



*U.S. Department of
Justice*

September 11th Victim Compensation Fund

FY 2021 Budget &
Performance Plan

February 2020

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I. Overview of the September 11th Victim Compensation Fund

The September 11th Victim Compensation Fund (“VCF” or “Fund”) was created to provide compensation for any individual (or a personal representative of a deceased individual) who suffered physical harm or was killed as a result of the terrorist-related aircraft crashes of September 11, 2001, or the debris removal efforts that took place in the immediate aftermath of those crashes.

The VCF operates under a Special Master appointed by the Attorney General, with the operational and administrative support of the Department of Justice’s Civil Division. As of December 31, 2019, the VCF has awarded over \$6.19 billion in compensation to over 27,000 individuals who have suffered physical health conditions (including the families of over 1,000 people who have died), as a result of their exposure to the terrorist attacks in New York City, at the Pentagon, and in Shanksville, Pennsylvania. Those compensated include first responders; people who worked or volunteered in rescue, recovery, clean-up, construction, or debris removal at the three sites; as well as people who lived, worked, or went to school in the affected areas of New York City and were exposed to toxins resulting from the attacks, the airline crashes, and the building collapses.

In acknowledgment of the continuing need for compensation among 9/11 responders and survivors, and in a show of confidence in the work of the VCF, on July 29, 2019, the President signed into law The Never Forget the Heroes: James Zadroga, Ray Pfeifer, and Luis Alvarez Permanent Authorization of the September 11th Victim Compensation Fund, Public Law No. 116-34 (“Permanent Authorization Act”). The Act extends the VCF’s claim filing deadline to October 1, 2090, and appropriates such funds as may be necessary in each fiscal year through fiscal year 2092 to pay all eligible claims.

The VCF maintains a robust website, www.vcf.gov, which includes information about the claim filing process including the policies and procedures that apply to the determination of eligibility and compensation, forms and other resources, as well as public reporting and statistics regarding the program. VCF claims are filed online through a web portal, although hard copy claim forms are available if needed. The VCF also maintains a telephone Helpline, 1-855-885-1555, to answer claimant and attorney questions during regular business hours.

Electronic copies of the Department of Justice’s Congressional Budget Justifications and Capital Asset Plan and Business Case exhibits can be viewed or downloaded from the Internet using the Internet address: <https://www.justice.gov/CJ>.

FY 2021 Estimate

For FY 2021, it is estimated that, while monthly claim filings will trend downwards when compared to FY 2020, claim determinations, and therefore outlays, will continue to trend upwards as the VCF works to meet its goal of deciding claims within one year of when they were filed. The VCF anticipates that it will have minimal carryforward funding from FY 2020. The VCF estimates that approximately \$2.06 billion in new authority will be needed for the September 11th Victim Compensation Fund in FY 2021.

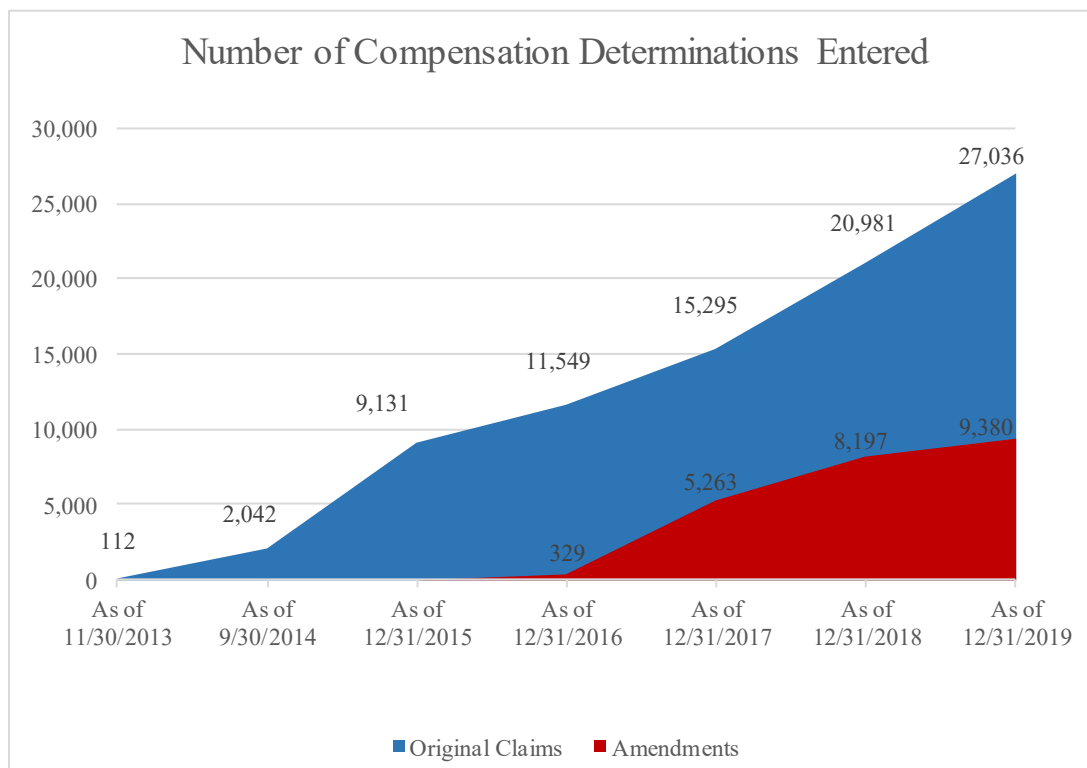
Significant Achievements

Over 27,000 Claimants with Awards

As of December 31, 2019, the VCF has received a total of 54,381 claims, and found 27,819 claimants eligible for compensation. The VCF has made initial award determinations on 27,036 eligible claims (see chart below), and has issued revised awards on 6,555 claims due to an amendment or appeal. The total amount awarded through December 31, 2019, is \$6.19 billion.

Improved Efficiency and Continued Robust Routine Operations including Appeals and Expedited Claims

The scale of the VCF's operations is noteworthy. Each month, the VCF's dedicated Helpline receives more than 3,000 incoming calls, and the VCF mails approximately 10,000 letters to claimants and their attorneys, processes an average of 3,000 pieces of incoming mail, and, on average, receives more than 600 new claims or amendments. The VCF also continues to work to expedite the timeframe in which claims are decided, shortening claim determination times from over two years in 2016, to between 16 and 18 months at present. In Calendar Year (CY) 2018, the VCF conducted over 380 appeal hearings, an increase of nearly 30 percent over CY 2017 appeals. In Calendar Year 2019, VCF conducted 609 total hearings, which is a 60% increase over CY 2018. The VCF also remains committed to expediting claims in cases involving terminal illness or significant financial hardship. Claims, for which an expedited status is granted, can go from claim filing to payment in less than three weeks. In CY 2019, the VCF expedited 495 claims.



Expanded Outreach

The VCF continued strategic efforts to provide basic information about the Fund and its eligibility requirements to communities affected by September 11th, in particular by leveraging partnerships with the World Trade Center (“WTC”) Health Program and 9/11 advocacy groups, piggybacking on their planned outreach events. VCF leadership meets regularly with 9/11-related organizations, including the WTC Health Program Responders Steering Committee, the WTC Health Program Survivors Steering Committee, Voices of 9/11, 9/11 Environmental Action, 9/11 Health Watch, and The FealGood Foundation, in an effort to provide training and resources to their staff or support their efforts to educate the broader community about the VCF.

II. Summary of Program Changes

Item Name	Description				Pg.
		Pos.	FTE	Dollars (\$000)	
Program Increase - VCF	Additional funding for Civil’s VCF program to pay eligible claims.	0	0	\$2,061,346	14

III. Appropriations Language and Analysis of Appropriations Language

The FY 2021 budget request does not include proposed changes in the appropriations language.

IV. Program Activity Justification

Mission Statement: The VCF is committed to issuing determinations on eligibility and compensation claims in a manner that is faithful to its statute, fair to claimants, and defensible to taxpayers.

Program Description

In 2001, immediately following the attacks of September 11, 2001, Congress created the September 11th Victim Compensation Fund, which provided compensation for economic and non-economic loss to individuals, or the personal representative of individuals, who were killed or physically injured as a result of the terrorist attacks. As established by Congress, the VCF provides a no-fault alternative to tort litigation; any individual who chooses to seek compensation from the VCF waives the right to sue for damages for 9/11-related physical injury or death. The original VCF (“VCF1”), under the direction of Special Master Kenneth Feinberg, closed in 2004, having paid over \$7.05 billion to personal representatives of 2,880 people who died in the attacks and to 2,680 claimants who were injured in the attacks or the rescue efforts conducted immediately thereafter.

On January 2, 2011, President Barack H. Obama signed into law the James Zadroga 9/11 Health and Compensation Act of 2010 (Pub. Law No. 111-347) (“Zadroga Act”). Title I of the Zadroga

Act created the WTC Health Program, which is operated by the National Institute for Occupational Safety and Health (“NIOSH”) and provides medical treatment and monitoring for 9/11- related health conditions. Title II of the Zadroga Act reopened the VCF and expanded the eligibility criteria to include those who took part in the debris removal efforts during the immediate aftermath of the attacks. The Zadroga Act originally authorized the VCF to accept claims for five years, starting in October 2011, and ending in October 2016, with final payments to be made during a sixth year in 2016-2017.

On December 18, 2015, President Obama signed into law the James Zadroga 9/11 Victim Health and Compensation Reauthorization Act (Pub. Law No. 114-113) (“Reauthorization Act”), which included the reauthorization of the VCF. The Reauthorization Act extended the VCF for five additional years, allowing claims to be filed until December 18, 2020, and included important changes to the VCF’s policies and procedures for evaluating claims and calculating each claimant’s loss.

On July 29, 2019, President Donald J. Trump signed into law the Never Forget the Heroes: James Zadroga, Ray Pfeifer, and Luis Alvarez Permanent Authorization of the September 11th Victim Compensation Fund Act (Pub. Law No. 116-34), which once again extended the VCF’s claim filing deadline, this time until October 1, 2090.

Eligibility Requirements: To be eligible for compensation from the VCF, an individual must meet the following requirements: (1) timely register to file a claim by his or her applicable registration deadline; (2) have a 9/11-related physical health condition certified for treatment or verified by the World Trade Center (“WTC”) Health Program; and (3) demonstrate that he or she was present at a 9/11 crash site or the New York City Exposure Zone¹ at any time between September 11, 2001, and May 30, 2002, when the official clean-up effort ended. In addition, when applicable, the following requirements also must be met: (1) if the individual is filing a claim on a victim’s behalf, he or she must show the proper legal authority to file the claim; (2) if the individual was a party to a 9/11-related lawsuit he or she must show the lawsuit was properly settled, dismissed, withdrawn, or otherwise properly resolved; and (3) if already compensated under VCF1 (the VCF that operated from 2001-2004), the individual must be able to show a new condition or new loss not previously compensated. If the VCF denies a claim as ineligible, the claimant has 30 days from the date of the letter, per the statute, to file an administrative appeal of the decision.

¹ The “NYC Exposure Zone” is defined as “the area in Manhattan south of the line that runs along Canal Street from the Hudson River to the intersection of Canal Street and East Broadway, north on East Broadway to Clinton Street, and east on Clinton Street to the East River; and any area related to or along the routes of debris removal, such as barges and Fresh Kills landfill. <https://www.vcf.gov/nycExposureMap.html>

NYC Map of Exposure Zone

The NYC Exposure Zone is defined as the area in Manhattan south of the line that runs along Canal Street from the Hudson River to the intersection of Canal Street and East Broadway, north on East Broadway to Clinton Street, and east on Clinton Street to the East River; and any area related to or along the routes of debris removal, such as barges and Fresh Kills landfill.



Calculation of Awards: All VCF awards are individually calculated and are comprised of three components: non-economic loss (typically referred to as “pain and suffering”); (2) economic loss, which includes loss of past and/or future earnings and employment benefits, replacement services loss, and/or out-of-pocket medical expenses related to the eligible condition; and (3) collateral offsets, which are benefits or payments received from other sources for the eligible condition, which the VCF is required by statute to subtract from the calculated amount of loss.

There is no cap on the total amount of an individual’s VCF award. There are, however, statutory caps on the amount of non-economic loss that can be awarded as well as a gross annual income limit (currently \$200,000 but subject to periodic adjustment by the Special Master for inflation) applicable to economic loss claims. Non-economic loss awards are capped at \$90,000 for non-cancer conditions and \$250,000 for a cancer condition. Non-economic loss awards are not based on the number of conditions an individual may have, but are based on the severity of the condition(s) and the collective impact of the conditions on the individual’s quality of life. Under current policy, non-economic awards range between \$10,000 (for claimants with the least severe physical health conditions) and \$340,000 (for claimants with multiple cancers or with cancer and a severe non-cancer condition). Economic loss awards require a third-party finding of occupational disability on the basis of a VCF-eligible condition and that the claimant

demonstrate that he or she lost earnings or employment-related benefits as a result of the condition. Economic loss awards compensate for past and future losses and consider factors such as age, income, percentage of disability (e.g., whether the disability is partially attributable to ineligible conditions), date of onset of disability, and employer-provided benefits. All awards include a 30-day appeal right and the individual may file an administrative appeal if they believe that the VCF made an error in calculating the award. Claims may be amended, even after an award is paid, if the claimant has a new eligible condition or suffers a new compensable loss.

Payments: VCF claims are paid in full in a lump sum. The statute requires the Special Master to authorize payment within 20 days of the date the award is determined to be final either as a result of the lack of an appeal, or based on a post-appeal determination.

As stated in the statute, the Special Master's determinations on eligibility and compensation are final and not subject to judicial review.

Funding History

Unlike the 2001-2004 VCF1, which had no cap on funding, the 2011 Zadroga Act, as amended, provided VCF2 with a limited appropriation – originally \$2.78 billion, with \$875 million available in the first five years – to provide compensation to claimants and to cover the Fund's administrative costs. Awards under the 2011 Zadroga Act were paid on a pro rata basis, with all claimants receiving 10 percent of their award determinations, with the remainder to be paid out of the remaining available funds at the close of the program in October 2016. As of December 31, 2015, the VCF had made 9,131 award determinations, totaling over \$1.81 billion, but had paid only \$145.64 million of that value.

In 2015, with passage of the Reauthorization Act, an additional \$4.60 billion was appropriated, to bring the VCF's total funding to \$7.38 billion. The Reauthorization Act required the VCF, as soon as practicable, to pay the remaining value of the awards already determined and then, beginning October 1, 2016, pay new awards at full value. As of September 30, 2019, the VCF had made awards to 24,318 individuals (some more than once due to an amendment or appeal) totaling \$5.67 billion, and paid out over \$5 billion.²

All of the VCF's administrative funding comes from the VCF's total appropriation, *see* Public Law No. 112-10 (Apr. 15, 2011), and, as such, is part of the same pool of funds available for awards to claimants. For that reason, the VCF is committed to keeping administrative costs down while also minimizing the burden placed on claimants and their representatives, and maximizing claims processing speed and efficiency. As of December 31, 2019, the VCF's administrative costs are less than three percent of total award funding issued.

Under the Zadroga Act as amended by the Reauthorization Act, the Attorney General has the authority to accept contributions made to the VCF, with the Act specifying that any such

² It is typical for there to be some gap between the total amount the VCF has awarded and the total amount paid. Payments can be delayed pending appeals, the need to secure missing documentation required for payment, or, for deceased victims, due to requirements relating to orders issued by probate or surrogates' courts relating to the proper administration of the decedent's estate.

accepted contributions should be used to pay awards before the VCF returns to the use of appropriated funding. In March 2018, the Special Master, exercising this authority as delegated from the Attorney General, petitioned the United States Attorney for the Northern District of Illinois to remit \$6.2 million in funds to the VCF that had been held in the account of a member of al-Qaeda maintained by a Chicago brokerage company and that had been forfeited to the United States in a civil enforcement proceeding. *United States v. All Funds on Deposit with R.J. O'Brien & Associates, Held in the Name of Bridge Investment, S.L. Bearing Account Numbers XXX-X3931 and XXX-X1784, Maintained at Harris Bank, Account Number XXX-171-6*, Civil Action No. 1:11-cv-04175 (N.D. Ill.) (“Bridge”). The Special Master asserted a claim for the forfeited Bridge funds on behalf of the VCF’s claimants, all of whom suffer as a result of the terrorist attacks of September 11, 2001, orchestrated and carried out by al-Qaeda, and sought to use those funds to provide compensation for economic and non-economic losses of VCF claimants under the VCF’s standard policies and procedures. This petition was granted by the United States Attorney acting in conjunction with the Department of Justice’s Criminal Division and under applicable regulations governing the remission of forfeited funds in April 2019, and the funding was transferred to the VCF by the United States Marshals Service in July. As required by law, the VCF began disbursement of the funding immediately and it was exhausted by July 19, 2019.

Because of the limited funding available to the VCF, the 2015 Reauthorization Act included a requirement that the Special Master conduct an annual reassessment of the VCF’s policies and procedures to ensure that: (1) funding is prioritized for those individuals who suffer from the most debilitating physical conditions; and (2) funds are not obligated in excess of the \$7.38 billion appropriation. Under the law, if, as a result of this annual reassessment, the Special Master determined that these requirements are not met, the Special Master is required to take actions or make modifications to VCF policies and procedures as necessary to achieve these statutory requirements.

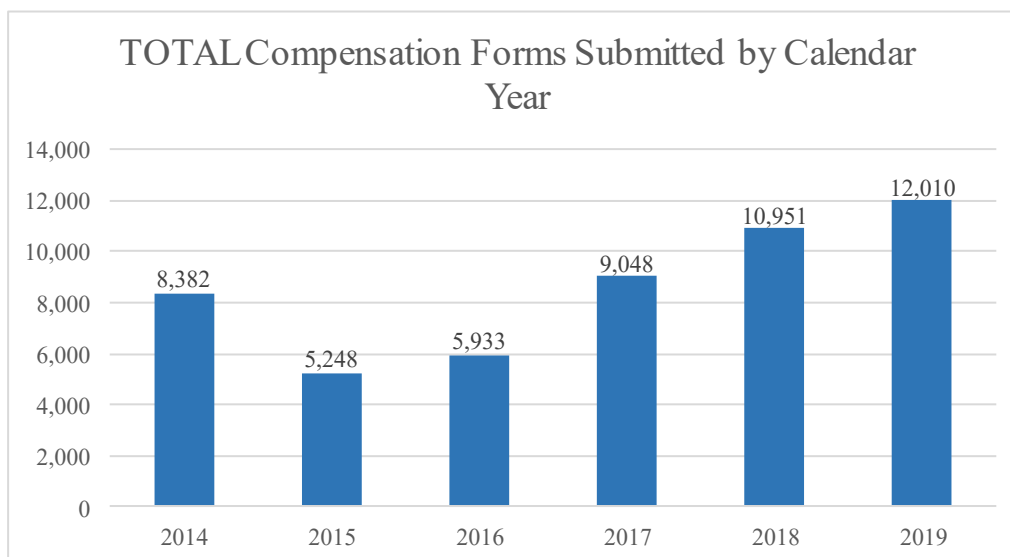
On February 25, 2019, the Special Master met the statutory obligation to periodically assess the financial solvency of the VCF and determined that the existing funding would be insufficient to pay all pending or projected claims. Accordingly, reductions ranging between 50 and 70 percent were implemented for awards issued after February 25. Nearly 1,700 claims were impacted by these reductions. With the signing of the Permanent Authorization Act, the Special Master determined that funding is now sufficient and that award reductions are now unnecessary. Accordingly, and as required by law, the VCF put into place a plan to notify those who received reduced awards of the full award amount as quickly as possible. On July 31, the first letter notifying a claimant of a restored award was sent, and on August 2, the first restored award payment was processed. All claimants who received a reduced award, and whose claims could be paid, received notification of their unreduced award before September 11, 2019.

The 2019 Permanent Authorization Act fully funded the VCF; it appropriates “such funds as may be necessary” in each fiscal year, starting in 2019 through fiscal year 2092, to compensate all eligible claims. The Act also requires the VCF to restore all previously reduced awards. The VCF notified all claimants (whose claims could be paid) of their unreduced awards by September 11, 2019.

Historical Claims Statistics and Trends

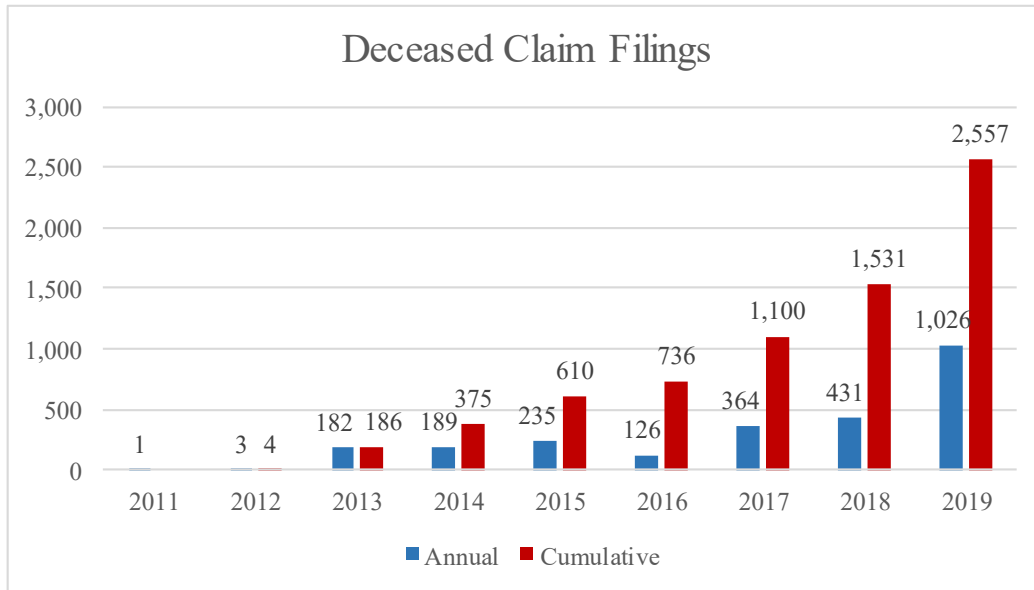
There is no accurate count of how many people might have been exposed to toxins stemming from the attacks, and there is considerable uncertainty about the number of individuals who ultimately will fall ill due to the long latency periods that can elapse before manifestation of the cancers determined to be related to exposure of the 9/11 events. The VCF also has suffered from an information gap; in the early years of the program, many eligible individuals were not aware that the VCF was an available resource, assuming it was meant only for New York City first responders.

As a result of increasing outreach, not only within the federal government but by victims' advocacy groups, by the World Trade Center Health Program, and by the lawyers who represent over 80 percent of VCF claimants, but also as a harbinger of the increasing seriousness of 9/11-related illnesses, the VCF received a record number of new claims in CY 2018, and surpassed that record in CY 2019. For perspective, in the first five years of the Fund, through December 31, 2016, roughly 19,000 compensation forms were filed. In the two years after that, through December 2018, almost 20,000 additional compensation forms were filed, with an additional 12,010 compensation forms filed in CY 2019.

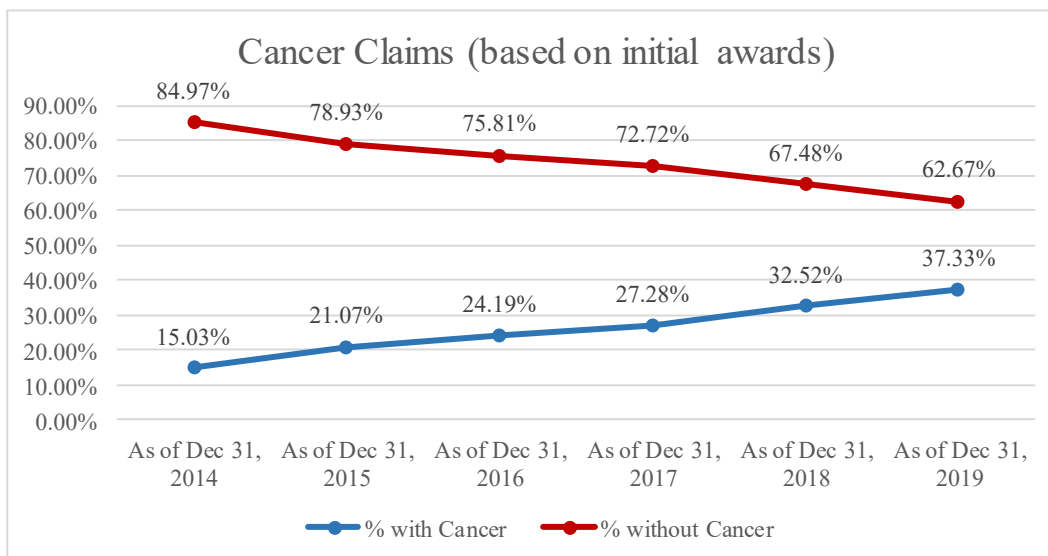


In addition to the increased outreach efforts, the increase in claim filings is influenced by a number of factors, including significant changes to the composition of the VCF's claimant population in the last three years, in terms of the types of claims filed, the types of conditions claimed, and the types of claimants filing claims. Notably, we have seen:

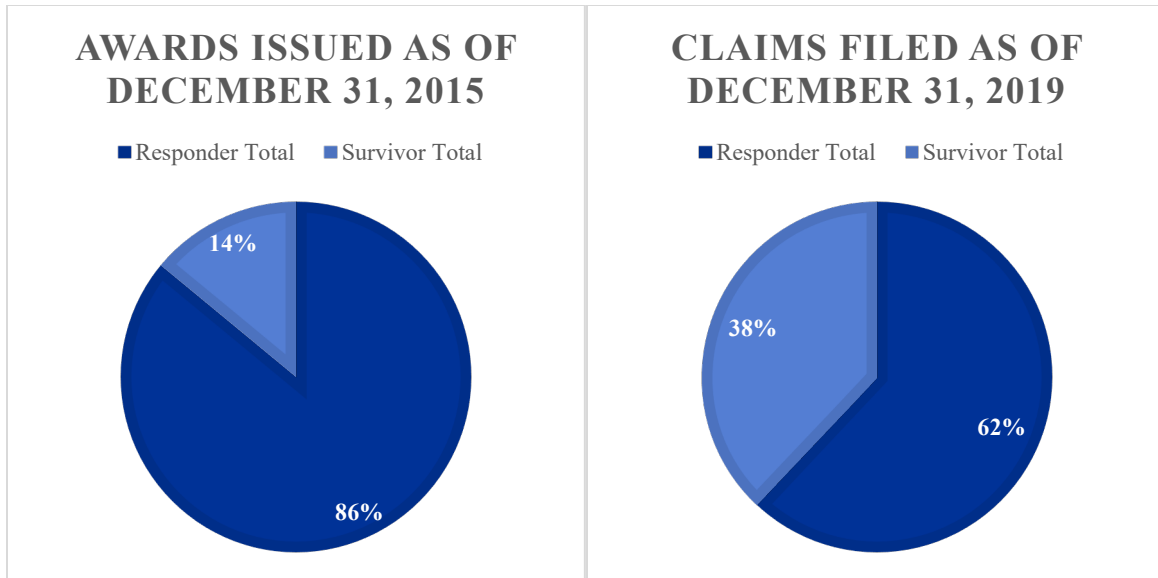
- A dramatic increase in deceased claim filings, meaning claims filed on behalf of those who have died as a result of their 9/11-related physical health condition. At the end of 2015, the VCF had received 610 deceased claims. As of December, 2019, VCF has received 2,557, including 1,026 filed in CY 2019.



- A substantial increase in cancer claims. The VCF has found over 11,219 cancer claimants eligible, and awarded compensation to 10,092 of these individuals.



- A marked increase in claims from the survivor population, meaning those who lived, worked, or went to school in the area. In December 2015, not quite 14 percent of all VCF awards were paid to survivors. As of December 31, 2019, 38 percent of claims filed are from this population.



With these trends, and factoring in that deceased claims and cancer claims tend to be higher value awards, and that the VCF has made significant process improvements, which have significantly increased the rate at which awards are issued, more claimants are seeking compensation and more determinations are being rendered, resulting in increasing fiscal outlays.

The VCF's actual and anticipated outlays through FY 2021 are set out below:

SEPTEMBER 11th VICTIM COMPENSATION FUND					
Claims Filed and Approved					
(Dollars in Thousands)					
	Program Totals as of Beginning FY 2018 (2011-October 1, 2017)	FY 2018 Actuals	FY 2019 Actuals	FY 2020 Estimate	FY 2021 Estimate
Eligibility Forms Filed	30,081	8,421	13,217	7,200	6,000
Eligibility Decisions Rendered	21,899	3,507	4,782	8,000	10,000
Eligibility Decisions Pending	7,374	10,048	13,914	14,812	9,312
Compensation Forms Filed	26,549	9,076	13,998	7,200	6,000
Count of Claims with at Least one Compensation Determination	19,724	5,402	4,594	10,000	12,000
Original Compensation Determinations Pending	8,881	10,612	14,651	15,582	9,582
Total Dollar Value of Compensation Decisions*	\$3,096,867	\$1,287,679	\$1,289,030	\$2,427,846	\$2,913,415
Administrative Costs	\$101,300	\$25,851	\$31,145	\$43,082	\$44,982
Total Dollar Value Expended	\$3,198,167	\$1,313,530	\$1,320,175	\$2,470,928	\$2,958,397
*Includes all awards issued on the claims, original or revised due to amendment or appeal.					

Program Sunset

Under the 2019 Permanent Authorization Act, all claims, or amendments to existing claims, must be submitted on or before the VCF's claim filing deadline of October 1, 2090. Any claims received after that date will be barred. On October 1, 2092, or at such time thereafter as all funds are expended, the Victim Compensation Fund shall be permanently closed.

Claims Data

The VCF's most recent monthly program statistics report is set out below.

VCF Program Statistics

(As of December 31, 2019)

(Dollars in Thousands)

ELIGIBILITY	As of December 31, 2019
Total Eligibility Claims	54,381
Eligibility Decisions - Approved	27,819
Personal Injury Claims	26,524
Deceased Claims	1,295
Eligibility Decisions - Not Eligible	5,675
Denied	1,619
Ineligible due to failure to respond to requests for required information	4,056
Eligibility Claims In Process	13,545
Eligibility Claims Unable to Process	7,342
COMPENSATION	As of December 31, 2019
Total Compensation Claims	51,572
Claims with In-Process Eligibility Review	13,539
Claims deemed Denied, Duplicate, Superseded or otherwise halted Eligibility review	10,475
Total Compensation Forms from claimants who have been deemed Eligible	27,558
Compensation Decisions Rendered - Initial Award Decisions	27,036
Personal Injury Claims	25,914
Deceased Claims	1,122
Compensation Claims in Process	485
Compensation Claims - Unable to Process	37
Claims with at least one Compensation Amendment or Appeal Submitted	9,753
Claims with at least one Revised Compensation Decision rendered	6,555
Claims pending review for a Revised Decision	2,684
Total Dollar Value of Compensation Decisions	\$ 6,186,942

Performance, Resources, and Strategies

The VCF has two offices – one in Washington, D.C., and the other in New York City. The Washington, D.C., office is the hub of the Program’s claim review activity and the various activities that support end-to-end claims processing. The VCF Claims Processing Center (“CPC”) is located in Washington, D.C., as is the Special Master. The CPC includes the following teams and activities: Helpline, Document Intake, File Management, Claim Review, Correspondence, Payment, Claims Management System development and maintenance, Quality Review, Claim Review Support, and Data and Analytics.

The VCF New York Office is the primary location of the VCF’s appeals process and outreach activities, and serves a critical role in maintaining a strong VCF presence where so many of the claimants still reside.

a. Performance Plan and Report for Outcomes

The Program’s primary objective is to implement civil justice reform initiatives to resolve categories of claims for which traditional litigation has proven ineffective and to administer compensation programs enacted by Congress that offer non-litigation solutions for claimants.

b. Strategies to Accomplish Outcomes

The Program will employ the following strategies to accomplish this mission:

- In order to communicate efforts regarding implementation and improvements to the VCF, relevant stakeholders are kept informed of VCF messages, news, enhancements, and program data through weekly postings of detailed program statistics on the VCF website, quarterly reports of VCF activity, and a comprehensive annual status report. Postings and reports contain accurate data and analysis and compelling narratives.
- Claims are reviewed and processed in accordance with statutory requirements and published procedures, with eligibility and compensation determinations rendered in established priority order (first in, first out, except for claims for which an expedited status is granted on the basis of a terminal illness or significant financial hardship). Claimants are notified in writing of the calculated amount. Payment is authorized to claimants within 20 days after the claimant accepts the presumed award or a final award is determined after a hearing on appeal.
- Procedures are implemented to prevent and detect fraud in the administration of the Victim Compensation Fund. Procedures to ensure that the total expenditures, including administrative costs in providing compensation for claims in Group B, do not exceed the funds deposited into the Victim Compensation Fund are developed and implemented in accordance with statute. Periodic quality control audits are conducted in accordance with established procedures to evaluate accuracy of submissions and payments.
- Resources are managed to effectively administer the VCF in partnership with stakeholders, including through cooperative relationships, data exchanges, and outreach events. Outreach events include presentations, speaking engagements, and training

seminars. Quality indicators of success include improved awareness and understanding by attendees of the topic presented.

V. Program Increase:

Organizational Program: Civil Division, September 11th Victim Compensation Fund

Strategic Goal: 4.1 – Uphold the Rule of Law and Integrity in the Proper Administration of Justice

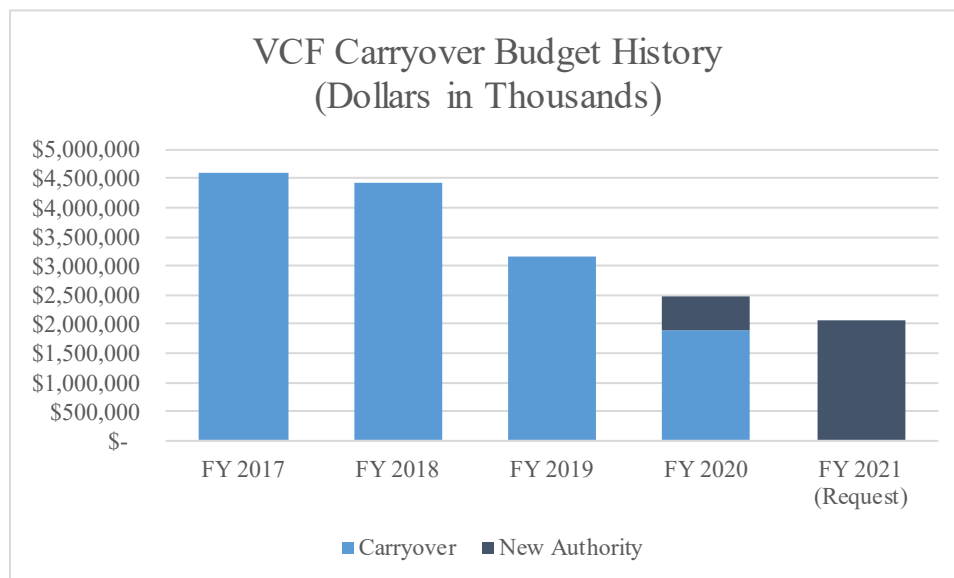
Program Increase: Positions 0 Atty 0 FTE 0 \$2,061,346,000

Description of Item

The Civil Division seeks a program increase of \$2,061,346,000 to pay September 11th Victim Compensation Fund (VCF) claims and administrative expenses in Fiscal Year (FY) 2021.

Justification

In FY 2021, the Civil Division anticipates exhausting carryover balances and needing an influx of resources. As such, a return to a higher appropriated level of \$2.061 billion is requested.



Impact on Performance

The Civil Division is required by law to pay valid VCF claims. Without increased new authority in FY 2021, Civil will not have the funds available to pay the anticipated claims.

Base Funding

<i>FY 2019 Enacted</i>				<i>FY 2020 Enacted*</i>				<i>FY 2021 Current Services</i>			
Pos	Agt/ Atty	FTE	\$(000)	Pos	Agt/ Atty	FTE	\$(000)	Pos	Agt/ Atty	FTE	\$(000)
[30]	[28]	[30]	\$0	[37]	[31]	[37]	\$897,051	[37]	[31]	[37]	\$897,051

* FY 2020 Enacted assumes \$897,051,000 in new authority will be provided later in the fiscal year. This will be subject to a sequester reduction of \$2,424,900, for a total FY 2020 Enacted amount of \$896,626,100.

Personnel Increase/Reduction Cost Summary

Type of Positions/Series	Unit Cost	Quantity	FY 2021 Request (\$000)	FY 2021 Net Annualization (change from 2020) (\$000)	FY 2023 Net Annualization (change from 2021) (\$000)
Annualization of FY 2020 Positions	\$109	7	\$768	\$0	\$0
Total Non- Personnel	\$109	7	\$768	\$0	\$0

Non-Personnel Increase/Reduction Cost Summary

Non- Personnel Item	Unit Cost	Quantity	FY 2021 Request (\$000)	FY 2021 Net Annualization (change from 2020) (\$000)	FY 2023 Net Annualization (change from 2021) (\$000)
VCF Claims	N/A	N/A	\$2,056,510	\$0	\$0
Administrative	N/A	N/A	\$4,068	\$0	\$0
Total Non- Personnel	N/A	N/A	\$2,060,578	\$0	\$0

Total Request for this Item

	Pos	Agt/ Atty	FTE	Personnel (\$000)	Non-Personnel (\$000)	Total (\$000)	FY 2021 Net Annualizat ion (change from 2020) (\$000)	FY 2022 Net Annualiza tion (change from 2021) (\$000)
Current Services	[37]	[31]	[37]	\$6,403	\$890,648	\$897,051	\$0	\$0
Increases	0	0	0	\$768	\$2,060,578	\$2,061,346	\$0	\$0
Grand Total	[37]	[31]	[37]	\$7,171	\$2,951,226	\$2,958,397	\$0	\$0

VI. Program Decrease:

Not applicable.

B. Summary of Requirements

Summary of Requirements

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

	FY 2021 Request		
	Positions	Estimate FTE	Amount
2019 Enacted 1/	[30]	[30]	0
Total 2019 Enacted	0	0	0
2020 Enacted 2/	[37]	[37]	897,051
2020 Sequester Cut	0	0	-2,425
Total 2020 Enacted	[37]	[37]	894,626
Technical Adjustments			
FY2020 Sequester Cut Available in FY2021	0	0	2,425
Total Technical Adjustments	0	0	2,425
Base Adjustments			
Total Technical and Base Adjustments	0	0	2,425
2021 Current Services	[37]	[37]	897,051
Program Changes			
Increases:			
VCF Fund	0	0	2,061,346
Subtotal, Increases	0	0	2,061,346
Total Program Changes	0	0	2,061,346
2021 Total Request	[37]	[37]	2,958,397
2020 - 2021 Total Change	0	0	2,063,771

^{1/} FY 2019 FTE is actual

Summary of Requirements

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

Program Activity	FY 2019 Enacted			FY 2020 Enacted 1/			FY 2021 Technical and Base Adjustments			FY 2021 Current Services		
	Positions	Actual FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Victim Compensation Fund	[30]	[30]	0	[37]	[37]	894,626	0	0	2,425	[37]	[37]	897,051
Total Direct	[30]	[30]	0	[37]	[37]	894,626	0	0	2,425	[37]	[37]	897,051
Balance Rescission			0			0			0			0
Total Direct with Rescission			0			894,626			2,425			897,051
Reimbursable FTE		0			0			0			0	
Total Direct and Reimb. FTE		[30]			[37]			0			[37]	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
Grand Total, FTE		[30]			[37]			0			[37]	

Program Activity	2021 Increases			2021 Offsets			2021 Request		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Victim Compensation Fund	0	0	2,061,346	0	0	0	[37]	[37]	2,958,397
Total Direct	0	0	2,061,346	0	0	0	[37]	[37]	2,958,397
Balance Rescission			0			0			0
Total Direct with Rescission			2,061,346			0			2,958,397
Reimbursable FTE		0			0			0	
Total Direct and Reimb. FTE		0			0			[37]	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
Grand Total, FTE		0			0			[37]	

FY 2021 Program Increases/Offsets by Decision Unit

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

Program Increases	Location of Description by Program Activity	Victim Compensation Fund				Total Increases			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
VCF Fund	14	0	0	0	2,061,346	0	0	0	2,061,346
Total Program Increases		0	0	0	2,061,346	0	0	0	2,061,346

Program Offsets	Location of Description by Program Activity	Victim Compensation Fund				Total Offsets			
		Positions	Agt./Atty.	Est. FTE	Amount	Positions	Agt./Atty.	Est. FTE	Amount
No Program Offsets									
Total Program Offsets									

Resources by Department of Justice Strategic Goal and Objective

Victims Compensation Fund
Salaries and Expenses
(Dollars in Thousands)

Strategic Goal and Strategic Objective	FY 2019 Enacted		FY 2020 Enacted		FY 2021 Current Services		FY 2021 Increases		FY 2021 Offsets		FY 2021 Total Request	
	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount
Goal 4 Promote Rule of Law, Integrity, and Good Government												
4.1 Uphold the rule of law and integrity in the proper administration of justice	[30]	0	[37]	894,626	[37]	897,051	0	2,061,346	0	0	[37]	2,958,397
Subtotal, Goal 4	[30]	0	[37]	894,626	[37]	897,051	0	2,061,346	0	0	[37]	2,958,397
TOTAL	[30]	0	[37]	894,626	[37]	897,051	0	2,061,346	0	0	[37]	2,958,397

E. Justification for Technical and Base Adjustments

Justifications for Technical and Base Adjustments

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

	Positions	Estimate FTE	Amount
Technical Adjustments			
1 FY2020 Sequester Cut Available in FY2021 Restoration of 2020 Sequester	0	0	2,425
Subtotal, Technical Adjustments	0	0	2,425
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	0	0	2,425

Crosswalk of 2019 Availability

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

Program Activity	FY 2019 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2019 Availability		
	Positions	Actual FTE	Amount	Positions	Actual FTE	Amount	Amount	Amount	Positions	Actual FTE	Amount
9/11 Victim Compensation Fund	[30]	[30]	0	0	0	6,226	3,162,613	4,526	[30]	[30]	3,173,365
Total Direct	[30]	[30]	0	0	0	6,226	3,162,613	4,526	[30]	[30]	3,173,365
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			0			6,226	3,162,613	4,526			3,173,365
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		[30]			0					[30]	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		[30]			0					[30]	

Reprogramming/Transfers:

MLARS transferred \$6,226,000 to VCF in FY 2019.

Carryover:

VCF carried forward \$3,162,613,000 in unobligated balances in the 0139 account from FY 2018 to FY 2019.

Recoveries/Refunds:

VCF recovered a total of \$4,526,787 from the 0139 and 0340 accounts in FY 2019.

Crosswalk of 2020 Availability

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

Program Activity	FY 2020 Enacted 1/			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2020 Availability		
	Position s	Est. FTE	Amount	Position s	Est. FTE	Amount	Amount	Amount	Position s	Est. FTE	Amount
Victim Compensation Fund	[37]	[37]	894,626	0	0	0	1,881,992	0	[37]	[37]	2,776,618
Total Direct	[37]	[37]	894,626	0	0	0	1,881,992	0	[37]	[37]	2,776,618
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			894,626			0	1,881,992	0			2,776,618
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		[37]			0					[37]	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		[37]			0					[37]	

Carryover:

VCF carried forward \$1,881,991,651 in unobligated balances in the 0139 account from FY 2019 to FY 2020.

Detail of Permanent Positions by Category

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

Category	FY 2019 Enacted		FY 2020 Enacted		FY 2021 Request				
	Direct Pos.	Reimb. Pos.	Direct Pos.	Reimb. Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.
Attorneys (905)	[28]	0	[31]	0	0	0	0	[31]	0
Other	[2]		[6]					[6]	
Total	[30]	0	[37]	0	0	0	0	[37]	0
Headquarters Washington D.C.	[27]	0	[34]	0	0	0	0	[34]	0
US Fields	[3]	0	[3]	0	0	0	0	[3]	0
Foreign Field		0	0	0	0	0	0	0	0
Total	[30]	0	[37]	0	0	0	0	[37]	0

Financial Analysis of Program Changes

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

Grades	Victim Compensation Fund				Total Program Changes	
	Program Increases		Program Decreases			
	Positions	Amount	Positions	Amount	Positions	Amount
SES	0	194			0	194
SL	0	194			0	194
GS-15	0	2,645	0	0	0	2,645
GS-14	0	2,441			0	2,441
No grades	0	0	0	0	0	0
Total Positions and Annual Amount	0	5,474	0	0	0	5,474
Lapse (-)	0	0	0	0	0	0
11.5 Other Personal Compensation		0		0		0
Total FTEs and Personnel Compensation	0	5,474	0	0	0	5,474
12.1 - Civilian personnel benefits		1699		0		1699
25.7 - Operation and maintenance of equipment		52		0		52
26.0 - Supplies and materials		8		0		8
31.0 - Equipment		139		0		139
42.0 - Insurance claims and indemnities		2016364		0		2016364
21.0 Travel and Transportation of Persons		188		0		188
22.0 Transportation of Things		1		0		1
23.1 Rental Payments to GSA		259		0		259
23.2 Rental Payments to Others		17		0		17
23.3 Communications, Utilities, and Misc. Charges		527		0		527
25.1 Advisory and Assistance Services		35628		0		35628
25.2 Other Services from Non-Federal Sources		106		0		106
25.3 Other Goods and Services from Federal Sources		850		0		850
25.4 Operation and Maintenance of Facilities		33		0		33
25.6 Medical Care		1		0		1
Total Program Change Requests	0	2,061,346	0	0	0	2,061,346

Summary of Requirements by Object Class

Victims Compensation Fund

Salaries and Expenses

(Dollars in Thousands)

Object Class	FY 2019 Actual		FY 2020 Enacted		FY 2021 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	[30]	571	[37]	192	[37]	194	0	2
11.3 - Other than full-time permanent	0	3,811	0	5,108	0	5,271	0	163
11.5 - Other personnel compensation	0	147	0	9	0	9	0	0
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.5 Other Personal Compensation	0	0	0	0	0	0	0	0
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 Special Personnel Services Payments	0	-1	0	0	0	0	0	0
Total	[30]	4,528	[37]	5,309	[37]	5,474	0	165
Other Object Classes								
12.1 - Civilian personnel benefits		1,303		1,563		1,699	0	136
21.0 - Travel and transportation of persons		138		185		188	0	3
22.0 - Transportation of things		0		1		1	0	0
23.1 - Rental payments to GSA		187		254		259	0	5
23.2 - Rental payments to others		16		16		17	0	1
23.3 - Communications, utilities, and miscellaneous charges		59		517		527	0	10
25.1 - Advisory and assistance services		24,902		34,070		35,628	0	1,558
25.2 - Other services from non-federal sources		4		104		106	0	2
25.3 - Other goods and services from federal sources		754		835		850	0	15
25.4 - Operation and maintenance of facilities		24		33		33	0	0
25.6 - Medical care		0		1		1	0	0
25.7 - Operation and maintenance of equipment		50		51		52	0	1
26.0 - Supplies and materials		1		8		8	0	0
31.0 - Equipment		229		137		139	0	2
42.0 - Insurance claims and indemnities		1,259,178		2,733,534		2,913,415	0	179,881
Total Obligations		1,291,373		2,776,618		2,958,397	0	181,779
Net of:								
Unobligated Balance, Start-of-Year		-3,162,613		-1,881,992		0	0	1,881,992
Transfers/Reprogramming		-6,226		0		0	0	0
Recoveries/Refunds		-4,526		0		0	0	0
Sequester		0		2,425		0	0	-2,425
Unobligated End-of-Year, Available		1,881,992		0		0	0	0
Unobligated End-of-Year, Expiring		0		0		0	0	0
Total Direct Requirements		0		897,051		2,958,397		2,061,346
Reimbursable FTE								
Full-Time Permanent	0		0		0		0	0