

SDNY WHISTLEBLOWER NON-PROSECUTION PILOT PROGRAM
(Individual Voluntary Self-Disclosure Program)

Intake Form

Effective January 14, 2025

Individuals (including through counsel) seeking to be considered for the SDNY Whistleblower Pilot Program may use this form to begin the process of disclosing criminal misconduct. *Please note: This SDNY Whistleblower Pilot Program and this Intake Form are for individuals who are disclosing misconduct in which they had some involvement.*

Identifying Information

Please provide the following information, where applicable. This information will assist in making an initial determination of eligibility. Fields with * are required.

*Name of individual: _____

Name of counsel, if applicable:¹ _____

*Phone number of individual or counsel, if applicable: _____

Name of entity, if applicable: _____

Name of agency, official, or campaign, if applicable: _____

*Brief description of the misconduct: _____

Conditions of Eligibility

Having reviewed the below seven qualifying conditions contained in the Policy, the Individual currently has a reasonable basis to answer *yes* to each of the following:

1. The individual has no reason to believe that the misconduct has previously been made public or is already known to SDNY or to any component of the DOJ;
2. The individual discloses the criminal conduct voluntarily to SDNY and not in response to a government inquiry or obligation to report misconduct to SDNY or any component of DOJ, and prior to imminent threat of disclosure or government investigation;

¹ Individuals reporting misconduct through this pilot program are not required to do so through counsel.

3. The individual is able to provide substantial assistance in the investigation and prosecution of one or more equally or more culpable persons, and is prepared to cooperate fully with this Office in its investigation and prosecution of the disclosed conduct, including the recovery of any related criminal proceeds;
4. The individual truthfully and completely discloses all criminal conduct in which the individual has participated and of which the individual is aware;
5. The individual is not (a) an elected, or appointed and confirmed, federal, state, local or foreign official; (b) an official or agent of a federal investigative or federal law enforcement agency; (c) the highest-ranking person within the organization or company where the misconduct occurred (e.g., the chief executive officer or equivalent), chief financial officer or equivalent of such organization or company, or the person who, regardless of title, exercises primary control over the operations of such organization or company;
6. The individual's role in the alleged misconduct did not involve: leading or originating the illegal activity; criminal conduct that involves the use of force, violence, or the threat of violence; any sex offense involving fraud, force, or coercion, or a minor; or any offense involving terrorism; and
7. The individual does not have a previous felony conviction or a conviction of any kind for conduct involving fraud or dishonesty.