LEON W. WEIDMAN KENDRA S. MCNALLY WILLIAM L. WEBBER 2 Antitrust Division U.S. Department of Justice 3 3101 Federal Building 300 N. Los Angeles Street 4 Los Angeles, California 90012 Telephone: (213) 688-2516 5 Attorneys for the United States 6 8 UNITED STATES DISTRICT COURT 9 CENTRAL DISTRICT OF CALIFORNIA 10 11 UNITED STATES OF AMERICA, Civil No. CV-81-0951-RJK(kx)12 Plaintiff, Filed: October 28, 1981 13 V . Entered: February 12, 1982 14 BEVEN-HERRON, INC., and SIMPSON MANUFACTURING CO., INC., 15 Defendants.) 16 17 FINAL JUDGMENT 18 Plaintiff, United States of America, having filed its complaint 19 Lerein on February 25, 1981, and plaintiff and defendants, by their 20 respective attorneys, having consented to the entry of this Final 21 Judgment without trial or adjudication of any issue of fact or law 22 herein and without this Final Judgment constituting any evidence 23 against or an admission by any party with respect to any such issue;

NOW, THEREFORE, before the taking of any testimony and without trial or adjudication of any issue of fact or law herein and upon consent of the parties hereto, it is hereby,

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ORDERED, ADJUDGED, AND DECREED as follows:

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I.

This Court has jurisdiction of the subject matter of this action and of each of the parties consenting hereto. The Complaint states a claim upon which relief may be granted against each defendant under Section 1 of the Sherman Act, 15 U.S.C. § 1.

II.

As used herein, the term:

- (a) "Fanelized roof construction" means a construction process utilizing structural glued laminated timber in the construction of roofs for commercial and industrial buildings;
- (b) "Person" or "persons" means any natural person, firm, partnership, association, corporation or other business or legal entity; and
- (c) "Roof construction company" means any person engaged in providing the engineering, labor and/or materials relating to panelized roof construction to general contractors and/or owners of commercial or industrial building construction projects.

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This Final Judgment applies to the defendants and to their officers, directors, agents, employees, subsidiaries, successors and assigns, and to all other persons in active concert or participation with any of them who shall have received actual notice of this Final Judgment by personal service or otherwise.

IV.

Each defendant shall require, as a condition of the sale or other disposition of all, or substantially all, of the assets used by it in the construction and sale of panelized roofs, that the acquiring party agree to be bound by the provisions of this Final Judgment, and that such agreement be filed with the Court.

V.

Each defendant is enjoined and restrained from entering into, adhering to, maintaining or furthering any contract, agreement, understanding, plan, program, combination or conspiracy with any other roof construction company: (a) to fix, maintain or stabilize prices; (b) to submit any collusive, noncompetitive or complementary bids; or (c) to allocate among any roof construction companies any such bids for panelized roof construction projects.

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Each defendant is enjoined and restrained from communicating to or receiving from any roof construction company any information regarding past, present or future panelized roof construction bids, prices, markups or any other terms or conditions of panelized roof construction bids or sales.

VII.

Nothing contained in this Final Judgment shall be applicable to:

- Any discussions between a defendant and any roof construction company where such discussions relate solely to the prices, terms or conditions of a bona fide contemplated or actual purchase or sale between said defendant and roof construction company of components or materials utilized in panelized roof construction; or
- Any transactions or communications solely between a (b) defendant and a parent or subsidiary of, or the person under common control with, such defendant, or between officers, directors, agents or employees thereof.

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Each defendant is ordered and directed:

- To furnish within thirty (30) days after entry of this (a) Final Judgment a copy thereof to each of its officers and directors who has management responsibility for the sale of panelized roof construction, and to each of its managing agents and employees having responsibility for or authority over the establishment of the prices or preparation of bids for panelized roof construction;
- To furnish a copy of this Final Judgment to each (b) person who becomes an officer, director, managing agent or employee described in Subparagraph (a) of this Paragraph, within thirty (30) days after such person is employed by or becomes associated with such defendant:
- To take additional affirmative steps to advise each of (C) its officers, directors, managing agents and employees described in Subparagraphs (a) or (b) of this Paragraph of its and their obligations under the Final Judgment and of the criminal penalties for violation of this Final Judgment, including annual distribution of written directives setting forth corporate antitrust

and Final Judgment compliance policies, annual distribution of copies of the Final Judgment, and annual meetings to review its terms and the obligations it imposes;

- (d) To file with the Court and serve upon the plaintiff within sixty (60) days from the date of entry of this Final Judgment, an affidavit as to the fact and manner of its compliance with Subparagraph (a) of this Paragraph; and
- (e) To require each person described in Subparagraphs (a) or (b) of this Paragraph annually to sign and submit to his or her employer, for retention in the employer's files, a certificate in substantially the following form:

"The undersigned hereby (1) acknowledges receipt of a copy of the 1981 Antitrust Final Judgment and a written directive setting forth the Company policy regarding compliance with the antitrust laws and with such Final Judgment, (2) represents that the undersigned has read and understands such Final Judgment and directive, (3) acknowledges that the undersigned has been advised and understands that non-compliance with such policy and Final Judgment will result in appropriate disciplinary measures determined by the Company and which may include dismissal, and (4) acknowledges that the undersigned has been advised

and understands that non-compliance with the Final Judgment may also result in conviction for contempt of court and imprisonment and/or fine."

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For the purpose of determining or securing compliance with the Final Judgment, and subject to any legally recognized privilege, from time to time:

Duly authorized representatives of the Department of Justice shall, upon written request of the Attorney General or of the Assistant Attorney General in charge of the Antitrust Division, and on reasonable notice to a defendant made to its principal office, be permitted:

(1) Access during office hours of such defendant to inspect and copy all books, ledgers, accounts, correspondence, memoranda and other records and documents in the possession or under the control of such defendant, who may have counsel present, relating to any matters contained in this Final Judgment; and

Subject to the reasonable convenience of such

defendant and without restraint or interference from

it, to interview officers, employees and agents of

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such defendant, who may have counsel present, regarding any such matters.

(b) Upon the written request of the Attorney General or of the Assistant Attorney General in charge of the Antitrust Division made to a defendant's principal office, such defendant shall submit such written reports, under oath if requested, with respect to any of the matters contained in this Final Judgment as may be requested.

No information or documents obtained by the means provided in this Section IX shall be divulged by any representative of the Department of Justice to any person other than a duly authorized representative of the Executive Branch of the United States, except in the course of legal proceedings to which the United States is a party, or for the purpose of securing compliance with this Final Judgment, or as otherwise required by law.

If at the time information or documents are furnished by a defendant to plaintiff, such defendant represents and identifies in writing the material in any such information or documents to which a claim of protection may be asserted under Rule 26(c)(7) of the Federal Rules of Civil Procedure, and said defendant marks each pertinent page of such material, "Subject to claim of protection under Rule 26(c)(7) of the Federal Rules of Civil Procedure," then 10 days notice shall be given by plaintiff to such defendant prior

to divulging such material in any legal proceeding (other than a grand jury proceeding) to which that defendant is not a party. 3 Х. 4 5 Jurisdiction is retained by this Court for the purpose of 6 enabling any of the parties to this Final Judgment to apply to this 7 Court at any time for such further orders or direction as may be 8 necessary or appropriate for the construction or carrying out of 9 this Final Judgment, for the modification of any of the provisions 10 hereof, for the enforcement of compliance herewith, and for the 11 punishment of any violation hereof. 12 13 XI. 14 15 This Final Judgment will expire on the tenth anniversary of its 16 date of entry. 17 18 XII. 19 20 Entry of this Final Judgment is in the public interest. 21 22 Dated: 23 February 12, 1982 24 Judge Robert J. Kelleher 25 UNITED STATES DISTRICT JUDGE 26

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