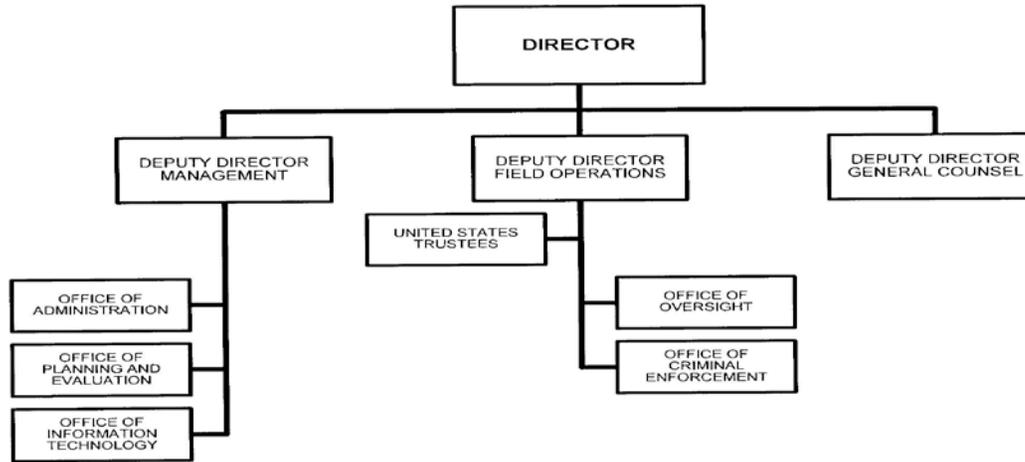


A: Organizational Chart

EXECUTIVE OFFICE FOR UNITED STATES TRUSTEES



The Principal or ranking Deputy is determined via an internal written designation by the Attorney General or other authorized official.

Approved by: 
ERIC H. HOLDER, JR.
Attorney General

Date: 11/26/12

B. Summary of Requirements

Summary of Requirements

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

	FY 2020 Request		
	Positions	Estimate FTE	Amount
2018 Enacted ^{1/}	1,028	981	225,908
Total 2018 Enacted	1,028	981	225,908
2019 Continuing Resolution	1,028	1,015	225,908
Base Adjustments			
Pay and Benefits	0	0	3,241
Domestic Rent and Facilities	0	0	283
Other Adjustments	0	0	-2,203
Total Base Adjustments	0	0	1,321
Total Technical and Base Adjustments	0	0	1,321
2020 Current Services	1,028	1,015	227,229
2020 Total Request	1,028	1,015	227,229
2019 - 2020 Total Change	0	0	1,321

^{1/} FY 2018 FTE is actual

Summary of Requirements
 U.S. Trustees
 Salaries and Expenses
 (Dollars in Thousands)

Program Activity	FY 2018 Enacted			FY 2019 Continuing Resolution			FY 2020 Technical and Base Adjustments			FY 2020 Current Services		
	Positions	Actual FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Administration of Cases	[1,028]	981	225,908	[1,028]	1,015	225,908	0	0	1,321	[1,028]	1,015	227,229
Total Direct	[1,028]	981	225,908	[1,028]	1,015	225,908	0	0	1,321	[1,028]	1,015	227,229
Balance Rescission			0			0			0			0
Total Direct with Rescission			225,908			225,908			1,321			227,229
Reimbursable FTE		0			0			1			1	
Total Direct and Reimb. FTE		981			1,015			1			1,016	
Other FTE:												
LEAP		0			0			0			0	
Overtime		0			0			0			0	
Grand Total, FTE		981			1,015			1			1,016	

Program Activity	2020 Increases			2020 Offsets			2020 Request		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount
Administration of Cases	0	0	0	0	0	0	[1,028]	1,015	227,229
Total Direct	0	0	0	0	0	0	[1,028]	1,015	227,229
Balance Rescission			0			0			0
Total Direct with Rescission			0			0			227,229
Reimbursable FTE		0			0			1	
Total Direct and Reimb. FTE		0			0			1,016	
Other FTE:									
LEAP		0			0			0	
Overtime		0			0			0	
Grand Total, FTE		0			0			1,016	

Resources by Department of Justice Strategic Goal and Objective

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

Strategic Goal and Strategic Objective	FY 2018 Enacted		FY 2019 Continuing		FY 2020 Current		FY 2020 Increases		FY 2020 Offsets		FY 2020 Total	
			Resolution		Services						Request	
	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount	Direct & Reimb FTE	Direct Amount
Goal 4 Promote Rule of Law, Integrity, and Good Government												
4.1 Uphold the rule of law and integrity in the proper administration of justice	966	219,219	999	219,219	1,000	220,263	0	0	0	0	1,000	220,263
4.3 Pursue regulatory reform initiatives ⁽¹⁾	0	0	0	0	0	0	0	0	0	0	0	0
4.4 Achieve management excellence ⁽²⁾	15	6,689	16	6,689	16	6,966	0	0	0	0	16	6,966
Subtotal, Goal 4	981	225,908	1,015	225,908	1,016	227,229	0	0	0	0	1,016	227,229
TOTAL	981	225,908	1,015	225,908	1,016	227,229	0	0	0	0	1,016	227,229

(1) In FY 2018, the USTP devoted resources to addressing Objective 4.3 due to its work to implement the regulation for "Procedures for Completing Uniform Periodic Reports in Non-Small Business Cases Filed Under Chapter 11 of Title 11" (the Rule). This work included analysis to ensure the Regulation was in compliance with various Executive Orders governing the development of rules. Further, the USTP assessed the costs and benefits and costs savings of this Rule and determined that the regulatory approach selected maximizes net benefits and, after minimal initial costs, will yield cost savings. The final Rule would impose no new obligations on the general public, as it would apply only to non-small business debtors in possession or trustees in bankruptcy cases under chapter 11 of title 11. Further, the Rule streamlines the financial reporting requirements for non-small businesses in chapter 11 and ensures consistency by replacing over one hundred different report forms that currently exist under the a regime of locally-prescribed reporting. This streamlining makes the Rule deregulatory in nature. The Program, however, is not providing specific resource amounts expended due to the complexity of isolating the time devoted by staff to this task. For FY 2019 and beyond, the USTP projects continuing to expend resources for this objective, to include finalizing work on the implementation of and compliance with the Rule. In addition, the Program will continue to review guidelines under development in accordance with the strategies under this objective.

(2) In FY 2018, the USTP devoted resources to pursuing cost savings in technology, such as moving systems to the cloud, and implementing shared services for its Help Desk support and for its litigation support. The Program, however, is not providing specific resource amounts expended due to the complexity of isolating the time devoted by staff to this task. In addition, amounts reported for this strategy exclude overhead for the USTP's senior management as well as the Program's supervisory Information Technology and Administrative Officer staff who deliver training to the Program.

Justifications for Technical and Base Adjustments

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
Pay and Benefits			
1 <u>Changes in Compensable Days</u> The increased cost for one compensable day in FY 2020 compared to FY 2019 is \$557,000, and is calculated by dividing the FY 2019 estimated personnel compensation, \$116,320,000 and applicable benefits, \$28,897,000, by 261 compensable days.	0	0	557
2 <u>Employees Compensation Fund</u> The amount requested, \$47,000, reflects anticipated changes in payments to the Department of Labor for injury benefits under the Federal Employee Compensation Act.	0	0	47
3 <u>FERS Rate Increase</u> Per 2018 OMB Circular A-11 section 32, Personnel Compensation, Benefits, and Related Costs, the agency contribution of regular retirement under FERS will increase from 13.7% to 16%. The FERS contribution for Law Enforcement retirement will increase from 30.1% to 33.4%. The amount requested, \$2,412,000 represents the funds needed to cover this increase.	0	0	2,412
4 <u>Health Insurance</u> Effective January 2020, the component's contribution to Federal employees' health insurance increases by 2.1 percent. Applied against the 2019 estimate of \$10,415,000, the additional amount required is \$220,000.	0	0	220
5 <u>Retirement</u> Agency retirement contributions increase as employees under CSRS retire and are replaced by FERS employees. Based on U.S. Department of Justice Agency estimates, we project that the DOJ workforce will convert from CSRS to FERS at a rate of 0.8 percent per year, for both LEO and Non-LEO, based on the past 5 years of DOJ retirement data. The requested increase of \$5,000 is necessary to meet our increased retirement obligations as a result of this conversion.	0	0	5
Subtotal, Pay and Benefits	0	0	3,241

Justifications for Technical and Base Adjustments

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

	Positions	Estimate FTE	Amount
Domestic Rent and Facilities			
1 <u>GSA Rent</u> GSA will continue to charge rental rates that approximate those charged to commercial tenants for equivalent space and related services. The requested increase of \$283,000 is required to meet our commitment to GSA. The costs associated with GSA rent were derived through the use of an automated system, which uses the latest inventory data, including rate increases to be effective FY 2020 for each building currently occupied by Department of Justice components, as well as the costs of new space to be occupied.	0	0	283
Subtotal, Domestic Rent and Facilities	0	0	283
Other Adjustments			
1 <u>Administrative Savings</u> The budget consolidates position functions for certain offices, reducing positions and identifying cost savings.	0	0	-2,427
2 <u>Security Investigations</u> The requested increase of \$224,000 reflects payments to the Office of Personnel Management (OPM) for security reinvestigations of employees requiring security clearances.	0	0	224
Subtotal, Other Adjustments	0	0	-2,203
TOTAL DIRECT TECHNICAL and BASE ADJUSTMENTS	0	0	1,321
ATB Reimbursable FTE Changes			
1 ATB Reimbursable FTE Adjustment	0	1	0
Subtotal, ATB Reimbursable FTE Changes	0	1	0

Crosswalk of 2018 Availability

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2018 Enacted			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2018 Availability		
	Positions	Actual FTE	Amount	Positions	Actual FTE	Amount	Amount	Amount	Positions	Actual FTE	Amount
Administration of Cases	[1,028]	981	225,908	0	0	0	8,822	17,433	[1,028]	981	252,163
Total Direct	[1,028]	981	225,908	0	0	0	8,822	17,433	[1,028]	981	252,163
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			225,908			0	8,822	17,433			252,163
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		981			0					981	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		981			0					981	

Carryover:

The USTP used these funds for upwards adjustments to obligations and to provide funding for debtor audits, section 341 meeting room security, and to address other program needs.

Recoveries/Refunds:

The USTP's recoveries reflect a higher than historical amount due to a one-time intensive de-obligation effort to ensure a seamless transition to a new financial management system. This funding will be a key component of maintaining operations in FY 2019. The Program plans to use a major portion of these funds to begin a multi-year modernization project of critical Program information technology systems. The Program anticipates using remaining funds in FY 2019 to cover Congressionally mandated debtor audits, continue a limited program to provide security guards at the Program's bankruptcy meetings of creditors, in approximately 25 locations most in need of additional security, and fund one-time mission critical needs including facilities projects and other information technology projects.

Crosswalk of 2019 Availability

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

Program Activity	FY 2019 Continuing Resolution			Reprogramming/Transfers			Carryover	Recoveries/ Refunds	FY 2019 Availability		
	Positions	Est. FTE	Amount	Positions	Est. FTE	Amount	Amount	Amount	Positions	Est. FTE	Amount
Administration of Cases	[1,028]	1,015	225,908	0	0	0	19,267	294	[1,028]	1,015	245,469
Total Direct	[1,028]	1,015	225,908	0	0	0	19,267	294	[1,028]	1,015	245,469
Balance Rescission			0			0	0	0			0
Total Direct with Rescission			225,908			0	19,267	294			245,469
Reimbursable FTE		0			0					0	
Total Direct and Reimb. FTE		1,015			0					1,015	
Other FTE:											
LEAP FTE		0			0					0	
Overtime		0			0					0	
Grand Total, FTE		1,015			0					1,015	

Carryover:

The USTP's carryover reflects a higher than historical amount due to a one-time intensive de-obligation effort in FY 2018 to ensure a seamless transition to a new financial management system. This funding will be a key component of maintaining operations in FY 2019. The Program plans to use a major portion of these funds to begin a multi-year modernization project of critical Program information technology systems. The Program anticipates using remaining funds in FY 2019 to cover Congressionally mandated debtor audits, continue a limited program to provide security guards at the Program's bankruptcy meetings of creditors, in approximately 25 locations most in need of additional security, and fund one-time mission critical needs including facilities projects and other information technology projects.

Summary of Reimbursable Resources

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

Collections by Source	2018 Actual			2019 Estimate			2020 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Office of Attorney Recruitment/Management	0	0	9	0	0	0	0	0	15	0	0	15
Rule of Law	0	0	8	0	0	0	1	1	219	1	1	219
Federal Emergency Management Agency (FEMA)	0	0	200	0	0	0	0	0	0	0	0	0
Budgetary Resources	0	0	217	0	0	0	1	1	234	1	1	234

Obligations by Program Activity	2018 Actual			2019 Estimate			2020 Request			Increase/Decrease		
	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount	Reimb. Pos.	Reimb. FTE	Amount
Administration of Cases	0	0	217	0	0	0	1	1	234	1	1	234
Budgetary Resources	0	0	217	0	0	0	1	1	234	1	1	234

Detail of Permanent Positions by Category

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

Category	FY 2018 Enacted		FY 2019 Continuing Resolution		FY 2020 Request				
	Direct Pos.	Reimb. Pos.	Direct Pos.	Reimb. Pos.	ATBs	Program Increases	Program Offsets	Total Direct Pos.	Total Reimb. Pos.
Security Specialists (080)	[3]	0	[3]	0	0	0	0	[3]	0
Clerical and Office Services (0300-0399)	[163]	0	[162]	0	0	0	0	[162]	1
Accounting and Budget (500-599)	[21]	0	[21]	0	0	0	0	[21]	0
Paralegals / Other Law (900-998)	[219]	0	[219]	0	0	0	0	[219]	0
Attorneys (905)	[371]	0	[371]	0	0	0	0	[371]	0
Mathematics and Statistics Group	[3]	0	[3]	0	0	0	0	[3]	0
Information Technology Mgmt (2210-2299)	[30]	0	[31]	0	0	0	0	[31]	0
Others	[12]	0	[12]	0	0	0	0	[12]	0
Contracting & Procurement	[3]	0	[3]	0	0	0	0	[3]	0
Bankruptcy Analyst	[203]	0	[203]	0	0	0	0	[203]	0
Total	[1,028]	0	[1,028]	0	0	0	0	[1,028]	1
Headquarters Washington D.C.	[86]	0	[86]	0	0	0	0	[86]	1
US Fields	[942]	0	[942]	0	0	0	0	[942]	0
Foreign Field	0	0	0	0	0	0	0	0	0
Total	[1,028]	0	[1,028]	0	0	0	0	[1,028]	1

Summary of Requirements by Object Class

U.S. Trustees
Salaries and Expenses
(Dollars in Thousands)

Object Class	FY 2018 Actual		FY 2019 Continuing		FY 2020 Request		Increase/Decrease	
	Act. FTE	Amount	Direct FTE	Amount	Direct FTE	Amount	Direct FTE	Amount
11.1 - Full-time permanent	981	109,044	1,015	113,566	1,015	114,000	0	434
11.3 - Other than full-time permanent	0	3,218	0	3,351	0	3,364	0	13
11.5 - Other personnel compensation	0	1,919	0	1,678	0	1,797	0	119
<i>Overtime</i>	0	0	0	0	0	0	0	0
<i>Other Compensation</i>	0	0	0	0	0	0	0	0
11.8 - Special personal services payments	0	-9	0	0	0	0	0	0
Total	981	114,172	1,015	118,595	1,015	119,161	0	566
Other Object Classes								
12.1 - Civilian personnel benefits		38,364		40,238		43,033	0	2,795
21.0 - Travel and transportation of persons		2,103		2,155		2,209	0	54
22.0 - Transportation of things		409		419		430	0	11
23.1 - Rental payments to GSA		25,083		25,710		26,353	0	643
23.2 - Rental payments to others		488		500		513	0	13
23.3 - Communications, utilities, and miscellaneous charges		3,442		3,529		3,617	0	88
24.0 - Printing and reproduction		68		70		71	0	1
25.1 - Advisory and assistance services		5,915		7,492		4,887	0	-2,605
25.2 - Other services from non-federal sources		11,052		11,130		11,409	0	279
25.3 - Other goods and services from federal sources		16,124		14,748		15,216	0	468
25.4 - Operation and maintenance of facilities		6,796		6,966		4,817	0	-2,149
25.6 - Medical care		253		259		266	0	7
25.7 - Operation and maintenance of equipment		546		560		574	0	14
26.0 - Supplies and materials		642		658		675	0	17
31.0 - Equipment		5,055		1,643		1,684	0	41
32.0 - Land and structures		2,018		2,068		583	0	-1,485
42.0 - Insurance claims and indemnities		80		82		84	0	2
44.0 - Refunds		287		294		302	0	8
Total Obligations		232,896		237,116		235,884	0	-1,232
Net of:								
Unobligated Balance, Start-of-Year		-8,822		-19,267		-8,353	0	10,914
Transfers/Reprogramming		0		0		0	0	0
Recoveries/Refunds		-17,433		-294		-302	0	-8
Balance Rescission		0		0		0	0	0
Unobligated End-of-Year, Available		19,267		8,353		0	0	-8,353
Unobligated End-of-Year, Expiring		0		0		0	0	0
Total Direct Requirements		225,908		225,908		227,229		1,321
Reimbursable FTE								
Full-Time Permanent	0		0		1		1	0