

Agency	Subagency	CFR Citation	Description	Authority Type	Authority Citation	Mens Rea Type	Mens Rea Source Citation	Penalty Type	Penalty Range	Penalty Source	Add'l Information
Justice Department	DEA	21 CFR 1301.74(c); 1301.76(b)	Requirement to report thefts and significant losses of controlled substances	Statutory	21 U.S.C. 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1302.03(c); 1302.04; 1302.07(a)	Defines the symbols that must be used on packaging and their size	Statutory	21 U.S.C. 825(a)-(b); 821; 822(b); 871(b)	Knowledge	21 U.S.C. 825(a)-(b); 842(a)(3); 842(c)(2)	Imprisonment, criminal fine, or both.	Imprisonment not more than one year, fine under Title 18, or both, with potential enhancements	21 U.S.C. 842(c)(2); Title 18 fines	
Justice Department	DEA	21 CFR 1304.03(e)	Requirement for mid-level practitioners to maintain records showing their state authority	Statutory	21 U.S.C. 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1304.04(h)(2) & (4)	Pharmacies must maintain prescription in a separate prescription file	Statutory	21 U.S.C. 827(a)(3) & (b); 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1304.05	Maintenance of pharmacy records relating to relationships between retain pharmacy and central fill pharmacy	Statutory	21 U.S.C. 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1304.21(e)	Two employees must sign the record of destruction	Statutory	21 U.S.C. 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1304.22 .25	Detailing what's considered a "complete and accurate" record under 21 U.S.C. 827	Statutory	21 U.S.C. 821; 827; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1304.33(c) & (d)	Details relating to ARCOS reports	Statutory	21 U.S.C. 821; 827(d); 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1305.05(a)	Requires the retention of power of attorney for order forms with executed order forms	Statutory	21 U.S.C. 828(a); 827; 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1305.07	Limitations on orders for certain controlled substances	Statutory	21 U.S.C. 828(a); 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(1); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1305.13(b)	Procedures for supplier to properly complete order form	Statutory	21 U.S.C. 828(a); 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(1); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1305.13(e)	Procedures for purchaser to properly complete order form upon receipt of order	Statutory	21 U.S.C. 828(a); 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1305.15(a); 1305.25(a)	Clarifying when an order cannot be filled because the order form is not properly executed	Statutory	21 U.S.C. 828(a); 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 843(a)(1)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements	21 U.S.C. 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1305.16	Requirement to report lost or stolen order forms	Statutory	21 U.S.C. 828(a); 827(d); 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1305.17(d)	Retain order forms for thiafentanil, carfentanil, and others separately from other order forms	Statutory	21 U.S.C. 828(a) & (c); 827; 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1305.29	Requirement to forward electronic orders to DEA	Statutory	21 U.S.C. 828(a); 827(d); 821; 822(b); 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1306.05(a), (c)-(d), (f)-(h); 1306.11(a); 1306.12(b); 1306.21(a), (c)	Required content of valid prescriptions	Statutory	21 U.S.C. 829; 821; 822(b); 871(b)	Knowledge	21 U.S.C. 842(a)(1), (c)(2)	Imprisonment, criminal fine, or both.	Imprisonment not more than one year, fine under Title 18, or both, with potential enhancements	21 U.S.C. 842(c)(2)	
Justice Department	DEA	21 CFR 1306.05(e); 1306.08(a), (d)-(f); 1311.100-.145; 1311.170-.205	Requirements for electronic prescriptions	Statutory	21 U.S.C. 829; 821; 822(b); 871(b)	Knowledge	21 U.S.C. 842(a)(1), (c)(2)	Imprisonment, criminal fine, or both.	Imprisonment not more than one year, fine under Title 18, or both, with potential enhancements	21 U.S.C. 842(c)(2)	

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Justice Department	DEA	21 CFR 1306.08(c), (h); 1311.150; 1311.210-.215; 1311.305	Recordkeeping for electronic prescriptions	Statutory	21 U.S.C. 829; 827; 821; 822(b); 871(b);	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1306.13(b)-(c); 1306.22(b)-(h); 1306.23; 1306.25(b)	Recordkeeping for prescriptions	Statutory	21 U.S.C. 829; 827; 821; 822(b); 871(b);	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1306.15; 1306.27	Recordkeeping for prescriptions transferred to a central fill pharmacy	Statutory	21 U.S.C. 829; 827; 821; 822(b); 871(b);	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1310.05(a)-(c); 1310.06(c)-(i); 1314.15	Method, timing, and content of reporting required by 21 U.S.C. 830(b)(1)	Statutory	21 U.S.C. 830(b)(1); 802(39); 871(b); 821; 822(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1310.05(d); 1310.06(j)	Details of reporting requirements for regulated persons that manufacture listed chemicals	Statutory	21 U.S.C. 830(b)(2); 871(b); 821; 822(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1310.05(e); 1310.06(k); 1314.110	Details of reporting requirements for certain mail-order and export transactions in listed chemicals	Statutory	21 U.S.C. 830(b)(3)(B); 871(b); 821; 822(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1310.06(a)	Details of content required in reports mandated by 21 U.S.C. 830(a).	Statutory	21 U.S.C. 830; 802(39); 871(b); 821; 822(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1310.07; 1314.105	Specifies the types of documents and other evidence that constitute proof of identity for purposes of 21 U.S.C. 830(a)(3)	Statutory	21 U.S.C. 830(a)(3); 871(b); 821; 822(b)	Knowledge/Intent	21 U.S.C. 842(a)(9), (c)(2)	Imprisonment, criminal fine, or both.	Imprisonment not more than one year, fine under Title 18, or both, with potential enhancement	21 U.S.C. 842(c)(2)	
Justice Department	DEA	21 CFR 1312.12(d)-(e)	Requirement to submit report after importation or attempted importation pursuant to import permit	Statutory	21 U.S.C. 952(a); 958(f)-(g); 957; 965; 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1312.18(c) & (e); 1312.19	Required content & distribution of import declarations for controlled substances	Statutory	21 U.S.C. 952(b)(2); 958(f)-(g); 957; 965; 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1312.22(e) & (f)(7) & (g)(2)	Requirement to submit report after exportation pursuant to export permit	Statutory	21 U.S.C. 953(a); 958(f)-(g); 957; 965; 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1312.26	Recordkeeping for e	Statutory	21 U.S.C. 953(a); 958(f)-(g); 957; 965; 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1312.27(b) & (d); 1312.28	Required content and distribution of export declarations for controlled substances	Statutory	21 U.S.C. 953(e); 958(f)-(g); 957; 965; 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1312.32(b)	Advance notice for transshipments of schedule II-V controlled substances	Statutory	21 U.S.C. 954(2); 958(f)-(g); 957; 965; 871(b)	Knowledge/intent	21 U.S.C. 961(2); 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than 1 year, fine of not more than \$25,000, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 961(2); 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1313.13(b); 1313.14; 1313.22(b); 1313.23	Detailed requirements for import and export declarations for listed chemicals	Statutory	21 U.S.C. 952(d); 958(f)-(g); 971; 965; 871(b)	Knowledge/Intent	21 U.S.C. 961(2); 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than 1 year, fine of not more than \$25,000, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 961(2); 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1313.17; 1313.27	Detailed requirements for return information following import or export of a listed chemical	Statutory	21 U.S.C. 971(g); 958(f)-(g); 965; 871(b)	Knowledge/Intent	21 U.S.C. 961(2); 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than 1 year, fine of not more than \$25,000, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 961(2); 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1313.33(c); 1313.34 -.35	Required content & distribution of international transaction declarations & return information	Statutory	21 U.S.C. 971(e); 965; 871(b)	Knowledge/Intent	21 U.S.C. 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	DEA	21 CFR 1314.30(d)	Specific language required for notice mandated by 21 U.S.C. 830(e)(1)(A)(v)	Statutory	21 U.S.C. 830(e)(1)(A)(v); 821; 871(b); 822(b)	Knowledge/Intent	21 U.S.C. 842(a)(13); 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	

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Justice Department	DEA	21 CFR 1314.101 -.102	Self-certification requirements, including recordkeeping and reporting	Statutory	21 U.S.C. 830(e)(1)(B)(iii) & (e)(2)(C); 821; 871(b); 822(b)	Knowledge/Intent	21 U.S.C. 842(a)(13); 842(a)(5); 842(c)(2); 843(a)(4)(A)	Imprisonment, criminal fine, or both. Criminal forfeiture.	Low end: Imprisonment not more than one year, fine under Title 18, or both. High end: Imprisonment not more than 4 years, fine under Title 18, or both, with potential enhancements.	21 U.S.C. 842(c)(2); 843(d); 853; Title 18 fines	
Justice Department	ATF	27 CFR Part 447	AECA USMIL Defense Articles	statutory	22 U.S.C. 2278	Willfully	22 U.S.C. 2778(c)	Imprisonment; Fine	each violation not more than \$1,000,000 or imprisoned not more than 20 years, or both	22 U.S.C. 2778	
Justice Department	ATF	27 CFR § 478.41	GCA Licensing	Statutory	18 U.S.C. 923(a) and (b)	Willfully	18 U.S.C. 924(a)(1)(D)	Imprisonment; Fine	imprisoned not more than five years	18 U.S.C. 924(a)(1)(D)	
Justice Department	ATF	27 CFR 478.11	GCA Definition: Unlawful User	Statutory	18 U.S.C. 922(g)(3)	Knowingly	18 U.S.C. 924(a)(8); 922(g)(3)	Imprisonment; Fine	Fined or imprisoned for not more than 15 years	18 U.S.C. 924(a)(8)	
Justice Department	ATF	27 CFR 478.11	GCA Definition: Adjudicated Mental Defective	Statutory	18 U.S.C. 923(g)(4)	Knowingly	18 U.S.C. 924(a)(8); 922(g)(3)	Imprisonment; Fine	Fined or imprisoned for not more than 15 years	18 U.S.C. 924(a)(8)	
Justice Department	ATF	27 CFR 478.122, 478.123	Recordkeeping requirements for FFLs	Statutory	18 U.S.C. 922(m); 922(g)(1)(A); 923(B)(1)(2)	Knowingly	18 U.S.C. 924(a)(3); 924(a)(1)(D)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both. Imprisonment 1 year for (m).	18 U.S.C. 924(a)(3); 924(a)(1)(D)	
Justice Department	ATF	27 CFR 478.126a	Reporting Multiple Sales of Firearms	Statutory	18 U.S.C. 923(g)(3)(A)	Willfully	18 U.S.C. 924(a)(1)(D)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(D)	
Justice Department	ATF	27 CFR 478.126	Furnishing Transaction Info	Statutory	18 U.S.C. 923(g)(5)	Willfully	18 U.S.C. 924(a)(1)(D)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(D)	

Agency	Subagency	CFR Citation	Description	Authority Type	Authority Citation	Mens Rea Type	Mens Rea Source Citation	Penalty Type	Penalty Range	Penalty Source	Add'l Information
Justice Department	ATF	27 CFR 478.92	Marking Requirements for Firearms	Statutory	18 U.S.C. 923(i)	Knowingly	18 U.S.C. 924(a)(1)(B)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(B)	
Justice Department	ATF	27 CFR 478.11	Definition of Serial Number	Statutory	18 U.S.C. 922(k)	Knowingly	18 U.S.C. 924(a)(1)(B)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(B)	
Justice Department	ATF	27 CFR 478.111, et. seq.	Importation of GCA Firearms	Statutory	18 U.S.C. 925(d) and (e)	Knowingly	18 U.S.C. 924(a)(1)(C)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(C)	
Justice Department	ATF	27 CFR 478.39	Assembly of Firearms from Enumerated Imported Parts	Statutory	18 U.S.C. 922(r)	Willfully	18 U.S.C. 924(a)(1)(D)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(D)	
Justice Department	ATF	27 CFR 478.39a	Provides the requirements for reporting the theft or loss of firearms by federal firearms licensees	Statutory	18 U.S.C. 923(g)(6)	Willfully	18 U.S.C. 924(a)(1)(D)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(D)	
Justice Department	ATF	27 CFR 478.129	Record Retention Requirements	Statutory	18 U.S.C. 923(g)(1)A	Willfully	18 U.S.C. 924(a)(1)(D)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(D)	
Justice Department	ATF	27 CFR 479.62	Making NFA Firearms	Statutory	26 U.S.C. 5822	Knowingly	U.S. v. Staples, 511 U.S. 600 (1994)	Imprisonment; Fine	fined not more than \$10,000, or be imprisoned not more than ten years, or both	26 U.S.C. 5871	
Justice Department	ATF	27 CFR 479.84	Transferring NFA Firearms	Statutory	26 U.S.C. 5812	Knowingly	U.S. v. Staples, 511 U.S. 600 (1994)	Imprisonment; Fine	fined not more than \$10,000, or be imprisoned not more than ten years, or both	26 U.S.C. 5871	
Justice Department	ATF	27 CFR 478.28	Transporting NFA Firearms Interstate	Statutory	18 U.S.C. § 922(a)(4)	Knowingly	18 U.S.C. 924(a)(1)(B)	Imprisonment; Fine	Fined; imprisoned not more than five years, or both.	18 U.S.C. 924(a)(1)(B)	

Agency	Subagency	CFR Citation	Description	Authority Type	Authority Citation	Mens Rea Type	Mens Rea Source Citation	Penalty Type	Penalty Range	Penalty Source	Add'l Information
Justice Department	ATF	27 CFR 479.102	Prescribes Markings on NFA Firearms	Statutory	26 U.S.C. 5842	Knowingly	U.S. v. Staples, 511 U.S. 600 (1994)	Imprisonment; Fine	fined not more than \$10,000, or be imprisoned not more than ten years, or both	26 U.S.C. 5871	
Justice Department	ATF	27 CFR 479.111, et. seq.	Importation of NFA Firearms	Statutory	26 U.S.C. 5844	Knowingly	U.S. v. Staples, 511 U.S. 600 (1994)	Imprisonment; Fine	fined not more than \$10,000, or be imprisoned not more than ten years, or both	26 U.S.C. 5871	
Justice Department	ATF	27 CFR 479.105	Possession of Machineguns	Statutory	18 U.S.C. 922(o)	Knowingly	18 U.S.C. 924(a)(2)	Imprisonment; Fine	Fined; imprisoned not more than ten years, or both.	18 U.S.C. 924(a)(2)	
Justice Department	ATF	27 CFR 555.41	Licensing requirements under the explosives laws	Statutory	18 U.S.C. 843(a)	Knowingly	18 U.S.C. 844(a)(1)	Imprisonment; Fine	Fined; imprisoned not more than ten years, or both.	18 U.S.C. 844(b)	
Justice Department	ATF	27 CFR part 555.121, et. seq.	Record keeping requirements under the explosives laws	Statutory	18 U.S.C. 843(f)	Knowingly	Applying GCA standards and caselaw from other sections of explosives statutes that do not contain an explicit mens rea	Imprisonment; Fine	Fined; imprisoned not more than 1 year, or both.	18 U.S.C. 844(b)	
Justice Department	ATF	27 CFR 555.201-555.224	Explosives Storage	Statutory	18 U.S.C. 842(j)	Knowingly	Caselaw; <i>United States v. Collins</i> , 949 F.2d 1029 (8th Cir. 1991)	Imprisonment; Fine	Fined; imprisoned not more than 1 year, or both.	18 U.S.C. 844(b)	
Justice Department	ATF	27 CFR 555.181	Reporting requirements for possession of Plastic Explosives	Statutory	18 U.S.C. 842(o)	Knowingly	Applying GCA standards and caselaw from other sections of explosives statutes that do not contain an explicit mens rea	Imprisonment; Fine	Fined; imprisoned not more than ten years, or both.	18 U.S.C. 844(a)(1)	

Agency	Subagency	CFR Citation	Description	Authority Type	Authority Citation	Mens Rea Type	Mens Rea Source Citation	Penalty Type	Penalty Range	Penalty Source	Add'l Information
Justice Department	ATF	27 CFR 478.12	Definition of Frame and Receiver	Statutory	18 U.S.C. 921(a)(3)	Knowing; reasonable cause to believe	18 U.S.C. 924(a)(8); in 922(d), (g)	Imprisonment; Fine	Fined or imprisoned for not more than 15 years	18 U.S.C. 924(a)(8)	