



## U. S. Department of Justice

### Civil Rights Division

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Assistant Attorney General

Washington, D.C. 20530

August 16, 2010

Dear Chief Justice/State Court Administrator:

In the past decade, increasing numbers of state court systems have sought to improve their capacity to handle cases and other matters involving parties or witnesses who are limited English proficient (LEP). In some instances the progress has been laudable and reflects increased recognition that language access costs must be treated as essential to sound court management. However, the Department of Justice (DOJ) continues to encounter state court language access policies or practices that are inconsistent with federal civil rights requirements. Through this letter, DOJ intends to provide greater clarity regarding the requirement that courts receiving federal financial assistance provide meaningful access for LEP individuals.

Dispensing justice fairly, efficiently, and accurately is a cornerstone of the judiciary. Policies and practices that deny LEP persons meaningful access to the courts undermine that cornerstone. They may also place state courts in violation of long-standing civil rights requirements. Title VI of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000d *et seq.* (Title VI), and the Omnibus Crime Control and Safe Streets Act of 1968, as amended, 42 U.S.C. § 3789d(c) (Safe Streets Act), both prohibit national origin discrimination by recipients of federal financial assistance. Title VI and Safe Streets Act regulations further prohibit recipients from administering programs in a manner that has the effect of subjecting individuals to discrimination based on their national origin. *See* 28 C.F.R. §§ 42.104(b)(2), 42.203(e).

The Supreme Court has held that failing to take reasonable steps to ensure meaningful access for LEP persons is a form of national origin discrimination prohibited by Title VI regulations. *See Lau v. Nichols*, 414 U.S. 563 (1974). Executive Order 13166, which was issued in 2000, further emphasized the point by directing federal agencies to publish LEP guidance for their financial assistance recipients, consistent with initial general guidance from DOJ. *See* 65 Fed. Reg. 50,121 (Aug. 16, 2000). In 2002, DOJ issued final Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons. 67 Fed. Reg. 41,455 (June 18, 2002) (DOJ Guidance). The DOJ Guidance and subsequent technical assistance letters from the Civil Rights Division explained that court systems receiving federal financial assistance, either directly or indirectly, must provide meaningful access to LEP persons in order to comply with Title VI, the Safe Streets Act, and their implementing regulations. The federal requirement to provide language assistance to LEP individuals applies notwithstanding conflicting state or local laws or court rules.

Despite efforts to bring courts into compliance, some state court system policies and practices significantly and unreasonably impede, hinder, or restrict participation in court proceedings and access to court operations based upon a person's English language ability. Examples of particular concern include the following:

1. Limiting the types of proceedings for which qualified interpreter services are provided by the court. Some courts only provide competent interpreter assistance in limited categories of cases, such as in criminal, termination of parental rights, or domestic violence proceedings. DOJ, however, views access to *all* court proceedings as critical. The DOJ Guidance refers to the importance of meaningful access to courts and courtrooms, without distinguishing among civil, criminal, or administrative matters. *See* DOJ Guidance, 67 Fed. Reg. at 41,462. It states that "every effort should be taken to ensure competent interpretation for LEP individuals during *all* hearings, trials, and motions," *id.* at 41,471 (emphasis added), including administrative court proceedings. *Id.* at 41,459, n.5.

Courts should also provide language assistance to non-party LEP individuals whose presence or participation in a court matter is necessary or appropriate, including parents and guardians of minor victims of crime or of juveniles and family members involved in delinquency proceedings. Proceedings handled by officials such as magistrates, masters, commissioners, hearing officers, arbitrators, mediators, and other decision-makers should also include professional interpreter coverage. DOJ expects that meaningful access will be provided to LEP persons in all court and court-annexed proceedings, whether civil, criminal, or administrative including those presided over by non-judges.

2. Charging interpreter costs to one or more parties. Many courts that ostensibly provide qualified interpreters for covered court proceedings require or authorize one or more of the persons involved in the case to be charged with the cost of the interpreter. Although the rules or practices vary, and may exempt indigent parties, their common impact is either to subject some individuals to a surcharge based upon a party's or witness' English language proficiency, or to discourage parties from requesting or using a competent interpreter. Title VI and its regulations prohibit practices that have the effect of charging parties, impairing their participation in proceedings, or limiting presentation of witnesses based upon national origin. As such, the DOJ Guidance makes clear that court proceedings are among the most important activities conducted by recipients of federal funds, and emphasizes the need to provide interpretation free of cost. Courts that charge interpreter costs to the parties may be arranging for an interpreter's presence, but they are not "providing" the interpreter. DOJ expects that, when meaningful access requires interpretation, courts will provide interpreters at no cost to the persons involved.

3. Restricting language services to courtrooms. Some states provide language assistance only for courtroom proceedings, but the meaningful access requirement extends to court functions that are conducted outside the courtroom as well. Examples of such court-managed offices, operations, and programs can include information counters; intake or filing offices; cashiers; records rooms; sheriff's offices; probation and parole offices; alternative dispute resolution programs; *pro se* clinics; criminal diversion programs; anger management classes; detention facilities; and other similar offices, operations, and programs. Access to these points of public contact is essential to the fair administration of justice, especially for unrepresented LEP persons. DOJ expects courts to provide meaningful access for LEP persons to such court operated or managed points of public contact in the judicial process, whether the contact at issue occurs inside or outside the courtroom.

4. Failing to ensure effective communication with court-appointed or supervised personnel. Some recipient court systems have failed to ensure that LEP persons are able to communicate effectively with a variety of individuals involved in a case under a court appointment or order. Criminal defense counsel, child advocates or guardians *ad litem*, court psychologists, probation officers, doctors, trustees, and other such individuals who are employed, paid, or supervised by the courts, and who are required to communicate with LEP parties or other individuals as part of their case-related functions, must possess demonstrated bilingual skills or have support from professional interpreters. In order for a court to provide meaningful access to LEP persons, it must ensure language access in all such operations and encounters with professionals.

DOJ continues to interpret Title VI and the Title VI regulations to prohibit, in most circumstances, the practices described above. Nevertheless, DOJ has observed that some court systems continue to operate in apparent violation of federal law. Most court systems have long accepted their legal duty under the Americans with Disabilities Act (ADA) to provide auxiliary aids and services to persons with disabilities, and would not consciously engage in the practices highlighted in this letter in providing an accommodation to a person with a disability. While ADA and Title VI requirements are not the same, existing ADA plans and policy for sign language interpreting may provide an effective template for managing interpreting and translating needs for some state courts.

Language services expenses should be treated as a basic and essential operating expense, not as an ancillary cost. Court systems have many operating expenses – judges and staff, buildings, utilities, security, filing, data and records systems, insurance, research, and printing costs, to name a few. Court systems in every part of the country serve populations of LEP individuals and most jurisdictions, if not all, have encountered substantial increases in the number of LEP parties and witnesses and the diversity of languages they speak. Budgeting adequate funds to ensure language access is fundamental to the business of the courts.

We recognize that most state and local courts are struggling with unusual budgetary constraints that have slowed the pace of progress in this area. The DOJ Guidance acknowledges that recipients can consider the costs of the services and the resources available to the court as part of the determination of what language assistance is reasonably required in order to provide meaningful LEP access. *See id.* at 41,460. Fiscal pressures, however, do not provide an exemption from civil rights requirements. In considering a system's compliance with language access standards in light of limited resources, DOJ will consider all of the facts and circumstances of a particular court system. Factors to review may include, but are not limited to, the following:

- The extent to which current language access deficiencies reflect the impact of the fiscal crisis as demonstrated by previous success in providing meaningful access;
- The extent to which other essential court operations are being restricted or defunded;
- The extent to which the court system has secured additional revenues from fees, fines, grants, or other sources, and has increased efficiency through collaboration, technology, or other means;
- Whether the court system has adopted an implementation plan to move promptly towards full compliance; and
- The nature and significance of the adverse impact on LEP persons affected by the existing language access deficiencies.

DOJ acknowledges that it takes time to create systems that ensure competent interpretation in all court proceedings and to build a qualified interpreter corps. Yet nearly a decade has passed since the issuance of Executive Order 13166 and publication of initial general guidance clarifying language access requirements for recipients. Reasonable efforts by now should have resulted in significant and continuing improvements for all recipients. With this passage of time, the need to show progress in providing all LEP persons with meaningful access has increased. DOJ expects that courts that have done well will continue to make progress toward full compliance in policy and practice. At the same time, we expect that court recipients that are furthest behind will take significant steps in order to move promptly toward compliance.

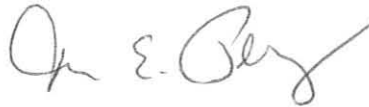
The DOJ guidance encourages recipients to develop and maintain a periodically-updated written plan on language assistance for LEP persons as an appropriate and cost-effective means of documenting compliance and providing a framework for the provision of timely and reasonable language assistance. Such written plans can provide additional benefits to recipients' managers in the areas of training, administrating, planning, and budgeting. The DOJ Guidance goes on to note that these benefits should lead most recipients to document in a written LEP plan their language assistance services, and how staff and LEP persons can access those services. In court systems, we have found that meaningful access inside the courtroom is most effectively implemented in states that have adopted a court rule, statute, or administrative order providing for universal, free, and qualified court interpreting. In addition, state court systems that have strong leadership and a designated coordinator of language services in the office of the court administrator, and that have identified personnel in charge of ensuring language access in each courthouse, will more likely be able to provide effective and consistent language access for LEP

individuals. Enclosed, for illustrative purposes only, are copies of Administrative Order JB-06-3 of the Supreme Judicial Court of Maine, together with the September 2008 Memorandum of Understanding between that court and DOJ. Also enclosed for your information is a copy of "Chapter 5: Tips and Tools Specific to Courts" from DOJ, *Executive Order 13166 Limited English Proficiency Document: Tips and Tools from the Field* (2004).

The Office of Justice Programs provides Justice Assistance Grant funds to the states to be used for state and local initiatives, technical assistance, training, personnel, equipment, supplies, contractual support, and criminal justice information systems that will improve or enhance criminal justice programs including prosecution and court programs. Funding language services in the courts is a permissible use of these funds.

DOJ has an abiding interest in securing state and local court system compliance with the language access requirements of Title VI and the Safe Streets Act and will continue to review courts for compliance and to investigate complaints. The Civil Rights Division also welcomes requests for technical assistance from state courts and can provide training for court personnel. Should you have any questions, please contact Mark J. Kappelhoff, Acting Chief, Federal Coordination and Compliance Section (formally known as Coordination and Review Section) at (202) 307-2222.

Sincerely,

A handwritten signature in black ink, appearing to read "Th. E. Perez", with a long, sweeping flourish extending to the right.

Thomas E. Perez  
Assistant Attorney General

Enclosures

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## QUESTIONS AND ANSWERS REGARDING THE AUGUST 16, 2010 TITLE VI LANGUAGE ACCESS GUIDANCE LETTER TO STATE COURTS

On August 16, 2010, Assistant Attorney General Tom Perez issued a language access guidance [letter to state courts](#) (Letter). The following series of questions and answers were developed to address several topics raised by state courts but not addressed directly by the letter.

**Question 1:** Does the definition of “court” include administrative hearing proceedings? Do the same requirements apply to the executive branch agencies responsible for conducting those hearings?

**Answer:**

The Letter addresses the application of Title VI of the Civil Rights Act of 1964 (Title VI) requirements to state court systems. The standards set forth in the Letter are applicable to all proceedings conducted by state courts including those considered administrative in nature. Although the Letter does not specifically address administrative adjudicative hearings conducted by state or local executive branch agencies that receive federal financial assistance, the reasoning would generally extend to them. Indeed, The Department of Justice (DOJ) Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition against National Origin Discrimination Affecting Limited English Proficient Persons (Guidance) states that: “As used in this appendix, the word ‘court’ or ‘courts’ includes administrative adjudicatory systems or administrative hearings administered or conducted by a recipient.” [67 Fed. Reg. 41,455 at 41,459 n.5](#) (June 18, 2002) (LEP Guidance).

**Question 2:** What are DOJ’s expectations with regard to using remote interpreters, both telephonic and by video? Is it acceptable to use a close-circuit network and videoconferencing to provide interpreter services when local certified or competent interpreters are not available?

**Answer:**

Remote interpreting is an appropriate and reasonable alternative in a variety of circumstances. Whether remote interpreting is a reasonable method to provide court language assistance depends on those circumstances, including, for example, whether other participants to the proceeding or program are appearing remotely, the availability of qualified in-person interpreters, the quality of the remote technology, the nature and duration of the proceeding or communication, the relative quality, and cost and delay associated with the in-person and remote interpreters.

**Question 3:** The “second check” of a non-certified interpreter’s skills discussed in Section IX C. 1 of the Guidance can be time consuming and difficult to accomplish (finding a second person who is bilingual and willing to come to court at all hours for this purpose). This process also presumes that the second person has a competence in both languages. Is this process an example of how this situation could be addressed or is it required?

**Answer:**

The Department of Justice (DOJ) Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition against National Origin Discrimination Affecting Limited English Proficient (LEP) Persons states that “this ‘second check’ solution may be one appropriate way of ensuring meaningful access to the LEP individual.” [67 Fed. Reg. at 41,471](#). It is not, therefore, a requirement. What is required is that courts have an appropriate system in place to determine the qualifications of an interpreter who is not certified because the needed exams have not been devised in the relevant language. A variety of fora exist for sharing promising practices in this area, including the Consortium for Language Access in the Courts developed by your organization, the National Center for State Courts, the American Bar Association Standing Committee on Legal Aid and Indigent Defendants, and the National Association of Judiciary Interpreters and Translators.

**Question 4:** Can DOJ provide additional guidance concerning what is meant by “qualified interpreters” for handling interpretation in a court’s clerk’s office or other court-related activities outside of the courtroom?

**Answer:**

Determining the appropriate qualifications needed for providing language support outside of the courtroom depends on the nature, purpose, and context of the communication. In some settings, a demonstrably bilingual court employee may provide service directly “in-language” to the LEP party or customer in a second language, without having any training or skills in interpreting. When an interpreter is called for, the needed skills and qualifications will vary according to the particular context. For example, the interpreter may not need to provide simultaneous interpretation in some office settings. And in other settings, such as a file room, the interaction may not require lengthy or complex conversations such that a telephone-based interpreter may suffice. On the other hand, interviews conducted by a monolingual court psychologist should be supported with highly skilled professional interpreters.

**Question 5:** If there are not qualified interpreters in less frequently used languages to assist in the provision of court-ordered mandatory services (e.g., mandatory parenting seminars, drug treatment programs, mediation, etc.), is it acceptable for the court to waive the mandatory requirements?

**Answer:**

Courts should diligently seek to provide language access to all LEP persons in such settings utilizing both qualified bilingual staff as well as interpreter assistance, not only as a civil rights matter, but also in the interests of the judicial system and in order to accomplish the goals of the mandatory services. Depending on the circumstances, waiving participation in mandatory court programs for LEP parties may be an acceptable interim measure under Title VI when qualified interpreters are not reasonably available, appropriate alternatives are not reasonable, and affected individuals are not harmed by the waiver.

**Question 6:** Footnote 6 in Section III of the Guidance states that only funds directed to the particular program or activity that is out of compliance would be terminated. Are there any other consequences for noncompliance other than losing Federal funds directed to the program that is out of compliance?

**Answer:**

If a court is engaging in national origin discrimination prohibited by Title VI, DOJ or another federal funding entity might first issue formal findings of such civil rights violations. If voluntary compliance cannot then be secured, DOJ could take further action, such as seeking equitable relief in court. The findings might also result in suspension of funding, or affect a recipient's eligibility to receive new grants, or it could result in a conditional award. Finally, when the subject program is a sub-recipient of federal financial assistance, the finding of non-compliance may suggest a separate Title VI violation by the recipient for failure to ensure the sub-recipient's proper use of the assistance.

**Question 7:** Can DOJ reconcile the differing requirements for providing court interpreters in federal courts and in the state courts?

**Answer:**

While Constitutional due process principles can be used in support of interpreter requirements in both federal and state courts, Title VI and implementing regulations do not apply to the federal courts because they are not recipients of federal financial assistance, being instead a branch of the federal government. Further, Executive Order 13166 also is not applicable since it applies only to the executive branch. The authority to supervise the federal courts with respect to language access resides instead with the Supreme Court and Congress.