

UNITED STATES DISTRICT COURT
 Western District of North Carolina
 Charlotte Division
 DOCKET NO: 3:11-cr-00135-MOC -DCK

UNITED STATES OF AMERICA

v.

Nicholas T. Cox
Defendant

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**ENTRY AND
 ACCEPTANCE OF GUILTY PLEA
 (RULE 11 PROCEEDING)**

On the date indicated below, the defendant appeared with counsel, was duly sworn, and answered the following questions on the record:

<u>Questions (asked by Magistrate Judge)</u>	<u>Defendant's Answers</u>	
1. Do you understand that you are under oath, and that you are required to give truthful answers to the questions I am about to ask?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
2. Do you understand that if you give false information under oath you may be prosecuted for perjury or false statement?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
3. After consulting with your attorney, do you want this Court to accept your guilty plea to one or more counts in the Bill of Indictment (or Bill of Information)?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
4. Do you understand that you have the right to have a U.S. District Judge conduct this proceeding?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
5. Recognizing your right to proceed before a District Judge, do you expressly consent to proceed in this Court, that is, before a U.S. Magistrate Judge?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
6. Are you now under the influence of alcohol, medicines, or drugs of any sort?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
7. Is your mind clear, and do you understand that you are here to enter a guilty plea that cannot later be withdrawn?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
8. Have you received a copy of the indictment and have you discussed its contents with your attorney?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
[The charges, maximum penalties, and mandatory minimum sentences (if any) were explained, on the record, at this point in the proceeding.]		
9. Do you fully understand the charge(s) against you, including the maximum (and, where applicable, minimum) penalties you face if convicted?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
10. Have you been advised by your attorney that if you are not a citizen of the United States, your guilty plea may have adverse immigration consequences?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>

11. Have you been advised by your attorney that if you are not a citizen of the United States, your guilty plea may result in deportation or removal from the United States? Yes No
12. Have you spoken with your attorney about how the U. S. Sentencing Guidelines might apply to your case? Yes No
13. Do you understand that the District Judge will not be able to determine the applicable Sentencing Guidelines range until after your Presentence Report has been prepared, and you have had an opportunity to comment on it? Yes No
14. Do you also understand that in some circumstances you may receive a sentence that is different—that is, either higher or lower—than that called for by the Guidelines? Yes No
15. Do you understand that the Court may order restitution, where applicable? Yes No
16. Do you understand that if the sentence is more severe than you expected, or the Court does not accept the government's sentencing recommendation, you will still be bound by your plea and will have no right to withdraw it? Yes No
17. Do you understand that parole has been abolished, and that if you are sentenced to a term of imprisonment you will not be released on parole? Yes No
18. If your sentence includes imprisonment, do you understand that the District Judge may also order a term of "supervised release"? Yes No
19. Do you also understand that if you violate the terms and conditions of supervised release, which typically lasts from one to five years, you could be returned to prison for an additional period of time? Yes No
20. Do you understand that you have a right to plead NOT GUILTY, to have a speedy trial before a judge and jury, to summons witnesses to testify in your behalf, and to confront witnesses against you? Yes No
21. If you exercised your right to trial you would be entitled to the assistance of a lawyer, would not be required to testify, would be presumed innocent, and the burden would be on the government to prove your guilt beyond a reasonable doubt. Do you understand all of these rights? Yes No
22. By entering this plea of guilty you are waiving (or giving up) these rights. There will be no trial. If your guilty plea is accepted, there will be one more hearing, where the District Judge will determine:
(a) whether there is a factual basis for your plea; and
(b) what sentence to impose.
Do you understand that? Yes No

23. Are you, in fact, guilty of the counts in the Bill of Indictment (or Bill of Information) to which you have come to court today to plead guilty? Yes No

24. There is no written plea agreement in this case. Are there any terms or conditions of the plea that should be put on the record at this time? Yes No

The Court notes that the Defendant is pleading to counts 1 and 4 through 9. The government intends to dismiss counts 2 and 3.

[Any statement that was made is on the record.]

25. Has anyone threatened, intimidated, or forced you to enter your guilty plea today? Yes No

26. Has anyone made you promises of leniency or a light sentence to induce you to plead guilty? Yes No

27. Have you had enough time to discuss with your attorney any possible defenses you may have to these charges? Yes No

28. Are you satisfied with the services of your attorney in this case? Yes No

29. Is there anything you would like to say at this time about the services of your attorney? Yes No

[Any statement that was made is on the record.]

30. Have you heard and understood all parts of this proceeding, and do you still wish to plead guilty? Yes No

31. Do you have any questions or statements you would like to make at this time? Yes No

[Any statement that was made is on the record.]

32. Counsel, have you reviewed the consequences of his (her) guilty plea with [the defendant], and are you satisfied that he (she) understands those consequences? Yes No

[Any statement that was made is on the record.]

ON ADVICE AND IN THE PRESENCE OF COUNSEL, THE DEFENDANT CONSENTS TO THE ACCEPTANCE OF HIS/HER GUILTY PLEA BY A MAGISTRATE JUDGE. BY SIGNING BELOW, THE DEFENDANT (AND COUNSEL) AFFIRM THAT THE ANSWERS GIVEN DURING THIS PROCEEDING ARE TRUE AND COMPLETE TO THE BEST OF THEIR KNOWLEDGE.



Defendant's Signature



Counsel's Signature

Based upon the representations and answers given by the defendant (and counsel) in this proceeding, the Court finds that the defendant's plea is knowingly and voluntarily made; and that the defendant understands the charges, potential penalties, and consequences of his (her) plea. Accordingly, the defendant's plea is hereby accepted.

Date: December 22, 2011



David C. Keesler
United States Magistrate Judge