

OVW Fiscal Year 2016 Grants to Enhance Culturally Specific Services for Victims of Sexual Assault, Domestic Violence, Dating Violence and Stalking Program Solicitation

Solicitation Release Date

This solicitation was released on or about **December 21, 2015**.

Eligibility

Eligible applicants are limited to: private nonprofit organizations for which the primary purpose of the organization as a whole is to provide culturally specific services to American Indians (including Alaska Natives, Eskimos, and Aleuts), Asian Americans, Native Hawaiians and other Pacific Islanders, Blacks, or Hispanics.

(See "Eligibility")

Deadlines

Application: All applications are due by 11:59 p.m. Eastern Time (E.T.) on February 24, 2016.

(See "Submission Dates and Times")

Registration: To ensure all applicants have ample time to complete the registration process, applicants must obtain a Data Universal Number System (DUNS) Number, register online with the System for Award Management (SAM) and with **Grants.gov** immediately, but no later than,

February 10, 2016. See "Registration"

Letter of Registration: Applicants are strongly encouraged to submit a letter of registration to OVW.Cultural@usdoj.gov by **February 10, 2016**. This will ensure that applicants are well-positioned to successfully submit an application by the deadline. This letter will not obligate potential applicants to submit an application. Interested applicants who do not submit their Letter of Registration are still eligible to apply.

(See "Letter of Registration")

Pre-Application Conference Calls: OVW will conduct Pre-Application Conference Calls for anyone interested in submitting an application for the Grants to Enhance Culturally Specific Services for Victims of Sexual Assault, Domestic Violence, Dating Violence and Stalking Program. Participation in these calls is optional. Interested applicants who do not participate are still eligible to apply.

(See "Content and Form of Application Submission")

Contact Information

For assistance with the requirements of this solicitation, call OVW at (202) 307-6026.

In Fiscal Year 2016, OVW applications will be submitted through <u>Grants.gov</u>. For technical assistance with <u>Grants.gov</u>, contact the <u>Grants.gov</u> Customer Support Hotline at 1-800-518-4726.

The **Grants.gov** number assigned to this announcement is OVW-2016-9149

It is anticipated that all applicants will be notified of the outcome of their applications by September 30, 2016.

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OVW tries to create forms and instructions that are accurate, can be easily understood, and which impose the least possible burden on applicants. The estimated average time to complete and file this form is 30 hours. Comments regarding the accuracy of this estimate or suggestions for simplifying this form, it can be submitted to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.

Contents

| Α. | Program Description | 1 |
|----|---|----|
| | Overview | 1 |
| | About the OVW Grants to Enhance Culturally Specific Services for Victims of Sexual Assaul Domestic Violence, Dating Violence and Stalking Program | |
| | Program Scope | 1 |
| | Purpose Areas | 2 |
| | OVW Priority Areas | 2 |
| | Activities that Compromise Victim Safety and Recovery | 3 |
| | Out-of-Scope Activities | 3 |
| | Unallowable Activities | 4 |
| В. | Federal Award Information | 4 |
| | Availability of Funds | 4 |
| | Award Period | 5 |
| | Award Amounts | 5 |
| | Types of Applicants | 5 |
| C. | Eligibility Information | 5 |
| | Eligible Applicants | 5 |
| | Eligible Entities | 5 |
| | Cost Sharing or Match Requirement | 6 |
| | Other | 6 |
| | Program Eligibility Requirements | 6 |
| | Limit on Number of Application Submissions | 7 |
| D. | Application and Submission Information | 7 |
| | Address to Request Application Package | 7 |
| | Content and Form of Application Submission | 7 |
| | Letter of Registration | 7 |
| | Pre-Application Conference Calls | 8 |
| | Application Contents | 8 |
| | Formatting and Technical Requirements | 8 |
| | Application Requirements | 9 |
| | Summary Data Sheet | 9 |
| | Project Narrative | 11 |
| | Proposal Abstract | 12 |
| | Budget Detail Worksheet and Narrative | 12 |

| Memorandum of Understanding Additional Required Information | 15 |
|---|----|
| Additional Required Information | 17 |
| Disclosures Related to Executive Compensation | 17 |
| Confidentiality Notice Form | 17 |
| Application for Federal Assistance (SF-424) | 17 |
| Standard Assurances and Certifications | 17 |
| Letter of Nonsupplanting | 18 |
| Financial Accounting Practices | 18 |
| Financial Capability Questionnaire | 18 |
| Indirect Cost Rate Agreement | 19 |
| Dun and Bradstreet Universal Numbering System | |
| Submission Dates and Times | |
| OVW Policy on Duplicate Applications | 21 |
| Experiencing Unforeseeable Technical Issues | |
| OVW Policy on Late Submissions | 22 |
| Intergovernmental Review | 22 |
| Single Point of Contact Review | 22 |
| Funding Restrictions | 22 |
| Other Submission Requirements | 23 |
| E. Application Review Information | 25 |
| Criteria | 25 |
| Review and Selection Process | 25 |
| Peer Review | 25 |
| Programmatic Review | 26 |
| High Risk Grantees | 27 |
| Anticipated Announcement and Federal Award Dates | 27 |
| F. Federal Award Administration Information | 27 |
| Federal Award Notice | 27 |
| Administrative and National Policy Requirements | 27 |
| Violence Against Women Act Non-Discrimination Provision | 28 |
| Compliance with OVW Financial Requirements | 28 |
| Reporting | 28 |
| Reporting Requirements | 28 |
| Public Reporting Burden - Paperwork Reduction Act Notice | |
| G. Federal Awarding Agency Contact(s) | 28 |
| H. Other Information | 29 |

| Application Checklist | 29 |
|--|----|
| APPENDIX A | 29 |
| Budget Guidance & Sample Budget Detail Worksheet | 30 |
| Budget Guidance | 31 |
| Budget Detail Worksheet | 33 |
| APPENDIX B | 40 |
| Sample Letter of Registration | 41 |
| APPENDIX C | 42 |
| Disclosures Related to Executive Compensation | 43 |
| APPENDIX D | 44 |
| Summary of Current and Recent OVW Projects | 47 |
| APPENDIX E | 46 |
| Summary of Current and Pending Non-OVW Grants to do the Same or Similar Work | 47 |
| | |

OVW Grants to Enhance Culturally Specific Services for Victims of Sexual Assault, Domestic Violence, Dating Violence and Stalking Program (CFDA 16.016)

A. Program Description

Overview

The Office on Violence Against Women (OVW) is a component of the United States Department of Justice (DOJ). Created in 1995, OVW implements the Violence Against Women Act (VAWA) and subsequent legislation and provides national leadership on issues of sexual assault, domestic violence, dating violence, and stalking. Since its inception, OVW has supported a multifaceted approach to responding to these crimes through implementation of grant programs authorized by VAWA. By forging state, local and tribal partnerships among police, prosecutors, judges, victim advocates, health care providers, faith leaders, organizations that serve culturally specific and underserved communities, and others, OVW grants help provide victims with the protection and services they need to pursue safe and healthy lives, while improving communities' capacity to hold offenders accountable for their crimes.

About the OVW Grants to Enhance Culturally Specific Services for Victims of Sexual Assault, Domestic Violence, Dating Violence and Stalking Program

The Grants to Enhance Culturally Specific Services for Victims of Sexual Assault, Domestic Violence, Dating Violence and Stalking Program (Culturally Specific Services Program) was created by the Violence Against Women and Department of Justice Reauthorization Act of 2005 (VAWA 2005) (codified at 42 U.S.C. § 14045a). This program creates an opportunity for culturally specific community-based organizations to address the critical needs of sexual assault, domestic violence, dating violence, and stalking victims in a manner that affirms a victim's culture and effectively addresses language and communication barriers.

Advocates report that survivors are more inclined to seek services from organizations that are familiar with their culture, language and background and that there is no "one size fits all" approach to adequately address these critical needs. Culturally specific community-based organizations are more likely to understand the complex, multi-layered challenges and obstacles that victims from their communities face when attempting to access services. Culturally specific community-based organizations are also better equipped to form essential relationships and engage their communities in the creation and implementation of services relevant to the diverse and unique needs of the victims.

For additional information on the Culturally Specific Services Program, including what past Culturally Specific Services Program grantees have accomplished with their grant funds and to view the Culturally Specific Services Program performance measures, see http://muskie.usm.maine.edu/vawamei/clsspmain.htm.

Program Scope

Activities supported by the Culturally Specific Services Program are determined by statute, federal regulations, and OVW policies. If an applicant receives an award, the funded project is

bound by the provisions of this solicitation, the DOJ Financial Guide, any updates to the DOJ Financial Guide, and the conditions of the recipient's award.

Purpose Areas

In FY 2016, funds under the Culturally Specific Services Program may be used for the following purposes:

- Increasing communities' capacity to provide culturally specific resources and support for victims of sexual assault, domestic violence, dating violence, and stalking crimes and their families;
- 2. Enhancing traditional services to victims of sexual assault, domestic violence, dating violence, and stalking through the leadership of culturally specific programs offering services to victims of sexual assault, domestic violence, dating violence, and stalking;
- Working in cooperation with the community to develop education and prevention strategies highlighting culturally specific issues and resources regarding victims of sexual assault, domestic violence, dating violence, and stalking;
- 4. Providing culturally specific resources and services that address the safety, economic, housing, and workplace needs of victims of sexual assault, domestic violence, dating violence, or stalking, including emergency assistance;
- 5. Providing culturally specific programs for children exposed to sexual assault, domestic violence, dating violence, and stalking;
- 6. Working with state and local governments and social service agencies to develop and enhance effective strategies to provide culturally specific services to victims of sexual assault, domestic violence, dating violence, and stalking;
- 7. Strengthening criminal justice interventions, by providing training for law enforcement, prosecution, courts, probation, and correctional facilities on culturally specific responses to sexual assault, domestic violence, dating violence, and stalking;
- 8. Examining the dynamics of culture and its impact on victimization and healing. 1

Examples of allowable activities include but are not limited to: crisis intervention, advocacy, individual and group counseling (including culturally specific groups incorporating crafts for example) for both victims as well as their children who are exposed to the violence, community education and outreach efforts, training for service providers, law enforcement, etc., emergency assistance for victims (food, clothing, rent, utilities and other approved necessities), job training, financial counseling, paying for interpreters and translators and legal assistance with protection orders and/or immigration remedies related to their victimization (U Visas, VAWA self-petitions, etc.).

OVW Priority Areas

In FY 2016 OVW is interested in supporting projects that focus on the priority area identified below.

 The development and/or enhancement of culturally specific services to victims from underserved Black/African-American communities

In addition, applications proposing activities in the following priority areas will be given special consideration during the review process

¹ Applicants wishing to address this purpose area must do so in conjunction with one of the other program purpose areas listed above. Proposals focusing only on this purpose area will be removed from consideration.

- The development and/or enhancement of culturally specific services for victims of sexual violence; and/or
- The development and enhancement of culturally specific services to improve and expand supportive services that meet the unique needs of justice involved (i.e., arrested, incarcerated, formally incarcerated and Child Protective Services (CPS) involved victims who have experienced sexual assault, domestic violence, dating violence and stalking.

OVW will determine whether an application sufficiently addresses a priority area that warrants special consideration. Not all applicants that state their intent to address a priority area will be favorably considered over other applicants. *Meaningful responses to OVW's priority area should demonstrate authentic commitment to addressing the priority area(s) and should clearly show that the applicant has the capacity to address the priority effectively.*

Activities that Compromise Victim Safety and Recovery

The following activities have been found to jeopardize victim safety, deter or prevent physical or emotional healing for victims, or allow offenders to escape responsibility for their actions:

- 1. Procedures or policies that exclude victims from receiving safe shelter, advocacy services, counseling, and other assistance based on their actual or perceived age, immigration status, race, religion, sexual orientation, gender identity, mental health condition, physical health condition, criminal record, work in the sex industry, or the age and/or gender of their children;²
- 2. Procedures or policies that compromise the confidentiality of information and privacy of persons receiving OVW-funded services;
- 3. Procedures or policies that impose requirements on victims in order to receive services (e.g., seek an order of protection, receive counseling, participate in couples counseling or mediation, etc.);
- 4. Procedures or policies that deny victims access to services based on their relationship to the perpetrator:
- 5. Making referrals to child protection services solely for a victim's failure to protect a minor child from witnessing domestic violence except if required under state law; and
- 6. Failing to conduct safety planning with victims.

Applications that propose activities that compromise victim safety and recovery may receive a deduction of up to 25 points during the review process or may be eliminated from further consideration entirely.

Out-of-Scope Activities

OVW has determined the activities listed below to be out of the program scope. As a result, these activities will not be supported by Culturally Specific Services Program grant funding. Applications that propose out-of-scope activities may receive a point deduction during the review process. Applications that are determined to be substantially outside the scope of the Culturally Specific Services Program will not be considered for funding³. The following activities

² If an award is made, the recipient will also be subject to statutory prohibitions on discrimination. For further information on these civil rights requirements, see the section on "Violence Against Women Act Non-Discrimination Provision" under "F. Federal Award Administration Information".

³ If more than 25% of staff time or grant dollars would be devoted to activities outside of the program's statutory scope, OVW will consider the proposal "substantially" outside the scope of the program and remove it from further consideration.

are out of scope and will not be supported by Culturally Specific Services Program grant funding:

- Research projects (This does not include program assessments conducted only for internal improvement purposes. See Research and Protection of Human Subjects in the FY 2014 Solicitation Companion Guide at http://www.justice.gov/sites/default/files/ovw/legacy/2013/12/16/companion-guide-fy2014.pdf.)
- 2. Family Law, Housing Law or Consumer Law. Grant funds may not be used to provide legal representation in civil and criminal matters, such as family law cases (divorce, custody, visitation and child support), housing cases, consumer law cases and others.
 Note: Grant funds may be used to provide legal assistance to victims of sexual assault, domestic violence, dating violence, and stalking in protection order and immigration proceedings related to their victimization such as U visas, VAWA self-petitions, cancellations of removal, etc.
- 3. Family Violence. Grant funds may not be used to directly address child abuse, or other family violence issues such as violence perpetrated by a child against a parent, parent against a child, or violence perpetrated by a sibling against another sibling.
- 4. Children. Services can only be offered to children who are witnesses to or victims of violence if they are provided in conjunction with services to a child's parent or guardian who is a victim of sexual assault, domestic violence, dating violence, or stalking.

Unallowable Activities

The following is a list of activities that are unallowable and cannot be supported by Culturally Specific Services Program grant funding. Applications that propose unallowable activities may receive a point deduction during the review process. Applications that include substantial unallowable activities will not be considered for funding.

- Lobbying;
- Fundraising;
- Purchase of real property;
- Construction; and
- Physical modifications to buildings, including minor renovations (such as painting or carpeting).

B. Federal Award Information

Availability of Funds

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. There is no guarantee that funds will be available in the future. Therefore, OVW encourages applicants to develop a plan to sustain project activities if federal funding through the Culturally Specific Services Program ceases to be available. Also, please note that OVW may elect to make awards for applications submitted under this solicitation in future fiscal years, depending on the merits of the applications and on the availability of funding.

Award Period

The grant award period is 24 months. Budgets must reflect 24 months of project activity, and the total "estimated funding" on the Application for Federal Assistance (SF-424) must reflect 24 months. Generally, the award period will start on October 1, 2016.

Award Amounts

Applicants should carefully consider the resources needed to successfully implement the proposed project and present a realistic budget that accurately reflects project costs. Awards under the Culturally Specific Services Program for FY 2016 will be made for up to \$300,000.

OVW has the discretion to award grants for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to award of a grant.

The Culturally Specific Services Program typically makes awards in the range of \$250,000 to \$300,000. OVW estimates that it will make up to 20 awards for an estimated total of \$6,000,000. Awards will be made as grants.

Types of Applicants

In FY 2016, OVW will accept applications for the Culturally Specific Services Program from the following:

New: applicants that have never received funding under the Culturally Specific Services Program or had previously received funding that expired more than 12 months ago.

Continuation: applicants with an open/active award under the Culturally Specific Services Program that has not expired or that expired less than 12 months ago, and who meet the eligibility requirements below. Continuation funding is not guaranteed. Furthermore, Continuation applicants that have substantial amount of remaining funds at the time of submission without adequate justification may not be considered for funding in FY 2016.

Grant recipients that received new or supplemental/continuation funding for Culturally Specific Services Program in FY 2015 are NOT eligible to apply.

C. Eligibility Information

Eligible Applicants

It is very important that applicants review this information carefully. Applications that are submitted by ineligible entities or that do not meet all program eligibility requirements will not be considered for funding.

Eligible entities for this program are:

Eligible entities for this program are community based programs for which the primary purpose of the organization as a whole is to provide culturally specific services to one or more of the following racial and ethnic communities: American Indians (including Alaska Natives, Eskimos, and Aleuts); Asian Americans; Native Hawaiians and other Pacific Islanders; Blacks; and Hispanics.

The Violence Against Women Reauthorization Act of 2013 defines "culturally specific" as "primarily directed toward racial and ethnic minority groups (as defined in section 1707(g) of the

Public Health Service Act (42 U.S.C. 300u-6(g))." 42 U.S.C. 13925(a)(6). Section 300u-6(g)(1) defines the term "racial and ethnic minority group" to mean "American Indians (including Alaska Natives, Eskimos, and Aleuts); Asian Americans; Native Hawaiians and other Pacific Islanders; Blacks; and Hispanics." Therefore, applicants must demonstrate that the primary purpose of the organization as a whole is to provide services to one or more of these racial or ethnic minority groups.

Additionally, the applicant organization must meet the following requirements:

- 1. Have a board of directors or steering committee and staffing which is reflective of the culturally specific community it serves. Note: To determine whether the board or steering committee and staffing meets this criteria, OVW will consider whether the board or steering committee and staff include members with knowledge or experience relevant to the targeted community. If federal funds are used for filling any of these positions, organizations may consider an applicant's knowledge or experience relevant to the targeted community, as well as language skills needed to work with a particular population, but organizations may not consider a person's race and/or ethnicity as a basis for hiring decisions.
- Have demonstrated expertise in serving victims of sexual assault, domestic violence, dating violence, and stalking or have entered into a formal partnership with an organization having such experience;

Note: If the culturally specific program is one division within a larger organization with other focuses (for example, a division within a domestic violence organization focusing on Hispanic/Latina victims), the organization is not eligible.

Cost Sharing or Match Requirement

This program has no matching or cost sharing requirement.

Other

Program Eligibility Requirements

In addition to meeting the eligible entity requirements outlined above, applications for the Culturally Specific Services Program must also meet the requirements below.

Required Partnerships

All applicants must meet one of the following categories as it relates to partnership requirements. The descriptions below include the documentation necessary to show each application's partnership status. All certification and other eligibility related documents must be current and developed in accordance with the FY 2016 solicitation.

Category I –The applicant a) is a culturally specific organization and b) has a demonstrated history of providing services to victims of sexual assault, domestic violence, dating violence and/or stalking for a *minimum* of three (3) years.

The applicant will submit a Memorandum of Exemption (MOE). Partnership is not required.

Category II – The applicant is a culturally specific organization with (3) years demonstrated history of providing services to victims of sexual assault, domestic violence, dating violence and/or stalking and will be partnering with another culturally specific organization that also has three (3) years of demonstrated history of providing these services.

A Memorandum of Understanding (MOU) is required. There is no cap on the partner compensation.

Category III – The applicant is a culturally specific organization with less than (3) years of demonstrated history of providing services to victims of sexual assault, domestic violence, dating violence and/or stalking. The applicant will be partnering with another culturally specific organization that has the three (3) years of demonstrated history.

An MOU is required. There is no cap on the partner compensation.

Category IV – The applicant is a culturally specific organization has less than of three (3) years demonstrated history of providing services to victims of sexual assault, domestic violence, dating violence and/or stalking and will be partnering with a victim services organization that has the three (3) years of demonstrated history but is NOT eligible to apply independently under the Culturally Specific Services Program.

An MOU is required. Partner compensation is limited to \$20,000 for the two-year award period. These funds should be used to provide training and technical assistance on services for victims to the lead agency.

Note: Partners can be community based non-profit organizations located within or outside the local proximity of the applicant.

Limit on Number of Application Submissions

An applicant, i.e., an organization, can only submit one organization. If an applicant submits multiple versions of the same application, OVW will review <u>only</u> the most recent system-validated version submitted.

D. Application and Submission Information

Address to Request Application Package

The complete application package is available on <u>Grants.gov</u> or at the OVW website at http://www.justice.gov/ovw. Applicants wishing to request a paper copy of the application materials should contact OVW.Cultural@usdoj.gov.

Content and Form of Application Submission

Letter of Registration

Applicants intending to apply for FY 2016 funding under this program, are strongly encouraged to submit a letter of registration. The letter should state that the applying organization is registered and current with the System for Award Management (SAM) and with <u>Grants.gov</u>. The letter should be submitted to OVW at <u>OVW.Cultural@usdoj.gov</u> by **January 29, 2016**. This will ensure that the applicant is well-positioned to successfully submit a proposal by the application deadline. This letter will not obligate the applicant to submit an application. See <u>Appendix B</u> for a sample Letter of Registration.

Pre-Application Conference Calls

OVW will conduct 2 Pre-Application Conference Calls. There will be one call for continuation grantees only and one call for new and continuation applicants. During these calls, OVW staff will review the Culturally Specific Services Program requirements, review the solicitation, and allow for a brief question and answer session. Participation in these calls is optional.

The conference calls are tentatively scheduled for:

- 1. Tuesday, January 26, 2016 3 p.m. to 5 p.m. E.T. (open to new and continuation)
- 2. Tuesday, February 2, 2016 3 p.m. to 5 p.m. E.T. (continuation grantees only)

Anyone interested in submitting an application to the Culturally Specific Services Program may register to participate in a pre-application call. The total number of participants for each call is limited to 150 individuals.

Please note: Registration is on a first-come-first-serve basis, and space is not guaranteed. Interested participants from the same agency/jurisdiction are strongly encouraged to participate together so that as many communities as possible can join the call. OVW reserves the right to deny multiple registrations from a single agency/jurisdiction.

To register, please e-mail ovw.cultural@usdoj.gov. Registration must be received at least two hours prior to the start of the call. Please write "CSSP - Registration for Pre-Application Conference Call" as well as the preferred session date in the subject line.

Application Contents

This section describes what is included in a complete application package. Applicants should anticipate that failure to submit an application that contains all of the specified elements will negatively affect the review of the application and may result in the application not being considered for funding. Should a decision be made to make an award, it may result in the inclusion of special conditions that preclude access to or use of award funds pending satisfaction of the conditions. It is the responsibility of the applicant to ensure that a complete application is submitted by the deadline. OVW will not contact applicants for missing items.

Do not submit documents in addition to those specified in this solicitation. Please note that any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

Formatting and Technical Requirements

Applications must follow the requirements below. Points may be deducted for applications that do not adhere to the following requirements:

- 1. Double spaced (Project Abstract, Summary Data Sheet and charts may be single space)
- 2. 8½ x 11 inch paper
- 3. One-inch margins
- 4. Type no smaller than 12 point, Times New Roman font
- 5. Page numbers
- 6. No more than 20 pages for the Project Narrative
- 7. Word documents in the following formats: Microsoft Word (.doc), PDF files (.pdf), or Text Documents (.txt).

8. Headings and sub-headings that correspond to the sections identified in this section of the solicitation.

Application Requirements

Applications must include the following required documents and demonstrate that the program eligibility requirements have been met. Applications that do not address all of the following components will be considered substantially incomplete and will not be considered for funding:

- 1. Summary Data Sheet (5 points)
- 2. Project Narrative (55 points, of which
 - Purpose of the project: 15 points
 - What will be done: 20 points
 - Who will implement: 20 points)
- If applicant is addressing Purpose Areas 6 or 7 (as listed in Section A), Letter(s) of Commitment
- 4. Proposal Abstract (not scored)
- 5. Budget Detail Worksheet and Narrative (20 points)
- 6. Memorandum of Understanding (MOU) or Memorandum of Exemption (20 points)

In addition to the documents listed above, other information is required to be submitted to complete the application and is explained in the "Additional Required Documents" section, below.

Summary Data Sheet (5 points)

The Summary Data Sheet should be one to four pages in length and may be single or double spaced. The Summary Data Sheet does not count toward the 20 page limit for the Project Narrative. Please provide the following information:

- 1. Name, title, address, phone number, and e-mail address for the Authorized Organization Representative (AOR) of the applicant agency.
- 2. Name, title, address, phone number, and e-mail address for the grant point-of-contact. This person must be an employee of the applicant agency.
- 3. Statement as to whether the organization applying will serve as a "pass through entity," i.e., a fiscal agent / sponsor for an organization, or organizations that will ultimately implement the project. If so, the applicant must include a statement acknowledging that, should an award be made, it would be responsible for all statutory, fiscal, and programmatic requirements, including those of 2 CFR Part 200, and all project deliverables. The organization applying for the award must also list all of the entities it will enter into agreements with to perform the work, and should include a description of how these entities intend to accomplish the purposes of the award if such a description is not already provided in an MOU submitted as part of the application.
- 4. Statement as to whether the agency applying has expended \$750,000 in federal funds in the organization's past fiscal year. Please specify the end date of the applicant's fiscal year.
- 5. A list of other federal grant programs from which the applicant organization currently receives funding or for which it has applied for funding in FY 2016 to do similar work. Please provide this information in a table using the format found in **APPENDIX E**.
- 6. Statement as to whether the applicant is a nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code.

- 7. Statement as to whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant is not a nonprofit organization or is a nonprofit that does not use the safe-harbor procedure, provide a statement to that effect. For additional information about the safe-harbor procedure, see "Disclosures Related to Executive Compensation" section.
- 8. Culturally specific population(s) served by the organization <u>and</u> the culturally specific populations to be served under the grant project.
- 9. The percentage of grant activities, should the application be funded, that will address each of the following issues:
 - Sexual assault:
 - Domestic violence;
 - Dating/teen dating violence; and/or
 - Stalking.
- 10. Summary of Current and Recent OVW Projects (if applicable).

If the applicant has a current grant or cooperative agreement under any OVW program or an award that has been closed within the last 12 months from the date this solicitation closes, the information below must be provided in a table using the format found in APPENDIX D. Failure to provide the required table will result in a loss of points. The table must:

- Identify all grants by OVW program, award number and project period
- Specify the total funding amount for each grant (initial and supplemental amounts, if applicable)
- Specify the total funds remaining in each grant as of the date of application.
- Provide the total funds remaining in each budget category (Personnel, Fringe, Travel, Equipment, Supplies, Construction, Contractual, and Other) for each grant
- Provide justification for remaining funds
- Estimate the amount of grant funds that will be remaining at the end of the current project period
- List the number and titles of all full-time and/or part-time positions funded by the award
- 11. Indicate the number of individuals on the lead agency's advisory board, steering committee and staff and how they reflect the targeted culturally specific community either through knowledge or experience relevant to that community.
- 12. The number of years the lead agency has been providing sexual assault, domestic violence, dating violence, and stalking services OR, if partnering with a victim services agency, the name of the partner agency and how long it has been providing victim services.
- 13. List the types of victim services currently provided by the lead applicant and/or partnering agency.
- 14. Statement as to whether the applicant is submitting an MOE or an MOU. All applicants must submit one or the other. If the applicant is submitting an MOU, **please list the name(s) of MOU project partner(s) and the Partnership Category** (I, II, III, or IV) (see the "Memorandum of Understanding (MOU) or Memorandum of Exemption (MOE)" section under the "Content and Form of Application Submission" section below).
- 15. Statement as to which Category (I, II, III, or IV) the applicant is submitting this application

16. Statement as to whether Letter(s) of Commitment was included if Purpose Area 6 or 7 is being addressed.

Continuation applicants that have a substantial amount of remaining funds at the time of application submission without adequate justification may not be considered for funding in FY 2016.

Project Narrative (55 Points)

The Project Narrative may not exceed 20 pages in length, double-spaced. The Project Narrative must include the following three sections:

Purpose of Application (15 points)

This section must include:

- Describe a) the service area for the grant project; and b) the target population including location, population, and demographic information (including racial and ethnic demographics).
- 2. Describe the problem of sexual assault, domestic violence, dating violence and/or stalking within the local community (please use local statistics or the organization's own statistics whenever possible).
- 3. Describe the current level of victim services in the community (from mainstream organizations as well as other culturally specific organizations) and the proximity of those services to the lead applicant and target population.
- 4. Describe in detail the gaps in those services and how the target population is currently underserved.
- 5. Describe the barriers individuals from the identified culturally specific group who are victims of sexual assault, domestic violence, dating violence or stalking experience while attempting to seek and access services.
- 6. Clearly state the need for the project. The need must directly relate to the culturally specific population to be served.
- 7. Describe how the target population would benefit from the project.

What Will Be Done (20 points) The applicant must provide a clear link between the proposed activities and the need identified in the "Purpose of Application" section.

This section must:

- 1. Detail the goals and objectives, describing the specific tasks and activities necessary to accomplish each.
- 2. Include a tentative time line for the implementation of the proposed activities.
- 3. Explain how the proposed activities are tailored to the culture of the target population.
- 4. Illustrate how the proposed activities and initiatives will build upon and/or enhance current activities.
- 5. Describe how victim safety and autonomy are currently addressed by the lead agency.
- 6. Describe how victim safety and autonomy will be addressed in the proposed project.

Who Will Implement the Project (20 points)

The applicant must justify who will be involved in the project and demonstrate that they have the capacity to address the stated need and that they can successfully implement the stated project activities.

This section must:

- 1. Describe the mission and overall services provided by the organization.
- 2. Detail how the organization primarily focuses on the identified culturally specific community (e.g. through its outreach efforts, tailored services, relationships with the community, etc.).
- 3. Describe how the organization's board of directors, advisory board or steering committee members and staff are reflective of the identified culturally specific community through their knowledge or experience relevant to the targeted community.
- 4. Provide detailed information that demonstrates the lead or MOU partner organization has expertise and experience providing direct intervention services to victims of sexual assault, domestic violence, dating violence or stalking.
- 5. Detail the experience and expertise of the key personnel who will be directly involved with the project.
- 6. If the applicant plans to address Purpose Areas 6 or 7, as defined in Section A, the applicant must submit letter(s) of commitment from the appropriate organization and/or agency.

Letter(s) of Commitment for Purpose Areas 6 or 7

The Letter(s) of Commitment should be a single document and it should be signed and dated by the Authorized Representative of the appropriate state or local government, social services, or law enforcement agency during the development of the application.

The Letter(s) of Commitment must:

- Demonstrate a commitment between the applicant and the appropriate state or local government, social services, or law enforcement agency to work together to achieve stated project goals and objectives.
- 2. Describe whether the agency has previously worked or partnered with the applicant and if so, under what circumstances.
- Clearly identify the types of activities that will be undertaken between the State and local
 governments and social service agencies and the applicant to develop and enhance
 effective strategies to provide culturally specific services to victims of sexual assault,
 domestic violence, dating violence, and stalking; or
- 4. Clearly describe the types of training that will be provided for law enforcement, prosecution, courts, probation, and correctional facilities on culturally specific responses to sexual assault, domestic violence, dating violence, and stalking.

Note: If an applicant addresses purpose area 6 or 7 and does not submit Letter(s) of Commitment there will be a 5 point deduction from the Who Will Implement section. Proposal Abstract

The Proposal Abstract should provide a short and accurate summary (no more than 2 pages double-spaced) of the proposed project, including who will be involved with the proposed project, what will be done as primary activities, what products will be produced, the service area where the proposed project will take place and who will be impacted by the proposed project. Applicants should not summarize past accomplishments in this section.

Budget Detail Worksheet and Narrative (20 Points)

All applicants are required to submit a detailed budget and supporting budget narrative. Budgetary requirements vary slightly among programs, and applicants must read the solicitation closely to determine the requirements of the budget and budget narrative for each OVW program.

Award Period and Amount

- 1. The grant award period is 24 months. Budgets must reflect 24 months of project activity.
- 2. Awards under the Culturally Specific Services Program for FY 2016 will be made for up to \$300,000.

Budget Requirements

Applicants must submit reasonable budgets based on the resources needed to implement their projects in their specific geographic location. The budget should display a clear link between the specific project activities and the proposed budget items. Specifically, the budget should not contain any items that are not detailed in the project narrative. The budget narrative must support all costs included in the budget and explain how the costs of goods and services are determined and how they will fulfill the overall objective of the project.

In some circumstances, the budget and budget narrative will be reviewed separately from the proposed project narrative. Therefore, it is very important that the budget narrative be as comprehensive as possible and describe in a narrative format each line item requested in the budget.

A Sample Budget Detail Worksheet is available in <u>Appendix A</u>. When preparing the Budget Detail Worksheet and Narrative, please use the Sample Budget Detail Worksheet as a guide and be sure to include all necessary budget categories as outlined in the Worksheet. The budget must adhere to the DOJ Financial Guide.

The budget detail worksheet must:

- 1. Include a budget narrative that supports and justifies all proposed costs and provides a clear link between specific project activities and proposed budget items;
- 2. Include a budget that reflects all costs related to implementing the proposed project and provides calculations for all costs; and
- Include a budget that follows the maximum allowable amounts and purposes for Category IV MOU partners.

Conference Planning and Expenditure Limitations

Applicants should be aware of all applicable laws, regulations, policies and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars, symposiums, training and other similar events), including the provision of food and/or beverages at such events, and costs of attendance at such events. Information on pertinent laws, regulations, policies and guidance is available at http://www.justice.gov/ovw/grantees.

Applicants should also be aware of the following specific restrictions on conference planning and expenditure limitations:

- 1. Cost of Logistical Conference Planning
- 2. Cost of Programmatic Conference Planning
- 3. Conference Space and Audio-Visual Equipment and Services
- 4. Prohibition on Trinkets at Conferences

- Entertainment at Conferences
- 6. Food and Beverages at Conferences
- Prior Approval Required Before Entering Into Contracts or Expending Funds for Conferences
- 8. Conference Reporting

Updated Department of Justice and OVW guidance on conference planning, minimization of costs, and conference cost reporting is accessible on the OVW website http://www.justice.gov/ovw/grantees. For additional information regarding food and beverage regulations, please refer to the DOJ Financial Guide.

Training and Technical Assistance

All applicants are required to allocate funds in the amount of \$5,000 to support travel costs associated with technical assistance and capacity-building activities sponsored by OVW-designated technical assistance providers. Applicants from Alaska, Hawaii, and United States Territories should allocate \$10,000 to account for higher travel costs. These specific applicants may exceed the budget caps to account for this increased travel amount. The required set-aside amount may be shared between the applicant and any partnering agency(ies), but the budget must reflect the costs in the appropriate categories. Therefore, an employee's travel costs should be included in the "Travel" category, while travel costs for the project partner(s) must be included in the "Consultants/Contracts" category. Label both costs as "OVW Technical Assistance." Include an estimated breakdown for these costs, including the number of trips, number of travelers, airfare or mileage, lodging, per diem, etc. (OVW technical assistance is provided free of charge to grantees, so applicants do not need to include registration fees). This amount should equal the full, required set-aside amount listed above.

Please note these funds can **only** be used for OVW-designated technical assistance, unless otherwise approved by OVW. Any training and technical assistance funds not used by the end of the grant period may not be reprogrammed and must be returned to OVW. Travel funds should be used to support travel by all project partners including nonprofit, nongovernmental victim service providers. Funds may also be used by persons whose positions are not grantfunded as long as that person's roles and responsibilities are linked to the project's overall mission.

Program Assessments

Applicants may not use any OVW funds to conduct research. However, up to three percent of the budget may be allocated for the purpose of assessing the effectiveness of funded activities. For example, funds may be used to conduct pre- and post-testing of training recipients or for victim satisfaction surveys. In conducting such testing or surveys, grantees may not collect, analyze or disseminate any information that would disclose the identity of an individual.

Accommodations and Language Access

Recipients of OVW funds must comply with applicable federal civil rights laws, which, among other things, prohibit discrimination on the basis of disability and national origin. This includes taking reasonable steps to ensure that persons with limited English proficiency (LEP) have meaningful access to recipients' programs or activities. More information on these obligations is available in the OVW FY 2014 Solicitation Companion Guide and at www.lep.gov. Applicants are encouraged to allocate grant funds to support activities that help to ensure individuals with disabilities, Deaf individuals, and persons with limited English proficiency have meaningful and full access to their programs. For example, grant funds can be used to support American Sign

Language (ASL) interpreter services, language interpretation and translation services, or the purchase of adaptive equipment.

Applicants proposing to use grant funds to create websites, videos, and other materials must ensure that the materials are accessible to persons with disabilities. Grant funds may be allocated for these purposes.

Pre-Agreement Cost Approval

Please be aware that costs incurred prior to the start date of the award may not be charged to the project unless the recipient receives prior approval from OVW. Please see the DOJ Financial Guide for more information on pre-award costs.

Memorandum of Understanding (MOU) or Memorandum of Exemption (MOE) (20 Points Total)

Applicants to the Culturally Specific Services Program are required to submit either an MOU or an MOE depending on whether the applicant organization has expertise in providing direct victim services. Applicants that possess and can demonstrate victim services expertise within the organization do NOT need to partner with any outside organization and should submit an MOE. Applicants that do NOT have victim services expertise within their organization must partner with an outside victim services organization and submit an MOU. In those instances, where both the applicant and the partnering agency (Category II) have the demonstrated victims services expertise, an MOU is required so that details regarding the partnership, as well as the demonstrated victims services history can be provided. Applicants that submit an MOE but do not demonstrate expertise in providing victim services will be removed from further consideration for funding. Therefore, if there is any uncertainty as to whether the applicant meets the MOE criteria, the applicant is encouraged to submit an MOU.

Applicants should determine their partnership requirements (if any) under one of the following applicant categories:

Category I - a) The applicant is a culturally specific organization and has a demonstrated history of providing services to victims of sexual assault, domestic violence, dating violence and/or stalking for a *minimum* of three (3) years.

The applicant will submit an MOE. Partnership is not required.

Category II – The applicant is a culturally specific organization with (3) years demonstrated history of providing services to victims of sexual assault, domestic violence, dating violence and/or stalking and will be partnering with another culturally specific organization that also has three (3) years of demonstrated history.

An MOU is required. There is no cap on the partner compensation.

Category III – The applicant is a culturally specific organization with less than (3) years of demonstrated history of providing services to victims of sexual assault, domestic violence, dating violence and/or stalking. The applicant will be partnering with another culturally specific organization that has the three (3) years of demonstrated history.

An MOU is required. There is no cap on the partner compensation.

Category IV – The applicant is a culturally specific organization has *less* than of three (3) years demonstrated history of providing services to victims of sexual assault, domestic violence, dating violence and/or stalking and will be partnering with a victim services organization that has the three (3) years of demonstrated history but is NOT eligible to apply independently under the Culturally Specific Services Program.

An MOU is required. Partner compensation is limited to \$20,000 for the two-year award period. These funds should be used to provide training and technical assistance on services for victims to the lead agency.

Memorandum of Understanding (MOU)

For purposes of this application, the MOU is a document containing the terms of the partnership and the roles and responsibilities between two or more parties. The MOU should be a single document and should be signed and dated by the Authorized Organization Representative (AOR), as defined in the Application for Federal Assistance (SF-424), of each proposed partner agency during the development of the application. In rare circumstances an MOU can include multiple signature pages as long as each page includes the name and title of each signing party.

The MOU must:

- 1. Clearly identify the partners and provide a brief history of the collaborative relationship among those partners, including when and under what circumstances the relationship began and when each partner entered into the relationship.
- 2. Clearly state the roles and responsibilities each partner will assume to ensure the success of the proposed project.
- 3. Clearly identify which organization has the expertise in providing direct services for victims of sexual assault, domestic violence, dating violence and/or stalking;
- 4. Clearly describe the types of direct services the agencies provide to victims of sexual assault, domestic violence, dating violence and/or stalking.
- 5. Detail the length of time the agencies has provided the different services for victims.
- 6. Describe accomplishments in the community in providing services to victims of sexual assault, domestic violence, dating violence and/or stalking of both agencies.
- Clearly indicate approval of the entire budget by all signers. Describe the resources each partner would contribute to the project, either through time, in-kind contributions, or grant funds.
- 8. Demonstrate a commitment to work together to achieve stated project goals and objectives.

Memorandum of Exemption (MOE)

The MOE should be a single document and it should be signed and dated by the Authorized Representative of the applicant agency during the development of the application.

The MOE must:

- 1. Clearly describe the types of direct services the agency provides to victims of sexual assault, domestic violence, dating violence and/or stalking.
- 2. Detail the length of time the culturally specific organization has provided the different services for victims.

3. Describe accomplishments in the community in providing services to victims of sexual assault, domestic violence, dating violence and/or stalking.

Additional Required Information

The following documents will not be scored during the review process but they should be included with your submission. Failure to include any of the information may result in the inability to access funds if your application is selected for funding.

Disclosures Related to Executive Compensation

Any applicant that is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure for establishing a rebuttable presumption that its executives' compensation is reasonable, must provide the following: (1) a brief description of the process used for determining the compensation of its officers, directors, trustees, and key employees, including the independent persons involved in reviewing and approving such compensation (in lieu of a description, an applicant may submit its written compensation policy); (2) the comparability data used in establishing executive compensation; and (3) contemporaneous substantiation of the deliberation and decision regarding executive compensation. This third element can usually be addressed by submitting minutes from board meetings where compensation was considered and approved.

Applicants that want to learn about best practices for establishing compensation for their executives and the IRS's safe-harbor procedures can find more information through the National Council of Nonprofits, https://www.councilofnonprofits.org/tools-resources/executive-compensation.

Confidentiality Notice Form

All applicants are required to acknowledge that they have received notice that grantees and subgrantees must comply with the confidentiality and privacy requirements of the Violence Against Women Act, as amended. The acknowledgement form is available on the OVW website http://www.justice.gov/sites/default/files/ovw/pages/attachments/2015/01/20/confidentiality_acknowledgement_form_42015.pdf and must be signed by the authorized representative and uploaded to the application on Grants.gov.

Application for Federal Assistance (SF-424)

Applicants must complete the SF-424 online. For "Type of Applicant," please do not select "other." Please pay careful attention to the amount of Federal funding requested in the "Estimated Funding" section of this form. This amount must match the amount of Federal funding requested in the budget section of the application package. Only include values for "Applicant" if the program solicitation requires a match. The individual who is listed in "Authorized Representative" must be the AOR for the applicant agency. The AOR is an individual who has the authority to apply for and accept grant awards on behalf of the organization or jurisdiction.

Standard Assurances and Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (Form 4061/6) Please carefully review the assurances and certification forms online. These forms will be completed online at a later time during the application process. All applicants must complete the *Disclosure of Lobbying Activities* (SF-LLL) form. Applicants that expend any funds for lobbying activities must provide the detailed information requested on the form. Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.

Letter of Nonsupplanting

Applicants must submit a letter to OVW's Director, signed by the AOR, certifying that Federal funds will not be used to supplant state or local funds should a grant award be made. Please refer to http://www.justice.gov/sites/default/files/ovw/legacy/2012/10/09/nonsup_letter.pdf for a sample letter. This should be a separate attachment to the application in Grants.gov.

Financial Accounting Practices

Each applicant must prepare a response to the following questions. OVW will review the applicant's responses to assist in evaluating the adequacy of the organization's financial management system and to identify areas of need for training and technical assistance. This section of your application should be no more than two pages and should be a separate attachment to the online application in **Grants.gov**.

- Will all funds awarded under this program be maintained in a manner that they will be accounted for separately and distinctly from other sources of revenue/funding? Please provide a brief description of the organization's policies and procedures that ensure funds will be tracked appropriately.
- 2. Does the applicant have written accounting policies and procedures? How often are these policies and procedures updated? Please provide a brief list of the topics covered in the organization's policies and procedures. OVW may request a copy for review during the application/award process or as part of the grant monitoring process.
- 3. Is the applicant's financial management system able to track actual expenditures and outlays with budgeted amounts for each grant or subgrant? Please provide a brief summary of the organization's process for tracking expenditures.
- 4. Does the applicant have procedures in place for minimizing the time between transfer of funds from the United States Treasury and disbursement for project activities? Please provide a short summary of the organization's policy for requesting payments for grant awards.
- 5. Does the applicant have effective internal controls in place to adequately safeguard grant assets and to ensure that they are used solely for authorized purposes? Please provide a brief description.
- 6. Does the applicant have a documented records retention policy? If so, briefly describe the policy.
- 7. Is the individual primarily responsible for fiscal and administrative oversight of grant awards familiar with the applicable grants management rules, principles, and regulations including the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, (2 CFR Part 200)? Please provide a short list of the individual's qualifications/experience. If the individual is not familiar with the applicable rules and regulations, the applicant must contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov or 1-888-514-8556 immediately after the organization is notified of its award to coordinate training.

Please note: This information will be used for a mandatory pre-award risk assessment. Failure to provide this information or to respond to questions from OVW regarding this information in a timely manner could result in the applicant being removed from consideration or a delay in funds.

Financial Capability Questionnaire (if applicable)

All nonprofit, nongovernmental organizations that apply for funding from OVW and have not previously (or within the last three years) received funding from OVW must complete a

Financial Capability Questionnaire, and submit it online. Additionally, applicants may be required to submit their current year's audit report at a later time. The form can be found at http://www.justice.gov/ovw/how-apply.

Indirect Cost Rate Agreement (if applicable)

Applicants that intend to charge indirect costs through the use of an indirect cost rate must have a Federally-approved indirect cost rate agreement. Please include a copy of a current, signed Federally-approved indirect cost rate agreement. This should be a separate attachment to the application in **Grants.gov.**

Non-federal entities that have never received a federally-approved indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) which may be used indefinitely. This includes state and local governments that have never negotiated an indirect cost rate with the Federal government and receive less than \$35 million in direct Federal funding per year. Tribes that have never negotiated an indirect cost rate with the federal government may also use the 10% de minimis rate.

Organizations that wish to negotiate an indirect cost rate may contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov or 1-888-514-8556 for more information.

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM)

Federal guidelines require that applicant organizations must (1) be registered in SAM.gov prior to submitting an application; (2) provide a valid DUNS number in its application; and (3) continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application under consideration by a Federal awarding agency. Also, Federal agencies may not make an award to an applicant until that applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with the requirements by the time that OVW is ready to make an award, then OVW may make the determination that the applicant is not qualified to receive an award and use that determination as a basis for making the award to another applicant.

Applicants for Federal grants and cooperative agreements are required to have a Data Universal Number System (DUNS) Number to submit an application. A DUNS Number is a unique nine-character identification number provided by the commercial company **Dun & Bradstreet (D&B)**. Once an applicant has completed the D&B registration, its DUNS Number should be available the next business day.

The SAM centralizes information about grant recipients and also provides a central location for grant recipients to change organizational information. <u>Grants.gov</u> uses SAM to establish roles and IDs for electronic grant applicants.

[If the applying organization already has an Employer Identification Number (EIN), the SAM registration will take **up to two weeks to process**. If the applying organization does not have an EIN, then **the applicant should allow two to five weeks for obtaining the information from IRS when requesting the EIN via phone, fax, mail or Internet**.] Follow the steps listed below to register in the SAM:

1. Obtain a DUNS number at the following website http://www.dnb.com/us/ or call (866) 705-5711.

 Access the SAM online registration through the SAM homepage at https://www.sam.gov/portal/SAM/#1 and follow the online instructions for new SAM users.

3. Complete and submit the online registration. If the applying organization already has the necessary information on hand, the online registration takes approximately 30 minutes to complete, depending upon the size and complexity of the business or organization. Once the SAM registration becomes active, the applicant will be able to return to Grants.gov and complete the registration. Please note that organizations must update or renew their SAM registration at least once a year to maintain an active status.

There is no fee associated with the registration process. Additionally, the registration process cannot be expedited. OVW strongly discourages applicants from paying a third party to register on their behalf in an attempt to expedite the registration process. To ensure all applicants have ample time to complete the registration process, applicants must obtain a DUNS, register online with the SAM and with **Grants.gov** immediately, but no later than **February 10, 2016.**

Submission Dates and Times

It is very important that all applicants read this section carefully. Applicants that do not complete all the steps in registering and submitting their application by the due date will not be considered for funding. Applicants are responsible for ensuring their applications are complete at the time of submission. OVW will not contact applicants for missing items. Applicants should anticipate that failure to meet all registration and submission deadlines will result in their application being removed from consideration. It is the responsibility of the applicant to ensure that the application is submitted by the deadline.

All applications will be submitted electronically. The deadline for submitting applications in response to this solicitation is 11:59 p.m. E.T. on February 24, 2016. Applications submitted after 11:59 p.m. E.T. on February 24, 2016 will <u>not</u> be considered for funding. Applicants experiencing difficulties submitting an application should refer to the <u>Experiencing</u> Unforeseeable Technical Issues section of this solicitation.

Failure to begin the registration or application submission process in sufficient time is not an acceptable reason for a late application submission. It is important that applicants do not wait until the day of the application deadline to begin the application submission process. To ensure a successful application submission, OVW strongly encourages applicants to submit their applications at least 48, but no less than 24, hours before the deadline. After application submission, Authorized Organization Representatives (AOR) should closely monitor their email for any notification from Grants.gov about a possible failed submission. The AOR will receive a minimum of two emails from Grants.gov. One will confirm receipt of the application package. The other will either notify the AOR that the application was successfully submitted, or it will notify the AOR that there was an error with the application submission.

Please note that the <u>Grants.gov</u> notification process is automatic. OVW does not send out these notifications, nor does OVW receive a copy of these notifications. It is the responsibility of the applicant to notify OVW of any problems with the application submission process. Please see "<u>Experiencing Unforeseeable Technical Issues</u>" for information on the steps applicants must follow if corrective action must be taken.

Failure to begin the registration or application submission process in sufficient time is not an acceptable reason for a late application submission. It is important that applicants do not wait until the day of the application deadline to begin the application submission process. To ensure a successful application submission, OVW strongly encourages applicants to submit their applications at least 48, but no less than 24, hours before the deadline.

Note: For applicants without Internet access, who cannot submit an application electronically, please contact the CSSP unit at 202-307-6026 no later than **February 10**, **2016** to request permission to submit an application by alternative means.

OVW Policy on Duplicate Applications

An applicant can only submit one application per program. If an applicant submits multiple versions of an application, OVW will review the most recent version submitted.

Experiencing Unforeseeable Technical Issues

As previously stated, applicants should begin the **registration process** immediately, but no later than **February 10**, **2016**. Furthermore, the applicant should begin the **application submission process** 48, but no less than 24, hours prior to the application deadline. This will allow sufficient time for the applicant to contact the appropriate individuals and take corrective action, as outlined in this solicitation, should unforeseeable technical issues arise. If technical difficulties are experienced at any point during the application process, the applicant must contact **Grants.gov Customer Support Hotline at 1-800-518-4726**, **or support@grants.gov**, **24 hours a day, 7 days a week, except closed for Federal holidays.**

If an applicant experiences unforeseeable technical issues that prevent submission of an application by the deadline, the applicant must take the following actions:

- Contact the Culturally Specific Services Program at OVW.Cultural@usdoj.gov prior to the application deadline stating that the applicant is experiencing unforeseeable technical issues and provide a phone number and/or email address where the applicant can be reached; and
- 2. Contact the technical support number above prior to the application submission deadline.

Within 24 hours after the deadline, the applicant must again contact the Culturally Specific Services Program at OVW.Cultural@usdoj.gov to request permission to submit the application. At that time, the applicant will be required to email the complete grant application and DUNS number, and provide a Grants.gov. Help Desk tracking number(s). After OVW reviews all of the information submitted and verifies the technical issues were unforeseeable with the Help Desk, OVW will contact the applicant to either approve or deny the request to submit a late application. If the technical issues cannot be verified as unforeseeable, the application will be rejected as late.

To ensure a fair competition for limited discretionary funds, the following conditions are <u>not</u> valid reasons to permit late submissions: (1) failure to begin the registration process in sufficient time; (2) failure to register or update information on the SAM website; (3) failure to follow <u>Grants.gov</u> instructions as posted on its website; and (4) failure to follow all of the instructions in the OVW solicitation.

OVW Policy on Late Submissions

OVW offers several options for an applicant to provide advance notice to OVW if receipt of its application will be delayed due to a temporary lack of Internet access, unforeseeable technical issues, or geographic isolation. If an applicant does not provide advance notice to OVW about an issue that may cause a delay in the submission of its application, the application will not be considered for funding. If applicant follows the steps outlined above, OVW will consider the applicant's request for late submission. Extension of deadlines is not guaranteed and permission to submit a late application does not automatically result in an award. Late submission only allows an application to be considered for funding. If late submission is approved, the application will be reviewed for registration information and completeness and to ensure that the applicant meets the basic eligibility requirements (BMR) as defined in the solicitation. If the applicant meets BMR, the application will be subject to both peer review and programmatic review before any funding decision is made.

Extraordinary Natural or Manmade Disasters

In cases of extraordinary natural or manmade disasters, such as extreme weather emergencies or terrorist acts, applicants may request to submit applications up to seven calendar days late by sending an e-mail to the contact listed in the solicitation. The request should specify the nature of the disaster and how it affected the applicant's ability to submit an application on time. OVW may request additional documentation from the applicant verifying the extraordinary natural or manmade disaster.

Intergovernmental Review Single Point of Contact Review

Executive Order 12372 requires applicants from state and local units of government or other organizations providing services within a state to submit a copy of the application to the <u>state Single Point of Contact (SPOC)</u> if one exists and if the program has been selected for review. Applicants must contact their state SPOCs to determine whether their programs have been selected for state review. The applicant should enter the date that the application was sent to the SPOC or the reason such submission is not required in the section of the SF-424 which refers to EO 12372. Applicants can find a list of SPOCs on the Office of Management and Budget website at http://www.whitehouse.gov/omb/grants_spoc.

Funding Restrictions

Federal assistance awards are governed by the provisions of 2 CFR Part 200. Additionally, OVW awards are covered by the DOJ Financial Guide. The DOJ Financial Guide includes information on allowable costs, methods of payment, audit requirements, accounting systems, and financial records. This document also outlines the successful administration of grant funds.

OVW generally does not allow pre-award costs. Such costs require prior approval by OVW and will only be allowed in limited circumstances.

Any recipient of an award will be responsible for monitoring subgrants/contracts, including MOU partner activities, under the grant in accordance with all applicable statutes, regulations, guidelines, and the DOJ Financial Guide. Primary recipients will be responsible for oversight of subgrantee/partner spending and monitoring specific performance measures and outcomes attributable to the use of OVW funds.

Food and Beverage/Costs for Refreshments and Meals

Generally food and beverage costs are **not** allowable, and under no circumstances may OVW funding be used to supply food and/or beverages during refreshment breaks. OVW may

approve the use of OVW funds to provide food and/or beverages for a meal at a meeting, conference, training, or other event, if one of the following applies:

- 1. The location of the event is not in close proximity to food establishments. It should be a priority to try to secure a location near reasonably priced and accessible commercial food establishments.
- 2. Not serving food will significantly lengthen the day or necessitate extending the meeting to achieve meeting outcomes.
- 3. A special presentation at a conference requires a plenary address where there is no other time for food to be obtained.
- 4. Other extenuating circumstances necessitate the provision of food.

Justification for an exception listed above must be included in the budget narrative, and funds may only be used to purchase food and/or beverages for a meal at a meeting, conference, training, or other event if OVW approves the specific expenditures in advance.

Other Submission Requirements

As discussed in the "<u>Submission Dates and Times</u>" section above, applications must be submitted electronically via <u>Grants.Gov</u>. Applicants that are unable to submit electronically must contact the OVW Culturally Specific Services Program unit at 202-307-6026 no later than February 15, 2016 to request permission to submit an application by alternative means.

Grants.Gov

After applicants obtain their DUNS number and register with SAM, they can begin the Grants.Gov registration process. In order to apply for a grant, the applying organization must complete the Grants.gov registration process prior to beginning an application for a federal grant. Complete instructions can be found at www.grants.gov. The registration process can take between three and five business days or as long as four weeks if all steps are not completed in a timely manner. If applicants experience difficulties at any point during this process, they should call the Grants.gov Customer Support Hotline at 1-800-518-4726. Please note that Grants.gov is not the Office of Justice Programs' (OJP) Grants Management System (GMS), through which OVW discretionary program applicants have submitted application in the past.

Note: Grants.gov limits the use of specific characters in names of attachment files. Valid file names include only the characters shown in the table below. Grants.gov is designed to reject any application that includes an attachment(s) with a file name that contains any characters not shown in the table below.

| Characters | Special Characters | | |
|--------------------|------------------------|-----------------------------|-------------------------|
| Upper case (A – Z) | Parenthesis () | Curly braces { } | Square brackets [] |
| Lower case (a – z) | Ampersand (&) | Tilde (~) | Exclamation point (!) |
| Underscore () | Comma (,) | Semicolon (;) | Apostrophe (') |
| Hyphen (-) | At sign (@) | Number sign (#) | Dollar sign (\$) |
| Space | Percent sign (%) | Plus sign (+) | Equal sign (=) |
| Period (.) | When using the ampersa | nd (&) in XML, applicants m | ust use the "&" format. |

OVW strongly suggests using simple titles for all documents, such as "FY 2016 OVW Project Narrative." Please note that file names are limited to 50 characters. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip." Please visit

the <u>Grants.gov</u> website to review the most up-to-date guidelines about the use of specific characters.

The E-Business Point of Contact (E-Biz POC) within the applicant's organization must register the organization with <u>Grants.gov</u>. The E-Biz POC oversees the organization's <u>Grants.gov</u> transactions and assigns the AOR. The AOR submits the application to <u>Grants.gov</u> and must register with <u>Grants.gov</u> as well. In some cases the E-Biz POC is also the AOR for an organization.

- 1. Step 1: Go to Grants.gov. Mouse over the "APPLICANTS" drop down and click the "Organization Registration Link".
- 2. Step 2: Register with SAM
- 3. Step 3: Username & Password
- 4. Step 4: AOR Authorization
- 5. Step 5: TRACK AOR STATUS

The application process can move forward once the organization successfully registers with <u>Grants.gov</u>.

Downloading a Grant Application Package

An applicant may download the application package to complete it offline and route it through the applying organization for review before final submission.

Applicants must use the correct version of Adobe software in order to download the grant application. To verify if the Adobe software version is compatible with <u>Grants.gov</u>, visit the following link: http://www.grants.gov/web/grants/support/technical-support/software/adobe-reader-compatibility.html.

Instructions on how to open and use the forms in the package are on the application package cover sheet. Agency-specific instructions are available for download when the application package is downloaded. The instructions identify the required information for a complete application.

Completing the Grant Application Package

The applicant must manually save changes to the grant application. <u>Grants.gov</u> does NOT automatically save changes. The package cannot be submitted until all required fields have been completed.

Submitting the Completed Grant Application Package

Log on to <u>Grants.gov</u>. After the application is fully completed, errors are corrected, and the application is saved, click the "Save & Submit" button on the cover page. The application package will be automatically uploaded to <u>Grants.gov</u>.

Reminder: To ensure a successful application submission, OVW strongly encourages applicants to submit their applications at least 48, but no less than 24, hours before the deadline. AORs should closely monitor their email for any notification from Grants.gov about a possible failed submission. The AOR will receive a minimum of two emails from Grants.gov about a possible failed submission. The AOR will receive a minimum of two emails from Grants.gov tracking number will be provided at the bottom of this screen, as well as the official date and time of the submission. Applicants must record the tracking number if technical support is needed. The

<u>Grants.gov</u> Help Desk can be reached at 1-800-518-4726, Monday through Friday, from 7:00 a.m. to 9:00 p.m. E.T.

E. Application Review Information

Criteria

Applications will be subject to a peer review and a programmatic review. Applications will be scored based on the degree to which the application responds to each section and addresses each element in the section. Furthermore, applications will be scored based upon the quality of the response and the level of detail provided. Each element **must** be addressed in the section in which it is requested. Points may be deducted if the applicant does not include the information in the appropriate section even if it is included elsewhere within the application. Each section will be reviewed as a separate document and will be scored as such. For the Culturally Specific Services Program, scoring will be as follows:

- 1. Summary data sheet: 5 points
- 2. Project narrative: 55 points, of which
 - A. Purpose of the project: 15 points
 - B. What will be done: 20 points
 - C. Who will implement: 20 points
- 3. Budget narrative and detail: 20 points
- 4. MOU/MOE: 20 points
- 5. Possible Programmatic point deductions
 - A. Formatting and technical requirements: up to 5 points
 - B. Activities that compromise victim safety & recovery: up to 25 points
 - C. Out-of-scope activities: up to 10 points
 - D. Past performance review: up to 25 points

While cost sharing or match-funding are not required, in the case of a tie OVW may assess the extent and viability of cost sharing to break the tie, as well as other factors such as geographic distribution of funding.

Review and Selection Process

Peer Review

OVW will subject all applications to a peer review process that is fair and based on the criteria outlined in this solicitation. OVW may use internal review, external review, or a combination of both.

Programmatic Review

All applications that are considered for funding will be subject to a programmatic review. The programmatic review consists of assessing the application for compliance with the program's scope, activities that compromise victim safety and, if applicable, past performance and priority review. OVW reserves the right to add up to 10 total points to applications fully addressing OVW priority areas: 1) development and/or enhancement of culturally specific services for victims of sexual violence; and/or 2) The development and enhancement of culturally specific services to improve and expand supportive services that meet the unique needs of justice involved (i.e. arrested, incarcerated, formally incarcerated and Child Protective Services (CPS involved) victims who have experienced sexual assault, domestic violence, dating violence and stalking. It may also deduct points from applications for the following reasons:

- Formatting and technical requirements
- Activities that compromise victim safety and recovery
- Out-of-scope activities
- Past performance review

An application that is deemed to be substantially out of scope, proposes a substantial number of activities that are unallowable, or proposes activities that pose a significant threat to victim safety or a serious breach of confidentiality will not be considered for funding.

As a part of the programmatic review process described above, applicants with current or recently closed OVW awards and/or cooperative agreements will be reviewed for past performance based on the elements listed below.

- 1. Progress reports submitted by the applicant, in conjunction with monitoring conducted by OVW, demonstrate the effectiveness of the current project, indicating timely progress toward meeting project goals and objectives
- 2. Demonstration that past activities supported with OVW grant funds have been limited to program purpose areas
- 3. Adherence to all special conditions of existing grant award(s) from OVW
- 4. Adherence to programmatic and financial reporting requirements, including timely submission of required reports
- 5. Completion of close-out of prior awards in a timely manner
- 6. Appropriate use of and active participation in OVW-sponsored workshops and other technical assistance events as required by a special condition of the current or recent award
- 7. Receipt of financial clearances on all current or recent grants from OVW
- 8. Timely resolution of issues identified in any audit or on-site financial or programmatic monitoring visit
- 9. Adherence to the Office of Management and Budget single-audit requirement;
- 10. Timely expenditure of grant funds
- 11. Adherence to the requirements of the DOJ Financial Guide

OVW grantees with significant past performance issues may not be considered for funding.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the OVW Director, who also may give consideration to factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards. All award decisions are final and not subject to appeal.

High Risk Grantees

Based on DOJ's assessment of each grantee with regard to current or previous funding, unresolved audit issues, delinquent programmatic and fiscal reporting, and prior performance, a grantee may be designated "high risk." Awards to high-risk grantees may carry special conditions such as increased monitoring and/or prohibitions on drawing funds until certain requirements are met. High-risk grantees with substantial or persistent performance or compliance issues, long-standing open audits, or open criminal investigations will likely not receive an additional OVW award until all issues are resolved.

Anticipated Announcement and Federal Award Dates

It is anticipated that all applicants will be notified of the outcome of their applications by September 30, 2016.

F. Federal Award Administration Information

Federal Award Notice

Successful applications will receive OVW award notifications electronically from the OJP Grants Management System. This award notification will be sent to the individuals listed as the Authorized Representative and the Point of Contact in GMS for the application that was selected for funding and will include instructions on accepting the award. Recipients will be required to login; accept any outstanding assurances and certifications on the award; designate financial points of contact; and review, sign, and accept the award. The award acceptance process involves physical signature of the award document and terms and conditions by the authorized representative and the scanning of the fully-executed award document to OVW.

Administrative and National Policy Requirements

Information for All Federal Award Grantees

Applicants selected for awards must agree to comply with additional legal, administrative, and national policy requirements upon acceptance of an award. A non-exhaustive list of these requirements is below. OVW strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found in the FY2014 OVW Solicitation Companion Guide:

http://www.justice.gov/sites/default/files/ovw/legacy/2013/12/16/companion-guide-fy2014.pdf

- 1. Civil Rights Compliance
- 2. Funding to Faith-Based Organizations
- 3. Confidentiality and Privacy Protections
- 4. Research and the Protection of Human Subjects (if applicable)
- 5. Anti-Lobbying Act
- 6. Reporting Requirements
- 7. National Environmental Policy Act (NEPA) (if applicable)
- 8. DOJ Information Technology Standards (if applicable)
- 9. Non-Supplanting of State or Local Funds
- 10. Criminal Penalty for False Statements
- 11. Reporting Fraud, Waste, Error, and Abuse
- 12. Suspension or Termination of Funding
- 13. Nonprofit Organizations

- 14. Government Performance and Results Act (GPRA)
- 15. Rights in Intellectual Property
- 16. Federal Funding Accountability and Transparency Act (FFATA) of 2006
- 17. Awards in Excess of \$5,000,000 Federal Taxes Certification Requirement
- 18. Active SAM Registration

Terms and conditions for OVW awards, including awards under the Culturally Specific Services Program are available at http://www.justice.gov/ovw/grantees. These terms are subject to change prior to the issuance of the awards.

Violence Against Women Act Non-Discrimination Provision

The Violence Against Women Reauthorization Act of 2013 added a new civil rights provision that applies to all FY 2016 OVW grants. This provision prohibits OVW grantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. For more information on this prohibition, see http://www.justice.gov/sites/default/files/ovw/legacy/2014/06/20/faqs-ngc-vawa.pdf. Additional information on the civil rights obligations of OVW funding recipients can be found in the FY 2014 Solicitation Companion Guide under "Civil Rights Compliance."

Compliance with OVW Financial Requirements

Each OVW grantee agrees to follow the financial and administrative requirements in the DOJ Financial Guide as a condition of receiving grant funding. If OVW determines that a current grantee has violated any of the requirements of the Guide, the grantee's award may be frozen or terminated and the grantee may be denied continuation funding.

Reporting

Reporting Requirements

OVW grantees are required to submit semi-annual progress reports and quarterly Federal Financial Reports (SF-425). Appropriate progress report forms will be provided to all applicants selected for an award. Forms will be submitted electronically via GMS. Future awards and fund drawdowns may be withheld if forms are delinquent.

Public Reporting Burden - Paperwork Reduction Act Notice

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OVW tries to create forms and instructions that are accurate can be easily understood, and which impose the least possible burden on applicants. The estimated average time to complete and file this form is 30 hours. Comments regarding the accuracy of this estimate or suggestions for simplifying this form, it can be submitted to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.

G. Federal Awarding Agency Contact(s)

For assistance with the requirements of this solicitation, contact the OVW Culturally Specific Services Program Unit at (202) 307-6026 or OVW.Cultural@usdoj.gov.

For technical assistance with <u>Grants.gov</u>, contact the <u>Grants.gov</u> Customer Support Hotline at 1-800-518-4726.

H. Other Information

Application Checklist

Applicants must submit a fully executed application to OVW, including all required supporting documentation. (OVW will not contact applicants for missing items.) Additionally, if an applicant plans to submit an application under any other OVW grant program this fiscal year, please ensure that only documents pertinent to this solicitation are included with this application. OVW will not redirect documents that are inadvertently submitted with the wrong application (e.g., a Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program Certification Letter submitted with a Transitional Housing Program Application will not be transferred to the Arrest Program application).

| Application Document | Date Completed |
|---|-------------------|
| 1. Letter of Registration | |
| 2. Summary of Current OVW Projects, If Applicable | |
| 3. Summary Data Sheet | |
| 4. Project Narrative | |
| 5. Purpose of the Application | |
| 6. What Will Be Done | |
| 7. Who Will Implement | |
| 8. Proposal Abstract | |
| 9. Budget Detail Worksheet and Narrative | |
| 10. Memorandum of Understanding/Memorandum of Exemption | |
| 11. Letter(s) of Commitment (if applicable) | |
| 12. Application for Federal Assistance: SF 424 | |
| 13. Standard Assurances and Certifications | |
| 14. Disclosures Related to Executive Compensation | |
| 15. Confidentiality Notice Form | |
| 16. Letter of Nonsupplanting | |
| 17. Financial Accounting Practices | |
| 18. Financial Capability Questionnaire (nonprofits only) | |
| 19. Indirect Cost Rate Agreement (only if the applicant has a current | |
| Federally-approved rate) | |
| 20. Nonprofit Status (if applicable) | |

Do not submit documents in addition to those specified in this solicitation. Please note that any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

APPENDIX A

Budget Guidance & Sample Budget Detail Worksheet

Budget Guidance

Cost guidance for selected items is provided below to assist applicants in preparing the budget detail worksheet. In developing your budget detail worksheet please refer to the DOJ Financial Guide.

Consultants/Contracts

Compensation for services by an individual consultant should be reasonable and consistent with that paid for similar services in the marketplace. Applicants should consider the type of services provided and the experience and expertise of the individual consultant when deciding if a consultant's rate is reasonable. Applicants are strongly discouraged from requesting consultant rates in excess of \$650 per day. Please note that this does not mean that the rate can or should be as high as \$650 for all consultants. If a project is ultimately selected for funding with a budget allocating more than \$650 per day to a consultant, the applicant must provide additional information to OVW for review and approval before consultant costs are incurred. Applicants should also include all costs associated with consultants/contracts in the "Consultants/Contracts" category, including travel-related costs. These costs should not be reflected in the Personnel or Travel categories.

Applicants should follow the same established procurement policies with Federal funds as they would with non-federal funds. All procurement transactions should be awarded in a manner that provides maximum open, free and fair competition, and must follow 2 CFR Part 200.317-326. All sole-source procurements (those not awarded competitively) in excess of \$150,000 require prior approval from OVW. This applies to procurements of goods and services, but not to selection of sub recipients. MOU project partners are generally considered subrecipients for time spent working on program objectives. For additional information on determining whether the recipient of the pass-through funds is a subrecipient or a contractor, please refer to 2 CFR Part 200.330.

Rent

Rental costs are generally allowable under OVW programs. Applicants should list square footage and cost per square foot in the budget. The amount must be based on the space that will be allocated to implement the OVW project, not the costs of the entire rental space. **Rental costs are not allowable for property owned by the applicant or if the applicant has a financial interest in the property**. In this case only the costs of ownership, including maintenance costs, insurance, depreciation, utilities, etc., are allowable costs. The applicant must indicate in the budget narrative whether or not they own the space that will be rented.

Audit Costs

Costs for audits not required or performed in accordance with the Office of Management and Budget (OMB) Circular A-133 or 2 CFR Part 200 Subpart F – Audit Requirement are unallowable. If the applicant agency did not meet the applicable expenditure threshold during the organization's fiscal year, the cost of any audit performed may not be charged to the grant.

Indirect Costs

Applicants that have current, federally-approved, indirect cost rates may seek to claim indirect costs and must submit a copy of their current federally-approved indirect cost rate agreement with the application. Applicants may choose to waive indirect costs.

Non-federal entities that have never received a federally-approved indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) which may be used indefinitely. This includes state and local governments that have never negotiated an indirect cost.

Purchase and/or Lease of Vehicles

The purchase and/or lease of vehicles are prohibited under most OVW grant programs, although some programs allow for purchasing vehicles on a case-by-case basis. Please refer to the solicitation for which you are applying to determine whether vehicles can be purchased or leased. If requesting a vehicle, a lease/purchase analysis must be submitted with the application.

Compensation for Partners

In developing the budget, applicants should compensate all project partners for their participation in any project-related activities, including but not limited to, compensation for time and travel expenses to participate in project development, training, and implementation. The budget **must** include compensation for all services rendered by project partners, including nonprofit, nongovernmental domestic violence and/or sexual assault victim services programs and state and tribal domestic violence and/or sexual assault coalitions. Keep in mind that partners are generally considered subrecipients and should be reimbursed for actual costs incurred for the project rather than on a fee for service basis. If a partner is a State or local governmental agency and the partnership duties are conducted within the course of the agency's "regular" scope of work, applicants do not need to compensate the partner if the partner a) offers this arrangement; and b) an explanation of this arrangement is included in the application.

Non-Federal contributions

Any non-federal contributions can be discussed in the project narrative or Memorandum of Understanding (if required). **Applicants should not include supplemental contributions in the budget, budget narrative, or SF-424.**

Applicants are advised that if they voluntarily decide to provide matching funds through the use of in-kind contributions, and include this information in the budget or budget narrative, the voluntary contributions will become a mandatory requirement under the grant award. Grantees that fail to provide sufficient mandatory matching funds through cash or in-kind contributions during the award period may be required to meet their obligation by making a cash payment to the Office on Violence Against Women in order to close out the grant award.

Budget Detail Worksheet

Purpose: The Budget Detail Worksheet may be used as a guide to assist you in the preparation of the budget and budget narrative. You may submit the budget and budget narrative using this form or in the format of your choice (plain sheets, your own form, or a variation of this form). However, all required information (including the budget narrative) must be provided. Any category of expense not applicable to your budget may be deleted.

Please Note: The following budget is an example intended to assist you in preparing your application budget.

A. Personnel – List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

| Name/Position | Computation | Cost |
|--------------------------|-------------------------------------|-----------|
| Program Coordinator | \$23,500 x 100% x 3 years | \$ 70,500 |
| Investigator | \$45,000 x 100% x 3 years | \$135,000 |
| Administrative Assistant | \$10/hr. x 20 hrs/month x 36 months | \$ 7,200 |

The Program Coordinator will coordinate the tribe's Tribal Governments Program project by organizing regular coordinating council meetings between all partner organizations, ensuring compliance with program requirements, and serving as the central point of contact for all project activities.

The Investigator is an investigator with the tribal law enforcement agency. She/he will dedicate 100% of their time to investigating cases of domestic violence, sexual assault, dating violence and stalking that occur on tribal lands.

The Administrative Assistant for the project will be a part-time employee. She/he will be compensated at a rate of \$10/hour. The designated time spent on the project will be 20 hours each month providing administrative and clerical support to the staff of the Victim Services Program.

TOTAL PERSONNEL: \$ 212,700

B. Fringe Benefits – Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Worker's Compensation, and Unemployment Compensation.

| Name/Position | Computation | <u>Cost</u> |
|---------------------|--------------------|-------------|
| Program Coordinator | | |
| Employer's FICA | \$70,500 x 7.65% | \$ 5,393 |
| Health Insurance | \$70,500 x 6.12% | \$ 4,315 |

| Worker's Compensation | \$70,500 x 1.00% | \$ | 705 |
|----------------------------------|-------------------|-----|-------|
| Unemployment Compensation | \$70,500 x 0.50% | \$ | 353 |
| Investigator | | | |
| Employer's FICA | \$135,000 x 7.65% | \$1 | 0,328 |
| Health Insurance | \$135,000 x 6.12% | \$ | 8,262 |
| Worker's Compensation | \$135,000 x 1.00% | \$ | 1,350 |
| Unemployment Compensation | \$135,000 x 0.50% | \$ | 675 |
| Administrative Assistant | | | |
| Employer's FICA | \$ 7,200 x 7.65% | \$ | 551 |
| Health Insurance | \$ 7,200 x 6.12% | \$ | 441 |
| Worker's Compensation | \$ 7,200 x 1.00% | \$ | 72 |
| Unemployment Compensation | \$ 7,200 x 0.50% | \$ | 36 |
| | | | |

TOTAL FRINGE BENEFITS: \$ 32,481

TOTAL PERSONNEL AND FRINGE BENEFITS: \$ 245,181

The tribe is requesting fringe benefits for the Program Coordinator, the Investigator, and the Administrative Assistant.

C. Travel – Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X per diem). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

| Purpose of Travel | Location | <u>ltem</u> | <u>Computation</u> | Cost |
|-----------------------------------|-----------------|-------------|--|----------|
| OVW-Mandated | TBD | Airfare | \$500 (avg.) x 3 people x 4 trips | \$ 6,000 |
| Training and Technical Assistance | | Lodging | \$100 (avg.) x 3 nights x 3 people x 4 trips | \$ 3,600 |
| Assistance | | Per diem | \$ 50 (avg.) x 4 days x 3 people x 4 trips | \$ 2,400 |

\$12,000 of the required \$20,000 in OVW mandated technical assistance and training funds has been allocated to cover the cost of travel for staff of the tribe in accordance with program guidelines. The remaining amount of \$8,000 has been allocated for partner travel and can be found in Section G of this form. The sites of the training sessions are unknown at this time. Travel estimates are based upon the tribe's formal written travel policy.

TOTAL TRAVEL: \$ 12,000

D. Equipment – List non-expendable items that are to be purchased. (Note: Organization's own capitalization policy for classification of equipment should be used.) Expendable items should be included in the "Supplies" category. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

| <u>ltem</u> | Computation | <u>Cost</u> |
|-------------------|--------------------------|-------------|
| (2) Video Cameras | \$750/camera x 2 cameras | \$ 1,500 |

The video cameras will be used during the interviews of alleged offenders, as well as to record witness testimony in preparation for trial in cases of domestic violence, dating violence, sexual assault, and stalking.

TOTAL EQUIPMENT: \$1,500

E. Supplies – List items by type (office supplies, postage, training materials, copying paper, and other expendable items such as books, hand held tape recorders) and show the basis for computation. Generally, supplies include any materials that are expendable or consumed during the course of the project.

| Supply Items | <u>Computation</u> | Cost |
|---|-------------------------|---------|
| Office Supplies (paper, printer, toner, pens, etc.) | \$150/month x 36 months | \$5,400 |
| Postage | \$ 50/month x 36 months | \$1,800 |
| 75 Victim Assistance Kits | \$ 25/kit x 75 kits | \$1,875 |

Office supplies and postage are needed for the general operation of the program. The Victim Assistance Kits will be provided to victims of domestic violence, dating violence, sexual assault, and stalking who seek assistance from the program. The kits contain toiletries and other necessities. The estimated cost is based on previous kit prices from other programs. We estimate that at least 75 kits will be needed.

TOTAL SUPPLIES: \$9,075

F. Construction – As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Consult with the program office before budgeting funds in this category.

| <u>Purpose</u> | Description of Work | Cost | |
|----------------|---------------------|------|--|
| | TOTAL CONSTRUCTION: | \$ 0 | |

G. Consultants/Contracts – Indicate whether applicant's formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and estimated time on the project. Consultant fees in excess of \$650 per day or \$81.25 per hour require additional justification and prior approval from the Office on Violence Against Women.

| Name of Consultant | Service Provided | Computation | Cost |
|----------------------|----------------------------|--------------------------------------|-----------|
| Consultant /Trainer | Sexual Assault Training | \$650/day x 3 days | \$ 1,950 |
| Part-Time Prosecutor | Prosecution | \$50/hr. x 20 hrs./month x 36 months | \$ 36,000 |

A Consultant/Trainer will provide a three day on-site training on sexual assault and related issues to tribal leaders, law enforcement, prosecution, court personnel, and medical and social services personnel. The training will focus on the challenges of providing support and advocacy services to Indian victims of sexual assault, dating violence, and elder abuse.

The tribe will hire a Part-Time Prosecutor. The Part-Time Prosecutor will be compensated at an hourly rate of \$50/hour. The Part-Time Prosecutor will spend 20 hours each month prosecuting crimes related to domestic violence, dating violence, sexual assault, and stalking.

Subtotal Consultant Fees: \$ 37,950

Consultant Travel: List all expenses to be paid from the grant to the individual consultant in addition to their fees (i.e., travel, meals, lodging etc.).

| Purpose of Travel | <u>Location</u> | <u>Item</u> | Computation | Co | <u>st</u> |
|------------------------------|-----------------|-------------------------------|---|------|--------------|
| OVW-Mandated | TBD | Airfare | \$550 (avg.) x 2 people x 4 trips | \$ 4 | 1,400 |
| Training and Technical | | Lodging | \$100 (avg.) x 3 nights x2 people x 4 trips | \$ 2 | 2,400 |
| Assistance | | Per diem | \$ 50 (avg.) x 3 days x 2 people x 4 trips | \$ 1 | ,200 |
| | | | Subtotal OVW-Mandated Training: | \$ 8 | <u>3,000</u> |
| Delivery of Sexual | Tribe's | Airfare | \$500 (avg.) x 1 person x 1 trip | \$ | 500 |
| Assault Training Reservation | Lodging | \$ 50 (avg.)/night x 2 nights | \$ | 100 | |
| | | Per diem | \$ 35 (avg.)/day x 3 days | \$ | 105 |
| | | | Subtotal Sexual Assault Training: | \$ | <u>705</u> |

Subtotal Consultant Travel: \$8,705

\$8,000 of the required \$20,000 in OVW mandated technical assistance and training funds has been allocated to cover the cost of travel for staff of the tribe in accordance with program guidelines. The sites of the training sessions are unknown at this time.

Funds have also been allocated to pay for the Consultant/Trainer to travel to the reservation to provide sexual assault training.

Contracts: Provide a description of the product or services to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$150,000.

| <u>ltem</u> | <u>Computation</u> | <u>Cost</u> |
|----------------------------|-------------------------|-------------|
| Cell Phone Service | \$75/month x 36 months | \$ 2,700 |
| Equipment and Rental Lease | \$300/month x 36 months | \$10,800 |

Subtotal Contracts: \$13,500

The Shelter Advocates will share a cellular phone so that they may be contacted 24 hours/day, 7 days a week to provide emergency services and transportation to victims in need.

Equipment to be rented and/or leased includes the copier and printer. The copier and printer costs are allocated based on historical usage

TOTAL CONTRACTS AND CONSULTANTS: \$ 60,155

H. Other Costs – List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, and provide a monthly rental cost and how many months to rent.

| <u>Item</u> | Computation | Cost |
|-----------------------------------|--|----------|
| Sexual Assault Training Manual | \$ 25/manual x 25 manuals | \$ 625 |
| Resource Manual | \$ 25/manual x 75 manuals | \$ 1,875 |
| Crisis Hotline | \$ 75/month x 36 months | \$ 2,700 |
| Brochures | \$.25/brochure x 1,000 copies x 2 Titles | \$ 500 |
| Rent | \$1.50/sq. foot x 1,000 sq. feet x 36 months | \$54,000 |
| Utilities | \$200/month x 36 months | \$ 7,200 |
| Housing Assistance | \$500/family x 12 families/year x 3 years | \$18,000 |

The Sexual Assault Training manuals will be purchased from the Sexual Assault Resource Center and will be used in conjunction with the on-site training that will be provided by the Consultant/Trainer.

The Project Coordinator will develop and produce a Resource Manual for services both on and off the Reservation for victims of domestic violence, sexual assault, dating violence, and stalking. Copies of the manual will be provided to all units of Tribal government and to victim services and social services agencies in the local community.

Many victims in the more geographically remote areas of the Reservation do not have long distance service, and it is a long distance call for most of them to reach the program office. The project will continue to operate an 800 hotline for victims. It will be staffed by volunteers on a daily basis.

The program has previously developed brochures explaining the dynamics of domestic violence and sexual assault and detailing the services offered by the program. Additional copies of the brochures need to be reproduced. Based on previous distribution patterns, it is anticipated that the program will distribute 1,000 copies of each brochure during the 36 month grant period.

The Victim Services Program rents a safe house that is located off-reservation in the local community. The house is used to provide temporary housing to victims of domestic violence and their minor children who are in need of a safe place to stay after fleeing an abusive situation. The rent is consistent with the fair market rate for similar properties in the local community.

The cost of utilities (i.e., gas, electric, and water service) averages \$200/month. The services are necessary to ensure that the house is suitable for occupancy.

Funds have been budgeted to provide transitional housing assistance to at least one victim of domestic violence, dating violence, sexual assault or stalking each month. Each victim and her dependents will receive up to \$500 to assist with rent and utility payments or security deposits.

TOTAL OTHER COSTS: \$84,900

I. Indirect Costs – Indirect costs are allowed if the applicant has a federally approved indirect cost rate. A copy of the rate approval (a fully executed, negotiated agreement) must be attached. If the applicant does not have an approved rate, one can be requested by contacting the applicant's cognizant federal agency, which will review all documentation and approve a rate for the applicant organization, or if the applicant's accounting system permits, costs may be allocated in the direct costs categories. Non-federal entities that have never received a federally-approved indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) which may be used indefinitely. This includes state and local governments that have never negotiated an indirect cost rate with the Federal government and receive less than \$35 million in direct Federal funding per year. Tribes that have never negotiated an indirect cost rate with the Federal government may also use the 10% de minimis rate.

| <u>Description</u> | <u>Computation</u> | <u>Cost</u> |
|---|--------------------|-------------|
| 13.25% of Direct Salaries (Excluding Fringe Benefits) | \$212,700 x 13.25% | \$28,183 |

TOTAL INDIRECT COSTS: \$ 28,183

The Indirect Cost Rate Agreement was approved by the Department of the Interior, the applicant's cognizant federal agency on January 1, 2015. (A copy of the fully executed, negotiated agreement is attached).

Budget Summary – When you have completed the budget worksheet, transfer the totals for each category to the spaces below. Compute the total direct costs and the total project costs. Indicate the amount of federal funds requested and the amount of non-federal funds that will support the project.

| Budget Category | | Amount |
|------------------------------|---------------------------|------------|
| A. Personnel | | \$212,700 |
| B. Fringe Benefits | | \$ 32,481 |
| C. Travel | | \$ 12,000 |
| D. Equipment | | \$ 1,500 |
| E. Supplies | | \$ 9,075 |
| F. Construction | | \$ 0 |
| G. Consultants and Contracts | | \$ 60,155 |
| H. Other Costs | | \$ 84, 900 |
| | Total Direct Costs | \$412,811 |
| I. Indirect Costs | : | \$ 28,183 |
| | | |
| тоти | AL PROJECT COSTS | \$ 440,994 |
| | | |
| Federal Share Requested | ; | \$ 440,994 |
| Non-Federal (Match) Amount | ; | \$ 0 |

APPENDIX B
Sample Letter of Registration



[Applicant Letterhead]

[Date]

Director
Office on Violence Against Women
145 N Street NE
Suite 10W.121
Washington, DC 20530

Dear Director:

This letter serves to certify that [Insert Applicant Name] is registered and current with the System for Award Management (SAM) under DUNS number [insert DUNS number]. [Insert Applicant Name] registered/verified registration on [Insert Verification Date]. The SAM registration for [Insert Applicant Name] will expire on [Insert Expiration Date].

First Time <u>Grants.gov</u> Users ONLY - I understand that in order to submit an application for the FY 2016 [Insert Grant Program Name], [Insert Applicant Name] must be registered with <u>Grants.gov</u>. I certify that [Insert Organization Name] began the registration process with <u>Grants.gov</u> on [Insert Registration Date].

OR

Repeat <u>Grants.gov</u> Users ONLY – I understand that upon application submission in <u>Grants.gov</u> the Authorized Organization Representative (AOR) will receive a minimum of two email messages. One will confirm receipt of the application package. The other will either notify the AOR that the application was successfully submitted, or it will notify the AOR that there was an error with the application submission. In order to successfully receive notifications from <u>Grants.gov</u>, all information listed in <u>Grants.gov</u> must be current and active. [Insert Applicant Name] verified that all information listed in <u>Grants.gov</u> (Name and contact information for the AOR, organization address, etc.) is current and active on [Insert Date].

Sincerely,

[Authorized Organization Representative]

APPENDIX C
Disclosures Related to Executive Compensation



Disclosures Related to Executive Compensation Sample Cover Letter [Applicant Letterhead] [Date]

Director
Office on Violence Against Women
145 N Street, NE
Suite 10 W.
Washington, DC 20530

Dear Director:

The **[Applicant]** is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure for establishing a rebuttable presumption that our executives' compensation is reasonable. Therefore, I am submitting the following information to you, as required by the Violence Against Women Reauthorization Act of 2013:

(1) a brief description of the process used for determining the compensation of our officers, directors, trustees, and key employees, including the independent persons involved in reviewing and approving such compensation;

[or, if relevant]: (1) a copy of our written policy for determining the compensation of our officers, directors, trustees, and key employees, which includes the independent persons involved in reviewing and approving such compensation;]

- (2) the comparability data used in establishing executive compensation; and
- (3) contemporaneous substantiation of the deliberation and decision regarding executive compensation.

| zing Official] |
|----------------|
| |

Attachments

APPENDIX D Summary of Current and Recent OVW Projects



Summary of Current and Recent OVW Projects

| Applicant Name | | | | | | | | |
|--|-------------------|------------|-----------------|--|-------------------|-----------------------------------|--|--------------------------------------|
| Service Area: Size of Service Area: Size of Target Population: | | | | | | | | |
| Award Number | Award End Date | Program | Award Amount | Amount Remaining | Extension Needed? | Extension Needed: Timeframe | Grant- Individual(s) and Job Title(s) | Justification for Remaining Funds |
| 2012-XX-XX-XXXX | 7/31/2015 | 2014 CLSSP | \$300,000 | TOTAL: \$250,000 A. Personnel: \$200,000 B. Fringe: \$50,000 C. Travel: \$0 D. Equipment: \$0 E. Supplies: \$0 F. Construction: \$0 G. Consultants and Contracts: \$0 H. Other Costs: \$0 I. Indirect Costs: \$0 | □ Yes □ No | | 2FT DV/SA Advocates: John Doe and Jane Doe | |
| 2013-XX-XX-XXXX | 12/31/2014 | 2011 CTAS | \$932,000 | TOTAL: \$467,850 A. Personnel: \$250,000 B. Fringe: \$100,000 C. Travel: \$0 D. Equipment: \$0 E. Supplies: \$0 F. Construction: \$0 G. Consultants and Contracts: \$100,000 H. Other Costs: \$0 I. Indirect Costs: \$17,850 | □ Yes □ No | | 1FT DV Advocate (Anne Tyler); 2FT Shelter Advocates (Jane Doe and Jane Doe); 1FT Victim Liaison (John Doe) | |
| | | | TOTAL: | TOTAL: | | | | |
| | | | \$1,232,000 | \$717,850 | | | | |

APPENDIX E

Summary of Current and Pending Non-OVW Grants to do the Same or Similar Work



Summary of Current and Pending Non-OVW Grants to do the Same or Similar Work

| | | | | | [Applicant Name] | | |
|-------------------------------|-------------------------------------|---------|-------------------|------------------------------|---|---|--|
| | | | | | Current Awards | | |
| Service Area: | | | | | | | |
| Federal Awarding Agency | Award Number | Program | Award End Date | Award Amount | Amount Remaining | Grant- Individual(s), Job Title(s), and Percentages | Please describe how this project differs from the application for OVW funding. |
| OJP | XXX-XXX-XXXX | OVC | 9/30/2017 | \$300,000 | TOTAL: \$250,000 A. Personnel: \$200,000 B. Fringe: \$50,000 C. Travel: \$0 D. Equipment: \$0 E. Supplies: \$0 F. Construction: \$0 G. Consultants and Contracts: \$0 H. Other Costs: \$0 I. Indirect Costs: \$0 | 2FT DV/SA Advocates: John Doe (25%) and Jane Doe (35%) | [Insert description.] |
| | | | <u> </u> | L | Pending Applications | | 1 |
| Service Area | a: | | | | 3 11 | | |
| Federal Awarding Agency | Application Number (if known) | Program | Project Period | Total Requested Amount | Amount Requested | Grant- Individual(s), Job Title(s), and Percentages | Please describe how this project differs from the application for OVW funding. |
| COPS | XXX-XXX-XXXX | CAMP | 36 months | \$300,000 | TOTAL: \$300,000 A. Personnel: \$200,000 B. Fringe: \$50,000 C. Travel: \$0 D. Equipment: \$0 E. Supplies: \$50,000 F. Construction: \$0 G. Consultants and Contracts: \$0 H. Other Costs: \$0 I. Indirect Costs: \$0 | 1FT DV Advocate: Janet Doe (20%); 1FT Shelter Advocate: Jay Doe (10%); 1FT Victim Liaison: John Doe (50%) | [Insert description.] |