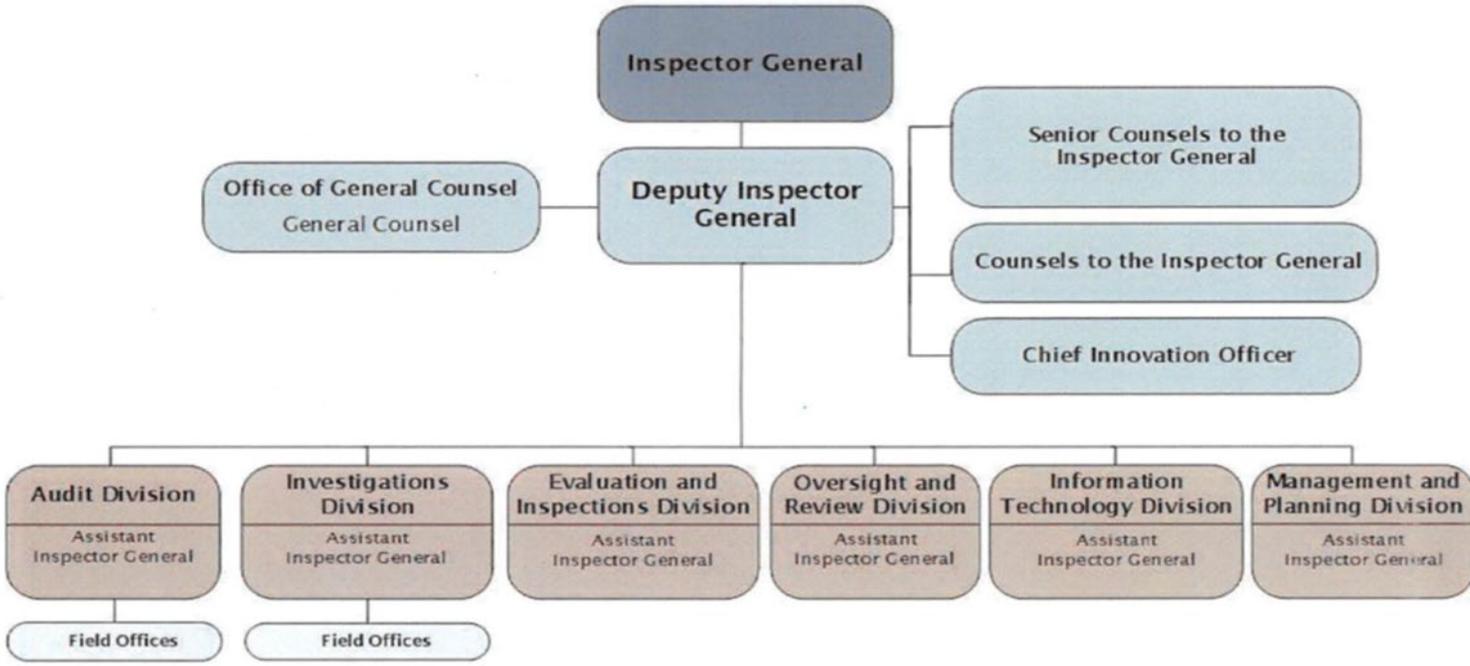


Department of Justice
Office of the Inspector General



Approved by: *Michael E. Horowitz* Date: 2/11/19
Michael E. Horowitz
Inspector General

Summary of Requirements

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

	FY 2021 Request		
	Positions	Estimate FTE	Amount
2019 Enacted 1/	476	451	101,000
Total 2019 Enacted	476	451	101,000
2020 Enacted	482	490	115,000
Technical Adjustments			
Adjustment - Oversight and Auditing - From CVF	0	-48	-10,000
Total Technical Adjustments	0	-48	-10,000
Base Adjustments			
Pay and Benefits	0	0	2,051
Domestic Rent and Facilities	0	0	-1,746
Other Adjustments	0	0	-3,354
Total Base Adjustments	0	0	-3,049
Total Technical and Base Adjustments	0	-48	-13,049
2021 Current Services	482	442	101,951
Program Changes			
Increases:			
IT Division Modernization/Infrastructure	9	9	5,260
Subtotal, Increases	9	9	5,260
Total Program Changes	9	9	5,260
2021 Total Request	491	451	107,211
2020 - 2021 Total Change	9	-39	-7,789

^{1/} FY 2019 FTE is actual

B. Summary of Requirements

Summary of Requirements

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2019 Enacted			FY 2020 Enacted*			FY 2021 Technical and Base Adjustments			FY 2021 Current Services		
	Positions	Actual FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	476	451	101,000	482	490	115,000	0	-48	-13,049	482	442	101,951
Total Direct	476	451	101,000	482	490	115,000	0	-48	-13,049	482	442	101,951
Balance Rescission			0			0			0			0
Total Direct with Rescission			101,000			115,000			-13,049			101,951
Reimbursable FTE		67			22			48			70	
Total Direct and Reimb. FTE		518			512			0			512	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
Grand Total, FTE		518			512			0			512	
<i>Sub-Allotments and Direct Collections FTE</i>		3			5			0			5	

Program Activity	2021 Increases			2021 Offsets			2021 Request		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	9	9	5,260	0	0	0	491	451	107,211
Total Direct	9	9	5,260	0	0	0	491	451	107,211
Balance Rescission			0			0			0
Total Direct with Rescission			5,260			0			107,211
Reimbursable FTE		0			0			70	
Total Direct and Reimb. FTE		9			0			521	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
Grand Total, FTE		9			0			521	
<i>Sub-Allotments and Direct Collections FTE</i>		0			0			5	

* FY 2020 Enacted amount includes \$10 million appropriated transfer. P.L. 116-93, Division B, Title V Section 510.

FY 2021 Program Increases/Offsets by Decision Unit

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Program Increases	Location of Description by Program Activity	OIG Audits, Inspections, Investigations, and Reviews				Total Increases			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
IT Division Modernization/Infrastructure		9	0	9	5,260	9	0	9	5,260
Total Program Increases		9	0	9	5,260	9	0	9	5,260

Program Offsets	Location of Description by Program Activity	OIG Audits, Inspections, Investigations, and Reviews				Total Offsets			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
No Program Offsets									
Total Program Offsets									

Resources by Department of Justice Strategic Goal and Objective

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Strategic Goal and Strategic Objective	FY 2019 Enacted			FY 2020 Enacted*			FY 2021 Current Services		FY 2021 Increases		FY 2021 Offsets		FY 2021 Total Request		
	Direct & Reimb FTE	SubAllot /Dir Coll FTE	Direct Amount	Direct & Reimb FTE	SubAllot /Dir Coll FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	SubAllot /Dir Coll FTE	Direct Amount
Goal 4 Promote Rule of Law, Integrity, and Good Government															
4.1 Uphold the rule of law and integrity in the proper administration of justice	518	3	101,000	512	5	115,000	512	101,951	9	5,260	0	0	521	5	107,211
Subtotal, Goal 4	518	3	101,000	512	5	115,000	512	101,951	9	5,260	0	0	521	5	107,211
TOTAL	518	3	101,000	512	5	115,000	512	101,951	9	5,260	0	0	521	5	107,211

* FY 2020 Enacted amount includes \$10 million appropriated transfer. P.L. 116-93, Division B, Title V Section 510.

Justifications for Technical and Base Adjustments

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

	Positions	Estimate FTE	Amount
Technical Adjustments			
1 Adjustment - Oversight and Auditing - From CVF	0	-48	-10,000
Subtotal, Technical Adjustments	0	-48	-10,000
Pay and Benefits			
1 <u>2021 Pay Raise and Awards Increase</u> Per OMB A-11 guidance, section 32.1, Personnel Compensation, agencies must reflect an increase in awards spending for non-SES/SL/ST from FY 2020 to FY 2021. This increase should be no less than 1 percent of FY 2020 non-SES/SL/ST salary spending. In addition, this request provides for a proposed 1 percent pay raise for FY 2021 to be effective in January 2021. The amount requested, \$1,073,000, represents the pay amounts for 3/4 of the fiscal year.	0	0	1,073
2 <u>Annualization of 2020 Approved Positions</u> Personnel: This provides for the annualization of 6 new positions appropriated in 2020. Annualization of new positions extends up to 2 years to provide entry level funding in the first year, with a 1 year progression. For 2021, this request included a decrease of \$72,000 for full-year payroll costs associated with these additional positions.	0	0	-72
3 <u>Annualization of 2020 Pay Raise</u> This pay annualization represents first quarter amounts (October through December) of the 2020 pay increase of 3.1%. The amount requested, \$465,000, represents the pay amounts for 1/4 of the fiscal year plus appropriate benefits.	0	0	465
4 <u>Changes in Compensable Days</u> The decreased cost for one compensable day in FY 2021 compared to FY 2020 is calculated by dividing the FY 2020 estimated personnel compensation by 262 compensable days.	0	0	-258
5 <u>FERS Rate Increase</u> Per OMB Circular A-11 guidance, section 32, Personnel Compensation, the agency contribution of regular retirement under FERS will increase from 16% to 17.3%. The FERS contribution for Law Enforcement retirement will increase from 33.4% to 35.8%. The amount requested, \$758,000, represents the funds needed to cover this increase.	0	0	758

Justifications for Technical and Base Adjustments

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

<p>6 Health Insurance Effective January 2020, the component's contribution to Federal employees' health insurance increases by 3.2 percent. Applied against the 2020 estimate of \$2,800,000, the additional amount required is \$89,000.</p>	0	0	89
<p>7 Retirement - CSRS to FERS Conversion Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of \$4,000 is necessary to meet our increased retirement obligations as a result of this conversion.</p>	0	0	4
<p>8 Retirement - FERS/FRAE Conversion Savings Agency retirement contributions will decrease as new FERS RAE employees are hired and replace CSRS and regular FERS employees. Based on OMB Circular A-11 FERS RAE withholding rates, we project agency savings from employees hired after December 31, 2012 of 1.8 percent of salaries for Non-LEO employees and 1.7 percent of salaries for LEO employees in FY 2021, for a savings of \$8,000.</p>	0	0	-8
Subtotal, Pay and Benefits	0	0	2,051
Domestic Rent and Facilities			
<p>1 GSA Rent GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested decrease of \$1,677,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases or decreases to be effective FY 2021 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied.</p>	0	0	-1,677
<p>2 Guard Service This includes Department of Homeland Security (DHS) Federal Protective Service charges, Justice Protective Service charges and other security services across the country. The requested decrease of \$69,000 is required to meet these commitments.</p>	0	0	-69
Subtotal, Domestic Rent and Facilities	0	0	-1,746

E. Justifications for Technical and Base Adjustments

Justifications for Technical and Base Adjustments

Office of the Inspector General
 Salaries and Expenses
 (Dollars in Thousands)

Other Adjustments			
1 <u>Administrative Savings</u>	0	0	-3,354
A decrease of 3,354 is requested due to re-baselining after the FY 2020 enacted levels. This funding reduction negates adjustments to base and brings FY 2021 current services to the level of the FY 2019 enacted amount.			
Subtotal, Other Adjustments	0	0	-3,354
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS			
	0	-48	-13,049
ATB Reimbursable FTE Changes			
1 ATB Reimbursable FTE Adjustment	0	48	0
Subtotal, ATB Reimbursable FTE Changes	0	48	0

Crosswalk of 2019 Availability

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Program Activity	FY 2019 Enacted			Reprogramming/Transfers			Carryover	Recovery/ Refund	FY 2019 Availability		
	Positions	Actual FTE	Amount	Positions	Actual FTE	Amount	Amount	Amount	Positions	Actual FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	476	451	101,000	0	0	0	0	0	476	451	101,000
Total Direct	476	451	101,000	0	0	0	0	0	476	451	101,000
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			101,000			0	0	0			101,000
Reimbursable FTE		67			0					67	
Total Direct and Reimb. FTE		518			0					518	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		518			0					518	
<i>Sub-Allotments and Direct Collections FTE</i>		3								3	

Reprogramming/Transfers:

Carryover:

Recoveries/Refunds:

Crosswalk of 2020 Availability

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Program Activity	FY 2020 Enacted			Reprogramming/Transfers			Carryover	Recovery/ Refund	FY 2020 Availability		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Amount	Amount	Positions	Est. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	482	442	105,000	0	48	10,000	359	0	482	490	115,359
Total Direct	482	442	105,000	0	48	10,000	359	0	482	490	115,359
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			105,000			10,000	359	0			115,359
Reimbursable FTE		22			0					22	
Total Direct and Reimb. FTE		464			48					512	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		464			48					512	
<i>Sub-Allotments and Direct Collections FTE</i>		5								5	

Reprogramming/Transfers:

* FY 2020 Enacted amount includes \$10 million appropriated transfer. P.L. 116-93, Division B, Title V Section 510.

Carryover:

Recoveries/Refunds:

Summary of Reimbursable Resources

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Collections by Source	2019 Actual			2020 Estimate			2021 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Asset Forfeiture Fund	2	2	1,225	2	2	1,285	2	2	1,310	0	0	25
Council of the IGs on Integrity and Efficiency	0	0	190	1	1	190	1	1	200	0	0	10
Working Capital Fund	7	7	2,287	7	7	2,541	7	7	2,592	0	0	51
Federal Bureau of Investigation	2	2	1,835	2	2	1,909	2	2	1,947	0	0	38
Federal Prison Industries	2	2	1,100	2	2	1,272	2	2	1,391	0	0	119
Federal Prison System	2	2	1,262	2	2	1,299	2	2	1,324	0	0	25
Offices, Boards, and Divisions	6	6	6,221	6	6	6,165	6	6	6,287	0	0	122
Crime Victim Fund	46	46	12,200	0	0	0	48	48	12,000	48	48	12,000
Budgetary Resources	67	67	26,320	22	22	14,661	70	70	27,051	48	48	12,390

Obligations by Program Activity	2019 Actual			2020 Estimate			2021 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	67	67	26,320	22	22	14,661	70	70	27,051	48	48	12,390
Budgetary Resources	67	67	26,320	22	22	14,661	70	70	27,051	48	48	12,390

Summary of Sub-Allotments and Direct Collections Resources

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Sub-Allotments and Direct Collections	2019 Actual			2020 Estimate			2021 Request			Increase/Decrease		
	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount
HCFAC	0	3	882	0	5	882	0	5	882	0	0	0
Budgetary Resources	0	3	882	0	5	882	0	5	882	0	0	0

Obligations by Program Activity	2019 Actual			2020 Estimate			2021 Request			Increase/Decrease		
	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount	SubAllot- Dir Coll Pos	SubAllot- Dir Coll FTE	Amount
OIG Audits, Inspections, Investigations, and Reviews	0	3	882	0	5	882	0	5	882	0	0	0
Budgetary Resources	0	3	882	0	5	882	0	5	882	0	0	0

Detail of Permanent Positions by Category

Office of the Inspector General
Salaries and Expenses
(Dollars in Thousands)

Category	FY 2019 Enacted		FY 2020 Enacted			FY 2021 Request					
	Direct Pos.	Reimb. Pos.	Direct Pos.	Reimb. Pos.	SubAllot-Dir Coll Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.	Total SubAllot-Dir Coll Pos.
Miscellaneous Operations (001-099)	4	0	4	0	0	0	0	0	4	0	0
Security Specialists (080)	0	0	0	0	0	0	0	0	0	0	0
Personnel Management (0200-0260)	9	0	9	0	0	0	0	0	9	0	0
Clerical and Office Services (0300-0399)	155	27	144	6	0	0	0	0	144	28	0
Accounting and Budget (500-599)	93	33	79	13	0	0	0	0	79	34	0
Paralegals / Other Law (900-998)	0	0	0	0	0	0	0	0	0	0	0
Attorneys (905)	34	0	35	0	0	0	0	0	35	0	0
Paralegal Specialist (0950)	1	0	2	0	0	0	0	0	2	0	0
Information & Arts (1000-1099)	0	0	4	0	0	0	0	0	4	0	0
Contract Specialist (1100-1199)	2	0	2	0	1	0	0	0	2	0	1
Statistician (1500-1599)	7	1	8	0	0	0	0	0	8	1	0
Inspection, Investigation, Enforcement Analyst(1801)	19	1	16	0	1	0	0	0	16	1	1
Investigative Assistants (1805)	6	0	6	0	0	0	0	0	6	0	0
Criminal Investigative Series (1811)	113	2	143	0	3	0	0	0	143	2	3
Information Technology Mgmt (2210-2299)	33	3	30	3	0	0	9	0	39	4	0
Total	476	67	482	22	5	0	9	0	491	70	5
Headquarters Washington D.C.	229	27	242	22	5	0	9	0	251	28	5
US Fields	247	40	240	0	0	0	0	0	240	42	0
Foreign Field	0	0	0	0	0	0	0	0	0	0	0
Total	476	67	482	22	5	0	9	0	491	70	5

Financial Analysis of Program Changes

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Grades	OIG Audits, Inspections, Investigations, and Reviews				Total Program Changes	
	Program Increases		Program Decreases		Positions	Amount
	Positions	Amount	Positions	Amount		
GS-15	2	464	0	0	2	464
GS-14	2	464	0	0	2	464
GS-13	5	832	0	0	5	832
Total Positions and Annual Amount	9	1,760	0	0	9	1,760
Lapse (-)	0	0	0	0	0	0
11.5 - Other personnel compensation		0		0		0
Total FTEs and Personnel Compensation	9	1,760	0	0	9	1,760
31.0 - Equipment		3500		0		3500
Total Program Change Requests	9	5,260	0	0	9	5,260

Summary of Requirements by Object Class

Office of the Inspector General

Salaries and Expenses

(Dollars in Thousands)

Object Class	FY 2019 Actual		FY 2020 Enacted		FY 2021 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	451	48,442	490	56,359	451	50,000	-39	-6,359
11.3 - Other than full-time permanent	0	1,444	0	2,100	0	2,100	0	0
11.5 - Other personnel compensation	0	4,258	0	4,000	0	4,200	0	200
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 - Special personal services payments	0	0	0	0	0	0	0	0
Total	451	54,144	490	62,459	451	56,300	-39	-6,159
Other Object Classes								
12.1 - Civilian personnel benefits		20,372		25,000		20,800	0	-4,200
13.0 - Benefits for former personnel		0		0		0	0	0
21.0 - Travel and transportation of persons		2,200		2,600		2,500	0	-100
22.0 - Transportation of things		51		0		0	0	0
23.1 - Rental payments to GSA		8,350		9,100		8,300	0	-800
23.2 - Rental payments to others		302		200		200	0	0
23.3 - Communications, utilities, and miscellaneous charges		1,584		300		300	0	0
24.0 - Printing and reproduction		12		0		11	0	11
25.1 - Advisory and assistance services		1,536		2,500		1,300	0	-1,200
25.2 - Other services from non-federal sources		579		200		1,000	0	800
25.3 - Other goods and services from federal sources		5,696		8,200		8,000	0	-200
25.4 - Operation and maintenance of facilities		1,030		1,000		1,500	0	500
25.7 - Operation and maintenance of equipment		2,472		1,500		2,000	0	500
26.0 - Supplies and materials		418		300		1,000	0	700
31.0 - Equipment		2,128		2,000		4,000	0	2,000
42.0 - Insurance claims and indemnities		1		0		0	0	0
Total Obligations		100,875		115,359		107,211	0	-8,148
Net of:								
Unobligated Balance, Start-of-Year		0		-359		0	0	359
Transfers/Reprogramming*		0		-10,000		0	0	10,000
Recoveries/Refunds		0		0		0	0	0
Balance Rescission		0		0		0	0	0
Unobligated End-of-Year, Available		0		0		0	0	0
Unobligated End-of-Year, Expiring		125		0		0	0	0
Total Direct Requirements		101,000		105,000		107,211		2,211
Reimbursable FTE								
Full-Time Permanent	67		22		70		48	0
<i>Sub-Allotments and Direct Collections FTE</i>	3		5		5		0	

* FY 2020 Enacted amount includes \$10 million appropriated transfer. P.L. 116-93, Division B, Title V Section 510.

Additional Required Information for Congressional Justification

Office of the Inspector General

The Inspector General Reform Act of 2008 (P.L. 110-409) requires that the Department of Justice OIG submit the following information related

The aggregate budget request for the operations of the OIG is \$107,211,000;

The requested amount includes \$278,749 to support the operations of the Council of the Inspector General on Integrity and Efficiency;

The portion of the amount needed for OIG training is \$650,000;

The Inspector General of the Department of Justice certifies that the amount requested for training satisfies all of the OIG training needs of FY21.