

# Guidance Concerning Federal Statutes Affecting Methods of Voting

Published July 28, 2021



The U.S. Department of Justice is committed to ensuring full compliance with all federal laws regarding elections. This includes provisions of federal law that apply to methods of casting a ballot.

Due to the COVID-19 pandemic, many voters were concerned about voting at a polling place on Election Day in 2020. Large numbers of those voters took advantage of opportunities to vote by mail, or to cast an early in-person ballot, and many jurisdictions around the country modified their prior procedures to promote both the safety of their citizens and robust democratic participation. The 2020 election produced record turnout, with over 160 million citizens casting ballots, a result that was all the more impressive given the difficulties the pandemic posed to both voters and election administrators. Since the 2020 election, some States have responded by permanently adopting their COVID-19 modifications; by contrast, other States have barred continued use of those practices or have imposed additional restrictions on voting by mail or early voting. In view of these developments, guidance concerning federal statutes affecting methods of voting is appropriate.

The Department's enforcement policy does not consider a jurisdiction's re-adoption of prior voting laws or procedures to be presumptively lawful; instead, the Department will review a jurisdiction's changes in voting laws or procedures for compliance with all federal laws regarding elections, as the facts and circumstances warrant. See <u>Memorandum from the Acting Attorney General</u> (Feb. 3, 2021).

This document is meant to provide guidance regarding certain federal voting rights laws enforced by the Department of Justice. Citations are provided to assist the reader but are not intended to be comprehensive. The discussion provides guidance concerning the following topics:

•	Federal Voting Rights Laws That Bear On How Citizens Cast Their Ballots	2
•	Federal Laws Governing Voting By Mail and Absentee Ballots	<u>5</u>
•	Federal Laws Governing Voting In Person (Including Early Voting)	9



## Federal Voting Rights Laws That Bear On How Citizens Cast Their Ballots

Several federal statutes protect the rights of citizens throughout the voting process.

## The Voting Rights Act (VRA), 52 U.S.C. §§ 10301-10702

The VRA protects the democratic process from racial discrimination and other inequalities.

**Section 2 of the VRA**, 52 U.S.C. § 10301, bars discrimination in voting on the basis of race, color, or membership in a language minority group. This permanent, nationwide prohibition applies to any voting qualification or prerequisite to voting or standard, practice, or procedure. This includes rules specifying the time, place, or manner of voting. Section 2 forbids both practices that result in voters being denied equal access to the political process on account of race and those adopted or maintained because of adverse effects on voters on account of race.

**Section 202 of the VRA**, 52 U.S.C. § 10502, protects the rights of citizens who have recently moved or who are absent from the State on Election Day to participate in presidential elections.

**Section 203 of the VRA**, 52 U.S.C. § 10503, protects voters with limited English proficiency. It establishes bilingual election requirements in jurisdictions identified by the U.S. Census Bureau as having either a specified percentage or a specified number of voting-age citizens who have limited English proficiency. Under Section 203, all information that is provided in English about the electoral process must be provided in the language of members of the covered language group to the extent needed to allow them to participate effectively in the electoral process and all voting-connected activities.

**Section 208 of the VRA**, 52 U.S.C. § 10508, protects voters with disabilities and voters with literacy or language limitations, including with difficulty reading or writing in English. It allows these voters to have assistance in casting their ballots from a person of the voter's choice, other than an employer or union official.



## Laws That Protect Voters With Disabilities

Three federal statutes protect the rights of voters with disabilities.

**Title II of the Americans with Disabilities Act ("ADA")**, 42 U.S.C. §§ 12131-34, protects voters with disabilities. It requires State and local governments to ensure that qualified individuals with disabilities have a full and equal opportunity to vote.

**The Voting Accessibility for the Elderly and Handicapped Act ("VAEHA")**, 52 U.S.C. §§ 20101-07, protects both elderly voters and voters with disabilities. It establishes additional requirements to improve polling place access for elderly individuals and people with disabilities during federal elections.

**Title III of the Help America Vote Act ("HAVA")**, 52 U.S.C. §§ 21081-21102, sets certain requirements for federal elections. These include requirements for voting machine accessibility.

The Department has published guidance on these federal laws and the protections they provide to voters with disabilities. *See* <u>The Americans with Disabilities Act and Other Federal Laws Protecting the Rights</u> <u>of Voters with Disabilities (ada.gov)</u>

## Law That Protects Service Members and Citizens Abroad

The Uniformed and Overseas Citizens Absentee Voting Act ("UOCAVA"), 52 U.S.C. §§ 20301-11, protects the voting rights of certain active-duty members of the uniformed services, their eligible family members, and United States citizens residing overseas. It guarantees them the right to vote by absentee ballot in elections for federal office, and includes specific requirements designed to ensure that ballots are sent to them in time to be completed, returned, and counted.



## Laws That Protect All Voters Against Intimidation In The Voting Process.

Federal law prohibits intimidating people from voting or attempting to vote.

**Section 11(b) of the VRA**, 52 U.S.C. § 10307(b), provides that "No person, whether acting under color of law or otherwise, shall intimidate, threaten, or coerce, or attempt to intimidate, threaten, or coerce any person for voting or attempting to vote, or intimidate, threaten, or coerce, or attempt to intimidate, threaten, or coerce any person for urging or aiding any person to vote or attempt to vote."

**Section 12 of the National Voter Registration Act of 1993 ("NVRA")**, 52 U.S.C. § § 20511(1)(A), makes it illegal for any person, "including an election official," to "knowingly and willfully intimidate[], threaten[], or coerce[], or attempt[] to intimidate, threaten, or coerce, any person for . . . registering to vote, or voting, or attempting to register or vote" in any election for federal office.

**Section 131 of the Civil Rights Act of 1957**, 52 U.S.C. § 10101(b), provides that "[n]o person, whether acting under color of law or otherwise, shall intimidate, threaten, coerce, or attempt to intimidate, threaten, or coerce any other person for the purpose of interfering with the right of such other person to vote or to vote as he may choose, or of causing such other person to vote for, or not to vote for, any candidate" for federal office.



**U.S. Department of Justice** 

## Federal Laws Governing Voting By Mail And Absentee Ballots

Voting by mail was the most common means by which Americans cast their votes in 2020. Voting by mail can be an effective way of ensuring that many citizens have a practical ability to participate in the election process, given the various logistical difficulties that may preclude individuals from appearing at a polling place on Election Day.

Currently, all States provide at least some voters with opportunities to vote by mail. And voting by mail has grown steadily over time. States' practices fall into four categories:

- 1. Some jurisdictions mail ballots to all active registered voters.
- Some jurisdictions automatically mail such ballots to all voters who have joined permanent vote-by-mail lists (sometimes called "absentee" voting lists, although voters need no longer be absent from the jurisdiction to join them).
- 3. Some jurisdictions provide vote-by-mail ballots on an election-by-election basis to any voter who requests one for that election.
- 4. A final group of jurisdictions provide vote-by-mail ballots upon application only to voters who meet a set of special eligibility requirements (e.g., age, absence from the jurisdiction, or some other criterion). For example, under State law, in many jurisdictions citizens who are absent while attending educational institutions away from their voting address or who are detained in correctional facilities pending trial are entitled to cast their votes by mail. (In those States where individuals who are detained pursuant to a criminal conviction are entitled to vote, they too use vote by mail.)

Most jurisdictions allow voters who have received their ballots by mail (or who picked up absentee ballots in person) to avoid the uncertainty of having to return their ballots by mail. They allow voters to return completed ballots to an election office, an early in-person voting location, a secure ballot drop box, or a polling place on Election Day (where the vote-by-mail voter is not required to stand in line to receive a ballot). These alternatives are particularly critical for voters who receive ballots late, have limited time to bring a ballot to a postal facility, or lack access to regular mail.



## Federal Law Mandates Certain Forms Of Voting By Mail.

**Section 202 of the VRA** requires all States to allow qualified residents who are absent from their election district on Election Day to cast an absentee ballot for President and Vice President. 52 U.S.C. § 10502(d). Section 202 also gives voters who will be absent on Election Day until seven days beforehand to request a mail ballot for President and Vice President and until the close of the polls on Election Day to return it. Id. Finally, Section 202 gives voters who move less than thirty days before an election for President or Vice President a right to vote absentee in the jurisdiction from which the voter has moved. 52 U.S.C. § 10502(e).

**UOCAVA** provides specific mail ballot protections for certain members of the uniformed services absent from home due to active-duty service, their eligible family members, and United States citizens residing overseas. See 52 U.S.C. § 20310(1), (5). Among other requirements, States must:

- Accept UOCAVA ballot requests received at least 30 days before a federal election, by mail or electronically, including on a prescribed federal postcard application.
- Send absentee ballots to UOCAVA voters by mail or electronically, according to the preference of the voter, at least 45 days before the election (as long as the voter requested the ballot at least 45 days before Election Day).
- Permit UOCAVA voters to cast absentee ballots in all federal elections.
- Accept a prescribed federal write-in absentee ballot from UOCAVA voters.

#### 52 U.S.C. § 20302(a).

Moreover, for any election for Federal office, States must provide ballots to UOCAVA voters who request a ballot any time during the year when an election is occurring. They must do this without regard to whether the request came before the first date on which the State would accept or process ordinary applications for mail ballots during that year. 52 U.S.C. § 20306.



#### Federal Law Protects Voters During States' Vote By Mail Processes.

Beyond affirmative requirements to States to facilitate some citizens' ability to vote by mail, other federal laws also govern how States conduct vote by mail.

**Section 2 of the VRA** forbids jurisdictions from establishing rules or regulations concerning voting by mail that are intended to deny or abridge citizens' right to vote on account of race or that result in an election system's not being equally open to voters of all races. This prohibition reaches rules involving the availability of vote by mail, deadlines, application and ballot formalities, or drop boxes for returning ballots.

**Section 203 of the VRA** imposes requirements on covered jurisdictions to conduct a bilingual vote by mail program. This includes translation of all written materials and provision of oral bilingual assistance regarding ballot applications and ballot return. 28 C.F.R. 55.15. Covered jurisdictions that provide all active voters with a mail ballot may provide all materials in applicable minority languages. Otherwise, they must develop an effective targeting system for minority language materials. 28 C.F.R. 55.17, 55.18(a).

**Section 208 of the VRA** allows voters with disabilities and voters with literacy or language limitations, including with difficulty reading or writing in English, to receive assistance in casting an absentee ballot from a person of the voter's choice, excluding employers and union officials.

**The Help America Vote Act ("HAVA")**, 52 U.S.C. § 21081(a)(1)(B), requires that mail-in ballots for federal elections notify the voter of the effect of casting multiple votes for an office and contain instructions on how to correct a ballot before it is cast and counted. This includes telling voters how to get a replacement ballot if necessary.



**The ADA**. For many voters with disabilities, voting by mail on a paper ballot does not provide an opportunity to vote privately and independently, as required by Title II of the ADA. 42 U.S.C. §§ 12131-34. The ADA requires States to provide auxiliary aids and services to voters with disabilities so that they may have an equal opportunity to vote. 28 C.F.R. § 35.160.

**The anti-intimidation and anti-interference statutes described above** — Section 11(b) of the VRA, Section 12 of the NVRA, and Section 131 of the Civil Rights Act of 1957 — all apply in full force to citizens seeking to vote by mail in covered elections. They each prohibit intimidation of, and interference with, voters seeking to vote or returning ballots and those permitted by law to assist them.



## Federal Laws Governing In-Person Voting (Both Early and Election Day)

States — including those that provide mail ballots to all active voters — generally conduct some form of in-person voting on Election Day in federal elections. However, many States now allow Election Day voting at vote centers, where any voter in a jurisdiction may receive and cast a regular ballot, rather than limiting a voter to an assigned polling location. This both improves voter convenience and reduces the number of provisional ballots that are not counted because they were cast in the wrong precinct.

Early voting has formed an increasingly large percentage of the total votes cast in federal elections. Early in-person voting comes in two forms: early voting and in-person absentee. Early voting is typically available to all eligible voters, whereas in-person absentee voting is typically limited to voters qualified to cast a mail ballot.

Federal law specifically prescribes the date for the federal general election. 2 U.S.C. §§ 1, 7; 3 U.S.C. § 1. Federal law does not specifically set forth uniform procedures for locations and hours of voting on Election Day. Federal law also does not specifically prescribe uniform procedures for dates, hours and locations for primary elections. Nor does federal law specifically prescribe uniform procedures for early voting for federal elections.

However, the provisions of the VRA discussed above do govern States' decisions about both early voting and in-person voting on Election Day.

**Section 2 of the VRA** prohibits jurisdictions from eliminating or declining to establish in-person voting or limiting hours and locations of in-person voting if such decisions are intended to impede voters on account of race from casting a ballot or will result in an election system that is not equally open to voters on account of race.



**Section 203 of the VRA** requires covered jurisdictions to translate relevant written materials used at early voting sites and to ensure that oral bilingual assistance is available for early in-person voting to exactly the same degree that such materials and assistance are required on Election Day. 28 C.F.R. 55.15, 55.18(d)-(e).

**Section 208 of the VRA** also allows voters with disabilities and voters with limited-English proficiency to receive assistance from a person of the voter's choice (other than an employer or union official) when voting in person, whether during an early voting period or on Election Day.

**The ADA** contains a number of protections for voters casting their ballots in person, whether during early voting or on Election Day.

The ADA requires that States and local governments provide voters with disabilities a full and equal opportunity to vote in person. 42 U.S.C. § 12132; 28 C.F.R. § 35.130. This generally requires that jurisdictions:

- Allow voters with disabilities to vote independently and privately in a manner that is equal to that afforded to others, 42 U.S.C. §§ 12132; 28 C.F.R. § 35.130(a).
- Ensure that voting locations are physically accessible and free of barriers, 28 C.F.R. §§ 35.130(b)(4), 35.149-150.
- Provide accessible voting booths to voters with disabilities, 28 C.F.R. § 35.130(b)(7).
- Permit voters to be accompanied by a service animal, 28 C.F.R. § 35.136.
- Provide auxiliary aids or services necessary to ensure effective communication, including providing an accessible ballot, 28 C.F.R. § 35.160.
- Receive assistance/support from a person of their choice or a poll worker, 28 C.F.R. § 35.130(b)(7).
- Provide reasonable modifications to policies, practices, or procedures when necessary, 28
  C.F.R. § 35.130(b)(7).



The Department has also published general guidance regarding ADA compliance at polling places. U.S. Dep't of Justice, ADA Checklist for Polling Places (2016), available at <u>www.ada.gov/votingchecklist.pdf</u>; U.S. Dep't of Justice, Solutions for Five Common ADA Access Problems at Polling Places (2014), available at <u>https://www.ada.gov/ada\_voting/voting\_solutions\_ta/polling\_place\_solutions.pdf</u>.

**HAVA** also establishes a number of requirements for election administration at in-person voting locations during federal elections.

Each in-person polling place must be equipped with at least one direct recording electronic voting system or other voting system equipped for individuals with disabilities. 52 U.S.C. § 21081(a)(3)(B). HAVA requires voting systems to provide alternative language accessibility in jurisdictions covered by Section 203 of the VRA. 52 U.S.C. § 21081(a)(4).

Voting systems used for in-person voting where ballots are processed immediately should allow voters to verify their vote before the ballot is cast and counted, notify the voter of overvotes, and provide voters with an opportunity to change the ballot before it is cast and counted. 52 U.S.C. § 21081(a)(1)(A).

On the other hand, in-person absentee voting locations that merely collect completed and sealed absentee ballot envelopes that are opened and processed at a central count location should notify voters of the effect of casting multiple votes for an office and provide instructions on how to correct a ballot before it is cast and counted (including issuance of a replacement ballot if necessary). 52 U.S.C. § 21081(a)(1)(B).

Election officials at in-person voting locations must also permit individuals who declare themselves eligible and registered to vote — but who do not appear in the poll book or who election officials assert not to be eligible voters — to cast a provisional ballot according to the detailed procedures in Section 302 of HAVA. 52 U.S.C. § 21082.



HAVA also requires the posting of additional information at each polling place on the day of each election for federal office. 52 U.S.C. § 21082(b).

**The anti-intimidation and anti-interference statutes described above** — Section 11(b) of the VRA, Section 12 of the NVRA, and Section 131 of the Civil Rights Act of 1957 — prohibit intimidation, threats, and interference related to casting a vote in person (whether early or on Election Day).

Thus, while much of the law governing how eligible citizens cast their ballots is governed by the law of the State in which they live, federal law provides critical protections to all voters. Because voting has long been recognized as "preservative of all rights," Yick Wo v. Hopkins, 118 U.S. 356, 370 (1886), it is essential that all eligible citizens' right to cast a ballot, and have that ballot counted, be protected.

If jurisdictions have questions about the constraints federal law places on the methods of voting they are using or propose to use, they should contact the Voting Section of the Civil Rights Division.

If you would like to report a potential voting rights violation, you can use the <u>Civil Rights Division's online</u> <u>complaint form</u> to submit a report or call (800) 253-3931.