, AZ
WHITEHEAD, James Franklin; St. Mary's, KS
WHITNEY III, Jerome A.; Cohasset, MA
WILLIAMS IV, Norman Orelle Chad; Atlanta, GA
WROTH, Peregrine; St. Louis, MO
YOUNG ODOM, Lacie; Tallahassee, FL

ZEA, Duane Michael; Costa Mesa, CA

ZEA, Jarrod; Costa Mesa, CA