

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. Date of Submission: February 8, 2012
2. Agency: Department of Justice
3. Bureau: Bureau of Prisons (BOP)
4. Name of this Capital Asset: USP North Central/Pekin, IL
5. Justification for Investment

The BOP has conducted preliminary planning for several Federal correctional facilities; however, currently no funding is available to continue these projects beyond the planning stage. While no decision has been made, the completion timeline and cost estimates are contingent on BY+1 funding availability. The BOP does not currently have available funding to move forward with these projects, nor is funding requested in the FY2013 President's Budget.

U.S. Penitentiary (USP) North Central Region/Pekin is planned as a 960 bed high security prison with a 256 bed work camp (minimum security). The project would be awarded as a design/build project using a negotiated procurement which allows contractors input on all aspects of the project. USP North Central Region/Pekin received partial site and planning funds in FY 2002. Completion is planned for 2018, contingent on receiving full funding in FY 2014.

This investment would provide a Federal correctional facility which directly supports the BOP's mission to protect society by confining offenders in the controlled environments of prisons and community-based facilities that are safe, humane, cost-efficient, and appropriately secure, and that provide work and other self-improvement opportunities to assist offenders in becoming law-abiding citizens. It would support the Department of Justice Strategic Goal 3: Ensure and Support the Fair, Impartial, Efficient, and Transparent Administration of Justice at the Federal, State, Local, Tribal and International Levels.

6. Accountability

Enter the names and contact information for the following officials.

a. Business Sponsor

The Business Sponsor is the individual with the authority to allocate resources and make Personnel decisions.

i. Name	<u>W. Scott Higgins</u>
ii. Title	<u>Chief of Design & Construction</u>
iii. Telephone	*
iv. Email	*
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b. Program/Project Manager

i. Name	<u>W. Scott Higgins</u>
ii. Telephone	*
iii. Email	*
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iv. Qualifications

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The Project Manager has over 40 years in the design and construction of correctional facilities. As Chief of Design and Construction, Federal Bureau of Prisons, he is responsible for the largest federal prison building program in history. He is a licensed architect and is currently applying for the FAC-P/PM. Certification of his qualifications is estimated to be received by March 2012 at the Expert/Advanced level.

7. Summary of Funding

Table 1: SUMMARY OF SPENDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY +1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and Earlier	PY 2011	CY 2012	BY 2013	BY+1 2014	BY+2 2015	BY+3 2016	BY+4 And Beyond	Total
Planning:	.2								.5
Acquisition:									366.0
Subtotal Planning & Acquisition	.2								366.5
Operations & Maintenance:									
Residual Value/Disposal Cost:									
Total Costs	.2								366.5

8. Acquisition Plan

- a. Has an Acquisition Plan been developed? Yes
- b. If an Acquisition Plan has been developed, answer the following questions.
 - i. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1? Yes
 - ii. Was the Acquisition Plan approved in accordance with agency requirements? Yes
 - iii. If the Plan was approved, enter the date of approval. May 2001
 - iv. Is the Acquisition Plan consistent with agency Strategic Sustainability Performance Plan? Yes
 - v. Does the Acquisition Plan meet the requirement of EO 13423? Yes
 - vi. Does the Acquisition Plan meet the requirement of EO 13514? Yes
- c. If an Acquisition Plan has not been developed, provide a brief explanation. N/A
- d. Enter all (including non-Federal) current and planned contracts and task orders in Table 2. Completed contracts and task orders do not need to be listed. Total Value should include option years. If a contract has not been awarded, estimates of dates, dollar values and any other information should be provided. Data definitions can be found at www.usaspending.gov/learn?tab=FAQ#2.
- e. Do all Procurement Instrument Identifier (PIID) and Indefinite Delivery Vehicle (IDV) PIID entries match www.USAspending.gov? N/A
- f. Do all Solicitation IDs match Fed BizOpps at www.fbo.gov? N/A

g. If Earned Value Management is not required or will not be a contract requirement for any of the contracts or task orders, provide a brief explanation.

Earned Value Management is considered a major system acquisition and is not utilized in BOP construction contracts.

Table 2: Contracts Table				
Field	Data Description	Contract 1	Contract 2	Contract X
Contract Status	N/A			
Contracting Agency ID	15-8-1003			
Procurement Instrument Identifier (PIID)	N/A			
Indefinite Delivery Vehicle (IDV) Reference ID	N/A			
Solicitation ID				
Alternative Financing	N			
EVM Required	N			
Ultimate Contract Value				
Type of Contract/Task Order(Pricing)	Design Build			
Is the contract a Performance Based Service Acquisition (PBSA)?	N			
Effective date				
Actual or expected End Date of Contract/Task Order	N/A			
Extent Competed	Full & Open Competition			
Short description of acquisition				

9. Alternatives Analysis

An Alternatives Analysis must evaluate the costs and the benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request.

- a. Was an Alternatives Analysis conducted? Yes
- b. If an Alternatives Analysis was conducted, answer the following questions.
 - i. What is the date of the analysis? May 2001
 - ii. How many alternatives were evaluated? 4
 - iii. Did the analysis evaluate the costs and the benefits of each alternative? Yes
 - iv. Briefly summarize the rationale for selected alternative.

Constructing a new facility was the alternative determined to provide the greatest benefit to taxpayers and ultimately be more cost effective than the other alternatives.

c. If an Alternatives Analysis was not conducted, provide a brief explanation. N/A

10. Risk Management

Risk must be actively managed throughout the lifecycle of the investment. The Risk Management Plan must be available to OMB upon request.

a. Has a Risk Management Plan been developed? Yes

b. If a Risk Management Plan has been developed, answer the following questions.

i. What is the date of the plan? April 2011

ii. Does the plan include a list of risks? Yes

iii. Does the plan include the probability of occurrence for each risk? Yes

iv. Does the plan include the impact of each risk? Yes

v. Does the plan include a mitigation strategy for each risk? Yes

vi. Does the plan include activity managing risk throughout the lifecycle? Yes

c. If a Risk Plan has not been developed, provide a brief explanation. N/A

11. Performance Information

The investment must support the agency’s strategic goals. The performance goals must be clearly measurable and quantifiable.

Table 3: Performance Information					
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Baseline	Target	Actual Results
2010	3.3	Crowding by security level	49%	55%	53%
2011	3.3	Crowding by security level	53%	53%	55%
2012	3.3	Crowding by security level	55%	48%	N/A
2013	3.3	Crowding by security level	(Actual FY 2012)	52%	N/A
2014	3.3	Crowding by security level	(Actual FY 2013)	47%	N/A

b. Explanations:

The table represents inmate crowding by project’s security level, by Fiscal Year.