

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
5 U.S.C. app. § 107(a)(2)	Confidential financial disclosure report pertaining to certain government employees	Meyerhoff v. EPA, 958 F.2d 1498, 1500- 02 (9th Cir. 1992); Boyd v. Exec. Off. for U.S. Attys, 161 F. Supp. 3d 1, 7 (D.D.C. 2015); Seife v. NIH, 874 F. Supp. 2d 248, 254 (S.D.N.Y. 2012).	OIP	1	1
8 U.S.C. § 1202(f)	Certain records pertaining to the issuance or refusal of visas to enter the United States	Medina-Hincapie v. Dep't of State, 700 F.2d 737, 741-42 (D.C. Cir. 1983); DeLaurentiis v. Haig, 686 F.2d 192, 194 (3d Cir. 1982); Assadi v. Dep't of State, No. 12-1111, 2014 WL 4704840, at *6 (S.D.N.Y. Sept. 19, 2014); Beltranena v. U.S. Dep't of State, 821 F. Supp. 2d 167, 177-78 (D.D.C. 2011); Badalamenti v. U.S. Dep't of State, 899 F. Supp. 542, 547 (D. Kan. 1995); Jan-Xin Zang v. FBI, 756 F. Supp. 705, 711-12 (W.D.N.Y. 1991); Smith v. DOJ, No. 81-CV-813, 1983 U.S. Dist. LEXIS 10878, at *13-14 (N.D.N.Y. Dec. 13, 1983).	FBI	1	1

10 U.S.C. § 130(a)	Certain "technical data with military or space application in the possession of, or under the control of, the Department of Defense"	Newport Aeronautical Sales v. Dep't of the Air Force, 684 F.3d 160, 168 (D.C. Cir. 2012); Chenkin v. Dep't of the Army, No. 93-494, 1994 U.S. Dist. LEXIS 20907, at *8 (E.D. Pa. Jan. 14, 1994), aff'd, 61 F.3d 894 (3d Cir. 1995) (unpublished table decision).	FBI	1	1
10 U.S.C. § 130b	Personally identifiable information pertaining to "any member of the armed forces assigned to an overseas unit, a sensitive unit, or a routinely deployable unit" and "any employee of the Department of Defense or of the Coast Guard whose duty station is with any such unit"	Freedom Watch, Inc. v. NSA, 197 F. Supp. 3d 165, 174 (D.D.C. 2016); Hiken v. DOD, 521 F. Supp. 2d 1047, 1062 (N.D. Cal. 2007); O'Keefe v. DOD, 463 F. Supp. 2d 317, 325 (E.D.N.Y. 2006); Windel v. United States, No. A02-306, 2005 WL 846206, at *2 (D. Alaska Apr. 11, 2005).	FBI	1	1
10 U.S.C. § 424	Organization or any function of, and certain information pertaining to, employees of the Defense Intelligence Agency, the National Reconnaissance Office, and the National Geospatial-Intelligence Agency	Hamdan v. DOJ, 797 F.3d 759, 776 (9th Cir. 2015); Freedom Watch, Inc. v. NSA, 197 F. Supp. 3d 165, 174 (D.D.C. 2016); Wickwire Gavin, P.C. v. Def. Intel. Agency, 330 F. Supp. 2d 592, 602 (E.D. Va. 2004).	FBI	2	2
10 U.S.C. § 2305(g)	Certain contractor proposals	Roman v. NSA, Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012);	DEA	1	1

		Margolin v. NASA, No. 09-CV-00421-LRHVPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011).			
15 U.S.C. § 18a(h)	Information submitted in connection with the premerger notification provisions of the Hart-Scott-Rodino Antitrust Improvements Act of 1976.	N/A	Antitrust	14	14
15 U.S.C. § 1314(g)	"Any documentary material, answers to written interrogatories, or transcripts of oral testimony" provided pursuant to certain civil investigative demands pertaining to antitrust investigations	Motion Picture Ass'n of Am. v. DOJ, No. 80 Civ. 6612, slip op. at 1 (S.D.N.Y. Oct. 6, 1981).	Antitrust	10	11
			FBI	1	
15 U.S.C. § 4305(d)	Information submitted under the National Cooperative Research and Production Act of 1993	N/A	FBI	1	1
18 U.S.C. §§ 2510, et seq.	Wiretap requests and the contents of any wire, oral, or electronic communication obtained through wiretaps	Payne v. DOJ, No. 96-30840, 1997 WL 450139, at *3 (5th Cir. July 11, 1997); Lam Lek Chong v. DEA, 929 F.2d 729, 733 (D.C. Cir. 1991).	Criminal	1	57
			DEA	1	
			EOUSA	4	
			FBI	50	
			OIP	1	
18 U.S.C. § 3123(d)			DEA	4	49

	Certain court "order[s] authorizing or approving the installation and use of a pen register or a trap and trace device"; information pertaining to "the existence of the pen register or trap and trace device or the existence of the investigation"	Labow v. DOJ, 831 F.3d 523, 527-28 (D.C. Cir. 2016); ACLU v. DOJ, 2014 WL 4954121, at *11 (N.D. Cal. Sept. 30, 2014); Manna v. DOJ, 815 F. Supp. 798, 812 (D.N.J. 1993), aff'd on other grounds, 51 F.3d 1158 (3d Cir. 1995).	EOUSA	2	
			FBI	43	
18 U.S.C. § 3509(d)	Certain records containing identifying information pertaining to children involved in criminal proceedings	Davis v. U.S. Postal Inspection Serv., No. 13-01972, 2014 WL 7014877, at *3 (D.D.C. Dec. 15, 2014).	EOUSA	6	30
			FBI	24	
18 U.S.C. § 3521(b)(1)(g)	"[T]he identity or location of the person relocated or protected, or any other matter concerning the person or the program after weighing [various factors]," subject to certain exceptions	Bonadonna v. DOJ, 791 F. Supp. 2d 269, 270 (D. Mass. 2010), aff'd, No. 10 1595, 2011 WL 4770189 (1st Cir. Jan. 7, 2011).	BOP	2	5
			Criminal	1	
			FBI	2	
18 U.S.C. § 5038(a)	Records of juvenile delinquency proceedings	Lavado v. DEA, No. 90-5262, 1991 WL 119586, at *1 (D.C. Cir. June 28, 1991).	EOUSA	1	10
			FBI	9	
26 U.S.C. §§ 6103, 6105	Certain tax return information, to include Taxpayer Identification Numbers of third parties, and certain tax convention information	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Elec. Priv. Info. Ctr. v. IRS, 910 F.3d 1232 (D.C. Cir. 2018); Leonard v. U.S. Dep't of Treasury, 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); Pac.	ATF	94	140
			BOP	2	
			Civil	2	
			FBI	24	
			Tax	18	

		Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105).			
28 U.S.C. § 652(d)	"[C]onfidential dispute resolution communications"	Yelder v. DOD, 577 F. Supp. 2d 342, 346-47 (D.D.C. 2008).	EOUSA	1	1
31 U.S.C. § 5311	Records and reports on monetary instruments transactions	N/A	FBI	3	3
31 U.S.C. § 3730(b)(2)	Records pertaining to civil actions for false claims against the United States Government	N/A	Civil	4	6
			EOUSA	2	
31 U.S.C. § 5319	Reports pertaining to monetary instruments transactions filed under subchapter II of chapter 53 of title 31 and records of those reports	Turner v. Dep't of Treasury, No. 15-00007, 2017 WL 1106030 at *7 (E.D. Cal. Mar. 23, 2017); Yunes v. DOJ, 263 F. Supp. 3d 82, 87 (D.D.C. 2017); Council on Am.-Islamic Rels., Cal. v. FBI, 749 F. Supp. 2d 1104, 1117 (S.D. Cal. 2010); Berger v. IRS, 487 F. Supp. 2d 482, 496-97 (D.N.J. 2007), aff'd on other grounds, 288 F. App'x 829 (3d Cir. 2008).	FBI	10	10
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15,	BOP	21	23
			FBI	1	

	have not been set forth or incorporated by reference into contracts	2012); Margolin v. NASA, No. 09- CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	JMD	1	
41 U.S.C. § 423(a)(1)	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. So01748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	BOP	32	32
42 U.S.C. § 300aa-12(d)(4)(A)	"Except as provided in subparagraph (B), information submitted to a special master or the court in a proceeding on a petition [for compensation under the National Vaccine Injury Compensation Program] . . . without the express written consent of the person who submitted the information"	Long v. DOJ, 778 F. Supp. 2d 222, 234 (N.D.N.Y. 2011).	Civil	14	14
42 U.S.C. § 3789g	Data received by the Bureau of Justice Statistics and used only for statistical or research purposes	N/A	OJP	6	6

49 U.S.C. § 114(r) (formerly at 49 U.S.C. § 114(s))	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title	Skurrow v. DHS, No. 11-1296, 2012 WL 4380895, at *9-10 (D.D.C. Sept. 26, 2012); Gordon v. FBI, 390 F. Supp. 2d 897, 900 (N.D. Cal. 2004).	FBI	1	1
50 U.S.C. § 3605 (formerly at 50 U.S.C. § 402 note)	Information pertaining to the functions or organization of NSA; certain information pertaining to NSA employees	ACLU v. DOJ, 681 F.3d 61, 72-75 (2d Cir. 2012); Elec. Priv. Info. Ctr. v. NSA, 678 F.3d 926, 931 (D.C. Cir. 2012); Houghton v. NSA, 378 F. App'x 235, 238-39 (3d Cir. 2010) (per curiam); Lahr v. NTSB, 569 F.3d 964, 985 (9th Cir. 2009).	OIP	3	3
50 U.S.C. § 3507 (formerly at 50 U.S.C. § 403g)	Intelligence sources and methods; certain information pertaining to Agency employees, specifically: "the organization, functions, names, official titles, salaries, or numbers of personnel employed by the Agency"	Assassination Archives & Rsch. Ctr. v. CIA, No. 18-5280, 2019 WL 691517 (D.C. Cir. Feb. 15, 2019) (per curiam); ACLU v. DOJ, 681 F.3d 61, 72-75 (2d Cir. 2012); Berman v. CIA, 501 F.3d 1136, 1137-38, 1140 (9th Cir. 2007); Makky v. Chertoff, 489 F. Supp. 2d 421, 442 (D.N.J. 2007), aff'd on other grounds, 541 F. 3d 205 (3d Cir. 2008).	DEA	1	34
			FBI	30	
			OIP	2	
			OLC	1	
	Intelligence sources and methods	CIA v. Sims, 471 U.S. 159, 167 (1985).	DEA	1	1,049
			FBI	1,022	

50 U.S.C. § 3024(i)(1) (formerly at 50 U.S.C. § 403-1(i)(1))			OIP	26	
Fed. R. Crim. P. 6(e)	Certain records that would reveal some secret aspect pertaining to grand jury proceedings	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	Antitrust	4	585
			ATF	2	
			BOP	4	
			Civil Rights	7	
			Criminal	3	
			DEA	1	
			ENRD	1	
			EOUSA	134	
			FBI	406	
			NSD	1	
Fed. R. Crim. P. 32	Presentence reports and recommendations	DOJ v. Julian, 486 U.S. 1, 9 (1988).	OIP	22	87
			BOP	78	
			EOUSA	8	
Pub. L. No. 111- 8, 123 Stat. 524	Information required to be maintained by Federal Firearms Licensees and information maintained in the ATF Firearms Tracing Systems	N/A	FBI	1	159
			ATF	159	

6 U.S.C. § 1504(d)(3)(B)	A cyber threat indicator or defensive measure shared with the federal government under the Cybersecurity Information Sharing Act (CISA) of 2015	N/A	FBI	1	1
6 U.S.C. § 673(a)(1)(A)	Voluntarily shared critical infrastructure information	N/A	FBI	2	2
8 USC § 1367(a)(2)	Information that relates to an alien who is the beneficiary of certain applications for relief under the Immigration and Nationality Act.	N/A	EOIR	2	2
18 U.S.C. § 208(d)(1)	Providing that "a copy of any determination granting an exemption under subsection (b)(1) or (b)(3) [from application of penalties for acts affecting personal financial interests determined to constitute bribery, graft, or conflicts of interest] shall be made available to the public," but exempting from this disclosure requirement "any information contained in the determination that would be exempt from disclosure under section 552 of title 5"	Seife v. NIH, 874 F. Supp. 2d 248, 256 (S.D.N.Y. 2012).	EOUSA	2	2

John S. McCain National Defense Authorization Act for Fiscal Year 2019, P.L. 115-232 (formerly at 50 U.S.C. § 4614(c)(1))	Information pertaining to license applications under the Export Administration Act	Wis. Project on Nuclear Arms Control v. U.S. Dep't of Com., 317 F.3d 275, 284 (D.C. Cir. 2003); Times Publ'g Co. v. U.S. Dep't of Com., 236 F.3d 1286, 1289-90 (11th Cir. 2001); Lessner v. U.S. Dep't of Com., 827 F.2d 1333, 1336-37 (9th Cir. 1987).	FBI	1	1
Pub. L. No. 112-55, 125 Stat. 552, 609-10 (Consolidated Appropriations Act, 2012)	Certain firearms database information	City of Chi. v. U.S. Dep't of the Treasury, 423 F.3d 777, 781-82 (7th Cir. 2005); P.W. Arms, Inc. v. United States, No. 15-1990-JCC, 2017 WL 319250, at *4 (W.D. Wash. Jan. 23, 2017); Williams v. ATF, No. PWG-15-1969, 2017 WL 3978580 at *5-6 (D. Md. Sept. 8, 2017); Abdeljabbar v. ATF, 74 F. Supp. 3d 158, 173 (D.D.C. 2014).	FBI	2	2
Treaty Between the Government of the United States of America and the Government of Panama on Mutual Assistance in Criminal Matters, Pan.-U.S. , Apr. 11, 1991, S. TREATY DOC. 102-15 (1991).	Evidence obtained by way of a treaty with a confidentiality clause.	N/A	Criminal	1	1

United Nations Convention Against Illicit Trafficking in Narcotic Drugs and Psychotropic Substances art.7, Dec. 20, 1988, S. TREATY DOC. 101-4, 1582 U.N.T.S. 95 (1990).	Evidence obtained by way of a treaty with a confidentiality clause.	N/A	Criminal	1	1
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