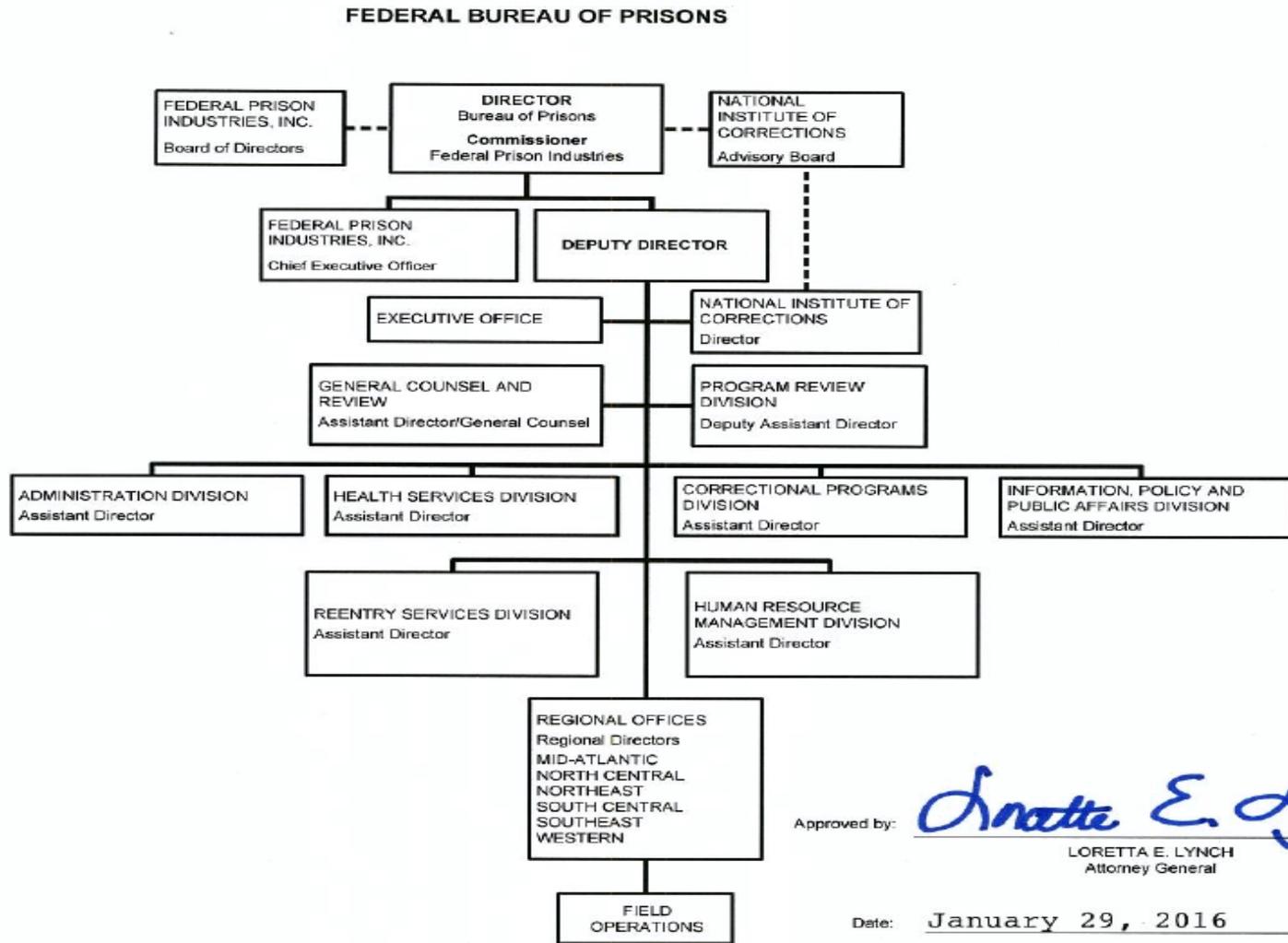


A. Organization Chart



Approved by: 
 LORETTA E. LYNCH
 Attorney General

Date: January 29, 2016



B. Summary of Requirements

Summary of Requirements

Federal Prison System

Salaries and Expenses

(Dollars in Thousands)

	FY 2021 Request		
	Positions	Estimate FTE	Amount
2019 Enacted 1/	38,557	33,323	7,250,000
Total 2019 Enacted	38,557	33,323	7,250,000
2020 Enacted	38,680	34,623	7,470,000
Base Adjustments			
Pay and Benefits	0	374	147,964
Domestic Rent and Facilities	0	0	482
Other Adjustments	0	61	-410,427
Prison and Detention	0	0	62,084
Total Base Adjustments	0	435	-199,897
Total Technical and Base Adjustments	0	435	-199,897
2021 Current Services	38,680	35,058	7,270,103
Program Changes			
Increases:			
RRC Expansion (FSA)	0	0	244,483
First Step Act - Expand MAT Nationwide	53	27	37,070
First Step Act - Expand Reentry Programs	76	38	23,305
Cellphone Detection Technology	0	0	12,500
Survey and Inspection of Prison Electrical & Utility Systems	0	0	10,000
IT Modernization	0	0	8,415
Counter Drone Threat	0	0	5,250
Subtotal, Increases	129	65	341,023
Total Program Changes	129	65	341,023
2021 Total Request	38,809	35,123	7,611,126
2020 - 2021 Total Change	129	500	141,126

^{1/} FY 2019 FTE is actual

B. Summary of Requirements

Summary of Requirements

Federal Prison System

Salaries and Expenses

(Dollars in Thousands)

Program Activity	FY 2019 Enacted			FY 2020 Enacted			FY 2021 Technical and Base Adjustments			FY 2021 Current Services		
	Position s	Est. FTE	Amount	Position s	Est. FTE	Amount	Position s	Est. FTE	Amount	Position s	Est. FTE	Amount
Inmate Care and Programs	13,705	11,664	2,722,958	13,828	12,345	2,828,900	0	195	-75,701	13,828	12,540	2,753,199
Institution Security and Administration	23,507	20,453	3,359,192	23,507	21,072	3,435,333	0	227	-91,929	23,507	21,299	3,343,404
Contract Confinement	299	268	917,207	299	268	945,446	0	3	-25,307	299	271	920,139
Management and Administration - BOP	1,046	938	250,643	1,046	938	260,321	0	10	-6,960	1,046	948	253,361
Total Direct	38,557	33,323	7,250,000	38,680	34,623	7,470,000	0	435	-199,897	38,680	35,058	7,270,103
Balance Rescission			0			0			0			0
Total Direct with Rescission			7,250,000			7,470,000			-199,897			7,270,103
Reimbursable FTE		0			0			0			0	
Total Direct and Reimb. FTE		33,323			34,623			435			35,058	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
Grand Total, FTE		33,323			34,623			435			35,058	

Program Activity	2021 Increases			2021 Offsets			2021 Request		
	Position s	Est. FTE	Amount	Position s	Est. FTE	Amount	Position s	Est. FTE	Amount
Inmate Care and Programs	129	65	60,375	0	0	0	13,957	12,605	2,813,574
Institution Security and Administration	0	0	10,000	0	0	0	23,507	21,299	3,353,404
Contract Confinement	0	0	244,483	0	0	0	299	271	1,164,622
Management and Administration - BOP	0	0	26,165	0	0	0	1,046	948	279,526
Total Direct	129	65	341,023	0	0	0	38,809	35,123	7,611,126
Balance Rescission			0			0			0
Total Direct with Rescission			341,023			0			7,611,126
Reimbursable FTE		0			0			0	
Total Direct and Reimb. FTE		65			0			35,123	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
Grand Total, FTE		65			0			35,123	

FY 2021 Program Increases/Offsets by Decision Unit

Federal Prison System
Salaries and Expenses
(Dollars in Thousands)

Program Increases	Location of Description by Program Activity	Inmate Care and Programs				Institution Security and Administration				Contract Confinement			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
RRC Expansion (FSA)		0	0	0	0	0	0	0	0	0	0	0	244,483
First Step Act - Expand MAT Nationwide		53	0	27	37,070	0	0	0	0	0	0	0	0
First Step Act - Expand Reentry Programs		76	0	38	23,305	0	0	0	0	0	0	0	0
Cellphone Detection Technology		0	0	0	0	0	0	0	0	0	0	0	0
Survey and Inspection of Prison Electrical & Utility Systems		0	0	0	0	0	0	0	10,000	0	0	0	0
IT Modernization		0	0	0	0	0	0	0	0	0	0	0	0
Counter Drone Threat		0	0	0	0	0	0	0	0	0	0	0	0
Total Program Increases		129	0	65	60,375	0	0	0	10,000	0	0	0	244,483

Program Increases	Location of Description by Program Activity	Management and Administration - BOP				Total Increases			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
RRC Expansion (FSA)		0	0	0	0	0	0	0	244,483
First Step Act - Expand MAT Nationwide		0	0	0	0	53	0	27	37,070
First Step Act - Expand Reentry Programs		0	0	0	0	76	0	38	23,305
Cellphone Detection Technology		0	0	0	12,500	0	0	0	12,500
Survey and Inspection of Prison Electrical & Utility Systems		0	0	0	0	0	0	0	10,000
IT Modernization		0	0	0	8,415	0	0	0	8,415
Counter Drone Threat		0	0	0	5,250	0	0	0	5,250
Total Program Increases		0	0	0	26,165	129	0	65	341,023

Program Offsets	Location of Description by Program Activity	Contract Confinement				Inmate Care and Programs				Institution Security and Administration			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
No Program Offsets													
Total Program Offsets													

Program Offsets	Location of Description by Program Activity	Management and Administration - BOP				Total Offsets			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
No Program Offsets									
Total Program Offsets									

Resources by Department of Justice Strategic Goal and Objective

Federal Prison System
Salaries and Expenses
(Dollars in Thousands)

Strategic Goal and Strategic Objective	FY 2019 Enacted		FY 2020 Enacted		FY 2021 Current Services		FY 2021 Increases		FY 2021 Offsets		FY 2021 Total Request	
	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount
Goal 3 Reduce Violent Crime and Promote Public Safety												
3.1 Combat violent crime, promote safe communities, and uphold the rights of victims of crime	33,323	7,250,000	34,623	7,470,000	35,058	7,270,103	65	341,023	0	0	35,123	7,611,126
Subtotal, Goal 3	33,323	7,250,000	34,623	7,470,000	35,058	7,270,103	65	341,023	0	0	35,123	7,611,126
TOTAL	33,323	7,250,000	34,623	7,470,000	35,058	7,270,103	65	341,023	0	0	35,123	7,611,126

Justifications for Technical and Base Adjustments

Federal Prison System
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
Pay and Benefits			
1 <u>2021 Pay Raise and Awards Increase</u> Per OMB A-11 guidance, section 32.1, Personnel Compensation, the agency must reflect an increase in awards spending for non-SES/SL/ST from FY 2020 to FY 2021. This increase should be no less than 1 percent point of FY 2021 non-SES/SL/ST salary spending. The amount requested is \$30,005,000. In addition, this request provides for a proposed 1 percent pay raise for FY 2021 to be effective in January 2021. The amount requested, \$32,339,000, represents the pay amounts for 3/4 of the fiscal year plus appropriate benefits (\$20,985,000 for pay and \$11,354,000 for benefits.)	0	0	62,344
2 <u>Annualization of 2020 Pay Raise</u> This pay annualization represents first quarter amounts (October through December) of the 2020 pay increase of 3.1% percent. The amount requested \$28,318,000, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits (\$18,407,000 for pay and \$9,911,000 for benefits).	0	0	28,318
3 <u>Changes in Compensable Days</u> The reduced cost for one compensable day in FY 2021 compared to FY 2020 is calculated by dividing the FY 2020 estimated personnel compensation and applicable benefits by 262 compensable days for a total decrease of (\$14,742,000).	0	0	-14,742
4 <u>Employees Compensation Fund</u> The \$1,649 request reflects anticipated changes in payments to the Department of Labor for injury benefits under the Federal Employee Compensation Act.	0	0	1,649
5 <u>FERS Rate Increase</u> Per 2019 OMB Circular A-11 section 32, Personnel Compensation, Benefits, and Related Costs, the agency contribution of regular retirement under FERS will increase from 16.0% to 17.3%. The FERS contribution for Law Enforcement retirement will increase from 33.4% to 35.8%. The amount requested represents the funds needed to cover the increase.	0	0	58,404
6 <u>FTE Adjustment</u> FTE Adjustment	0	374	0
7 <u>Health Insurance</u> Effective 2021, the component's contribution to Federal employees' health insurance will increase by 2.8 percent. Applied against the 2020 estimate of \$449,964, the additional amount required is \$12,599,000.	0	0	12,599

Justifications for Technical and Base Adjustments

Federal Prison System
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
<p>8 <u>Retirement - CSRS to FERS Conversion</u> Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of \$4,000 is necessary to meet our increased retirement obligations as a result of this conversion.</p>	0	0	4
<p>9 <u>Retirement - FERS/FRAE Conversion Savings</u> Effective October 1, 2020 (FY 2021), the new agency contributions rate of 15.5% will be in place. This will result in reduce requirement of \$612,000.</p>	0	0	-612
Subtotal, Pay and Benefits	0	374	147,964
Domestic Rent and Facilities			
<p>1 <u>GSA Rent</u> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of \$482,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective FY 2020 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied. GSA provides data on the rate increase.</p>	0	0	482
Subtotal, Domestic Rent and Facilities	0	0	482
Other Adjustments			
<p>1 <u>Administrative Savings</u> This is the amount of reduction needed to provide for the Program Increases and Pay Adjustment.</p>	0	0	-388,772
<p>2 <u>FY20 Annualizations for new FSA Enhancements</u> Annualizations for FY 2020 program increases for First Step Act implementation. These funds provide for pay and benefits of FSA staff in MAT and Reentry programs and ongoing operations and maintenance costs for inmate-focused Information Technology improvements. Provides for the annualization of 123 positions appropriated in FY 2020.</p>	0	61	14,625
<p>3 <u>Population Decline Saving - First Step Act</u> This offset is to account for declining inmate population.</p>	0	0	-36,280
Subtotal, Other Adjustments	0	61	-410,427

Justifications for Technical and Base Adjustments

Federal Prison System
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
Prison and Detention			
1 <u>Existing Contract Bed Adjustments - BOP</u> The Services Contract Act of 1965, as amended, states in paragraph (3) adjustment of compensation, that if the term of the contract is more than 1 year, the minimum monetary wages and fringe benefits to be paid or furnished thereunder to service employees under this contract shall be subject to adjustment after 1 year and not less than once every 2 years. In addition, this request reflects resources for the Bureau of Prisons to pay existing contract bed increases, specifically costs for exercising option years; an increase of \$17,600,000 is required for FY 2021.	0	0	17,600
2 <u>Food Cost Adjustments - BOP</u> The U.S. is experiencing high increases in food costs. An increase of \$2,555,000 for FY 2021 is necessary to keep pace with the costs of providing inmate meals.	0	0	2,555
3 <u>Medical Cost Adjustments - BOP</u> This provides the Bureau of Prisons with \$34,442,000 in funding for FY 2021 mandatory cost increases incurred due to rising health care costs in the U.S.	0	0	34,442
4 <u>Utility Costs Adjustments - BOP</u> This provides the Bureau of Prisons with \$7,487,000 in funding for FY 2021 mandatory cost increases incurred due to rising utility costs in the U.S. Utility Costs Adjustments - BOP	0	0	7,487
Subtotal, Prison and Detention	0	0	62,084
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	0	435	-199,897

Crosswalk of 2019 Availability

Federal Prison System
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2019 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2019 Availability		
	Position s	Est. FTE	Amount	Position s	Est. FTE	Amount	Amount	Amount	Position s	Est. FTE	Amount
Inmate Care and Programs	13,705	11,664	2,722,958	0	0	0	0	0	13,705	11,664	2,722,958
Institution Security and Administration	23,507	20,453	3,359,192	0	0	50,000	1,852	0	23,507	20,453	3,411,044
Contract Confinement	299	268	917,207	0	0	0	0	0	299	268	917,207
Management and Administration - BOP	1,046	938	250,643	0	0	0	0	0	1,046	938	250,643
Total Direct	38,557	33,323	7,250,000	0	0	50,000	1,852	0	38,557	33,323	7,301,852
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			7,250,000			50,000	1,852	0			7,301,852
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		33,323			0					33,323	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		33,323			0					33,323	

Reprogramming/Transfers:

BOP Transfers \$50 million from FY 2018 to the FY 2018/2019 account for necessary prison operations.

Carryover:

BOP carryover in no year account (X2).

Recoveries/Refunds:

Crosswalk of 2020 Availability

Federal Prison System
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2020 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2020 Availability		
	Position s	Est. FTE	Amount	Position s	Est. FTE	Amount	Amount	Amount	Position s	Est. FTE	Amount
Inmate Care and Programs	13,828	12,345	2,828,900	0	0	0	0	0	13,828	12,345	2,828,900
Institution Security and Administration	23,507	21,072	3,435,333	0	0	35,000	1,687	0	23,507	21,072	3,472,020
Contract Confinement	299	268	945,446	0	0	0	0	0	299	268	945,446
Management and Administration - BOP	1,046	938	260,321	0	0	0	0	0	1,046	938	260,321
Total Direct	38,680	34,623	7,470,000	0	0	35,000	1,687	0	38,680	34,623	7,506,687
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			7,470,000			35,000	1,687	0			7,506,687
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		34,623			0					34,623	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		34,623			0					34,623	

Reprogramming/Transfers:

BOP Transfers \$35 million from FY 2019 to the FY 2019/2020 account for necessary prison operations.

Carryover:

BOP carryover in no year account (X2) for Institution Security and Administration.

Recoveries/Refunds:

Summary of Reimbursable Resources

Federal Prison System

Salaries and Expenses

(Dollars in Thousands)

Collections by Source	2019 Estimate			2020 Estimate			2021 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
National Institute of Corrections	0	0	700	0	0	700	0	0	700	0	0	0
Energy Savings	0	0	500	0	0	500	0	0	500	0	0	0
Meal Tickets	0	0	500	0	0	500	0	0	500	0	0	0
Recycling	0	0	1,400	0	0	1,400	0	0	1,400	0	0	0
Sale of Vehicles	0	0	700	0	0	700	0	0	700	0	0	0
Staff Housing Rental	0	0	5,800	0	0	5,800	0	0	5,800	0	0	0
Custody and Care of State Prisoners	136	0	11,400	136	0	11,400	136	0	11,400	0	0	0
Travel and Purchase Cards	0	0	4,000	0	0	4,000	0	0	4,000	0	0	0
Budgetary Resources	136	0	25,000	136	0	25,000	136	0	25,000	0	0	0

Obligations by Program Activity	2019 Estimate			2020 Estimate			2021 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Inmate Care and Programs	0	0	0	0	0	0	0	0	0	0	0	0
Institution Security and Administration	136	0	25,000	136	0	25,000	136	0	25,000	0	0	0
Contract Confinement	0	0	0	0	0	0	0	0	0	0	0	0
Management and Administration - BOP	0	0	0	0	0	0	0	0	0	0	0	0
Budgetary Resources	136	0	25,000	136	0	25,000	136	0	25,000	0	0	0

Detail of Permanent Positions by Category

Federal Prison System
Salaries and Expenses
(Dollars in Thousands)

Category	FY 2019 Enacted		FY 2020 Enacted		FY 2021 Request				
	Direct Pos.	Reimb. Pos.	Direct Pos.	Reimb. Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.
Miscellaneous Operations (001-099)	800	19	800	19	0	0	0	800	19
Correctional Institution Administration (006)	1,694	0	1,694	0	0	0	0	1,694	0
Correctional Officers (007)	20,446	0	20,446	0	0	0	0	20,446	0
Social Science, Psychology, Welfare (0100-0199)	2,947	18	3,017	18	0	38	0	3,055	18
Personnel Management (0200-0260)	694	2	694	2	0	0	0	694	2
Clerical and Office Services (0300-0399)	2,106	58	2,106	58	0	0	0	2,106	58
Biological Science (400-499)	2	0	2	0	0	0	0	2	0
Accounting and Budget (500-599)	940	4	940	4	0	0	0	940	4
Medical, Dental & Public Health (600-799)	2,140	8	2,193	8	0	53	0	2,246	8
Engineering and Architecture Group (800-899)	359	0	359	0	0	0	0	359	0
Attorneys (905)	200	0	200	0	0	0	0	200	0
Paralegal Specialist (0950)	567	0	567	0	0	0	0	567	0
Information & Arts (1000-1099)	19	0	19	0	0	0	0	19	0
Business & Industry (1100-1199)	410	0	410	0	0	0	0	410	0
Equipment/Facilities Services (1600-1699)	529	16	529	16	0	0	0	529	16
Education (1700-1799)	1,016	0	1,016	0	0	38	0	1,054	0
Supply Services (2000-2099)	132	9	132	9	0	0	0	132	9
Transportation (2100-2199)	3	0	3	0	0	0	0	3	0
Information Technology Mgmt (2210-2299)	417	0	417	0	0	0	0	417	0
Ungraded	3,136	2	3,136	2	0	0	0	3,136	2
Total	38,557	136	38,680	136	0	129	0	38,809	136
Headquarters Washington D.C.	1,162	0	1,162	0	0	0	0	1,162	0
US Fields	37,395	136	37,518	136	0	129	0	37,647	136
Foreign Field	0	0	0	0	0	0	0	0	0
Total	38,557	136	38,680	136	0	129	0	38,809	136

Financial Analysis of Program Changes
 Federal Prison System
 Salaries and Expenses
 (Dollars in Thousands)

Grades	Inmate Care and Programs				Institution Security and administration			
	Program Increases		Program Decreases		Program Increases		Program Decreases	
	Positions	Amount	Positions	Amount	Positions	Amount	Positions	Amount
No grades	129	19,350	0	0	0	0	0	0
Total Positions and Annual Amount	129	19,350	0	0	0	0	0	0
Lapse (-)	-64	-9,600	0	0	0	0	0	0
11.5 - Other personnel compensation		0		0		0		0
Total FTEs and Personnel Compensation	65	9,750	0	0	0	0	0	0
25.2 - Other services from non-federal sources		17,605		0		10,000		0
26.0 - Supplies		33,020						
Total Program Change Requests	65	60,375	0	0	0	10,000	0	0

Grades	Contract Confinement				Management and Administration - BOP				Total Program Changes	
	Program Increases		Program Decreases		Program Increases		Program Decreases		Positions	Amount
	Positions	Amount	Positions	Amount	Positions	Amount	Positions	Amount		
No grades	0	0	0	0	0	0	0	0	129	19,350
Total Positions and Annual Amount	0	0	0	0	0	0	0	0	129	19,350
Lapse (-)	0	0	0	0	0	0	0	0	-64	-9,600
11.5 - Other personnel compensation		0		0		0		0		0
Total FTEs and Personnel Compensation	0	0	0	0	0	0	0	0	65	9,750
25.2 - Other services from non-federal sources		244,483		0		26,165		0		298,253
26.0 - Supplies		0								33,020
Total Program Change Requests	0	244,483	0	0	0	26,165	0	0	65	341,023

Summary of Requirements by Object Class

Federal Prison System

Salaries and Expenses

(Dollars in Thousands)

Object Class	FY 2019 Actual		FY 2020 Enacted		FY 2021 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	33,323	2,417,436	34,623	2,530,089	35,123	2,595,089	500	65,000
11.3 - Other than full-time permanent	0	3,336	0	3,336	0	3,336	0	0
11.5 - Other personnel compensation	0	401,555	0	401,555	0	401,555	0	0
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 - Special personal services payments	0	0	0	0	0	0	0	0
Total	33,323	2,822,327	34,623	2,934,980	35,123	2,999,980	500	65,000
Other Object Classes								
12.1 - Civilian personnel benefits		1,509,387		1,570,786		1,605,786	0	35,000
13.0 - Benefits for former personnel		2,113		2,113		2,113	0	0
21.0 - Travel and transportation of persons		46,581		46,581		46,581	0	0
22.0 - Transportation of things		11,707		11,707		11,707	0	0
23.1 - Rental payments to GSA		29,558		30,040		30,040	0	0
23.2 - Rental payments to others		2,789		2,789		2,789	0	0
23.3 - Communications, utilities, and miscellaneous charges		359,438		365,050		365,050	0	0
24.0 - Printing and reproduction		66		66		66	0	0
25.2 - Other services from non-federal sources		1,678,316		1,786,117		1,833,987	0	47,870
26.0 - Supplies and materials		652,336		666,756		666,756	0	0
31.0 - Equipment		116,744		66,744		25,000	0	-41,744
32.0 - Land and structures		748		748		748	0	0
41.0 - Grants, subsidies, and contributions		3,222		3,222		3,222	0	0
42.0 - Insurance claims and indemnities		17,301		17,301		17,301	0	0
Total Obligations		7,252,633		7,505,000		7,611,126	0	106,126
Net of:								
Unobligated Balance, Start-of-Year		-1,852		-1,687		-1,687	0	0
Transfers/Reprogramming		-50,000		-35,000		0	0	35,000
Recoveries/Refunds		-7,328		0		0	0	0
Balance Rescission		0		0		0	0	0
Unobligated End-of-Year, Available		1,687		1,687		1,687	0	0
Unobligated End-of-Year, Expiring		54,860		0		0	0	0
Total Direct Requirements		7,250,000		7,470,000		7,611,126		141,126
Reimbursable FTE								
Full-Time Permanent	0		0		0		0	0

FY 2020 Non- SES Salaries are estimated at \$2.4 billion, with estimated award amount of \$15 million. This award amount may increase to \$62.4 million in FY 2021.

Federal Prison System
Buildings and Facilities
(Dollars in Thousands)

Status of Congressionally Requested Studies, Reports, and Evaluations

1. The Senate Report associated with the Consolidated Appropriations Act, 2020, states BOP is directed to provide a report within 90 days of enactment of this act regarding the number of vacancies at each facility further detailed by job title, job series, and General Schedule level as well as the number of applicants going through the hiring process for each vacant position. If there are expedited hiring efforts the Department can make to ensure BOP is properly staffed, the Committee directs the Department to do so, and to include these measures and their results in the aforementioned report.
2. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that BOP is directed to review locality pay to ensure institution staff are receiving proper locality pay and submit a report on its findings to the Committee within 120 days of enactment. The Committee is aware of many cases where employees live in adjacent counties to the institution and unfortunately receive lower locality pay as a result.
3. The Senate Report associated with the Consolidated Appropriations Act, 2020, directs BOP to submit quarterly hiring and staffing reports, including corrections officer-to-inmate ratios from Pay Period 26–2016 to the present for the OPM position classification standard Correctional Officer Series GS–0007, broken out by region; institution, to include an additional subset for each facility within an institutional complex; and security level no later than 90 days after enactment of this act. For further transparency, this data is also directed to be published on BOP’s website. BOP previously notified the Committee that it does not currently record staffing by shift [morning watch, day watch, evening watch], but is directed to start recording this data and include these metrics in this report by the end of the fiscal year. For any institution with a staffing ratio greater than 15:1, or an incident involving deadly force in any such report, BOP shall provide a separate, detailed explanation of the role staffing may or may not have played in the incident along with a corrective plan to ensure it will not happen again.
4. The House and Senate Reports associated with the Consolidated Appropriations Act, 2020, states the Committee has expressed its concerns about the practice of augmentation since fiscal year 2017 and once again directs BOP to curtail its overreliance on augmentation, particularly in housing units, a directive issued again as part of Senate Report 115–275 and codified in Public Law 116–6. BOP should focus on hiring additional full-time correctional staff before continuing to augment existing staff. BOP is further directed to submit quarterly reports to the Committee on the use of augmentation broken out by region, institution, and security level each time this practice is employed.
5. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the Committee previously directed BOP to ensure at least two correctional officers are on duty for each housing unit for all three shifts at all high-security institutions to include USPs and Administrative and Federal Detention Centers. BOP is directed to continue to submit quarterly reports to the Committee showing compliance with this directive and provide a cost estimate and strategic plan for implementation for medium-security institutions that currently do not have a second officer for all three shifts.
6. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the Department is directed to report to both the Committees on Appropriations and Judiciary, within 90 days of enactment of this act, and every 90 days thereafter, on all actions and expenditures to implement the FSA, including activities, expenditures and resource requirements to develop, implement, review, validate, and maintain the risk and needs assessment and to evaluate and provide evidence-based recidivism reduction programs and productive activities.
7. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the Committee therefore directs the Attorney General to issue guidance and policies for recidivism reduction partnerships, as required under section 102 of Public Law 115–391, not later than 30 days after the date of enactment of this act.
8. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the Act requires BOP to assist inmates applying for Federal and State benefits as well as obtaining critical identification for re-entry, including a social security card, driver’s license or other official photo identification, and birth certificate. Within 45 days of enactment of this act, BOP is directed to provide a status report to the Committees on Appropriations and Judiciary indicating the guidance issued to BOP staff as well as a description of how these additional requirements are being met, including the number of inmates receiving assistance in securing benefits and identification, any difficulties BOP is encountering in obtaining these documents, and the list of organizations partnering with BOP to include the programming provided by each organization for each facility. The Committee further directs BOP to provide quarterly reports to the Committees on Appropriations and Judiciary with updated information on both recidivism reduction partnerships and assistance with obtaining identification and benefits. The Committee further directs BOP to provide quarterly reports to the Committees on Appropriations and Judiciary with updated information on both recidivism reduction partnerships and assistance with obtaining identification and benefits.
9. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that Section 202 of the First Step Act (Public Law 115–391) requires the Director of the BOP to ensure that each Federal penal or correctional institution provides a secure storage area located outside of the secure perimeter of the institution for employees to store firearms or allows employees to store firearms in a vehicle lockbox. The Committee recognizes the importance of this provision in ensuring BOP employee safety, and directs the Director to provide the storage facilities required under the act not later than the end of the fiscal year. Within 90 days of enactment of this act, the Department shall submit a report to the Committee on its implementation plan and progress until completion on a quarterly basis.

10. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the Committee has received conflicting information from the Department regarding BOP's MAT program, and therefore directs BOP to report quarterly on the number of individuals that are screened for MAT, are seeking MAT, have received MAT, and those on a wait list for MAT. These categories should be further broken out by institution and type of MAT. The initial report is directed to be submitted to the Committee within 30 days of enactment of this act.

11. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the BOP expanded the grounds for, and streamlined the process of, considering requests for compassionate release in 2013. The Committee is also aware that the Department's OIG recommended additional reforms to the compassionate release program in its 2013 review of the program. In 2016, the U.S. Sentencing Commission [USSC] amended the criteria for compassionate release and encouraged BOP to file a motion for those prisoners who meet the criteria as identified by the Commission.

12. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the Committee was encouraged that BOP agreed to resolve the 15 recommendations made by the Department's OIG in July 2017 regarding the need for BOP to improve its screening, treatment, and monitoring of inmates with mental illness housed in Restrictive Housing Units. The Committee encourages BOP to continue to develop evidence-based policies and appropriate facilities that ensure BOP can do its job safely while also providing proper care for those inmates with mental illnesses. As such, the Committee requests a report, within 180 days of enactment of this act, from BOP on the status of resolving each of those 15 OIG recommendations issued in July 2017.

13. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the Committee encourages NIC efforts to establish public-private partnerships with research and correctional institutions to evaluate completed demonstration projects involving postsecondary education programs in prisons. The report regarding NIC's findings on correctional education as directed by Senate Report 115-275 and codified by Public Law 116-6 has yet to be submitted. The Committee expects this report to be submitted in short order.

14. The Senate Report associated with the Consolidated Appropriations Act, 2020, states that the BOP is directed to act upon the recommendations of their fiscal year 2016 report submitted to the Committee and deploy telecommunications technologies to thwart illegal usage of telecommunications in institutions while not interfering with the legitimate use of spectrum. BOP shall also incorporate the lessons learned and best practices to be developed through its recent microjamming pilot program conducted in conjunction with NTIA. The Committee supports the BOP's request for jamming pilots. The Department shall report to the Committee within 30 days of enactment of this act, detailing its plans for the implementation of this program. The Committee further directs the Department to submit a report within 90 days of enactment of this act on the costs associated with and the resources needed to conduct a full facility jamming pilot. This report should include technology costs, as well as the staffing needs associated with this type of jamming pilot, for BOP and for any partner agencies, like the NTIA, that would be involved.

15. The House Report associated with the Consolidated Appropriations Act, 2020, states that the Committee supports the provision of trauma treatment to all female inmates during the first 12 months of their sentences to maximize the impact of such programs and commends the benefits of the BOP's Trauma Treatment Program, such as the Resolve trauma therapy program. The Committee, however, remains concerned about the lengthy waiting list for this therapy program, as well as for the prerequisite course. The Committee also regrets the lack of trauma programming for non-English speaking inmates. The Committee urges BOP to prioritize these programs, make them available to all inmates, at the inmates' discretion, within the first 12 months of incarceration, and expand language access for this trauma programming. The Director shall report to the Committee not later than 180 days after enactment of this Act on the implementation of these recommendations, to include the status of the waiting list for participation in such programs.

16. The House Report associated with the Consolidated Appropriations Act, 2020, states that The First Step Act of 2018 (Public Law 115-391) requires the BOP Director to provide menstrual hygiene products to prisoners at no cost. The Committee directs the Director to provide guidance on the distribution and accessibility of these products to all prisoners in BOP custody, whether in a Federally-owned facility or one administered by a private detention entity, to ensure each prisoner who requires these products receives a quantity the prisoner deems sufficient. The Committee also directs the Director to issue guidance that no visitor is prohibited from visiting an incarcerated individual due to such visitor's use of menstrual hygiene products. The Director shall report to the Committee not later than 180 days after enactment of this Act on the implementation of this policy.

17. The House Report associated with the Consolidated Appropriations Act, 2020, states that The Committee directs the Department, in consultation with the Federal Communications Commission, to submit a report to the Committee not later than 180 days after enactment of this Act detailing the rates and fees paid by people to communicate with inmates held in Federal correctional facilities since the D.C. Circuit's decision in *Global Tel*Link v. Federal Communications Commission*, 866 F.3d 397 (D.C. Cir. 2017). The report shall include inmates incarcerated in all types of Federal correctional facilities across the country, including contract facilities. The report shall also include all types of communications services including telephone, video visitation, email, and other types of electronic communication.

18. The House Report associated with the Consolidated Appropriations Act, 2020, states that The Committee recognizes the Mothers and Infants Together (MINT) Program that promotes and facilitates mother-child bonding, and the Residential Parenting Program, which allows eligible female inmates to reside with their child for up to 30 months post-delivery. The Committee, however, is concerned about the underutilization of these programs, and thereby directs BOP to issue guidance to communicate parenting program opportunities and eligibility criteria to each employee and pregnant inmate, and to collect relevant data to assess pregnant inmates' interest and participation. Lastly, the Committee urges the Bureau to adhere to the Female Offender Manual and allow, at the least, a minimum of six months participation in the MINT Program. The Committee directs BOP to submit a report not later than 180 days after the enactment of this Act on the strategic plan to address these concerns.

19. The House Report associated with the Consolidated Appropriations Act, 2020, states that Section 608(a)(2) of Public Law 115-391 directs BOP to establish pilot programs that incorporate training programs with prisoners and abandoned or vulnerable animals to reduce the recidivism of incarcerated individuals, better preparing them for reentering society upon release. The Committee urges the Department of Justice and BOP to implement this section and report to the Committee not later than 90 days after enactment of this Act on the steps it has taken to achieve this goal.

20. The Senate Report associated with the Consolidated Appropriations Act, 2020, directs the Department to BOP to report on the current and future planned use of counter UAS technology by its law enforcement components, to include anticipated resource and training needs and newly established internal policies or guidelines to ensure all legal requirements are met, within 90 days of enactment of this act.

Summary by Appropriation (FY 2019 - FY 2021)
 Federal Prison System
 Salaries and Expenses

Appropriation	2019 Enacted			2020 Enacted			2021 Congressional Request		
	Pos.	FTE	\$000's	Pos.	FTE	\$000's	Pos.	FTE	\$000's
Salaries and Expenses	38,557	33,323	\$7,250,000	38,680	34,623	\$7,470,000	38,809	35,123	\$7,611,126
Buildings and Facilities	53	44	292,400	59	56	308,000	59	59	99,453
Recission of prior funding.									-505,000
Total B&F Resources			292,400			308,000			-405,547
Federal Prison Industries	1,950	621		1,950	753		1,950	753	
Commissary	797	650		797	749		797	749	
TOTAL	41,357	34,638	7,542,400	41,486	36,181	7,778,000	41,615	36,684	7,205,579

Federal Prison System - S&E
(Dollars in Thousands)

	FY 2021 President's Budget				
	Pos.	Atty	Agents	FTE	Amount
2020 Enacted	38,680	200	20,446	34,623	7,470,000
Base Adjustments					
Pay & Benefits					
2021 Pay Raise and Awards Increase	0	0	0	0	62,344
Annualization of 2020 Pay Raise	0	0	0	0	28,318
Changes in Compensable Days	0	0	0	0	-14,742
Employees Compensation Fund	0	0	0	0	1,649
FERS Rate Increase	0	0	0	0	58,404
FTE Adjustment	0	0	0	374	0
Health Insurance	0	0	0	0	12,599
Retirement - CSRS to FERS Conversion	0	0	0	0	4
Retirement - FERS/FRAE Conversion Savings	0	0	0	0	-612
Subtotal, Pay & Benefits	0	0	0	374	147,964
Domestic Rent & Facilities					
GSA Rent	0	0	0	0	482
Subtotal, Domestic Rent & Facilities	0	0	0	0	482
Other Adjustments					
Administrative Savings	0	0	0	0	-388,772
FY20 Annualizations for new FSA Enhancements	0	0	0	61	14,625
Population Decline Saving - First Step Act	0	0	0	0	-36,280
Subtotal, Other Adjustments	0	0	0	61	-410,427
Prison and Detention					
Existing Contract Bed Adjustments - BOP	0	0	0	0	17,600
Food Cost Adjustments - BOP	0	0	0	0	2,555
Medical Cost Adjustments - BOP	0	0	0	0	34,442
Utility Costs Adjustments - BOP	0	0	0	0	7,487
Subtotal, Prison and Detention	0	0	0	0	62,084
Subtotal, Base Adjustments	0	0	0	435	-199,897
Subtotal, Technical and Base Adjustments	0	0	0	435	-199,897
2021 Current Services	38,680	200	20,446	35,058	7,270,103
Program Changes					
Program Increase					
Federal Prison System - S&E					
RRC Expansion (FSA)	0	0	0	0	244,483
First Step Act - Expand MAT Nationwide	53	0	0	27	37,070
First Step Act - Expand Reentry Programs	76	0	0	38	23,305
Cellphone Detection Technology	0	0	0	0	12,500
Survey and Inspection of Prison Electrical & Utility Systems	0	0	0	0	10,000
IT Modernization	0	0	0	0	8,415
Counter Drone Threat	0	0	0	0	5,250
Total, Federal Prison System - S&E	129	0	0	65	341,023
Program Decrease					
Total Program Changes	129	0	0	65	341,023
2021 Total Request	38,809	200	20,446	35,123	7,611,126