

1 MELINDA HAAG (CABN 132612)
United States Attorney

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FIONA J. WIEKING
CLERK U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA
10 SAN FRANCISCO DIVISION

CRB

11 UNITED STATES OF AMERICA,

CR 15 366

12 Plaintiff,

Case No. CR

13 v.

) VIOLATIONS: 18 U.S.C. § 371 – Conspiracy to
) Violate the Foreign Corrupt Practices Act; 18 U.S.C.
) § 981(a)(1)(C) and 28 U.S.C. § 2461(c) – Criminal
) Forfeiture

14 VICENTE EDUARDO GARCIA,

) SAN FRANCISCO VENUE

15 Defendant.

17 INFORMATION

18 The United States Attorney charges:

19 COUNT ONE: (18 U.S.C. § 371 – Conspiracy to Violate the Foreign Corrupt Practices Act)

20 At all relevant times:

21 Introduction

22 1. The defendant, VICENTE GARCIA (“GARCIA”), was an officer and employee of U.S.-
23 based SAP International, Inc. (“SAP International”), a wholly-owned subsidiary of Germany-based SAP
24 A.G. – now known as SAP SE (collectively, along with all other SAP SE affiliates and subsidiaries,
25 “SAP”), as described more fully below. From in or about June 2009 to December 2013, GARCIA and
26 at least four others (“Advisor,” “Consultant A,” “Consultant B,” and “Partner,” described more fully
27 below), engaged in a scheme to pay bribes to foreign officials to secure the award of a technology
28

INFORMATION

1 contract to SAP in the Republic of Panama ("Panama").

2 The Bribe Payments

3 2. The Foreign Corrupt Practices Act of 1977, as amended, Title 15, United States Code,
4 Sections 78dd-2, *et seq.* ("FCPA"), was enacted by Congress for the purpose of, among other things,
5 making it unlawful for certain classes of persons and entities to act corruptly in furtherance of an offer,
6 promise, authorization, or payment of money or anything of value to a foreign government official for
7 the purpose of assisting in obtaining or retaining business for, or directing business to, any person.

8 3. From in or around June 2009 to December 2013, GARCIA, on behalf of SAP, attempted
9 to secure technology-related contracts with the government of Panama. Panama was viewed by SAP as
10 strategically important, as it would showcase SAP's technology in Latin America. By early 2010,
11 GARCIA estimated these contracts to be potentially worth approximately \$150 million.

12 4. The initial contract with the Panamanian government (the "Contract") was for a multi-
13 million dollar technology upgrade package to a state agency. Winning the Contract meant that SAP
14 would be favored to win additional anticipated contracts in Panama, as the government worked to
15 harmonize technology solutions across multiple government agencies. To secure the Contract,
16 GARCIA, Advisor A, Consultant A, Consultant B, and Partner, together with others, agreed to pay
17 bribes to two Panamanian government officials (respectively, "Official A" and "Official B"), as well as
18 to an agent ("Agent A") of a third government official ("Official C") knowing that all or a portion of
19 such money would be offered, given, and promised, directly and indirectly, by Agent A to Official C.
20 The conspirators believed that all three bribe recipients had the ability to influence the approval process
21 for the Contract and to award additional contracts by the Panamanian government. The conspirators
22 agreed to pay the bribe monies through sham contracts and false invoices meant to disguise their true
23 nature.

24 5. GARCIA, Advisor, Consultant A, Consultant B, and Partner were successful in obtaining
25 the Contract on behalf of Partner's firm ("Channel Partner") for \$14.5 million, which included \$2.1
26 million in SAP software licenses. Soon thereafter, the Panamanian government awarded Channel
27 Partner millions of dollars in additional contracts that included the provision of SAP products.

28 //

1 Relevant Entities and Individuals

2 6. SAP was a global technology services company with its headquarters in Waldorf,
3 Germany, and offices around the world, including the United States. SAP SE's shares were publicly
4 traded on the New York Stock Exchange as American Depository Receipts ("ADRs"), which tracked
5 SAP SE's listing on the Frankfurt Stock Exchange in Germany. Accordingly, SAP was an "issuer"
6 within the meaning of the FCPA, Title 15, United States Code, Section 78dd-1(a).

7 7. SAP International was a Delaware corporation with its principal place of business in
8 Miami, Florida, and had responsibility over SAP's business operations in Latin America. SAP
9 International was a "domestic concern" within the meaning of the FCPA, Title 15, United States Code,
10 Section 78dd-2(h)(1)(B). SAP International was a wholly-owned subsidiary of SAP SE.

11 8. GARCIA was employed by SAP International in Miami. GARCIA was a U.S. citizen
12 and resident of Florida. Thus, GARCIA was a "domestic concern," as that term is used in the FCPA,
13 Title 15, United States Code, Section 78dd-2(h)(1)(A). During the relevant time, GARCIA was a senior
14 executive serving as Regional Director of SAP's Premier Client Network ("PCN") for Latin America
15 and the Caribbean. As the PCN Regional Director, GARCIA was ultimately responsible for sales and
16 services to strategic clients throughout the region.

17 9. Advisor, an individual whose identity is known to the United States, was employed by
18 SAP in Mexico reporting to GARCIA until March 2010, at which point he left SAP and became an
19 advisor to the Panamanian government, where he was hired to assist with assessing the technology needs
20 of the Panamanian government, including the Contract.

21 10. Consultant A, an individual whose identity is known to the United States, owned a small
22 technology-related consulting firm in Panama. Consultant A was a long-time acquaintance of Official A
23 and GARCIA. Consultant A died in early 2013.

24 11. Consultant B, an individual whose identity is known to the United States, worked with
25 Consultant A in Panama.

26 12. Partner, an individual whose identity is known to the United States, was a principal in a
27 Mexico-based company that sold SAP products and had operations in several countries, including
28 Mexico, Panama, and the United States ("Channel Partner"). Channel Partner was ultimately awarded

1 the Contract by the Panamanian government, along with other technology-related contracts.

2 13. The Panamanian government entity awarding the Contract was an “agency” and
3 “instrumentality” of a foreign government, as those terms are used in the FCPA, Title 15, United States
4 Code, Section 78dd-2(h)(2). In early 2011, the Panamanian government formally awarded the Contract
5 to Channel Partner, which included SAP technology licenses.

6 14. Official A, an individual whose identity is known to the United States, was a high-level
7 Panamanian government official who had influence over the award of Panamanian government
8 contracts, including the Contract. Official A was a “foreign official,” as that term is used in the FCPA,
9 Title 15, United States Code, Section 78dd-2(h)(2).

10 15. Official B, an individual whose identity is known to the United States, was a high-level
11 Panamanian government official who had influence over the award of Panamanian government
12 contracts, including the Contract. Official B was a “foreign official,” as that term is used in the FCPA,
13 Title 15, United States Code, Section 78dd-2(h)(2).

14 16. Official C, an individual whose identity is known to the United States, was a high-level
15 Panamanian government official who had influence over the award of Panamanian government
16 contracts, including the Contract. Official C was a “foreign official,” as that term is used in the FCPA,
17 Title 15, United States Code, Section 78dd-2(h)(2).

18 17. Agent, an individual whose identity is known to the United States, was a close relative of
19 Official C and could influence Official C.

20 The Conspiracy

21 18. From in or around June 2009 and continuing through in or around December 2013, in the
22 Northern District of California, and elsewhere,

23 VICENTE EDUARDO GARCIA

24 did willfully, that is, with the intent to further the objects of the conspiracy, and knowingly conspire,
25 confederate, and agree with Advisor, Consultant A, Consultant B, Partner, and others known and
26 unknown, to commit offenses against the United States, namely: being a domestic concern, to willfully
27 make use of the mails and means and instrumentalities of interstate commerce corruptly in furtherance
28 of an offer, payment, promise to pay, and authorization of the payment of any money, offer, gift,

1 promise to give, and authorization of the giving of anything of value to a foreign official and to a person,
2 while knowing that all or a portion of such money and thing of value would be and had been offered,
3 given, and promised to a foreign official, for purposes of: (i) influencing acts and decisions of such
4 foreign official in his official capacity; (ii) inducing such foreign official to do and omit to do acts in
5 violation of the lawful duty of such official; (iii) securing an improper advantage; and (iv) inducing such
6 foreign official to use his influence with a foreign government and agencies and instrumentalities thereof
7 to affect and influence acts and decisions of such government and agencies and instrumentalities, in
8 order to assist GARCIA, SAP International, and others in obtaining and retaining business for and with,
9 and directing business to, SAP, Channel Partner, Advisor, Consultant A, Consultant B, and others, in
10 violation of Title 15, United States Code, Section 78dd-2(a).

11 Purpose of the Conspiracy

12 19. The purpose of the conspiracy was for GARCIA, Advisor, Consultant A, Consultant B,
13 Partner, and others to enrich themselves, SAP, and Channel Partner by making corrupt payments to
14 officials within the Panamanian government in order to obtain, retain, and direct business related to the
15 Contract and future contracts.

16 Manner and Means of the Conspiracy

17 20. The manner and means by which GARCIA, Advisor, Consultant A, Consultant B,
18 Partner, and their co-conspirators sought to accomplish the purpose of the conspiracy included, among
19 other items, the following:

- 20 a. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
21 while in the United States and elsewhere, discussed in person, via telephone,
22 and/or via e-mail using computer servers based in the Northern District of
23 California and elsewhere, the need to obtain approval from Official A, Official B,
24 and Official C through Agent, to win the Contract and future contracts.
- 25 b. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
26 while in the United States and elsewhere, discussed in person, via telephone,
27 and/or via e-mail using computer servers based in the Northern District of
28 California and elsewhere, making bribe payments to Official A, Official B, and

1 Official C through Agent, to win the Contract and future contracts.

2 c. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
3 while in the United States and elsewhere, discussed in person, via telephone,
4 and/or via e-mail using computer servers based in the Northern District of
5 California and elsewhere, the manner and means by which the bribe payments to
6 Official A, Official B, and Official C through Agent, were to be paid.

7 d. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
8 while in the United States and elsewhere, attempted to conceal the bribe payments
9 to Official A through the use of sham invoices issued by a company controlled by
10 Official A.

11 e. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
12 while in the United States and elsewhere, attempted to conceal the bribe payments
13 to Official B by paying amounts to Advisor who would, in turn, make payments
14 to Official B.

15 f. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
16 while in the United States and elsewhere, attempted to conceal the bribe payments
17 of Official C through the use of a sham consulting contract between Channel
18 Partner and a company controlled by Agent.

19 g. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
20 while in the United States and elsewhere, caused bribe payments to be made to
21 Official A through the company controlled by Official A.

22 h. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
23 while in the United States and elsewhere, agreed to cause bribe payments to be
24 made to Official B through accounts controlled by Advisor.

25 i. GARCIA, Advisor, Consultant A, Consultant B, and Partner, together with others,
26 while in the United States and elsewhere, agreed to cause bribe payments to be
27 made to a company controlled by Agent.

28 //

1 //

2 Overt Acts

3 21. In furtherance of the conspiracy and to achieve the objects thereof, at least one of the co-
4 conspirators committed or caused to be committed, in the Northern District of California, and elsewhere,
5 at least one of the following overt acts, among others:

- 6 a. On or about June 1, 2009, Official A e-mailed Consultant A about the
7 Panamanian government's plan to harmonize and modernize Panama's
8 technology platform. Consultant A responded, noting he had a "friend and
9 associate" at SAP. Consultant then forwarded the messages to GARCIA that
10 same day; this e-mail, and the majority of e-mails sent to or from GARCIA
11 referenced herein, were routed through the Northern District of California.
- 12 b. On or about February 9, 2010, Consultant A forwarded to GARCIA an e-mail
13 from Official A, in which Official A asked Consultant A to inquire whether
14 GARCIA would set up a "fictional" business trip to Mexico. Official A admitted
15 that, in substance and in part, he really just wanted a recreational trip to Mexico.
16 Consultant A noted in his cover e-mail to GARCIA that if GARCIA did Official
17 A this favor, Official A will "owe us a big one."
- 18 c. On or about February 9, 2010, GARCIA had an invitation letter drafted on SAP
19 Mexico letterhead, inviting Official A to visit Mexico on February 15 and 16,
20 2010, ostensibly for business purposes. Shortly after sending the letter, GARCIA
21 e-mailed Consultant A, asking: "Any news from [Official A]? Was the document
22 OK for [Official A]? Can you ask [Official A] to finalize a deal for us in Feb-
23 March, I need between \$5 and \$10 million. Help, please."
- 24 d. On or about February 25, 2010, GARCIA flew from Miami, Florida, to Panama
25 City, Panama, and met with Advisor, Consultant A, Partner, Official A, and
26 Agent.
- 27 e. On or about March 2, 2010, Advisor announced his resignation from SAP.
- 28 f. On or about March 12, 2010, Advisor sent via e-mail a "Work Plan" to Consultant

1 A, Partner, and GARCIA.

- 2 g. In the e-mail identified in the previous paragraph, Advisor detailed his plan to
3 work as an "independent consultant" to the Panamanian government to help
4 define technical specifications for the anticipated Contract, as part of a larger
5 project by the Panamanian government to migrate towards a common technical
6 platform, ostensibly using SAP technology.
- 7 h. In or about March 2010, Advisor began working with Official A.
- 8 i. On or about March 31, 2010, Consultant A wrote to Advisor and Partner, in
9 substance and in part, that he had spoken with Official A and Agent regarding the
10 anticipated tender for the Contract, and then detailed a "commission" agreement
11 reached with Official A and Agent, whereby Official A was to receive 2%, and
12 Agent 10%, of the Contract. Consultant A also noted, in substance and in part,
13 that Official B may need a bribe as well.
- 14 j. In the e-mail identified in the previous paragraph, Consultant A wrote, in
15 substance and in part, that Partner proposed preparing for Agent "a short
16 document, 2 pages max, where commissions of 10% are established for
17 communications, consulting and advisory services," and that Advisor needed to
18 ensure that Channel Partner "has the government accounts assigned."
- 19 k. On or about March 31, 2010, Advisor forwarded to GARCIA the e-mail identified
20 in the previous paragraph, noting "Fyi."
- 21 l. On or about April 20, 2010, GARCIA, Consultant A, Advisor, and Partner
22 discussed by telephone, in substance and in part, preparing GARCIA to meet
23 again with Official A, Official B, and/or Agent in Panama regarding the Contract
24 and possible contracts with other Panamanian government agencies.
- 25 m. On or about May 5, 2010, GARCIA flew from Miami, Florida, to Panama City,
26 Panama.
- 27 n. On or about May 7, 2010, GARCIA met with Official A and Official B in
28 Panama, and then flew back to Miami.

- 1 o. On or about May 7, 2010, GARCIA e-mailed a number of senior executives at
2 SAP, noting in substance and in part that the business opportunities in Panama
3 were “very promising,” with approximately \$146 million in Panamanian
4 government technology deals in the pipeline. GARCIA also wrote in part that he
5 had just met with Official A to “validat[e] each opportunity and SAP viability of
6 getting the business during 2010 and 2011.”
- 7 p. In or about May 2010, SAP determined that – to bid directly for any Panamanian
8 government projects – it either had to open a subsidiary in Panama or,
9 alternatively, allow one of its local partners in Panama to bid with SAP serving as
10 a subcontractor.
- 11 q. On or about May 27, 2010, Consultant A sought to reassure Official A via e-mail
12 that Channel Partner would take over for SAP as prime contractor on the Contract
13 bid, noting that GARCIA had “promised” to resolve the matter.
- 14 r. On or about May 27, 2010, Official A e-mailed Consultant A, in which Official
15 A, in substance and in part, noted that Partner must also sign with Agent in order
16 to secure any contract with the Panamanian government. Consultant A responded
17 to Official A via e-mail, writing in part: “Remember that SAP isn’t the one that’s
18 going to sign with the friend [i.e., Agent], since it’s an American company, but
19 rather the people from [Channel Partner] were going to do it[;] that was exactly
20 how the friend [i.e., Agent] asked for it.”
- 21 s. On or about May 27, 2010, Consultant A advised GARCIA and Partner about
22 Consultant A’s e-mail chain with Official A identified in the previous paragraphs.
- 23 t. On or about June 9, 2010, GARCIA, Consultant A, and Advisor e-mailed one
24 another, confirming that Channel Partner would “give a 10% commission to
25 [Agent and Official C’s] family and 2% to [Official A] and 2% to [Consultant A’s
26 firm] divided by 2.”
- 27 u. On or about June 9, 2010, GARCIA responded to the e-mail identified in the
28 previous paragraph, writing that “SAP doesn’t really want to pay a commission

1 and therefore is asking [Channel Partner] to raise the price of the services to cover
2 that issue.”

3 v. On or about June 9, 2010, Consultant A agreed to share with GARCIA half the
4 money Consultant A’s firm was to receive from Channel Partner for the Contract,
5 giving Consultant A and Garcia each 1% of the Contract’s amount.

6 w. On or about June 17, 2010, Consultant B e-mailed Consultant A, attaching an
7 unexecuted consulting services contract between Consultant A’s company and
8 Channel Partner; in substance and in part, the contract obligated Channel Partner
9 to give Consultant A’s company 10% of the Contract’s value.

10 x. On or about June 17, 2010, Consultant A forwarded the e-mail identified in the
11 previous paragraph to Official A, explaining: “This would be the contract between
12 [Channel Partner] and me, and a back-to-back contract between [Consultant A’s
13 company] and the new company would be drawn up. This way, no trace remains
14 if SAP conducts an audit [of Channel Partner]. I made it as simple as possible
15 and made it look like a real contract. When you’re free, call me. Make any
16 corrections you think necessary.”

17 y. On or about June 17, 2010, Official A e-mailed Consultant A that Official A
18 advised Agent that: “1. Payment must be under the same terms and conditions as
19 client’s payment. 2. Contract between [Agent’s Company] and [Channel Partner].
20 3. Contract for all business with the government, including the [agency receiving
21 the Contract].”

22 z. On or about June 17, 2010, in response to an e-mail from Official A which, in
23 substance and in part, made clear that Channel Partner would need a contract with
24 Agent covering any business with the Panamanian government and not just the
25 Contract, Consultant A replied: “It will be so.”

26 aa. On or about June 18, 2010, Consultant B e-mailed GARCIA an unsigned copy of
27 a consulting agreement between Channel Partner, represented by Partner, and
28 Agent’s company, represented by Agent; Consultant B advised GARCIA to “USE

1 THIS [DRAFT], IT IS CORRECTED.” The agreement provided that Channel
2 Partner will pay Agent’s company “10% (ten percent) for performance of its
3 Services and Consulting duties” relating to all “business opportunities” with the
4 Panamanian government.

5 bb. On or about June 18, 2010, Partner registered Channel Partner to conduct business
6 in Panama.

7 cc. On or about July 5, 2010, Advisor e-mailed GARCIA and Partner, urging
8 GARCIA to encourage SAP to approve a 90% discount off SAP’s list price to
9 Channel Partner.

10 dd. On or about October 18, 2010, the Panamanian government opened bidding for
11 the Contract.

12 ee. On or about October 26, 2010, Advisor e-mailed Partner with the subject line
13 “Payment Commitments,” and attached two password-protected spreadsheets.
14 One of the spreadsheets attached to the e-mail discussed in the previous paragraph
15 referenced planned “payments” to Official A and Official B of \$100,000 and
16 \$300,000, respectively.

17 ff. In or about November 2010, Channel Partner bid \$14.5 million for the Contract.

18 gg. On or about January 31, 2011, after Channel Partner had been awarded the
19 Contract by the Panamanian government, SAP invoiced Channel Partner \$1.28
20 million for part of the amounts due SAP for the licenses Channel Partner re-sold
21 under the Contract.

22 hh. On or about May 5, 2011, Advisor e-mailed GARCIA, Consultant A, and Partner
23 with the subject header, “Commissions,” and wrote: “The matter has already
24 [been] discussed; I am sending the following email to document it: Regarding the
25 project that closed. [Consultant A] gets 125K[,] GARCIA gets 125K[,] [Official
26 A] gets 100K[,] [Consultant A] and [GARCIA] are going to put in 25 each to give
27 to [Official A] so that he will have 150k and [Consultant A] and [GARCIA] will
28 end up with 100K each.”

- 1 ii. On or about July 12, 2011, Channel Partner paid SAP's January 31, 2011, invoice.
- 2 jj. On or about October 19, 2011, Advisor e-mailed Official B related to the
- 3 Contract, and noted that Advisor and Official B would split evenly \$274,000 in
- 4 2011 and \$226,000 in 2012.
- 5 kk. On or about December 16, 2011, Consultant A paid \$5,000 to Official A in bribes
- 6 agreed to for the Contract.
- 7 ll. On or about December 27, 2011, Advisor wired from a bank in Mexico \$28,655
- 8 to a bank account in Florida controlled by GARCIA.
- 9 mm. On or about January 19, 2012, SAP sent Channel Partner two invoices, \$766,713
- 10 and \$92,583, for amounts due SAP for the licenses Channel Partner re-sold under
- 11 the Contract.
- 12 nn. On or about February 28, 2012, Advisor wired from a bank in Mexico \$4,000 to a
- 13 bank account in Florida controlled by GARCIA.
- 14 oo. On or about March 7, 2012, Partner e-mailed a chart to Consultant A detailing
- 15 amounts to be paid to GARCIA, Official A, and Consultant A as \$114,621,
- 16 \$150,000, and \$114,621, respectively.
- 17 pp. On or about April 11, 2012, Consultant A paid \$30,000 to Official A in bribes
- 18 agreed to for the Contract.
- 19 qq. On or about May 8, 2012, Channel Partner made two wire transfers to SAP for
- 20 payment of the January 19, 2012 invoices, both of which were transferred through
- 21 a correspondent back account at Citibank in New York, New York, for \$766,713
- 22 and \$92,583, respectively.
- 23 rr. On or about May 21, 2012, Consultant A paid \$15,000 to Official A in bribes
- 24 agreed to for the Contract.
- 25 ss. On or about July 31, 2012, Advisor wired from a bank in Mexico \$17,193 to a
- 26 bank account in Florida controlled by GARCIA.
- 27 tt. On or about October 29, 2012, Advisor wired from a bank in Mexico \$40,000 to a
- 28 bank account in Florida identified by GARCIA.

1 uu. The bank account identified in the previous paragraph was controlled by a friend
2 of GARCIA's, and GARCIA subsequently directed his friend who owned the
3 bank accounts receiving the funds described in the previous paragraph to use the
4 \$40,000 to pay certain of Garcia's personal expenses.

5 vv. On or about January 9, 2013, Consultant A forwarded to Official A the March 7,
6 2012, e-mail from Partner, writing: "These are the commissions to be paid by the
7 [Channel Partner]. As you can see, we were each supposed to get 126,413.86, but
8 [GARCIA] and I decided to add our commission to yours, so that you would get
9 150,000, and that's why we get 114,000. Up to now, you have been paid 45,000
10 USD from the [Channel Partner's] money; 105,000 is still outstanding, which
11 they will finish paying at around August."

12 ww. On or about August 13, 2013, Consultant B paid Official A \$100,000 in bribes
13 agreed to for the Contract.

14 All in violation of Title 18, United States Code, Section 371.

15 **FORFEITURE ALLEGATION:** (18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461)

16 22. Paragraphs 1 through 21 of this Information are re-alleged and incorporated by reference
17 as if fully set forth herein for the purpose of noticing forfeitures pursuant to Title 18, United States
18 Code, Section 981(a)(1)(c) and Title 28, United States Code, Section 2461(c).

19 23. The United States hereby gives notice to the defendant charged in this Information that,
20 upon his conviction, the government will seek forfeiture in accordance with Title 18, United States
21 Code, Section 981(a)(1)(c) and Title 28, United States Code, Section 2461(c), which require any person
22 convicted of any such offense to forfeit any property constituting or derived from proceeds obtained
23 directly or indirectly as a result of such offenses, namely, \$85,965.

24 24. If any of the above-described forfeitable property, as a result of any act or omission of the
25 defendant:

- 26 a. cannot be located upon the exercise of due diligence;
27 b. has been transferred or sold to, or deposited with, a third party;
28 c. has been placed beyond the jurisdiction of the court;

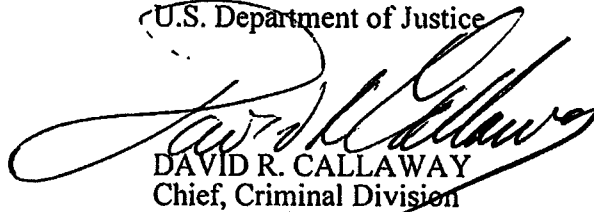
- 1 d. has been substantially diminished in value; or
2 e. has been commingled with other property which cannot be divided without
3 difficulty;

4 it is the intent of the United States, pursuant to Title 21, United States Code, Section 853(p), as
5 incorporated by Title 28, United States Code, Section 2461(c), to seek forfeiture of any other property of
6 the defendant up to the value of the forfeitable property described above.

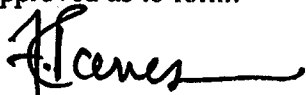
7 Dated: July 13 2015

MELINDA HAAG
United States Attorney

8
9 ANDREW WEISSMANN
Chief, Fraud Section
Criminal Division
10 U.S. Department of Justice

11
12 
13 DAVID R. CALLAWAY
Chief, Criminal Division

14
15 Approved as to form:

16 

17 ADAM A. REEVES
Assistant United States Attorney

18
19 AISLING O'SHEA
Trial Attorney

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: COMPLAINT INFORMATION INDICTMENT
 SUPERSEDING

OFFENSE CHARGED

- 18 U.S.C. § 371 - Conspiracy to Violate the Foreign Corrupt Practices Act; Petty
- 18 U.S.C. § 981(a)(1)(C) and 28 U.S.C. § 2461(c) - Criminal Forfeiture Minor
- Misdemeanor
- Felony

PENALTY: Please see attachment.

Name of District Court, and/or Judge/Magistrate Location
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

DEFENDANT - U.S.
VICENTE EDUARDO GARCIA
DISTRICT COURT NUMBER
CR 15 366

CRB
FILED
2015 JUL 13 2:55
 RECEIVED & INDEXED
 CLERK U.S. DISTRICT COURT
 NORTHERN DISTRICT OF CALIFORNIA

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)
Internal Revenue Service and Federal Bureau of Investigation

person is awaiting trial in another Federal or State Court, give name of court _____

this person/proceeding is transferred from another district per (circle one) FRCrP 20, 21, or 40. Show District _____

this is a reprosecution of charges previously dismissed which were dismissed on motion of:
 U.S. ATTORNEY DEFENSE } **SHOW DOCKET NO.** _____

this prosecution relates to a pending case involving this same defendant
 } **MAGISTRATE CASE NO.** _____

prior proceedings or appearance(s) before U.S. Magistrate regarding this defendant were recorded under _____

DEFENDANT

IS NOT IN CUSTODY
 Has not been arrested, pending outcome of this proceeding.
 1) If not detained give date any prior summons was served on above charged. None _____

2) Is a Fugitive

3) Is on Bail or Release from (show District) _____

IS IN CUSTODY

4) On this charge

5) On another conviction } Federal State

6) Awaiting trial on other charges
 If answer to (6) is "Yes", show name of institution _____

Has detainer been filed? Yes } If "Yes" give date filed _____
 No

DATE OF ARREST Month/Day/Year _____

Or... If Arresting Agency & Warrant were not

DATE TRANSFERRED TO U.S. CUSTODY Month/Day/Year _____

Name and Office of Person Furnishing Information on this form MELINDA HAAG
 U.S. Attorney Other U.S. Agency

Name of Assistant U.S. Attorney (if assigned) Adam A. Reeves

This report amends AO 257 previously submitted

ADDITIONAL INFORMATION OR COMMENTS

PROCESS:
 SUMMONS NO PROCESS* WARRANT Bail Amount: _____

If Summons, complete following:
 Arraignment Initial Appearance

Defendant Address: _____

Date/Time: _____ Before Judge: _____

Comments: _____

* Where defendant previously apprehended on complaint, no new summons or warrant needed, since Magistrate has scheduled arraignment