

**Exhibit 300: Capital Asset Plan and Business Case Summary****Part 1: Summary Information And Justification (All Capital Asset)**

1. Date of Submission: February 4, 2016
2. Agency: Department of Justice
3. Bureau: Bureau of Prisons (BOP)
4. Name of Investment: USP North Central/Pekin, IL
5. Justification for Investment:

U.S. Penitentiary (USP) North Central Region/Pekin, IL will be designed as a 960 bed high security prison with a 256 bed work camp (minimum security). The project will be awarded as a design/build project using a negotiated procurement which allows contractors input on all aspects of the project. USP North Central Region/Pekin received partial site and planning funds in FY 2002. An estimated construction completion date cannot be determined for this project, due to uncertainty of future funds and insufficient available resources.

This investment will provide a Federal correctional facility which directly supports the BOP's mission to protect society by confining offenders in the controlled environments of prisons and community-based facilities that are safe, humane, cost-efficient, and appropriately secure, and that provide work and other self-improvement opportunities to prepare offenders for reentry into their communities upon release. It supports the Department of Justice Strategic Goal 3: Ensure and Support the Fair, Impartial, Efficient, and Transparent Administration of Justice at the Federal, State, Local, Tribal and International Levels.

6. Accountability

- a. Business Sponsor

The Business Sponsor is the individual with the authority to allocate resources and make personnel decisions.

I. Name	<u>Mitch Miskimins</u>
II. Title	<u>Deputy Chief of Capacity Planning &amp; Construction Branch</u>
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- b. Program/Project Manager

I. Name	<u>Mitch Miskimins</u>
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IV. Qualifications (select one)	



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## 8. Acquisition Plan

The Acquisition Plan should maximize competition to ensure the government receives a fair price. The Plan must be available to OMB upon request.

- a. Has an Acquisition Plan been developed? Yes
- b. If an Acquisition Plan has been developed, answer the following questions.
  - i. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1? Yes
  - ii. Was the Acquisition Plan approved in accordance with agency requirements? Yes
  - iii. If the Plan was approved, enter the date of approval. May 2001
  - iv. Is the Acquisition Plan consistent with agency Strategic Sustainability Performance Plan? Yes
  - v. Does the Acquisition Plan meet the requirements of EO 13693? Yes
- c. If an Acquisition Plan has not been developed, provide a brief explanation.
- d. Enter all (including non-Federal) current and planned contracts and task orders in Table 2. Completed contracts and task orders do not need to be listed. Total Value should include option years. If a contract has not been awarded, estimates of dates, dollar values and any other information should be provided. Data definitions can be found at [www.usaspending.gov/learn?tab=FAQ#2](http://www.usaspending.gov/learn?tab=FAQ#2).
- e. Do all Procurement Instrument Identifier (PIID) and Indefinite Delivery Vehicle (IDV) PIID entries match [www.USAspending.gov](http://www.USAspending.gov) ? N/A
- f. Do all Solicitation IDs match FedBizOpps at [www.fbo.gov](http://www.fbo.gov) ? N/A
- g. If Earned Value Management is not required or will not be a contract requirement for any of the contracts or task orders, provide a brief explanation.

Earned Value Management is considered a major system acquisition and is not utilized in BOP construction contracts.

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<b>Table 2: Contracts</b>				
<b>Field</b>	<b>Data Description</b>	<b>Contract 1</b>	<b>Contract 2</b>	<b>Contract X</b>
Contract Status	N/A			
Contracting Agency ID	15-X-1003			
Procurement Instrument Identifier (PIID)				
Indefinite Delivery Vehicle (IDV) Reference ID	N/A			
Solicitation ID				
Alternative Financing	N			
EVM Required	N			
Ultimate Contract Value				
Type of Contract/Task Order (Pricing)	Design Build			
Is the Contract a Performance Based Service Acquisition (PBSA)?	N			
Effective Date				
Actual or Expected End Date of Contract/Task Order	N/A			
Extent Completed	Full & Open Competition			
Short Description of Acquisition	N/A			

## 9. Alternatives Analysis

- a. Was an Alternatives Analysis conducted? Yes
- b. If an Alternatives Analysis was conducted, answer the following questions.
- i. What is the date of the analysis? May 2001
  - ii. How many alternatives were evaluated? 4
  - iii. Did the analysis evaluate the costs and the benefits of each alternative? Yes
  - iv. Briefly summarize the rationale for the selected alternative.

Constructing a new facility was the alternative determined to provide the greatest benefit to taxpayers and ultimately be more cost effective than the other alternatives.

- c. If an Alternatives Analysis was not conducted, provide a brief explanation. N/A

## 10. Risk Management

- a. Has a Risk Management Plan been developed? Yes
- b. If a Risk Management Plan has been developed, answer the following questions.

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- i. What is the date of the plan? April 2011
- ii. Does the plan include a list of risks? Yes
- iii. Does the plan include the probability of occurrence of each risk? Yes
- iv. Does the plan include the impact of each risk? Yes
- v. Does the plan include a mitigation strategy for each risk? Yes
- vi. Does the plan include actively managing risk throughout the lifecycle? Yes
- c. If a Risk Management Plan has not been developed, provide a brief explanation. N/A

11. Performance Information

The investment must support the agency’s strategic goals. The performance goals must be clearly measurable and quantifiable.

- a. Enter the strategic goals and the corresponding performance measures in Table 3.
- b. Explanations

The table represents inmate crowding by project’s security level, by fiscal year.

**High Security**

**Table3: Performance Information**

<b>Fiscal Year</b>	<b>Strategic Goal(s) Supported</b>	<b>Performance Baseline</b>	<b>Performance Goal</b>	<b>Actual Result</b>
2014	3.3	Crowding by security level	53%	52%
2015	3.3	Crowding by security level	43%	47%
2016	3.3	Crowding by security level	31%	N/A
2017	3.3	Crowding by security level	30%	N/A

12. Earned Value Management (EVM)

N/A