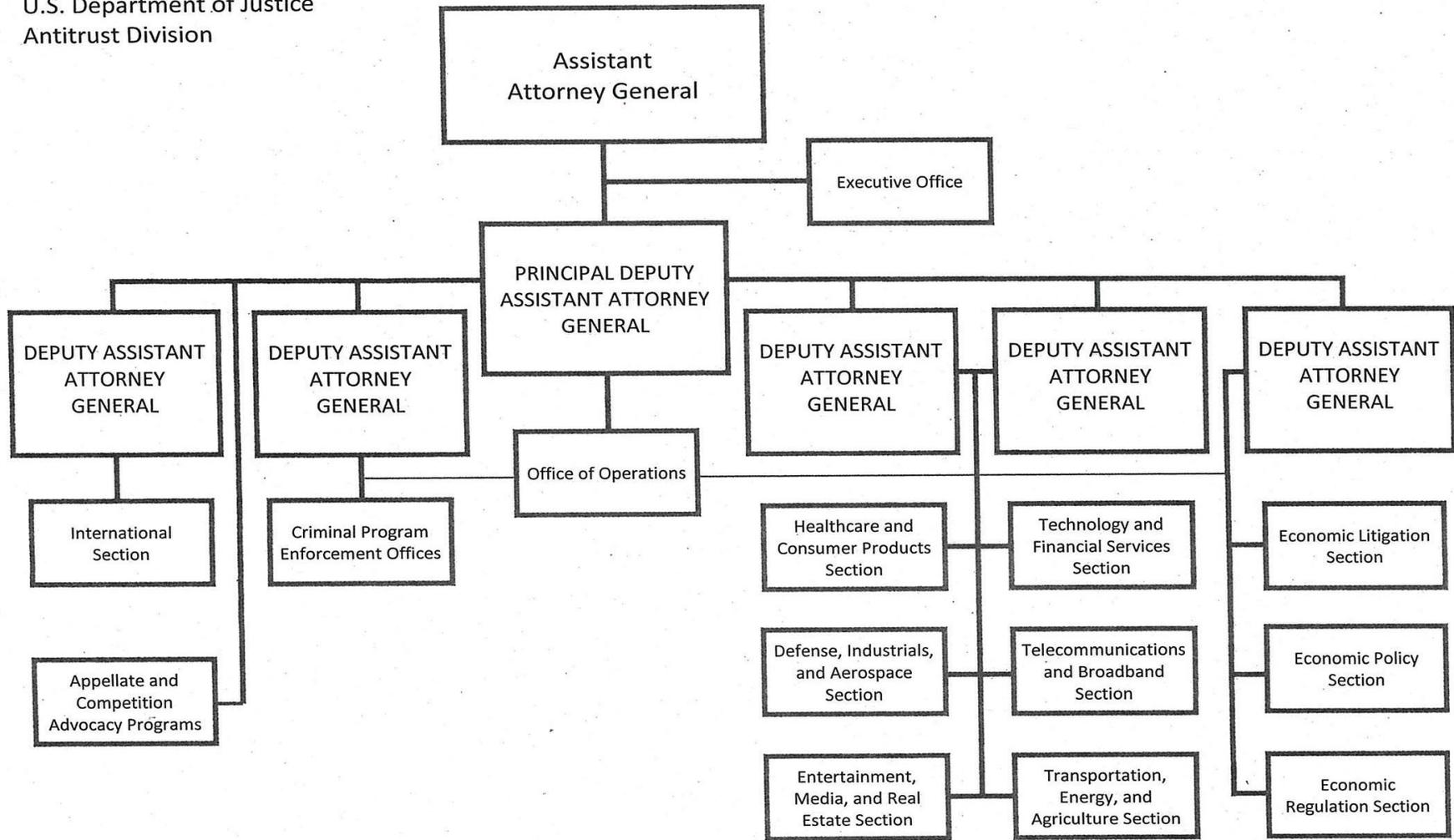
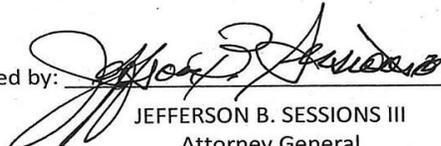


U.S. Department of Justice
Antitrust Division



Approved by:  Date: 9/3/18
JEFFERSON B. SESSIONS III
Attorney General

B. Summary of Requirements

Summary of Requirements

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

	FY 2021 Request		
	Positions	Estimate FTE	Amount
2019 Enacted 1/	[656]	574	164,977
Total 2019 Enacted	[656]	574	164,977
2020 Enacted	[695]	595	166,755
Base Adjustments			
Pay and Benefits	0	0	2,781
Domestic Rent and Facilities	0	0	10,734
Other Adjustments	0	0	4
Total Base Adjustments	0	0	13,519
Total Technical and Base Adjustments	0	0	13,519
2021 Current Services	[695]	595	180,274
Program Changes			
Increases:			
Enhanced Antitrust Enforcement	[87]	44	8,250
Subtotal, Increases	[87]	44	8,250
Total Program Changes	[87]	44	8,250
2021 Total Request	[782]	639	188,524
2020 - 2021 Total Change	[87]	44	21,769

^{1/} FY 2019 FTE is actual

B. Summary of Requirements

Summary of Requirements

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2019 Enacted			FY 2020 Enacted			FY 2021 Technical and Base Adjustments			FY 2021 Current Services		
	Positions	Actual FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Antitrust Division	[656]	574	164,977	[695]	595	166,755	0	0	13,519	[695]	595	180,274
Total Direct	[656]	574	164,977	[695]	595	166,755	0	0	13,519	[695]	595	180,274
Balance Rescission			0			0			0			0
Total Direct with Rescission			164,977			166,755			13,519			180,274
Reimbursable FTE		0			0			0			0	
Total Direct and Reimb. FTE		574			595			0			595	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
Grand Total, FTE		574			595			0			595	
<i>Sub-Allotments and Direct Collections FTE</i>		0			12			6			18	

Program Activity	2021 Increases			2021 Offsets			2021 Request		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Antitrust Division	[87]	44	8,250	0	0	0	[782]	639	188,524
Total Direct	[87]	44	8,250	0	0	0	[782]	639	188,524
Balance Rescission			0			0			0
Total Direct with Rescission			8,250			0			188,524
Reimbursable FTE		0			0			0	
Total Direct and Reimb. FTE		44			0			639	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
Grand Total, FTE		44			0			639	
<i>Sub-Allotments and Direct Collections FTE</i>		0			0			18	

Debt Collection Management - 3% FTE actual for FY 2019 is 1

FY 2021 Program Increases/Offsets by Decision Unit

Antitrust Division
 Salaries and Expenses
 (Dollars in Thousands)

Program Increases	Location of Description by Program Activity	Antitrust Division				Total Increases			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
Enhanced Antitrust Enforcement		[87]	0	44	8,250	[87]	0	44	8,250
Total Program Increases		[87]	0	44	8,250	[87]	0	44	8,250

Program Offsets	Location of Description by Program Activity	Antitrust Division				Total Offsets			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
No Program Offsets									
Total Program Offsets									

Resources by Department of Justice Strategic Goal and Objective

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Strategic Goal and Strategic Objective	FY 2019 Enacted			FY 2020 Enacted			FY 2021 Current Services		FY 2021 Increases		FY 2021 Offsets		FY 2021 Total Request		
	Direct & Reimb FTE	SubAllot /Dir Coll FTE	Direct Amount	Direct & Reimb FTE	SubAllot /Dir Coll FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	SubAllot /Dir Coll FTE	Direct Amount
Goal 4 Promote Rule of Law, Integrity, and Good Government															
4.1 Uphold the rule of law and integrity in the proper administration of justice	574	0	166,755	595	12	166,755	595	180,274	44	8,250	0	0	639	18	188,524
Subtotal, Goal 4	574	0	166,755	595	12	166,755	595	180,274	44	8,250	0	0	639	18	188,524
TOTAL	574	0	166,755	595	12	166,755	595	180,274	44	8,250	0	0	639	18	188,524

Debt Collection Management - 3% FTE actual for FY 2019 is 1

Justifications for Technical and Base Adjustments

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate	Amount
		FTE	
Pay and Benefits			
1 <u>2021 Pay Raise and Awards Increase</u> This request provides for a proposed 1 percent pay raise and awards increase to be effective in January 2021. The amount request, \$1,474, represents the pay amounts for the full year plus benefits (\$1,038 for pay and \$436 for benefits).	0	0	1,474
2 <u>Annualization of 2020 Pay Raise</u> This pay annualization represents first quarter amounts (October through December) of the 2020 pay increase of 3.1%. The amount requested, \$620, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (\$437 for pay and \$183 for benefits).	0	0	620
3 <u>Changes in Compensable Days</u> The cost for one less compensable day in FY 2021 compared to FY 2020 is calculated by dividing the FY 2020 estimated personnel compensation by 262 compensable days.	0	0	-375
4 <u>Employees Compensation Fund</u> The -\$8,000 request reflects anticipated changes in payments to the Department of Labor for injury benefits under the Federal Employee Compensation Act.	0	0	-8
5 <u>FERS Rate Increase</u> Per 2019 OMB Circular A-11 section 32, Personnel Compensation, Benefits, and Related Costs, the agency contribution of regular retirement under FERS will increase from 16% to 17.3%. The FERS contribution for Law Enforcement retirement will increase from 33.4% to 35.8%. The amount requested, \$871,000, represents the funds needed to cover this increase.	0	0	871
6 <u>Health Insurance</u> Effective January 2021, the component's contribution to Federal employees' health insurance increases by 4.3 percent. Applied against the 2020 estimate of \$4,418,000, the additional amount required is \$190,000.	0	0	190
7 <u>Retirement - CSRS to FERS Conversion</u> Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of \$9,000 is necessary to meet our increased retirement obligations as a result of this conversion.	0	0	9
Subtotal, Pay and Benefits	0	0	2,781
Domestic Rent and Facilities			
1 <u>GSA Rent</u> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of \$334,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective FY 2021 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied.	0	0	334
2 <u>Moves</u> GSA requires all agencies to pay relocation costs associated with lease expirations. This request provides for the costs associated with new office relocations caused by the expiration of leases.	0	0	10,400
Subtotal, Domestic Rent and Facilities	0	0	10,734
Other Adjustments			
1 <u>Security Investigations</u> For FY 2021, the request includes an increase for security investigations totalling \$4,000.	0	0	4
Subtotal, Other Adjustments	0	0	4
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	0	0	13,519

Crosswalk of 2019 Availability

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2019 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2019 Availability		
	Positions	Actual FTE	Amount	Positions	Actual FTE	Amount	Amount	Amount	Positions	Actual FTE	Amount
Antitrust Division	[656]	574	164,977	0	0	0	4,754	721	[656]	574	170,452
Total Direct	[656]	574	164,977	0	0	0	4,754	721	[656]	574	170,452
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			164,977			0	4,754	721			170,452
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		574			0					574	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		574			0					574	
<i>Sub-Allotments and Direct Collections FTE</i>		0								0	

Debt Collection Management - 3% FTE actual for FY 2019 is 1

Reprogramming/Transfers:

Carryover:

ATR brought forward \$4.754 million from prior years' salaries and expenses funding.

Recoveries/Refunds:

As of September 30, 2019, ATR recoveries totaled \$721,000.

Crosswalk of 2020 Availability

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2020 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2020 Availability		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Amount	Amount	Positions	Est. FTE	Amount
Antitrust Division	[695]	595	166,755	0	0	0	10,356	0	[695]	595	177,111
Total Direct	[695]	595	166,755	0	0	0	10,356	0	[695]	595	177,111
Balance Rescission			0				0	0			0
Total Direct with Rescission			166,755				0	0			177,111
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		595			0					595	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		595			0					595	
<i>Sub-Allotments and Direct Collections FTE</i>		12								12	

Reprogramming/Transfers:

Carryover:

ATR brought forward \$10.356 million from prior years' salaries and expenses funding.

Recoveries/Refunds:

Summary of Reimbursable Resources

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Collections by Source	2019 Actual			2020 Estimate			2021 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Special Counsel's Office	0	0	130	0	0	0	0	0	0	0	0	0
Civil Rights Division	0	0	89	0	0	76	0	0	0	0	0	-76
Federal Trade Commission	0	0	150	0	0	99	0	0	0	0	0	-99
Criminal Division	0	0	1	0	0	0	0	0	0	0	0	0
The White House	0	0	16	0	0	0	0	0	0	0	0	0
Budgetary Resources	0	0	386	0	0	175	0	0	0	0	0	-175

Obligations by Program Activity	2019 Actual			2020 Estimate			2021 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Antitrust Division	0	0	386	0	0	175	0	0	0	0	0	-175
Budgetary Resources	0	0	386	0	0	175	0	0	0	0	0	-175

Summary of Sub-Allotments and Direct Collections Resources

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Sub-Allotments and Direct Collections	2019 Actual			2020 Estimate			2021 Request			Increase/Decrease		
	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount
DEBT COLLECTION MANAGEMENT - 3%	1	0	1,370	12	12	4,897	18	18	4,897	6	6	0
Budgetary Resources	1	0	1,370	12	12	4,897	18	18	4,897	6	6	0

Obligations by Program Activity	2019 Actual			2020 Estimate			2021 Request			Increase/Decrease		
	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount
Antitrust Division	1	0	615	12	12	4,897	18	18	4,897	6	6	0
Budgetary Resources	1	0	615	12	12	4,897	18	18	4,897	6	6	0

Debt Collection Management - 3% FTE actual for FY 2019 is 1

Detail of Permanent Positions by Category

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Category	FY 2019 Enacted			FY 2020 Enacted			FY 2021 Request					
	Direct Pos.	Reimb. Pos.	SubAllot-Dir	Direct Pos.	Reimb. Pos.	SubAllot-Dir	ATBs	Program	Program	Total Direct	Total Reimb.	Total SubAllot-
			Coll Pos.			Coll Pos.		Increases	Offsets	Pos.	Pos.	Dir Coll Pos
Security Specialists (080)	[4]	0	0	[4]	0	0	0	0	0	[4]	0	0
Social Science, Psychology, Welfare (0100-0199)	[50]	0	0	[50]	0	0	0	[5]	0	[55]	0	0
Personnel Management (0200-0260)	[8]	0	0	[10]	0	0	0	0	0	[10]	0	0
Clerical and Office Services (0300-0399)	[75]	0	0	[80]	0	0	0	0	0	[80]	0	0
Accounting and Budget (500-599)	[9]	0	0	[9]	0	0	0	0	0	[9]	0	0
Paralegals / Other Law (900-998)	[130]	0	1	[160]	0	0	0	[27]	0	[187]	0	0
Attorneys (905)	[335]	0	0	[335]	0	12	0	[55]	0	[390]	0	18
Business & Industry (1100-1199)	[4]	0	0	[4]	0	0	0	0	0	[4]	0	0
Library (1400-1499)	[4]	0	0	[4]	0	0	0	0	0	[4]	0	0
Mathematics and Statistics Group	[9]	0	0	[9]	0	0	0	0	0	[9]	0	0
Information Technology Mgmt (2210-2299)	[28]	0	0	[30]	0	0	0	0	0	[30]	0	0
Total	[656]	0	1	[695]	0	12	0	[87]	0	[782]	0	18
Headquarters Washington D.C.	[546]	0	1	[570]	0	12	0	[72]	0	[642]	0	18
US Fields	[110]	0	0	[125]	0	0	0	[15]	0	[140]	0	0
Foreign Field	0	0	0	0	0	0	0	0	0	0	0	0
Total	[656]	0	1	[695]	0	12	0	[87]	0	[782]	0	18

Financial Analysis of Program Changes

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Grades	Antitrust Division				Total Program Changes	
	Program Increases		Program Decreases		Positions	Amount
	Positions	Amount	Positions	Amount		
GS-14	60	0	0	0	60	0
GS-7	27	0	0	0	27	0
Total Positions and Annual Amount	[87]	0	0	0	[87]	0
Lapse (-)	-43	4570	0	0	-43	4570
11.5 - Other personnel compensation		60		0		60
Total FTEs and Personnel Compensation	[44]	4,630	0	0	[44]	4,630
12.1 - Civilian personnel benefits		1390		0		1390
21.0 - Travel and transportation of persons		140		0		140
22.0 - Transportation of things		20		0		20
23.3 - Communications, utilities, and miscellaneous charges		110		0		110
24.0 - Printing and reproduction		10		0		10
25.2 - Other services from non-federal sources		310		0		310
25.3 - Other goods and services from federal sources		360		0		360
26.0 - Supplies and materials		70		0		70
31.0 - Equipment		1210		0		1210
Total Program Change Requests	[44]	8,250	0	0	[44]	8,250

Summary of Requirements by Object Class

Antitrust Division
Salaries and Expenses
(Dollars in Thousands)

Object Class	FY 2019 Actual		FY 2020 Enacted		FY 2021 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	574	67,786	595	72,108	639	75,616	44	3,508
11.3 - Other than full-time permanent	0	8,296	0	8,676	0	8,622	0	-54
11.5 - Other personnel compensation	0	1,019	0	2,150	0	2,378	0	228
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 - Special personal services payments	0	436	0	650	0	650	0	0
Total	574	77,537	595	83,584	639	87,266	44	3,682
Other Object Classes								
12.1 - Civilian personnel benefits		23,254		24,485		26,890	0	2,405
21.0 - Travel and transportation of persons		1,370		1,440		1,580	0	140
22.0 - Transportation of things		0		288		308	0	20
23.1 - Rental payments to GSA		22,316		22,710		23,044	0	334
23.2 - Rental payments to others		476		672		672	0	0
23.3 - Communications, utilities, and miscellaneous charges		2,000		1,969		2,079	0	110
24.0 - Printing and reproduction		446		423		433	0	10
25.1 - Advisory and assistance services		6,101		8,609		7,006	0	-1,603
25.2 - Other services from non-federal sources		14,579		17,140		14,250	0	-2,890
25.3 - Other goods and services from federal sources		2,808		4,204		3,666	0	-538
25.4 - Operation and maintenance of facilities		4,008		4,671		3,718	0	-953
25.7 - Operation and maintenance of equipment		1,385		1,689		1,505	0	-184
26.0 - Supplies and materials		985		1,152		1,222	0	70
31.0 - Equipment		2,677		3,575		4,485	0	910
32.0 - Land and structures		0		500		10,400	0	9,900
42.0 - Insurance claims and indemnities		10		0		0	0	0
44.0 - Refunds		144		0		0	0	0
Total Obligations		160,096		177,111		188,524	0	11,413
Net of:								
Unobligated Balance, Start-of-Year		-4,754		-10,356		0	0	10,356
Transfers/Reprogramming		0		0		0	0	0
Recoveries/Refunds		-721		0		0	0	0
Balance Rescission		0		0		0	0	0
Unobligated End-of-Year, Available		10,356		0		0	0	0
Unobligated End-of-Year, Expiring		0		0		0	0	0
Total Direct Requirements		164,977		166,755		188,524		21,769
Reimbursable FTE								
Full-Time Permanent	0		0		0		0	0
<i>Sub-Allotments and Direct Collections FTE</i>	1		12		18		6	

Debt Collection Management - 3% FTE actual for FY 2019 is 1

1/ FY 2020: The estimated amount of FY 2020 non-SES/SL/ST salary spending is \$75,760. FY 2020 awards are estimated to be \$1,056, which is 1.4% of the non-SES/SL/ST estimated salary spending.

2/ FY 2021: The estimated amount of FY 2021 non-SES/SL/ST salary spending is \$79,214. FY 2021 awards are estimated to be \$1,426, which is 1.8% of the non-SES/SL/ST estimated salary spending.

L. Status of Congressionally Requested Studies, Reports, and Evaluations

Status of Congressionally Requested Studies, Reports, and Evaluations

Antitrust Division

1. The House Report associated with the FY 2020 appropriations act directs DOJ to report on the funding and full-time equivalent staffing devoted to cases associated with anticompetitive conduct by generic drug pharmaceutical companies not later than 120 days after enactment.