UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MARYLAND

UNITED STATES OF AMERICA,

Plaintiff,

V.

WEBBER, MENG, SAHL AND COMPANY, INC. d/b/a/ WMS & COMPANY, INC., et al.,

Defendants.

Civil Action No.: 1:22-cv-01821

STIPULATION AND ORDER

It is hereby stipulated by and among the undersigned parties, subject to approval and entry of this Order by the Court, as follows.

I. JURISDICTION AND VENUE

The Court has jurisdiction over the subject matter of this action and over the parties to it.

Venue for this action is proper in the United States District Court for the District of Maryland.

Defendants Webber, Meng, Sahl and Company, Inc., d/b/a WMS & Company, Inc., and G.

Jonathan Meng (collectively, the "Settling Defendants") waive service of summons of the Complaint.

II. COMPLIANCE WITH AND ENTRY OF FINAL JUDGMENT

A. The proposed Final Judgment filed with this Stipulation and Order, or any amended proposed Final Judgment agreed upon in writing by the United States and Settling Defendants, may be filed with and entered by the Court as the Final Judgment, upon the motion of the United

States or upon the Court's own motion, after compliance with the requirements of the Antitrust Procedures and Penalties Act ("APPA"), 15 U.S.C. § 16, and without further notice to any party or any other proceeding, as long as the United States has not withdrawn its consent. The United States may withdraw its consent at any time before the entry of the Final Judgment by serving notice on Settling Defendants and by filing that notice with the Court.

- B. From the date of the signing of this Stipulation and Order by Settling Defendants until the Final Judgment is entered by the Court, or until expiration of time for all appeals of any ruling declining entry of the proposed Final Judgment, Settling Defendants will comply with all of the terms and provisions of the proposed Final Judgment.
- C. From the date on which the Court enters this Stipulation and Order, the United States will have the full rights and enforcement powers set forth in the proposed Final Judgment as if the proposed Final Judgment were in full force and effect as a final order of the Court, and Section X of the proposed Final Judgment will also apply to violations of this Stipulation and Order.
- D. Settling Defendants agree to arrange, at their expense, publication of the newspaper notice required by the APPA, which will be drafted by the United States in its sole discretion. The publication must be arranged as quickly as possible and, in any event, no later than three business days after Settling Defendants' receipt of (1) the text of the notice from the United States and (2) the identity of the newspaper or newspapers within which the publication must be made. Settling Defendants must promptly send to the United States (1) confirmation that publication of the newspaper notice has been arranged and (2) the certification of the publication prepared by the newspaper or newspapers within which the notice was published.

- E. This Stipulation and Order applies with equal force and effect to any amended proposed Final Judgment agreed upon in writing by the United States and Settling Defendants and filed with the Court.
- F. Settling Defendants represent that the actions that they are required to perform pursuant to the proposed Final Judgment can and will be performed, and that Settling Defendants will later raise no claim of mistake, hardship or difficulty of compliance as grounds for asking the Court to modify any of the provisions contained therein.

III. DURATION OF OBLIGATIONS

In the event that (1) the United States has withdrawn its consent, as provided in Paragraph II.A of this Stipulation and Order; (2) the United States voluntarily dismisses the Complaint in this matter; or (3) the Court declines to enter the proposed Final Judgment, the time has expired for all appeals of any ruling declining entry of the proposed Final Judgment, and the Court has not otherwise ordered continued compliance with the terms and provisions of the proposed Final Judgment, Settling Defendants are released from all further obligations under this Stipulation and Order, and the making of this Stipulation and Order will be without prejudice to any party in this or any other proceeding.

Dated: July 12, 2022

Respectfully submitted,

FOR PLAINTIFF

UNITED STATES OF AMERICA

Jack G. Lerner Antitrust Division

U.S. Department of Justice

Antitrust Division

Civil Conduct Task Force

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FOR DEFENDANT

WEBBER, MENG, SAHL AND

COMPANY, INC. d/b/a/ WMS &

COMPANY, INC. and DEFENDANT G.

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				ORDER		
IS SO	ORDERI	ED by this	Court, this _	day of		
					TI-'4-1 Ct-t-	- District India
					United States District Judge	