



THE ATTORNEY GENERAL'S SEMIANNUAL MANAGEMENT REPORT TO CONGRESS

April 1, 2023 – September 30, 2023

Background

In accordance with the Inspector General Act of 1978, as amended, the Department of Justice (Department or DOJ) provides the following management report.¹ The report describes the Department's actions during the semiannual period ended September 30, 2023, to address recommendations from the OIG provided in audit, inspection, and evaluation reports. The first section provides information on the status of final action for OIG reports with recommendations that funds be put to better use. The second section provides information on the status of final action for OIG reports with disallowed costs. The third and final section of the report, which addresses a reporting requirement for OIG audit reports only, provides the status of final action for reports open longer than one year for which management decisions have been made but final action has not been completed. During the semiannual reporting period, DOJ components implemented policy and management changes to close 94 recommendations in audit reports that had been open longer than one year.

DOJ Management Report on Final Action

1. Status of Final Action for OIG Reports with Recommendations that Funds be Put to Better Use²

| Status of Final Action on Funds to Better Use Recommendations (six-month period ended September 30, 2023) | | |
|--|---------------------|--------------------|
| Category | FBU Recommendations | No. of OIG Reports |
| Value of FBU recommendations for which final action had not been completed by the beginning of the reporting period | \$0 | 0 |
| Value of FBU recommendations agreed to by management in a final decision during the reporting period | \$21,728 | |
| Value of FBU recommendations pending final action during the reporting period (sum of preceding two lines) | \$21,728 | |
| Value of FBU recommendations for which final action was completed during the reporting period (sum of next two lines) | \$21,728 | |
| FBU recommendations completed | \$21,728 | |
| FBU recommendations that management subsequently concluded should not or could not be implemented or completed | \$0 | |
| Value of FBU recommendations for which final action had not been completed by the end of the reporting period | \$0 | 0 |

¹ Inspector General Act of 1978, as amended, 5 U.S.C. App.

² This section reflects the status of final action for OIG reports with recommendations that funds be put to better use (FBU) that management agreed to in a final decision, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *FBU recommendation* means a recommendation by the OIG that funds could be used more efficiently if management took actions to implement and complete the recommendation.

2. Status of Final Action for OIG Reports with Disallowed Costs³

| Status of Final Action on Disallowed Costs (six-month period ended September 30, 2023) | | |
|--|------------------|-----------------------|
| Category | Disallowed Costs | No. of OIG Reports |
| Value of disallowed costs for which final action had not been completed by the beginning of the reporting period | \$786,186 | 6 |
| Value of disallowed costs included in the total above that management determined during the reporting period to be allowable costs based on the review of additional information | \$0 | |
| Subtotal | \$786,186 | |
| Value of disallowed costs agreed to by management in a final decision during the reporting period | \$748,241 | |
| Value of disallowed costs pending final action during the reporting period (sum of preceding two lines) | \$1,534,427 | |
| Value of disallowed costs for which final action was completed during the reporting period (sum of next three lines) | \$949,702 | |
| Disallowed costs recovered by management through collection, offset, property in lieu of cash, or other means | \$949,702 | |
| Disallowed costs referred to the U.S. Department of the Treasury for collection | \$0 | |
| Disallowed costs written off by management | \$0 | |
| Value of disallowed costs for which final action had not been completed by the end of the reporting period | \$584,725 | 5 |

³ This section reflects the status of final action for OIG reports with disallowed costs, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *disallowed cost* means an incurred cost questioned by the OIG that DOJ management, in a final decision, agreed should not have been charged to the Government. The term *final decision* means management has evaluated the finding and recommendation and made a decision concerning its response, including actions concluded to be necessary or that no action is necessary. The OIG can question costs for various findings such as, at the time of audit, costs were not supported by adequate documentation. Historically, the Department has been able to provide adequate support for the majority of OIG questioned costs, resulting in the questioned costs being deemed allowable.

3. Status of Final Action for OIG Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed⁴

| Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2023) | | | | | |
|--|--|-------------|-------------------------|------------------------|--|
| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| ATF – Bureau of Alcohol, Tobacco, Firearms and Explosives | | | | | |
| 22-016 | Bureau of Alcohol, Tobacco, Firearms and Explosives’ Monitoring of 3-D Firearm Printing Technology | 12/20/2021 | 4 | 3 | The OIG has closed 3 of the 4 recommendations based on ATF completing final action. In addition, ATF has completed various actions to establish expanded protocols to collect data more effectively on recovered firearms made entirely using 3-D printers and 3-D printed frames or receivers. ATF plans to complete final action on the remaining recommendation in FY 2024. |
| 22-106 | Bureau of Alcohol, Tobacco, Firearms and Explosives’ Firearm Disposal Practices | 9/19/2022 | 10 | 5 | The OIG has closed 5 of the 10 recommendations based on ATF completing final action. In addition, ATF has completed various actions to improve the ATF’s firearm disposal practices at the National Destruction Branch (NDB). ATF plans to complete final action on the remaining recommendations in FY 2024. |

⁴ This section reflects the status of final action for OIG audit reports open longer than one year for which management decisions have been made but final action has not been completed. For purposes of this report, the term *final action* means the completion of all actions that management concluded in its final decision are necessary with respect to the findings and recommendations in the audit report.

| Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2023) | | | | | |
|--|---|-------------|-------------------------|------------------------|--|
| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| BOP – Bureau of Prisons | | | | | |
| 20-115 | Federal Bureau of Prisons' Perimeter Security Strategy and Efforts Related to the Contract Awarded to DeTekion Security Systems, Incorporated, to Update the Lethal/Non-Lethal Fence at Nine United States Penitentiaries | 9/29/2020 | 20 | 15 | The OIG has closed 15 of the 20 recommendations based on BOP completing final action. In addition, BOP completed various actions to improve its perimeter security strategy practices and contract award and administration responsibilities. BOP plans to complete final action on the remaining recommendations in FY 2024. |
| 21-091 | Federal Bureau of Prisons' Management and Oversight of its Chaplaincy Services Program | 7/6/2021 | 5 | 2 | The OIG has closed 2 of the 5 recommendations based on BOP completing various actions to improve the delivery of religious services to the inmate population and to help diversify and alleviate shortages in its chaplain staff. BOP plans to complete final action on the remaining recommendations in FY 2024. |
| 22-052 | Federal Bureau of Prisons Comprehensive Medical Services Contracts Awarded to the University of Massachusetts Medical School | 3/16/2022 | 15 | 6 | The OIG has closed 6 of the 15 recommendations based on BOP completing final action. In addition, BOP completed various actions to assist in improving its acquisition process for medical services, contract administration, management of contract performance, and billing process. BOP plans to complete final action on the remaining recommendations in FY 2024. |

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| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| 22-111 | Federal Bureau of Prisons' Procurements Awarded to NaphCare, Inc. for Medical Services Provided to Residential Reentry Management Branch Inmates | 9/21/2022 | 6 | 2 | The OIG has closed 2 of the 6 recommendations based on BOP completing final action, including final action during the reporting period on disallowed costs valued at \$34,524. BOP continues to work with grantees to remedy reported deficiencies and questioned costs. |
| COPS – Office of Community Oriented Policing Services | | | | | |
| 22-009 | 1 Grant Audit Report | 11/17/2021 | 12 | 6 | The OIG has closed 6 of the 12 recommendations based on COPS completing final action. COPS plans to complete final action on the remaining recommendations in FY 2024. |
| 22-072 | Office of Community Oriented Policing Services Anti-Heroin Task Force Program | 5/4/2022 | 4 | 1 | The OIG has closed 1 of the 4 recommendations based on COPS completing final action. In addition, COPS has completed various actions to improve its administration and oversight of Anti-Heroin Task Force Program awards. COPS plans to complete final action on the remaining recommendations in FY 2024. |
| CRM – Criminal Division | | | | | |
| 21-076 | Chicago Police Department's Equitable Sharing Program Activities, Chicago, Illinois | 5/19/2021 | 8 | 7 | The OIG has closed 7 of the 8 recommendations based on CRM completing final action. In addition, CRM has completed various actions to account for DOJ equitable sharing funds. CRM plans to complete final action on the remaining recommendation in FY 2024. |

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| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| 21-117 | Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program | 9/13/2021 | 11 | 8 | The OIG has closed 8 of the 11 recommendations based on CRM completing final action. In addition, CRM has completed various actions on the remaining recommendations to improve MLARS's administration and oversight of the Equitable Sharing Program. CRM plans to complete final action on the remaining recommendations in FY 2024. |
| 22-112 | Fort Wayne Police Department's Equitable Sharing Program Activities, Fort Wayne, Indiana | 9/21/2022 | 4 | 3 | The OIG has closed 3 of the 4 recommendations based on CRM completing final action. CRM plans to complete final action on the remaining recommendation in FY 2024. |
| CRT – Civil Rights Division | | | | | |
| NFPR ⁵ | 1 FISMA Audit Report | 3/29/2021 | 8 | 7 | The OIG has closed 7 of the 8 recommendations based on CRT completing final action. CRT plans to complete final action on the remaining recommendation related to FISMA in FY 2024. |

⁵ Due to law enforcement sensitivity reasons, the OIG issues its Federal Information Security Modernization Act (FISMA) audit reports as Not For Public Release (NFPR).

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| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| DEA – Drug Enforcement Administration | | | | | |
| 21-079 | Drug Enforcement Administration's Laboratory Information Management System Support Contracts | 6/1/2021 | 8 | 7 | The OIG has closed 7 of the 8 recommendations based on DEA completing final action. In addition, DEA has completed various action on the remaining recommendation to examine ways to implement the use of secure, internal network connections to transfer data between LIMS and other work systems. DEA plans to complete final action on the remaining recommendation in FY 2024. |
| NFPR ⁶ | 2 FISMA Audit Reports | Various | 16 | 9 | The OIG has closed 9 of the 16 recommendations based on DEA completing final action. DEA plans to complete final action on the remaining recommendations related to FISMA in FY 2024. |
| FBI – Federal Bureau of Investigation | | | | | |
| 17-35 | Federal Bureau of Investigation's Insider Threat Program | 9/22/2017 | 8 | 5 | The OIG has closed 5 of the 8 recommendations based on FBI completing final action. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG’s limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold. |

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|---|---|-------------|-------------------------|------------------------|--|
| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| 20-030 | Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments | 3/3/2020 | 7 | 6 | The OIG has closed 6 of the 7 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations to help identify Homegrown Violent Extremists through counterterrorism assessments. FBI plans to complete final action on the remaining recommendation in FY 2024. |
| 21-120 | Federal Bureau of Investigation's Efforts to Notify Victims of Child Sexual Abuse Material | 9/20/2021 | 6 | 4 | The OIG has closed 4 of the 6 recommendations based on FBI completing final action. FBI has completed various actions on the recommendations to improve its efforts to notify victims of child sexual abuse material. FBI plans to complete final action on the remaining recommendations in FY 2024. |
| NFPR ⁷ | 4 FISMA Audit Reports | Various | 109 | 97 | The OIG has closed 97 of the 109 recommendations based on FBI completing final action. FBI plans to complete final action on the remaining recommendations related to FISMA in FY 2024. |

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| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| JMD – Justice Management Division | | | | | |
| 22-018 | Assets Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statements Fiscal Year 2021 | 12/21/2021 | 3 | 2 | The OIG has closed 2 of the 3 recommendations based on JMD completing final action. JMD plans to complete final action on the remaining recommendation in FY 2024. |
| 22-114 | Department of Justice's Compliance with the Geospatial Data Act of 2018 for Fiscal Years 2021 and 2022. | 9/26/2022 | 8 | 6 | The OIG has closed 6 of the 8 recommendations based on JMD completing final action. JMD plans to complete final action on the remaining recommendations in FY 2024. |
| 22-117 | Justice Management Division's Administration of Shared Information Technology Costs through the Working Capital Fund | 9/28/2022 | 11 | 1 | The OIG has closed 1 of the 11 recommendations based on JMD completing final action. In addition, JMD has completed various actions to evaluate and define WCF Centralized services, ensure that cost allocations are supported, and improve coordination with DOJ Components. JMD plans to complete final action on the remaining recommendations in FY 2024. |
| NFPR ⁸ | 2 FISMA Audit Reports | Various | 14 | 11 | The OIG has closed 11 of the 14 recommendations based on JMD completing final action. JMD plans to complete final action on the remaining recommendations related to FISMA in FY 2024. |

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| | | | Recommended | Final Action Completed | |
| OJP – Office of Justice Programs | | | | | |
| 17-10 | Office of Justice Programs' Tribal Justice Systems Infrastructure Program | 1/18/2017 | 12 | 11 | The OIG has closed 11 of the 12 recommendations based on OJP completing final action. OJP continues to work with the grantee to remedy reported deficiencies. OJP plans to complete final action on the remaining recommendation in FY 2024. |
| 22-092 | Bureau of Justice Assistance’s National Sexual Assault Kit Initiative | 7/25/2022 | 7 | 6 | The OIG has closed 6 of the 7 recommendations based on OJP completing final action. In addition, OJP has completed various actions to update its performance metrics to align with SAK Initiative reporting requirements to better capture small agencies. OJP plans to complete final action on the remaining recommendation in FY 2024. |
| TO-50-22-014 | 1 Single Audit Report | 6/9/2022 | 2 | 0 | OJP has completed various actions to implement the recommendations. OJP plans to complete final action on the remaining recommendations in FY 2024. |
| Various | 6 Grant Audit Reports | Various | 53 | 28 | The OIG has closed 28 of the 53 recommendations based on OJP completing final action. OJP continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$83,011. |

| Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2023) | | | | | |
|--|--|-------------|-------------------------|------------------------|--|
| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| OVW – Office on Violence Against Women | | | | | |
| Various | 10 Grant Audit Reports | Various | 126 | 61 | The OIG has closed 61 of the 126 recommendations based on OVW completing final action, including final action during the reporting period on disallowed costs valued at \$21,400 and funds to better use valued at \$21,728. OVW continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$445,187. |
| TAX – Tax Division | | | | | |
| 21-124 | Certain Tax Division Contracts Awarded for Expert Witness Services | 9/22/2021 | 15 | 13 | The OIG has closed 13 of the 15 recommendations based on TAX completing final action. In addition, TAX has completed various actions on the remaining recommendations to improve its award, administration, and oversight of expert witness services contracts. TAX plans to complete final action on the remaining recommendations in FY 2024. |
| USMS – United States Marshals Service | | | | | |
| 21-083 | U.S. Marshals Service Judicial Security Activities | 6/15/2021 | 8 | 6 | The OIG has closed 6 of the 8 recommendations based on USMS completing final action. In addition, USMS has completed various actions on the remaining recommendations to improve judicial security activities. USMS plans to complete final action on the remaining recommendations in FY 2024. |

| Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2023) | | | | | |
|---|---|-------------|-------------------------|------------------------|--|
| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| NFPR ⁹ | 1 FISMA Audit Report | 3/29/2021 | 1 | 0 | The USMS has completed various actions to implement the recommendation. USMS plans to complete final action on the remaining recommendation related to FISMA in FY 2024. |
| USNCB – United States National Central Bureau (Interpol) | | | | | |
| NFPR ¹⁰ | 1 FISMA Audit Report | 3/16/2020 | 14 | 11 | The OIG has closed 11 of the 14 recommendations based on USNCB completing final action. USNCB plans to complete final action on the remaining recommendations related to FISMA in FY 2024. |
| Audit Reports Encompassing More Than One DOJ Component | | | | | |
| 19-23 | Federal Bureau of Investigation's Cyber Victim Notification Process | 3/29/2019 | 13 | 4 | The OIG has closed 4 of the 13 recommendations based on FBI and ODAG completing final action. In addition, FBI and ODAG have completed various actions to improve the efficiency and effectiveness of the FBI's cyber victim notification process. FBI and ODAG plan to complete final action on the remaining recommendations in FY 2024. |

⁹ Due to law enforcement sensitivity reasons, the OIG issues its Federal Information Security Modernization Act (FISMA) audit reports as Not For Public Release (NFPR).

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|---|--|-------------|-------------------------|------------------------|--|
| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| 19-32 | Department of Justice's Use of Immigration Sponsorship Programs | 6/11/2019 | 10 | 9 | The OIG has closed 9 of the 10 recommendations based on the Department completing final action. In addition, DEA has completed various actions on the remaining recommendation to implement methods to track all foreign national sponsorship information accurately and completely for individual foreign nationals, including expiration dates. DEA plans to complete final action on the remaining recommendation in FY 2024. |
| 20-042 | Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization | 3/24/2020 | 19 | 14 | The OIG has closed 14 of the 19 recommendations based on BOP and ODAG completing final action. In addition, BOP has completed various actions on the remaining recommendations to improve monitoring of inmate communications to prevent radicalization. BOP and ODAG plan to complete final action on the remaining recommendations in FY 2024. |

| Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of September 30, 2023) | | | | | |
|---|---|-------------|-------------------------|------------------------|---|
| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| 20-071 | Drug Enforcement Administration's Income-Generating, Undercover Operations | 6/16/2020 | 19 | 16 | The OIG has closed 16 of the 19 recommendations based on DEA and ODAG completing final action. DEA has completed final action to close its remaining recommendation, but closure is pending ODAG's review of DEA's policies. ODAG has completed various actions on the remaining recommendations to determine if policies apply to all DOJ Law Enforcement Components and ensure DEA's policies reflect necessary measures and controls. ODAG plans to complete final action on the remaining recommendations in FY 2024. |
| 21-095 | Selected Aspects of the Federal Bureau of Investigation's National Instant Criminal Background Check System | 7/14/2021 | 1 | 0 | The FBI has completed various actions to strengthen controls over the sale of firearms to out-of-state purchasers by updating the NICS background check to verify age requirements of an out-of-state firearm purchaser's state of residence and state of sale to ensure basic age eligibility. FBI plans to complete final action on the remaining recommendation in FY 2024. |

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|---|--|-------------|-------------------------|------------------------|---|
| Report Number | Audit Report Title | Report Date | Management Improvements | | Status of Final Action |
| | | | Recommended | Final Action Completed | |
| 21-129 | Federal Bureau of Investigation's Execution of Its Woods Procedures for Applications Filed with the Foreign Intelligence Surveillance Court Relating to U.S. Persons | 9/29/2021 | 10 | 9 | The OIG has closed 9 of the 10 recommendations based on FBI and NSD completing final action. In addition, FBI has completed various actions to assist in further enhancing the execution of the Woods Procedures and ensuring the submission of accurate FISA applications. FBI plans to complete final action on the remaining recommendation in FY 2024. |
| 22-087 | Department's Cyber Supply Chain Risk Management Efforts | 7/6/2022 | 17 | 5 | The OIG has closed 5 of the 17 recommendations based on JMD, DEA and FBI completing final action. In addition, FBI and JMD have completed various actions to minimize potential risks associated with use-of-force tactics, including addressing policy gaps at the Department and component levels. FBI and JMD plan to complete final action on the remaining recommendations in FY 2024. |
| 22-116 | Roles and Responsibilities of the Federal Bureau of Investigation's Office of the General Counsel in National Security Matters | 9/28/2022 | 5 | 3 | The OIG has closed 3 of the 5 recommendations based on ODAG completing final action. In addition, FBI and ODAG have completed various actions to improve oversight of the FBI security activities. ODAG plans to complete final action on the remaining recommendations in FY 2024. |