

UNITED STATES DEPARTMENT  
OF  
**JUSTICE**



**THE ATTORNEY GENERAL'S  
SEMIANNUAL MANAGEMENT REPORT  
TO CONGRESS**

**October 1, 2020 – March 31, 2021**

## Background

In accordance with the Inspector General Act of 1978, as amended, the Department of Justice (Department or DOJ) provides the following management report.<sup>1</sup> The report describes the Department’s actions during the semiannual period ended March 31, 2021, to address recommendations from the OIG provided in audit, inspection, and evaluation reports. The first section provides information on the status of final action for OIG reports with recommendations that funds be put to better use. The second section provides information on the status of final action for OIG reports with disallowed costs. The third and final section of the report, which addresses a reporting requirement for OIG audit reports only, provides the status of final action for reports open longer than one year for which management decisions have been made but final action has not been completed. During the semiannual reporting period, DOJ components implemented policy and management changes to close 165 recommendations in audit reports that had been open longer than one year.

## DOJ Management Report on Final Action

### 1. Status of Final Action for OIG Reports with Recommendations that Funds be Put to Better Use<sup>2</sup>

Status of Final Action on Funds to Better Use Recommendations (six-month period ended March 31, 2021)		
Category	FBU Recommendations	No. of OIG Reports
<b>Value of FBU recommendations for which final action had not been completed by the beginning of the reporting period</b>	<b>\$0</b>	<b>0</b>
Value of FBU recommendations agreed to by management in a final decision during the reporting period	\$0	
Value of FBU recommendations pending final action during the reporting period (sum of preceding two lines)	\$0	
Value of FBU recommendations for which final action was completed during the reporting period (sum of next two lines)	\$0	
FBU recommendations completed	\$0	
FBU recommendations that management subsequently concluded should not or could not be implemented or completed	\$0	
<b>Value of FBU recommendations for which final action had not been completed by the end of the reporting period</b>	<b>\$0</b>	

<sup>1</sup> Inspector General Act of 1978, as amended, 5 U.S.C. App.

<sup>2</sup> This section reflects the status of final action for OIG reports with recommendations that funds be put to better use (FBU) that management agreed to in a final decision, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *FBU recommendation* means a recommendation by the OIG that funds could be used more efficiently if management took actions to implement and complete the recommendation.

## 2. Status of Final Action for OIG Reports with Disallowed Costs<sup>3</sup>

Status of Final Action on Disallowed Costs (six-month period ended March 31, 2021)		
Category	Disallowed Costs	No. of OIG Reports
<b>Value of disallowed costs for which final action had not been completed by the beginning of the reporting period</b>	<b>\$2,046,686</b>	<b>10</b>
Value of disallowed costs included in the total above that management determined during the reporting period to be allowable costs based on the review of additional information	\$847,732	
<b>Subtotal</b>	<b>\$1,198,954</b>	
Value of disallowed costs agreed to by management in a final decision during the reporting period	\$1,000,784	
Value of disallowed costs pending final action during the reporting period (sum of preceding two lines)	\$2,199,738	
Value of disallowed costs for which final action was completed during the reporting period (sum of next three lines)	\$1,492,668	
Disallowed costs recovered by management through collection, offset, property in lieu of cash, or other means	\$1,492,668	
Disallowed costs referred to the U.S. Department of the Treasury for collection	\$0	
Disallowed costs written off by management	\$0	
<b>Value of disallowed costs for which final action had not been completed by the end of the reporting period</b>	<b>\$707,070</b>	

<sup>3</sup> This section reflects the status of final action for OIG reports with disallowed costs, in accordance with the Inspector General Act, as amended. For purposes of this report, the term *disallowed cost* means an incurred cost questioned by the OIG that DOJ management, in a final decision, agreed should not have been charged to the Government. The term *final decision* means management has evaluated the finding and recommendation and made a decision concerning its response, including actions concluded to be necessary or that no action is necessary. The OIG can question costs for various findings such as, at the time of audit, costs were not supported by adequate documentation. Historically, the Department has been able to provide adequate support for the majority of OIG questioned costs, resulting in the questioned costs being deemed allowable.

**3. Status of Final Action for OIG Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed<sup>4</sup>**

Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed (as of March 31, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
<b>ATF – Bureau of Alcohol, Tobacco, Firearms and Explosives</b>					
18-21	Bureau of Alcohol, Tobacco, Firearms and Explosives Controls over Weapons, Munitions, and Explosives	3/30/2018	10	8	The OIG had closed 8 of the 10 recommendations as of the end of the reporting period based on ATF completing final action. ATF completed final action on the remaining 2 recommendations related to tracking and securing ammunition in the evidence vault shortly after the end of the period. The OIG closed the recommendations in April 2021.
<b>BOP – Bureau of Prisons</b>					
18-32	Federal Bureau of Prisons' Contracts Awarded to Pacific Forensic Psychology Associates, Inc., San Diego, California	9/21/2018	16	15	The OIG has closed 15 of the 16 recommendations based on BOP completing final action. In addition, BOP has completed various actions on the remaining recommendation related to transitioning to an electronic system that would allow for electronic submission of contractor invoices and clinical documents. BOP plans to complete final action on the recommendation in FY 2022.

<sup>4</sup> This section reflects the status of final action for OIG audit reports open longer than one year for which management decisions have been made but final action has not been completed. For purposes of this report, the term *final action* means the completion of all actions that management concluded in its final decision are necessary with respect to the findings and recommendations in the audit report.

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
<b>Report Number</b>	<b>Audit Report Title</b>	<b>Report Date</b>	<b>Management Improvements</b>		<b>Status of Final Action</b>
			<b>Recommended</b>	<b>Final Action Completed</b>	
19-37	Federal Bureau of Prisons' Contract Awarded to Correct Care Solutions, LLC for the Federal Correctional Complex in Coleman, Florida	9/03/2019	13	10	The OIG has closed 10 of the 13 recommendations based on BOP completing final action. In addition, BOP has completed various actions on the remaining recommendations to improve contract administration. BOP plans to complete final action on the recommendations in FY 2022.
20-042	Federal Bureau of Prisons' Monitoring of Inmate Communications to Prevent Radicalization	3/24/2020	19	1	The OIG has closed 1 of the 19 recommendations based on BOP completing final action. In addition, BOP has completed various actions on the remaining recommendations to improve monitoring of inmate communications to prevent radicalization. BOP plans to complete final action on the recommendations in FY 2022.
<b>COPS – Office of Community Oriented Policing Services</b>					
Various	3 Grant Audit Reports	Various	19	5	The OIG has closed 5 of the 19 recommendations based on COPS completing final action, including final action during the reporting period on disallowed costs valued at \$192,052. COPS continues to work with grantees to remedy reported deficiencies and questioned costs.
<b>CRM – Criminal Division</b>					
GR-70-17-003	Franklin County District Attorney's Office's Equitable Sharing Program Activities, Franklin County, New York	3/08/2017	15	10	The OIG has closed 10 of the 15 recommendations based on CRM completing final action. In March 2020, the OIG placed resolution activity for the open recommendations on hold until such time as the OIG completes its audit of the Money Laundering and Asset Recovery Section's Administration of the Equitable Sharing Program. As of the end of the reporting period, resolution activity was still on hold.

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
<b>DEA – Drug Enforcement Agency</b>					
16-08	Drug Enforcement Administration's Controls Over Seized and Collected Drugs	2/17/2016	9	8	The OIG has closed 8 of the 9 recommendations based on DEA completing final action. In addition, DEA has completed various actions on the remaining recommendation related to documentation for drug seizures. DEA plans to complete final action on the recommendation in FY 2022.
NFPR <sup>5</sup>	1 FISMA Audit Report	3/12/2019	11	10	The OIG has closed 10 of the 11 recommendations based on DEA completing final action. DEA plans to complete final action on the remaining recommendation related to FISMA in FY 2022.
<b>FBI – Federal Bureau of Investigation</b>					
16-20	Federal Bureau of Investigation's Cyber Threat Prioritization	7/20/2016	2	0	FBI has completed various actions on the recommendations which involve the use of an algorithmic, data-driven tool to prioritize cyber threats and implementation of a record keeping system that tracks agent time utilization by threat set. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.

<sup>5</sup> Due to law enforcement sensitivity reasons, the OIG issues its Federal Information Security Modernization Act (FISMA) audit reports as Not For Public Release (NFPR).

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
<b>Report Number</b>	<b>Audit Report Title</b>	<b>Report Date</b>	<b>Management Improvements</b>		<b>Status of Final Action</b>
			<b>Recommended</b>	<b>Final Action Completed</b>	
17-35	Federal Bureau of Investigation's Insider Threat Program	9/22/2017	8	3	The OIG has closed 3 of the 8 recommendations based on FBI completing final action. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.
19-11	Federal Bureau of Investigation's Oversight and Administration of the National Vehicle Lease Program and Its Contract with EAN Holdings, LLC	3/11/2019	21	17	The OIG has closed 17 of the 21 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations related to improving contract oversight and administration. FBI plans to complete final action on the recommendations in FY 2021.
20-009	Federal Bureau of Investigation's Management of its Confidential Human Source Validation Processes	11/18/2019	16	2	The OIG has closed 2 of the 16 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations to help the FBI better manage its Confidential Human Source program. FBI plans to complete final action on the recommendations in FY 2021.
20-030	Federal Bureau of Investigation's Efforts to Identify Homegrown Violent Extremists through Counterterrorism Assessments	3/03/2020	7	0	FBI has completed various actions on the recommendations to help identify Homegrown Violent Extremists through counterterrorism assessments. FBI plans to complete final action on the recommendations in FY 2021.

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
20-041	Federal Bureau of Investigation's Controls over Weapons, Munitions, and Explosives	3/23/2020	13	1	The OIG has closed 1 of the 13 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations to improve controls over weapons, munitions, and explosives. FBI plans to complete final action on the recommendations in FY 2021.
GR-90-17-006	Compliance with Standards Governing Combined DNA Index System Activities at the Los Angeles County Sheriff's Department Scientific Services Bureau Crime Laboratory, Los Angeles, California	9/29/2017	8	4	The OIG has closed 4 of the 8 recommendations based on FBI completing final action. In addition, FBI has completed various actions on the remaining recommendations related to the laboratory strengthening physical security and ensuring case files contain sufficient information to determine CODIS eligibility. FBI plans to complete final action on the recommendations in FY 2021.
NFPR	5 FISMA Audit Reports	Various	112	78	The OIG has closed 78 of the 112 recommendations based on the FBI completing final action. The OIG has indicated it will not close the remaining recommendations until it completes its FY 2021 audits of FBI FISMA compliance. As of the end of the reporting period, resolution activity was on hold.
<b>JMD – Justice Management Division</b>					
16-26	Follow-Up of the Department of Justice's Implementation of and Compliance with Certain Classification Requirements	9/12/2016	3	2	The OIG has closed 2 of the 3 recommendations based on JMD completing final action. In addition, JMD has completed various actions on the remaining recommendation to publish the updated Mandatory Declassification Review process in the Federal Register and coordinate with DEA to ensure its classification practices do not result in over-or under-classification. JMD plans to complete final action on the recommendation in FY 2021.

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
NFPR	2 FISMA Audit Reports	Various	16	13	The OIG has closed 13 of the 16 recommendations based on JMD completing final action. The OIG has indicated it will not close the remaining recommendations until it completes its FY 2021 audits of JMD FISMA compliance. As of the end of the reporting period, resolution activity was on hold.
<b>OJP – Office of Justice Programs</b>					
17-10	Office of Justice Programs' Tribal Justice Systems Infrastructure Program	1/18/2017	12	11	The OIG has closed 11 of the 12 recommendations based on OJP completing final action. OJP continues to work with the grantee to remedy reported deficiencies and questioned costs. OJP plans to complete final action on the remaining recommendation in FY 2022.
Various	20 Grant and Cooperative Agreement Audit Reports	Various	284	159	The OIG has closed 159 of the 284 recommendations based on OJP completing final action, including final action during the reporting period on disallowed costs valued at \$16,104. OJP continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$83,011.
Various	7 Single Audit Act Reports	Various	21	8	The OIG has closed 8 of the 21 recommendations based on OJP completing final action. OJP continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$54,266.

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
<b>OVW – Office on Violence Against Women</b>					
19-08	Office on Violence Against Women Training and Technical Assistance Program	2/13/2019	13	10	The OIG closed 4 of the 13 recommendations upon issuance of the final report and 6 additional recommendations subsequent to that based on OVW completing final action. In addition, OVW has completed various actions on the remaining recommendations to improve administration and oversight of the Technical Assistance Program. OVW plans to complete final action on the recommendations in FY 2021.
Various	9 Grant Audit Reports	Various	129	59	The OIG has closed 59 of the 129 recommendations based on OVW completing final action. OVW continues to work with grantees to remedy reported deficiencies and questioned costs and complete final action on disallowed costs valued at \$7,854.
<b>TAX – Tax Division</b>					
NFPR	1 FISMA Audit Report	3/04/2020	9	7	The OIG has closed 7 of the 9 recommendations based on TAX completing final action. TAX plans to complete final action on the remaining recommendations related to FISMA in FY 2021.

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
<b>Report Number</b>	<b>Audit Report Title</b>	<b>Report Date</b>	<b>Management Improvements</b>		<b>Status of Final Action</b>
			<b>Recommended</b>	<b>Final Action Completed</b>	
<b>USMS – United States Marshals Service</b>					
19-33	United States Marshals Service's Justice Prisoner and Alien Transportation System	7/24/2019	4	3	The OIG has closed 3 of the 4 recommendations based on USMS completing final action. In addition, USMS has completed various actions on the remaining recommendation related to JPATS's ongoing electronic movement packet system. USMS plans to complete final action on the recommendation in FY 2021.
20-044	United States Marshals Service's Administration of Joint Law Enforcement Operation Funds	3/25/2020	5	4	The OIG has closed 4 of the 5 recommendations based on USMS completing final action. In addition, USMS has completed various actions on the remaining recommendation to remedy unsupported overtime costs. USMS plans to complete final action on disallowed costs valued at \$508,720 in FY 2021.
NFPR	2 FISMA Audit Reports	Various	5	3	The OIG has closed 3 of the 5 recommendations based on USMS completing final action. USMS plans to complete final action on the remaining recommendations related to FISMA in FY 2021.
<b>USNCB – United States National Central Bureau (Interpol)</b>					
NFPR	1 FISMA Audit Report	3/16/2020	14	9	The OIG has closed 9 of the 14 recommendations based on USNCB completing final action. USNCB plans to complete final action on the remaining recommendations related to FISMA in FY 2021.

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
Report Number	Audit Report Title	Report Date	Management Improvements		Status of Final Action
			Recommended	Final Action Completed	
<b>Audit Reports Encompassing More Than One DOJ Component</b>					
18-14	Department of Justice's Efforts to Address Patterns or Practices of Police Misconduct and Provide Technical Assistance on Accountability Reform to Police Departments	2/13/2018	15	13	The OIG has closed 13 of the 15 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to clarify procedures related to high-profile incidents and coordination with relevant U.S. Attorneys' Offices when technical assistance is provided by OJP, COPS, or CRS. The Department plans to complete final action on the recommendations in FY 2021.
18-18	Department of Justice Grant Award Closeout Process	3/12/2018	61	60	The OIG has closed 60 of the 61 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendation for the grant award closeout process and continues to work with the grantee to remedy reported deficiencies and questioned costs. The Department plans to complete final action on the recommendation in FY 2021.
19-14	Efforts to Safeguard Minors in Department of Justice Youth-Centered Programs	3/13/2019	6	5	The OIG has closed 5 of the 6 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendation related to ensuring that DOJ grantees have adequate controls in place to safeguard minors participating in DOJ-funded programs. The Department plans to complete final action on the recommendation in FY 2021.

<b>Status of Final Action for Audit Reports Open Longer than One Year for which Management Decisions Have Been Made but Final Action Has Not Been Completed</b> (as of March 31, 2021)					
<b>Report Number</b>	<b>Audit Report Title</b>	<b>Report Date</b>	<b>Management Improvements</b>		<b>Status of Final Action</b>
			<b>Recommended</b>	<b>Final Action Completed</b>	
19-23	Federal Bureau of Investigation's Cyber Victim Notification Process	3/29/2019	13	0	The Department has completed various actions on the recommendations to improve the efficiency and effectiveness of the FBI's cyber victim notification process. In August 2020, the OIG placed resolution activity for the open recommendations on hold due to the OIG's limited access to classified information while operating under a maximum telework posture because of the COVID-19 pandemic. As of the end of the reporting period, resolution activity was still on hold.
19-32	Department of Justice's Use of Immigration Sponsorship Programs	6/11/2019	10	4	The OIG has closed 4 of the 10 recommendations based on the Department completing final action. In addition, the Department has completed various actions on the remaining recommendations to assist the DOJ's oversight of sponsored foreign nationals. The Department plans to complete final action on the recommendations in FY 2021.
20-007	Department of Justice's Fiscal Year 2019 Compliance with the Digital Accountability and Transparency Act of 2014	11/06/2019	6	4	The OIG has closed 4 of the 6 recommendations based on the Department completing final action. The OIG has indicated it will not close the remaining recommendations until it completes its audit of the Department's FY 2021 compliance with the Digital Accountability and Transparency Act of 2014. As of the end of the reporting period, resolution activity was on hold.