that at the time we issued the restraining order of September 18th the matter was still pending in the Supreme Court of the United States on your petition for certiorari.

MR. CLARK: Yes, sir.

CHIEF JUDGE TUTTLE: Our injunction, which was a 6 preliminary injunction pending appeal -- the matter was 7 still on appeal when we issued our temporary restraining order. Excuse me.

MR. CLARK: Yes. sir.

CHIEF JUDGE TUTTLE: Yes, the matter was still on appeal on September 18th when we issued our restraining order -- September 25th or whatever date it was. In other words. --

MR. CLARK: The Supreme Court of the United States 15 didn't act until October 8th, as I recall.

CHIEF JUDGE TUTTLE: So the Meredith against 17 Fair case was still on appeal to the United States Supreme Court at the time when this Court issued the temporary restraining order which is involved in this case.

MR. CLARK: I cannot admit that, Your Honor.

CHIEF JUDGE TUTTLE: Is there any doubt of it?

MR. CLARK: I don't conceive a petition for certiorari has that effect. The petition simply suggests to the Court that it ought to review. There is no right -- no

right of appeal.

115

10

11

13 i

14

16

18

19

20

21

22

23

 JUDGE BELL: Not whether or not the mandate had issued. This case was in the District Court and the order of this Court and the order of this Court had been made the judgment of the District Court at the time the temporary restraining order was issued, as I understand.

MR. CLARK: Yes, sir.

JUDGE BELL: Here is what I want to ask: In this series of orders, some were presented to the District Court, the District Court refused to grant them, and then they were trought to the Court of Appeals. Some were not, as I understand it. The Government can probably answer. I would like to get an answer to it during the day if somebody -- was this temporary restraining order of September 25th sought first from the District Court?

MR. CLARK: No, sir. I can answer that.

JUDGE BELL: Or was it brought here skipping over the District Court, brought straight to this Court?

MR. CLARK: This Court, the Fifth Circuit Court of Appeals, appointed the Government as Amicus in this Court and in the District Court for the Southern District.

JUDGE BELL: Right.

MR. CLARK: And there was only one proceeding there brought and brought by the Government.

JUIGE BELL: No. That order was sought in the District Court first. They went to the District Court,

asked the District Court to make them Amicus, the District
Court refused. It was brought to this Court. We entered
the order pursuant to preserving our jurisdiction. Now
that is the amicus order.

MR. CLARK: This is news to me, Your Honor. We had no notice that they were asking to appear as amicus, and we know of no ruling by the District Court preventing them appearing as amicus.

JUDGE BELL: I know that, know about that, of my own knowledge. What I want to know about now is the restraining order of September 25th.

×

MR. CLARK: It was not ever sought from the District Court insofar as I know, unless it was another one of these proceedings they asked for and we had no knowledge.

CHIEF JUDGE TUTTLE: I think the Court will remember as we relate -- this happened on the day we were here nearing the en banc motion for contempt against Fair and others. Is that not correct? It was after the petition for contempt hearing, Fair and others, that the Government then came in and said, We want you to make us parties to this, the Governor and later on the Lieutenant Governor, and that was done practically in open court here on the 25th of September.

JUDGE WISDOM: But on the 18th we appointed the

United States as amicus, and that was in Hattlesburg after having first discussed the matter with the District Court.

MR. CLARK: Yes, sir, and they were appointed amicus in the District Court and in this Court, and, as I started to say to Judge Bell, the only proceedings that we were ever advised that they ever started in the District Court was a proceeding to cite the Registrar, the Dean, and the Chancellor for contempt, and that was --

JUDGE WISDOM: That was started in the District Court?

MR. CLARK: That was started in the District Court, and so far as we were ever advised by notice, pleatings, that was the only thing ever asked of the District Court.

JUDGE WICDOM: Well, the amicus order was sought there.

MR. CLARK: I just didn't know it.

JUDGE WISDOM: What I want to get straight from the lawyers some time today is if the restraining order of September 25th was first sought in the District Court.

JUDGE RIVES: I know I Joined in that restraining order and there wasn't anything at that time to show it had been sought in the District Court. Apparently it was sought here the first time. I don't think it was sought in the District Court.

.

MR. CLARK: It was on the morning after the hearing concluded at approximately 6:00 p.m. on the question of the Trustees being -- whether or not the Trustees were in contempt, because I was ordered to remain here and then report to the Court the next day.

CHIEP JUDGE TUTTLE: It was presented to us that evening and we signed it at 8:30 the next morning.

MR. CLARK: The next morning. And the next after-

CHIEF JUDGE TUTTLE: -- against Johnson.

MR. CLARK: The Appellant secured an order against Governor Barmett -- I know of no temporary restraining order issued by this Court specifically directed to Lieutenant Governor Johnson -- and the application of the United States seeks only to hold him as an agent, No. 1, of the State of Mississippi, and, No. 2, as agent of Governor Barnett or acting for Governor Barnett.

JUDGE BROWN: There is some additional history: on either September 18th or thereabouts, while Judges Bell, Wisdom, and Brown were in Hattiesburg, the Government presented an application for a temporary restraining order, and in that presentation we were advised by the Government that the District Judge had entered an order enjoining certain prosecution -- wasn't it for 24 hours?

JUDGE BELL: Right.

JUDGE BROWN: But just for 24 hours, and said he would set the matter down then for the following Monday for a hearing, and we entered an order at that time returnable, I think, along with these other papers at the same time we were having a hearing on the following Monday at New Orleans. That preserves that.

MR. CLARK: And every proceeding that you mentioned there insofar as the District Court was conserned, If Your Honor please, was a proceeding by Appellant Meredith. Meredith went to the District Court and asked for orders restraining prosecution or injunctive actions or further developments of criminal charges then pending, and the only thing I knew that the Government had done insofar as the District Court was concerned, until Judge Bell Just corrected me, was the application for citation of the Registrar, the Chancellor, and the Dean, and then everything clse was done here, and that is the subject matter of our present petition for certiorari.

Would Your Honors permit me just a second to confer with Mr. Green?

CHIEF JUDGE TUTTLE: Yes.

(Discussion between Counsel off the

record.)

ts:

MR. CLARK: Of course, our position insofar as the law of the case might be concerned in regard to the petition

1 is that this present petition for certiorari, review of the Supreme Court of the United States, could bring everything that they previously refused to take certiorari of before them, should they so decide.

JUDGE CAMERON: On that point, Mr. Clark, you have 6 got me a little confused. I listened to this on paper only. 7 I understood that the original application for certiorari was made in the early days or middle days of July and that your record went up some time in August. I don't see how all these things that transpired in September got into that record, were passed upon by the Supreme Court, but, or course, the record will speak for itself, but you confused me when you said that the Supreme Court by its denial of certiorari in that case has passed on some actions taken by this Court en banc. I thought that record was long since in Washington. Last time I heard of it, Mr. Justice Black had hold of it.

MR. CLARK: If Your Honor please, there are two petitions for certiorari. Your Honor is correct about the earlier one being filed in August; on August 16, 1962, we filed a petition for certiorari to review the matters that had been had and done in the Meredith case to that particular time in connection --

JUDGE CAMERON: I sec.

13

14

16 17

18

19

20

21

22

23

24

25

MR. CLARK: -- with that petition, which was No.

347 of the '62 term. Mr. Justice Black did set aside stay orders granted by Your Honor here as a member of this Court under the provisions of 2101 of --

JUDGE BROWN: Now specifically that petition did question the orders of this Court entered on July 27 or 28 and the preliminary injunction, did it not?

MR. CLARK: That is correct, sir.

M

petition for certiorari, which attacks the validity of the injunction, the temporary restraining order of September 2; th, and the civil contempt order as based thereon, could also foreclose the Respondents, if certiorari is denied between now and the time we hear this case? Doesn't it cut you off?

MR. CLARK: No, sir. On the question of criminal contempt?

JUDGE BROWN: On the validity of the restraining order.

MR. CLARK: Yes, sir, but by the same token, Judge Brown, if they sustain it, then it would create some considerable legal dilemma, and they haven't acted yet. I still have every hope that they will be persuaded by our petition at least to take certiorari, and then, of course, from there on the question would pend with them as to what they would do with it. I would only point out that I don't think that

Mr. Jaworski made a valid distinction to the Court between
the fact that Lieutenant Governor Johnson is not even mentioned, top side or bottom, in Counts 1 and 4 of a fourcount application for citation for criminal contempt, and
yet he would be called before this Court to defend himself
as to the conspiracy charges and the agency charges in
Counts 2 and 3 at a time when the thing would be pending on
four counts before the Court, and that was why we were
hopeful that the Court would grant our motion for severance
and why we think we are entitled to it at least.

JUDGE BROWN: Ordinarily if you ask for severance, you come and tell the Court there are reasons why it is going to be unfair. Are you suggesting here the positions of Governor Barnett and Lieutenant Governor Johnson are in conflict? Is that the position you are going to take? Is one going to try to palm off on the other?

MR. CLARK: Judge Brown, our motions are almost speaking motions in the extent to which we go of showing the differentiation between the two charges. Your Honor used the words "palm off," and that is not anywhere in there, and that is not involved in the reasons for the request for severance. We pointed out to Your Honors that these men had different responsibilities in their elective offices and that Lieutenant Governor Johnson obviously was here only as an agent of the state, and, if he is an agent of the state,

he is here as the Lieutenant Governor solely and alone, and he is not charged with doing anything other than in his official capacity, and he is not charged with either doing or participating in anything that the Government complains of in Counts 1 or 4, and if we are entitled to a severance on 1 and 4, it would seem to me that the Court ought to grant us the severance on the conspiracy charge, and I don't content to you that we have the same right to a severance on conspiracy that we would on the other matters charge! to be criminal contempt. I think Your Honors would have to rule on the basis of what you would do in a criminal case if defendants in a criminal action presented this same motion for severance to you. I think that ought to guide or deny your granting of motion on the severance in this case.

don't take the position that tringing toth of them out of the Jtate of Mississippi at one and the same time for possibly an extended period of time would give their motion for severance any special significance? In trying to get at this, I suppose the statutes of Mississippi make provision for the circumstances where the Governor and the Lieutenant Governor are both absent from the state.

MR. CLARK: The President Pro Tem of the Senate becomes --

CHIEF JUDGE TUTTLE: So you do not here urge that
they should be entitled to any special consideration because
of damage to the state government by reason of their joint
absence from the state?

MR. CLARK: If Your Honor please, no, sir, we did not make that contention in the motion. That would be the short answer to your question.

CHIEF JUDGE TUTTLE: All right. Thank you.

MR. CLARK: Judge, I would simply say the
Lieutenant Governor is the only presiding officer in the
Jenate — the Governor is the only officer entitled to
call the Jenate into session in matters relating to constitutional amendments because of the recent reapportionment
laws that are upcoming, and I would not want to waive the
rights of either of my clients to contend —

CHIEF JUDGE TUTTLE: As to continuance at the time. In other words, you can always renew a motion for a continuance as to either of joint defendants I would assume, and if this situation arises, it can be faced at the time.

MR. CLARK: I just did not recall that our motion made that point to Your Honors. That is all I meant to day.

CHIEF JUDGE TUTTLE: All right. Gentlemen, as I understand it now, the only argument left is with respect to whether the Respondents, if a trial is had, are entitled to

i be tried only by presentation to the grand jury and by a jury trial, and, that being the case, I think we might most appropriately take the next hour off for lunch and come back after lunch. Unless someone has a different view of the matter, the Court then will recess until quarter of two.Thereupon, at 12:45 o'clock p.m., a recess was taken until 1:45 o'clock

• • • • Pursuant to the recess, the proceedings herein were resumed at 1:45 o'clock p.m., appearances being the same as heretofore noted in the record. • • •

CHIEF JUDGE TUTTLE: You may proceed on behalf of the Respondents in connection with the remaining motions.

MR. MONTGOMERY: If the Court please, -- CHIEP JUDGE TUTTLE: Mr. Montgomery.

MR. MONTGOMERY: If the Court please, I am going to discuss the question of the right to trial by jury in this matter, and it is quite apparent that the history that is behind our jury system might in certain respects in a brief way be of importance here.

We know that back in 1215 that the sturdy English people during the reign of King John became mindful of the right of trial by jury and that they wrested the Magna Carta from the King at that time.

For some four hundred years after that, there was a constant and unremitting struggle between the people of England the the successors of King John to maintain their right to a trial by jury, and you will recall that in 1617, I believe it was, that William Penn, who later became the founder of the State of Pennsylvania and who was a Quaker minister, was arrested on the streets of London for preaching to the people after Charles II had closed the home of the Friends and it was no longer available for their services.

He was charged with the disturbing of the peace and was tried, and the jury found him not guilty. The Judge who was presiding in the court told the jury that they must go back and change their verdict and bring in a verdict of guilty. The jury stayed locked up for three days, history tells us, without water and without food and without fire, and that then they were imprisoned and an appeal was taken by the Bar of England. The lawyers were so incensed over the act of the Judge that the appeal was taken to the Court of Common Pleas by the common agreement of the Bar, and there the Court of Common Pleas did two things: In the first place, they upheld the right of trial by jury, and, secondly, established for the first time the doctrine that no one could be placed.

After that the struggle continued and when the founders of America came to our shores, impressed as they were with the importance of trial by jury, they preserved that system in the colonies, and even while the War of the Revolution was still continuing they were constantly struggling for the perpetuation of our jury system, and when the fighting ended, within one month the State of Pennsylvania adopted its constitution, and in its constitution it preserved the same language of the Magna Carta, which was:

"No free man shall be imprisoned or outlawed or banished or in any way destroyed

except by the legal judgment of his peers and by the law of the land."

So then when the framers of our Constitution began to undertake the work of establishing the principles of government that are announced in that instrument, they were so obsessed with the various questions of the relationship between the states and the federal government, and nearly all of that hot summer was expended in struggling and compromising over those differences, and history tells us that it was only in the last two days of that convention that the question of the right of trial by jury came up, and in a hurried compromise the original constitution provided only that the trial of all crimes shall be by jury. That constitutional convention could not possibly have any less regarded the temper of the people of the United States.

JUDGE WISDOM: Mr. Montgomery, at that time contempt proceedings were still not tried by jury, were they?

MR. MONTGOMERY: I am going to get to that, if
Your Honor please. I am going to show how that arose.

So then when the framers of the Constitution submitted it for adoption, why, there was such a cry of criticism and such a refusal to approve the constitution that the colonies, or states as they then were, would not approve it until it had been expressly promised that the Bill of Rights would be included and that the right of trial

by jury would be preserved. So when the Bill of Rights came in with the first ten amendments, we find that Article 3 of Section 2 of Clause 3 says that the trial of all crimes, except in cases of impeachment, shall be by jury and such trials shall be held in the state where the certain crimes shall have been committed.

Then the Sixth Amendment comes in and says that in all criminal prosecutions, the accused shall enjoy the right to a speedy and a public trial by an impartial jury of the state and district wherein the crime shall have been committed, which district shall have been previously ascertained by law, and to be informed of the nature and cause of the accusation.

10

11

12

13

14

15

16

17

LB

19

20

21

22

23

24

25

Then in the Seventh Amendment it was provided that Juries should be available in all civil cases where the amount that was in controversy exceeded \$20.00.

So you can see that in the preparation of the amendments of the Constitution the provision with reference to juries was triply guarded. It is guarded by three separate sections of the Constitution of the United States.

Now, then, Congress saw that with reference to the trial for criminal contempt that there had arisen a series of proceedings in courts whereby the courts had held that in cases of criminal contempt that those proceedings would be summary and would not be by trial by jury. As a matter of fact, Mr. Prankfurter's work says that all this started out under the misapprehension that arose from an opinion handed down by the Court in England, or rather by one of the judges that was never delivered from the bench but was placed in the drawer and found its way subsequently into the decisions of this country. So it was that the custom arose to try criminal contempt without a jury but as a summary proceeding.

Now confronted with that, they found this: -JUDGE BELL: Wait. Let me ask you a question,
Judge. Before that decision that they claim was error, -MR. MONTGOMERY: Yes, sir.

JUDGE BELL: -- for two or three hundred years they had been trying criminal contempt, as I understand, by Juries --

MR. MONTGOMERY: Well, now --

JUDGE BELL: -- up until about the time of the Court of the Star Chamber, which was about this same time you were speaking of?

MR. MONTGOMERY: Now Frankfurter's work on that and Mr. Goldfart's both seem to say that arose from that opinion.

JUDGE WISDOM: But it was not until around the end of the Eighteenth Century, because long prior to the end of the Eighteenth Century contempt had been tried without a jury, and, of course, the star chamber does go back a couple

of hundred years.

1

5

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

MR. MONTGOMERY: Yes, sir. Now in view of those situations and in view of the existing set-up of the judicial procedure, Congress then had before it this sort of a proposition: It had before it the actual statutes of Congress under Title 18, Section 1, wherein it is said that any crime that is punishable by death or by imprisonment for more than one year is a felony. Under the rule that had been followed by the courts in furthering these criminal contempts, they had the unlimited power to place any restraint upon a man by punishment, by fine or imprisonment, that might occur to them to be proper under the circumstances. The only limit that the Courts had upon their ability to fine and imprison was the possible limitation, if it might be so called, of the Eighth Amendment wherein it was provided that cruel and unusual punishment should not be inflicted, and, of course, there was also the rule that the courts themselves had adopted which was the rule of reasonableness.

Now, then, that being the case, when a person was charged with a crime or charged with criminal contempt, he found himself in this position: that the punishment could be up to fifty years or any other amount that might meet the test of reasonableness.

CHIEF JUDGE TUTTLE: Has your research indicated what maximum punishment for criminal contempt has ever been?

1

MR. MONTGOMERY: No, sir, there has never been any maximum set that I have been able to find except maximums and minimums.

4

CHIEF JUDGE TUTTLE: I didn't mean that. Has your research indicated what maximum punishment has ever been adjudged for criminal contempt?

6

MR. MONTGOMERY: Well, the only one that I recall, I think, is in the Gompers case.

.

CHIEF JUDGE TUTTLE: A year and a half?

10

MR. MONTGOMERY: Yes, sir.

11

JUDGE BELL: Three years in the Green case.

12

MR. MONTGOMERY: That is right, three years. Now,

13

then, the fine in that case, however, was tremendous. Now

14

here we found ourselves that the person who had been charged with criminal contempt, knowing that the statutes of

15

the United States make it a felony, a crime for -- the

17

offense is punished by imprisonment of more than one year

18

and when it is more than one year, and so he is charged with

19

contempt. He doesn't know whether his fine is going to be

20 21 \$10,000.00 or more than \$1,000.00. He doesn't know whether his imprisonment is going to be one year or five years or

22

ten years, so the charge carries with it the substance of --

23

being one that is in excess of one year, that makes the crime

24

a felony. So here you have under that proceeding that was

25

followed in the courts of punishing contempt without the

trial by jury of a person who was guilty, was subsequently found guilty by a jury, found guilty by the court, when if he had known in advance what his punishment would be, he would have been charged with a felony, his charge would have amounted to a felony, and he would have been entitled under the Constitution to a trial by jury.

JUDGE WISDOM: Would not the absence of a fine or punishment or fixed fine or fixed punishment within limits indicate that it is not essentially a crime?

MR. MONTGOMERY: Well, that is not my thinking, Your Honor. My thinking is that when the statute says that anything that is punishable by imprisonment of more than a year is a felony --

JUDGE BROWN: The Constitution says that?

MR. MONTGOMERY: -- then that statute says that

whenever a criminal contempt is punishable by imprisonment of more than a year, that that man -- the crime that that man committed was a felony. Then with that meaning of the statutes --

JUDGE BROWN: I think you are right on this. If an infamous crime can be prosecuted only by indictment --

MR. MONTGOMERY: What I am working up to, Your Honor, what Congress had in mind --

JUDGE BROWN: Congress can't have anything in mind about a constitutional problem.

MR. MONTGOMERY: No, but in reference to the rule that had been constitutionally passed. That is what I am trying to work up to.

JUDGE BROWN: What you are trying to get down to is that the Green case had grand Jury presentment?

MR. MONTGOMERY: Yes.

JUDGE BROWN: Why do you argue that to us? Aren't we foreclosed by the Green case?

MR. MONTGOMERY: No.

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

JUDGE BROWN: Well, why not?

MR. MONTGOMERY: You are not foreclosed for two reasons, because I am going to show Your Honors why Congress has passed a statute which expressly provides that in this case trial shall be by jury.

JUDGE TUTTLE: But not by grand jury indictment?

MR. MONTGOMERY: But not by grand jury indictment, but shall be by jury.

CHIEF JJPGE TUTTLE: If one is required, the other is required under constitutional requirements, isn't it?

MR. MONTGOMERY: I am speaking now from the standpoint of the rule. So that is the situation that existed when Congress tegan to pass these rules that we are --

JUDGE BELL: Judge Montgomery, let me interrupt you a minute and get this straight in my mind. The right

DIEIRICH & WITT . Stenotypists . Nat'l Bank of Commerce Bidg. . New Orleans

to jury trial now you are arguing is the one that Congress has provided under Section 3691?

MR. MONTGOMERY: Yes, sir.

JUDGE BROWN: That is a limited interference or displacement of the authority of the court which has been approved by the Supreme Court. That doesn't say anything about grand Jury indictment, and I understood you were going to argue that. When you do, I would like for you to separate the two so that you argue separately why you contend you have the right to be indicted by grand Jury.

MR. MONTGOMERY: The feature with reference to the indictment will be argued by Mr. Clark. I am going to argue solely the question of the right of trial by jury under our separation.

JUDGE BROWN: I don't want to tell you how to argue. But you do it then on the basis of the statute and not the Constitution?

ME. MONTGOMERY: I am doing it on the basis that the Constitution, the reasoning behind the provisions of the Constitution, and the then existence of the conditions brought to life the statutes that create our present set-up.

Now, then, the Court in appointing the United States as Amicus to present this charge of criminal contempt ordered that the United States proceed to prefer these charges under Rule 42-b. Now, then, 42-b, leaving out --

DIE I KICH & WITT . Stenotypists . Nat'l Sunk of Commerce Bidg. . New Orleans

and if Your Honors would like to, while I am discussing these rules, it might be well to have a copy of the rules before you, anu, if you will, I have some copies here that have been made that I would like to let Your Honors have so as to follow me as I discuss them.

(Whereupon, the documents referred to by Counsel were distributed among the Court.)

MR. MONTGOMERY: Now you will notice on the top sheet of that sheaf that has been handed to you that it contains a copy of Rule 42-1. The next sheet contains a copy of Section 402, Title 18, 402, and the third sheet contains a copy of Section 3691 of Title 18.

Now, then, omitting the points or parts of Rule 42-b that are not pertinent to the present consideration, we find that Title 42-b on the first sheet of the shear does say:

"The defendant is entitled to a trial by jury in any case in which an act of Congress so provides. He is entitled to admission to bail, as provided in these rules."

Now, then, of course, that is followed by Rule 46 that provides for the bail.

Now bearing in mind that Rule 42-b provides that

the defendant is entitled to a trial by jury in all of those instances where the facts constituting the contempt also constitute a criminal act in violation of an act of Congress, that he is entitled to jury and he is entitled to bail.

So now then we so from there to Section 402, and in Section 402 it is provided by Congress that:

"When any person, corporation or association wilfully disobeys any lawful writ --

of course, it has no application except where the writ that has been disobeyed is a lawful writ --

command of any district court of the United States or of any court of the District of Columbia --

Now, then, evidently in mentioning the District of Columbia, it was not referring to the District Court of the District of Columbia for the simple reason that the District Court of the District of Columbia was covered in the use of the words "district court of the United States."

CHIEF JUDGE TUTTLE: But there are subordinate courts of the District of Columbia that have district trials.

MR. MONTGOMERY: Yes, yes, but this says "any

court of the United States." Now, then, in saying "any court of the United States," that is, any court of the District of Columbia," that would mean any court that is a court of the District of Columbia.

1

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

CHIEF JUDGE TUTTLE: You think that applies to the Court of Appeals of the District of Columbia Circuit?

MR. MONTGOMERY: That is the point I am making, that when it says "any court of the District of Columbia," there naturally falls within the meaning of those words the District of Columbia Court of Appeals.

CHIEF JUDGE TUTTLE: I think that is the Court of Appeals of the District of Columbia Circuit. I don't know that this makes any difference, but technically --

MR. MONTGOMERY: As I understand it from my reading of the Code, it says "the Circuit Court of the District of Columbia." Then it starts off with the Circuit Court of the First District, the Second District, and so forth.

CHIEF JUDGE TUTTLE: Circuit?

MR. MONTGOMERY: And so on through the Tenth District.

CHIEF JUDGE TUTTLE: Circuit?

MR. MONTGOMERY: Yes, Circuit.

JUDGE RIVES: Mr. Montgomery, is there any legislative history that is illuminating on this? MR. MONTGOMERY: I am fixing to give it to Your Honors to the test of my ability, within limitations.

Now it applies whenever the order of any district court or any court of the District of Columbia is violated, wilfully disobeyed. Then it cays:

"If the act or thing so done shall be of such character as to constitute also a criminal offense under any statute of the United States or under the laws of any state in which the act was committed, (he) shall be prosecuted for such contempt as provided in

Section 3591."

Now, then, that means -- to my thinking it means that when there is an order of the District of Columbia or writ of the Court of Appeals of the District of Columbia that has been disobeyed, that then, if the act constituting that contempt is at the same time a violation of any criminal statute of Congress, then the person shall be tried under the provisions of Section 3691.

Now, then, it would naturally follow that if a person from Mississippi violated an act or an order or writ of the Court of Appeals of the District of Columbia, then automatically under that writ, under that statute, he would be entitled to a trial by a jury for the contempt that he

had committed.

JUDGE WISDOM: Mr. Montgomery, aren't you overlooking the last paragraph of Section 407 --

MR. MONTGOMERY: No. I am --

JUDGE WISDOM: -- which provides that in cases of contempt not specifically embraced in this section, such cases may be punished in conformity to the prevailing usage? That, of course, is the position the Government takes.

MR. MONTGOMERY: We are going to show here these are specifically embraced in these rules, if I may. That is my purpose in this argument, to make that point and to establish it, if I can, under these very rules.

Now, then, it says further that it shall be trie: according to the provisions of Section 3691.

Now, then, when we get over to Section 3691, then we find that there it says:

"When a contempt charge shall consist in wilful disobedience of any lawful writ, process, order, rule, decree or command of any district court of the United States by doing or omitting any act or thing in violation thereof."

Now, then, it is true that there they refer to the district court, but Section 402 of Title 18 does not rely

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

upon that part of Section 3691 but merely says that it shall be tried according to Section 3691, which, of course, embraces only those provisions that are applicable to the trial of a contempt charge.

So that 3601 then says:

" -- and the act or thing done or omitted also constitutes a criminal offense under any act of Congress or under the laws of any state in which it was done or omitted, the accused, upon demand therefor, shall be entitled to a trial by jury, which shall conform as near as may be to the practice in other criminal cases."

Mow, then, that brings us to the position that where Section 402 says that when the violation is of a writ of the Court of Appeals of the District of Columbia that it shall be tried under Section 3691, now in saying that, of course, it says it is mindful of the provisions of Article 3 of the Constitution of the United States wherein it is provided that the citizens — that is Article 4, Section 2, Clause 1 of the Constitution, wherein it is provided that "the citizens of each state shall be entitled to all of the privileges and immuniments of citizens of the several states."

JUDGE BELL: Judge Montgomery, when was that

language about the District of Columbia put in the statute? Was it in from the beginning, and, if so, when was the statute enacted?

MR. MONTGOMERY: It was put in there at the time of first amendment, as I recall.

JUDGE BELL: What year was that?

MR. MONTGOMERY: I think it was 1848.

JUDGE BELL: There was a Court of Appeals of the District of Columbia at that time?

MR. MONTGOMERY: There was a Court of Appeals of the District of Columbia at that time, yes.

JUDGE RIVE: Some time in the course of your argument, -- as I get it, your inference is because it includes the Court of Appeals of the District of Columbia, then by reference to Article 4, Section 2, Clause 1 of the Constitution, it must also include other courts of appeal?

MR. MONTGOMERY: Yes, sir, and not only that,

JUDGE RIVES: But here is the point I would like to get you to answer some time in your argument: In an insanity case -- I think Bobby Jack Howard -- we refused to follow the District of Columbia insanity rule in its very famous case -- I have forgotten the name of it -- the Darrah case (?) -- because we said that we were bound by the decisions of the Supreme Court of the United States

where the Court of Appeals of the District of Columbia stood in a somewhat different position from other courts of appeal, because it had more autonomy, it was like a state court, it was more autonomous and could govern itself, and it was not upon the same basis at all as the other courts of appeal.

MR. MONTGOMERY: Yes.

1

10

11

12

13

14

15

16 17

18

19

20

21

22

23

24

25

JUDGE RIVES: We took that position. I wrote the opinion, I remember, in an en banc case, and it seems to me that same distinction might apply here.

MR. MONTGOMERY: Well, now, the underlying principle, to my way of thinking, which would control is whether or not a citizen residing in the District of Columbia, say prior to the time when the District of Columbia was given the franchise, the people were given the franchise --

CHIEF JUDGE TUTTLE: They don't have it now.

JUDGE RIVEG: I thought that -- the other day they had it up and I was under the impression that it had passed. I haun't followed that.

CHIEF JUDGE TUTTLE: They elect some one person for something; they don't elect their mayor or --

MR. MONTGOMERY: Yes, sir. Well, anyway, prior to that they had a right to elect anyone. There was in the District of Columbia residents of different states from whence they came. Now, then, if one of those persons, say

a citizen of the State of Nebraska, was charged with criminal contempt in that Court of Appeals, then, of course, it would naturally follow that if that same citizen were down in Mississippi in the Fifth Circuit that he would have the same right that he would have before the Court of Appeals up there. Now it just naturally follows from the standpoint of fair play. The people of the United States have been very careful to conserve the liberties and the freedoms of their people, they have been very attentive to the admeasurement of the rights of the citizens to give no right to one citizen that would not be an equal right to another citizen and also to take no regard as to the various geographical divisions in the United States, and whatever is the law in one area is also the law in another area, so just by the measure of fair play, it would certainly -- it could certainly not be construed as giving to one citizen charged with contempt under the laws of the United States, with contempt of court, a right to a trial by Jury and not give it to another citizen of the United States in a different geographical location, not giving him also the right of a trial by jury.

10

11

12

14

15

16

17

18

19

20

21

22

23

24

25

JUDGE BROWN: Maybe they didn't give it though to persons in the District of Columbia. How are you going to get the jury?

MR. MONTGOMERY: I am getting to that, if Your

Honor please.

M.

JUDGE BROWN: That is just it. We get to all these things later on. Isn't one of the most likely things in the congressional mind that caused them to distinguish between a district court and a court of appeals that the district court does have a system set up under the statute to get a jury and that is entirely absent as to a court of appeals?

MR. MONTGOMERY: If Your Honor though will give me the opportunity to present this —

JUDGE BROWN: All right.

MR. MONTGOMERY: -- in the orderly, logical manner that I have chosen, I assure you I will cover that very point before I sit down.

Now here we have, of course, a citizen, two citizens of Mississippi, who are charged with contempt of court in the Fifth Circuit. If this were the Court of Appeals in Washington or the District of Columbia, they would be entitled to a trial by jury, and we contend that the same law that would give a right to a trial by jury there must of necessity give a right to a trial by jury here.

Now, then, of course, Your Honor has raised the question about the jury and how are you going to get the jury and where are you going to get the jury. Now there are

no rules that I can find anywhere that authorize this Court to draw a jury. There is no --

JUDGE CAMERON: What about the provision in 3691 which says "... shall be entitled to trial by jury, which shall conform as nearly as may be to the practice in other criminal cases..."?

MR. MONTGOMERY: Yes, sir, that is right. Now, then, I don't use that by way of saying that there is no contempt of court because you can't get a jury, but I say that a person is entitled to a jury and for that reason this Court does not have the power of the original jurisdiction to try that matter in this court.

JUDGE BROWN: I want to be clear on that, on what you are saying there. Do you mean to say now, because there is no facility for a jury, there really can be no contempt of a court of appeals?

MR. MONTGOMERY: No, sir, no, sir, no, sir. I am not saying that. I am saying that the Court of Appeals does not have a jury commissioner, has no jury, has no means of employing a jury to get any issue in the Court of Appeals.

JUDGE BROWN: No, sir. We agree with you, and if you say we are going to try Mr. Barnett with a jury, how are we going to go about getting it?

MR. MONTGOMERY: I am going to tell you that. I have a case on that very proposition. Now, then, we say that

since the Court has not any power, but, of course, that the contempt, if any, is against this Court, that then the contempt, being an original action, must be tried in a court of original jurisdiction. Now the underlying background of that is discussed by Judge Black in his dissenting opinion in the Green case as this: that originally in these cases the judge whose order had been disobeyed would then go ahead and would issue the order to show cause charging the contempt, and then he would prefer the nature of that charge, and then when it came to the question of trial, why, he would hear the case, and then when it came to the question of the punishment, he would inflict the punishment. So you had a combination there of prosecutor, judge, and jury all intertwined so as to give the judge the opportunity to impose such penalties as he might see fit and prefer such charges as he might see fit, and that in absolute disregard of whether he had any personal feeling in the matter, and that is the thing that brought the provision into the statute that the judge who in cases of contempt in the presence of the court prefers the charge cannot sit as the judge in the trial of the case. So they then in trying to work out a condition with reference to that, why, then the law, while not too broadly developed, has been developed in the Texas courts, and there Judge Atwell, the District Judge of the Southern District of

7

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

DIETRICH & WITT . Stenotypiets . Harl Bank of Commerce Bidg. . New Orleans

Texas --

5

13

14

15

16

17

18

19

20

21

22

23

24

25

JUDGE RIVES: You have cited that in your brief, haven't you?

MR. MONTGOMERY: This is the case of <u>Houston and</u>
North Texas Motor Freight Lines vs. Local No. 745, International Brotherhood of Teamsters Union, 27 Fed. Supp. 154.

Now, then, in that case the Teamsters Brotherhood had been on a strike and they were using violence against the employees of the Houston and North Texas Motor Freight Lines, and they had been destroying property that belonged to the company, and so there was a motion for a temporary restraining order to restrain the Brotherhood and the employees of the Brotherhood from using violence in the enforcement of the strike. So there was a hearing that was held before Judge Atwell pursuant to notice, and there was testimony taken and witnesses appeared on the witness stand. The temporary restraining order was issued, and one of the witnesses who had testified in that hearing then went to his home in the Northern District of Texas, instead of the Southern District where this matter had been -- the writ had been issued. When he got home, some hoodlums and thugs from the Teamsters Brotherhood showed up and beat him rather severely. He came back to the Court in the Southern District and reported what had happened. They then cited these particular members for contempt of court

in violating the order to refrain from violence. So that, of course, the temporary restraining order was issued under Title 29, "Employer and Employee," but there was a statute there which said, Section 111 of Title 29, U.S.C.A., that said:

"In all cases arising under this chapter in which a person shall be charged with contempt in a court of the United States, the accused shall enjoy the right to a speedy and public trial by an impartial jury of the state and district wherein the contempt shall have been committed, provided that this right shall not apply to contempts committed in the presence of the court or so near thereto as to interfere

orders or processes of the court."

So there the Judge of the Southern District was confronted with that statute, which said that the contempt should be charged -- should be tried in the district in which it was committed. The Judge of the Southern District had no right

directly with the administration of

justice or to apply to the misbehavior,

conduct, or disobedience of any officer

of the court in respect to the writs or

to try the case in the Northern District, except, of course,
by interchange, and then the matter would have to be
certified to the other court for trial. So the Judge there
then certified all of the pleadings and everything in that
contempt to that court which had jurisdiction to try it,
certified it to the District of the Northern Division to be
tried as an original action in the court of the Northern
District.

JUDGE BELL: Did they get a grand jury presentment?

MR. MONTGOMERY: The case doesn't so state.

JUDGE BELL: All right.

MR. MONTGOMERY: The Congress was rather explicit there. Talking about the statute, "We don't have any trials in America other than public trials, nor any juries except impartial juries." He was assuming something there, and yet the statute provides for public trial. I telleve it was Shakespeare who said, "Doubt not that amongst the twelve there is a thief or two more guilty than he whom they try."

But anyhow the Court here assumes that we don't have anything but fair and impartial juries. The Constitution provides for -- and this Court has no other authority -- speedy, public and impartial trial, and I think the proviso clearly demonstrates that if and when an alleged contempt is committed outside of the district and away from the

Court, so as not to interfere with the orderly administration of the Court, that then and in that event the person so charged should be tried where he is alleged to have committed the violation.

"I think the motion should be granted," that is, the motion to transfer, "and the Clerk will certify to the United States Court for the Southern District at Houston, Texas, the original bill, the answer to that bill, the order made on the bill by this Court, and the motion for contempt which has been filed here for trial there."

(Reporter's Note: The documents from which Mr. Montgomery quoted during

his argument were not handed to the

reporter and the quotations are transcribed from the notes. As to the passage above, beginning at p. 135, line 12, through line 11 above, the reporter is unable to identify with certainty the quoted passages.)

MR. MONTGOMERY: Now, then, here we --

JUDGE RIVES: Of course, that statute was much more definite that it was to be by a jury in the place where the contempt was committed.

MR. MONTGOMERY: Yes, but I am now going to develop that to show you that it is not any more explicit than what

we have right here.

Now Section 3691 says that he shall be entitled to a jury which -- to trial by jury which shall conform as near as may be to the practice in other criminal cases.

Now, then, here you have a statute, Section 402, which says that the man shall be charged, shall be tried under Section 3691, and we have Section 3691 which says that if the acts committed also constitute a violation of a criminal statute of the United States, he shall have the right of a trial by jury if demanded, and also that he is entitled to a trial which shall conform as near as may be to the practice in other criminal cases.

Now what is the practice in other criminal cases, which is required by Section 3691?

We go then to section -- to Rule 18 of the Rules of Criminal Procedure, which specifically provides that in all criminal cases that the venue is fixed in the district in which the crime itself was committed. So now, then, if Section 3691 is to be given effect, that the criminal contempt shall be tried according to, as near as may be, the practice in criminal cases, then you follow that criminal practice and you will find that there it requires that it be tried where the conduct was committed, which, of course, is either, in the one instance, in Oxford, or, in the other instance, in Jackson, Mississippi, and, of course, it would

be tried before a court of original jurisdiction having jurisdiction of the subject matter, and it would be tried upon a certificate by this Court to that Court to try it as an ordinary matter.

Now, then, when you do that, you escape all of the criticisms that have been heaped by some of the text writers upon some of the courts who have on occasions exercised something more than just a slight desire to enforce a criminal contempt to preserve the integrity of the court's orders, and you remove from the Court its being placed in the position of being the triers of something that they themselves to a certain extent do have an interest in. So the statute that we have here, Section 3691, is just as commanding in its effect that the jury trial be had according to the criminal law and at the place where the offense was committed as is Section 111 of Title 29 that was involved in the Texas case.

Now, then, there is only one other thing to clarify, that is, to clarify and make sure that 42-b and Section 402 do apply in this case.

Now, then, in the latter part of Section 402 it does say this -- makes an exception and says:

This section shall not be construed to relate to contempts committed in the presence of the Court or so near thereto as to obstruct the administration of justice, --

Now, then, of course, that is out.

" -- nor to contempts committed in disobedience of any lawful writ, process,
order, rule, decree or command entered
in any suit or action brought or prosecuted in the name of or on behalf of the
United States."

Now, then, that brings up the question that if the writ in this case -- now let's pay particular attention to the wording of that statute wherein it says "to obstruct the administration of justice nor to contempts committed in disobedience of any lawful writ entered in any suit or action brought or prosecuted in the name of or on lehalf of the United States. So that is referring to a writ that is charged with being violated and which writ was entered in a suit that was originally brought or prosecuted by the United States.

Now, then, let's look to the writ here and see if the writ that is charged to have been disobeyed does in any manner reflect that it was entered in an action or suit that was brought by or prosecuted by the United States, in the name of the United States, or on behalf of the United States.

Now, then, when we go to the actual facts in the record, we find that this suit was filed originally as a suit of Meredith against the Trustees of the University of Mississippi and that when the temporary restraining order -- before the temporary restraining order was issued that it was provided by this Court in its order that the United States is appointed as Amicus Curiae in this cause, that is: that they were empowered -- let me get that writ, that order, and read it to Your Honors so that there won't be any mistake about it.

JUDGE BROWN: That is the order of September 18th?

MR. MONTGOMERY: No, sir, this is the order to
show cause.

JUDGE BROWN: Well, the order you are talking about now is the order appointing the United States as

Amicus Curiae, isn't it?

MR. MONTGOMERY: Yes, sir, but I am showing now the context and the construction that has been placed upon that order by this Court and what this Court has determined that order to mean.

Now, then, when the Court went to issue -JUDGE BROWN: Isn't that order itself -- doesn't
it specifically provide that the United States should have
the right to file pleadings, take evidence, make motions
for contempt?

1

:

3

4

6

7

•

10

11

12

14

15

16

17

į3

17

20 21

22

23

24

25

MR. MONTGOMERY: Yes, sir, but now -
JUDGE BROWN: -- take any other action that was
necessary to preserve the integrity of the Court?

MR. MONTGOMERY: Yes, sir, but now let's see now what the Court here says the meaning of that order is, you all's interpretation and your judicial construction of that order. You say:

"This Court having entered an order on September 18, 1362, in the case of James H. Meredith, et al. vs. Charles Dickson Fair, et al., No. 19,475, designating and authorizing the United States to appear and participate in that case, that is, in Merelith against Fair, as Amicus Curiae, with the right to submit pleadings, evidence, arguments and briefs, and to initiate such further proceedings, including proceedings for injunctive releif, as might be appropriate in order to maintain and preserve the due administration of justice and the integrity of the judicial processes of the United States."

Now, then, that order there says two things: It

says first that they were appointed as the Amicus in the Meredith Case, and it says also that they are to have the right as Amicus to present pleadings and evidence and arguments and briefs and to initiate such further proceedings, including proceedings for injunctive relief, as might be appropriate in order to maintain and preserve the due administration of justice.

JUDGE WISDOM: Does it also say "including proceedings for contempt of court"?

JUDGE RIVE: Yes.

MR. MONTGOMERY: Not in the original order.

JUDGE WIJDOM: Well, in the order of September
18th?

MR. MONTGOMERY: I am reading, Your Honor, from the order of January 4, 1963, the order to show cause and the one that the Governor and the Lieutenant Governor are now before the Court in response to.

JUDGE WISDOM: But the order that was filed on September 18th designating the United States did authorize it to file proceedings for contempt of Court.

MR. MONTGOMERY: But as I understand it, Your Honor, we have here the order that is giving notice of the charges to the Governor and to the Lieutenant Governor.

JUDGE BROWN: But your argument at this point, as I understand it, is that this is not a suit brought by or

. 13

prosecuted by or on behalf of the United States?

MR. MONTGOMERY: Yes, sir.

JUDGE BROWN: Now that goes back to, first, how they got into the case and then what they called themselves when they got the restraining order on September 25th, and that doesn't have anything to do with what we said in the order of January 4th, does it?

MR. MONTGOMERY: Well, now, as I understand it, this is a proceeding for a criminal contempt. This order to show cause -- its purpose is to inform the Governor and the Lieutenant Governor of the nature of the charges against them, as I understand it.

recite the authority by which we do these things.

MR. MONTGOMERY: That is right, that is right.

CHIEF JUDGE TUTTLE: Excuse me. Do you mean to say that in order to give valid notice of the charges we have to go back and recite accurately the basis on which we are bringing the complaint?

MR. MONTGOMERY: I think that you have to give him notice of the charges that he is confronted with and the --

JUDGE BROWN: In the charge, the violation of the restraining order of September 25th?

MR. MONTGOMERY: Yes, sir.

JUDGE BROWN: And the argument you are now making

is it wasn't a United States Government order?

MR. MONTGOMERY: That is right.

JUDGE BELL: Just a minute. What is this directed to? You are about to throw me off the track here. You are arguing that Respondents are entitled to a Jury trial?

MR. MONTGOMERY: Yes, sir.

JUDGE BELL: Now are you directing this to the point that this is not the Government, and, therefore, if they were in the District Court they would have the right to jury trial?

MR. MONTGOMERY: I am directing you to the last part of Section +02, which says:

"This section shall not be construed to relate to contempts committed in the presence of the Court or so near thereto as to obstruct the administration of justice, nor to contempts committed in disobedience of any lawful writ, process, order, rule, decree, or command entered in any suit or action brought or prosecuted in the name of or on behalf of the United States."

JUDGE BELL: Well, the Government is not taking the position that your clients are not entitled to jury

trial because the United States was a party in this case. They haven't take that position, have they?

MR. MONTGOMERY: I am trying to demonstrate -CHIEF JUDGE TUTTLE: Excuse me. I think the
Government loes take that position as one of the answers to
your contention. I understand the Government does say that
they fall within the exception of the last sentence of 402.
Is that correct?

MR. JAWORJKI: That is correct, Your Honor.

JUDGE BELL: That you are a party, not an amicus?

MR. MONTGOMERY: I thought you said our contention.

You meant the Government's contention?

Since you are interrupted on it -- my recollection is that the language of our order directing the Government to appear as Amicus Curiae is practically the same as we used in Bush against Orleans, and I had sat as a member of the three-judge court in that, and which we in turn had borrowed from the Faubus Case, in which the Jupreme Court had said that the Government was something more than an amicus curiae, that it had a right to appear almost as a party in the proceeding under that kind of order.

MR. MONTGOMERY: I think it refers back to another case, if I recall correctly, which said that, of course, the Court at all times is at liberty to call on the Attorney

General as amicus curiae.

JUDGE RIVES: That is right, but said he was something more than an amicus, the Supreme Court said, didn't it?

MR. MONTGOMERY: I don't recall that provision, any such provision in the opinion. Now---

JUDGE RIVES: I believe you are wrong.

MR. MONTGOMERY: -- what we are undertaking to drive home here, if we may, is that that exception does not relieve Section 402 from applying to this case, because here this order was entered tack in the Meredith Case and was entered at the time there and in a manner --

JUDGE RIVES: So that you may answer it, it is in the Government's trief in Fautus against U.S., 354 U.S.

797.

Sec 5

11

13

14

15

16 17

18

19

20

21

22

23

24

25

MR. MONTGOMERY: That is true.

JUDGE RIVES: It says:

"In our opinion, the status of the Attorney General and United States Attorney was something more than that of mere amicus curiae in private litigation. They were acting under the authority and direction of the Court to take such action as was necessary to prevent its orders and judgment from being frustrated and to represent

DIETRICH & WITT . Stenotypists . Nex'l Bank of Commerce Bidg. . New Orleans

"the public interest in the administration of justice."

MR. MONTGOMERY: Yes, sir. In that particular case the order did -- that you all followed here -- did say in substance what you said in this order.

JUDGE RIVES: Yes, sir.

10

11

12

13

14

15

16

17

18

19

22

23

24

25

MR. MONTGOMERY: But the point that I am making is that that order was not entered in a case that was brought by or prosecuted by or in the name of the United States.

CHIEF JUDGE TUTTLE: And you are saying that the initiation by the Government of the restraining order --

MR. MONTGOMERY: Yes, Sir.

CHIEF JUDGE TUTTLE: -- did not make it one of the exceptional cases mentioned in 402? That is your statement?

MR. MONTGOMERY: Yes. Now in my humble judgment, Your Honor, I say that for two reasons. One reason is that it is fundamental, as I have always considered, that a court, every court, has two types of jurisdictions. One of its jurisdictions is that potential jurisdiction which is conferred upon it by statute, but which, of course, it has no power to exercise and it lies dormant until such time as a person whose rights have been invaded invokes the jurisdiction with reference to that particular potential jurisdiction and converts it into the actual jurisdiction of the court.