

**Exhibit 300: Capital Asset Plan and Business Case Summary****Part 1: Summary Information And Justification (All Capital Asset)**

1. Date of Submission: February 4, 2016
2. Agency: Department of Justice
3. Bureau: Bureau of Prisons (BOP)
4. Name of Investment: USP Letcher County, KY
5. Justification for Investment:

U.S. Penitentiary (USP) Letcher County, KY will be designed as a 960 bed high security prison with a 256 bed work camp (minimum security). The project will be awarded as a design/build project using a negotiated procurement which allows contractors input on all aspects of the project. USP Letcher County received partial site and planning funds in FY 2006. Additional funds were provided in FY 2016 for construction of this project, and the BOP is moving forward. The Final Environmental Impact Statement (FEIS) was published on July 31, 2015 for a 30 day review period. It is anticipated a Revised FEIS will be published for a 30 day review period in April 2016. After the review period for the Revised FEIS, a Record of Decision (ROD) will be prepared for the evaluation and signature of the Director of the BOP.

This investment will provide a Federal correctional facility which directly supports the BOP's mission to protect society by confining offenders in the controlled environments of prisons and community-based facilities that are safe, humane, cost-efficient, and appropriately secure, and that provide work and other self-improvement opportunities to prepare offenders for reentry into their communities upon release. It supports the Department of Justice Strategic Goal 3: Ensure and Support the Fair, Impartial, Efficient, and Transparent Administration of Justice at the Federal, State, Local, Tribal and International Levels.

6. Accountability

- a. Business Sponsor

The Business Sponsor is the individual with the authority to allocate resources and make personnel decisions.

- |                |  |
|----------------|--|
| I. Name        | <u>Mitch Miskimins</u>   |
| II. Title      | <u>Deputy Chief of Capacity Planning &amp; Construction Branch</u> |
| III. Telephone | <u>(202) 514 - 9582</u>  |
| IV. Email      | <u>MMiskimins@bop.gov</u>  |

- b. Program/Project Manager

- |               |                           |
|---------------|---------------------------|
| I. Name       | <u>Mitch Miskimins</u>    |
| II. Telephone | <u>(202) 514 - 9582</u>   |
| III. Email    | <u>MMiskimins@bop.gov</u> |

**Exhibit 300: Capital Asset Plan and Business Case Summary**

**Part 1: Summary Information And Justification (All Capital Asset)**

IV. Qualifications (select one)

- 1. The project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment. X
- 2. The project manager’s qualifications according to FAC-P/PM or DAWIA criteria are under review for this investment.
- 3. The project manager is assigned but does not meet the requirements according to FAC-P/PM or DAWIA criteria.
- 4. The project manager is assigned, but qualification status review has not yet started.
- 5. No project manager has yet been assigned to this investment.

7. Summary of Funding

The Summary of Funding must include the total cost of planning, acquiring, operating, Maintain and disposing of the investment. The amounts reported must include all of the Cost incurred by the managing partner and any other Federal Agencies.

- a. Enter the total estimated life cycle of the investment in Table 1. All amounts Represent budget authority in millions of dollars. Estimates for BY+1 and beyond are for Planning purposes only and do not represent budget decisions.
- b. How many years does the column “PY – 1 and Earlier” represent?
- c. How many years does the column “BY + 4 and Beyond” represent?
- d. If the summary of funding has changed from the previous budget request, briefly explain the changes.

	<b>PY-1 and Earlier (\$M)</b>	<b>PY 2014 (\$M)</b>	<b>CY 2015 (\$M)</b>	<b>BY 2016 (\$M)</b>	<b>BY+1 2017 (\$M)</b>	<b>BY+2 2018 (\$M)</b>	<b>BY+3 2019 (\$M)</b>	<b>BY+4 and Beyond (\$M)</b>	<b>Total (\$M)</b>
Planning (a)	.4								.4
Acquisition (b)	1.0	.2	.2	200	200	29.1	29.1		459.6
Subtotal Planning & Acquisition (c)=(a)+(b)	1.4	.2	.2	200	200	29.1	29.1		460
Operation & Maintenance (d)									
Residual Value/Disposal Cost (e)									
<b>Total (f)= (c)+(d)+ (e)</b>	<b>1.4</b>	<b>.2</b>	<b>.2</b>	<b>200</b>	<b>200</b>	<b>29.1</b>	<b>29.1</b>		<b>460</b>

**Exhibit 300: Capital Asset Plan and Business Case Summary****Part 1: Summary Information And Justification (All Capital Asset)**

## 8. Acquisition Plan

The Acquisition Plan should maximize competition to ensure the government receives a fair price. The Plan must be available to OMB upon request.

- a. Has an Acquisition Plan been developed? Yes
- b. If an Acquisition Plan has been developed, answer the following questions.
  - i. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1? Yes
  - ii. Was the Acquisition Plan approved in accordance with agency requirements? Yes
  - iii. If the Plan was approved, enter the date of approval. November 2005
  - iv. Is the Acquisition Plan consistent with agency Strategic Sustainability Performance Plan? Yes
  - v. Does the Acquisition Plan meet the requirements of EO 13693? Yes
- c. If an Acquisition Plan has not been developed, provide a brief explanation.
- d. Enter all (including non-Federal) current and planned contracts and task orders in Table 2. Completed contracts and task orders do not need to be listed. Total Value should include option years. If a contract has not been awarded, estimates of dates, dollar values and any other information should be provided. Data definitions can be found at [www.usaspending.gov/learn?tab=FAQ#2](http://www.usaspending.gov/learn?tab=FAQ#2).
- e. Do all Procurement Instrument Identifier (PIID) and Indefinite Delivery Vehicle (IDV) PIID entries match [www.USAspending.gov](http://www.USAspending.gov) ? N/A
- f. Do all Solicitation IDs match FedBizOpps at [www.fbo.gov](http://www.fbo.gov) ? N/A
- g. If Earned Value Management is not required or will not be a contract requirement for any of the contracts or task orders, provide a brief explanation.

Earned Value Management is considered a major system acquisition and is not utilized in BOP construction contracts.

**Exhibit 300: Capital Asset Plan and Business Case Summary****Part 1: Summary Information And Justification (All Capital Asset)**

<b>Table 2: Contracts</b>				
<b>Field</b>	<b>Data Description</b>	<b>Contract 1</b>	<b>Contract 2</b>	<b>Contract X</b>
Contract Status	N/A			
Contracting Agency ID	15-X-1003	CJB0700CCJA410018 CJB0700CCMA410026		
Procurement Instrument Identifier (PIID)				
Indefinite Delivery Vehicle (IDV) Reference ID	N/A			
Solicitation ID				
Alternative Financing	N			
EVM Required	N			
Ultimate Contract Value				
Type of Contract/Task Order (Pricing)	Feasibility Study Environmental Study	\$595,490.15 \$866,974.28		
Is the Contract a Performance Based Service Acquisition (PBSA)?	N			
Effective Date				
Actual or Expected End Date of Contract/Task Order	N/A			
Extent Completed	Full & Open Competition			
Short Description of Acquisition	Feasibility Study of a prison.			

## 9. Alternatives Analysis

a. Was an Alternatives Analysis conducted? Yes

b. If an Alternatives Analysis was conducted, answer the following questions.

- i. What is the date of the analysis? November 2005
- ii. How many alternatives were evaluated? 4
- iii. Did the analysis evaluate the costs and the benefits of each alternative? Yes
- iv. Briefly summarize the rationale for the selected alternative.

Constructing a new facility was the alternative determined to provide the greatest benefit to taxpayers and ultimately be more cost effective than the other alternatives.

c. If an Alternatives Analysis was not conducted, provide a brief explanation. N/A

**Exhibit 300: Capital Asset Plan and Business Case Summary**

**Part 1: Summary Information And Justification (All Capital Asset)**

10. Risk Management

- a. Has a Risk Management Plan been developed? Yes
- b. If a Risk Management Plan has been developed, answer the following questions.
  - i. What is the date of the plan? April 2011
  - ii. Does the plan include a list of risks? Yes
  - iii. Does the plan include the probability of occurrence of each risk? Yes
  - iv. Does the plan include the impact of each risk? Yes
  - v. Does the plan include a mitigation strategy for each risk? Yes
  - vi. Does the plan include actively managing risk throughout the lifecycle? Yes
- c. If a Risk Management Plan has not been developed, provide a brief explanation. N/A

11. Performance Information

The investment must support the agency’s strategic goals. The performance goals must be clearly measurable and quantifiable.

- a. Enter the strategic goals and the corresponding performance measures in Table 3.
- b. Explanations

The table represents inmate crowding by project’s security level, by fiscal year.

**High Security**

**Table3: Performance Information**

<b>Fiscal Year</b>	<b>Strategic Goal(s) Supported</b>	<b>Performance Baseline</b>	<b>Performance Goal</b>	<b>Actual Result</b>
2014	3.3	Crowding by security level	53%	52%
2015	3.3	Crowding by security level	43%	47%
2016	3.3	Crowding by security level	31%	N/A
2017	3.3	Crowding by security level	30%	N/A

12. Earned Value Management (EVM)

N/A