



OVW Fiscal Year 2016 Grants for Outreach and Services to Underserved Populations Solicitation

Solicitation Release Date

This solicitation was released on or about January 13, 2016.

Eligibility

Eligible applicants are limited to: Deaf programs; Lesbian, Gay, Bisexual, and Transgender organizations; religious minority organizations; nonprofit organizations that received an award under the Abuse Later in Life grant program in FY 2009 - FY 2012; nonprofit organizations that have received two continuation awards under the Training and Services to End Violence Against Women with Disabilities Grant Program; or culturally specific organizations for which the primary purpose of the organization as a whole is to provide culturally specific services to American Indians (including Alaska Natives, Eskimos, and Aleuts), Asian Americans, Native Hawaiians and other Pacific Islanders, Blacks, or Hispanics that propose projects focusing solely on sexual assault.

(See "[Eligibility](#)")

Deadlines

Application: All applications are due by 11:59 p.m. Eastern Time (E.T.) on March 9, 2016.
(See "[Submission Dates and Times](#)")

Registration: To ensure all applicants have ample time to complete the registration process, applicants must obtain a Data Universal Number System (DUNS) Number, register online with the System for Award Management (SAM) and with [Grants.gov](#) immediately, but no later than, **February 16, 2016.**

(See “[Registration](#)”)

Letter of Registration: Applicants are strongly encouraged to submit a letter of registration to OVW.Underserved@usdoj.gov by **February 18, 2016**. This will ensure that applicants are well-positioned to successfully submit their applications by the deadline. This letter will not obligate potential applicants to submit an application. Interested applicants who do not submit a Letter of Registration are still eligible to apply.

(See “[Letter of Registration](#)”)

Pre-Application Information Sessions: OVW will conduct a web-based Pre-Application Information Session for eligible entities interested in submitting an application for the Grants for Outreach and Services to Underserved Populations grant program. Participation in this session is optional. Interested applicants who do not participate are still eligible to apply.

(See “[Content and Form of Application Submission](#)”)

Contact Information

For assistance with the requirements of this solicitation, contact Amy Loder at (202) 305-2971 or Amy.Loder@usdoj.gov.

In Fiscal Year 2016, OVW applications for the Grants for Outreach and Services to Underserved Populations grant program will be submitted through Grants.gov. For technical assistance with Grants.gov, please contact the Grants.gov Customer Support Hotline at 1-800-518-4726.

The Grants.gov number assigned to this announcement is OVW-9151

It is anticipated that all applicants will be notified of the outcome of their applications by September 30, 2016.

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OVW tries to create forms and instructions that are accurate, can be easily understood, and which impose the least possible burden on applicants. The estimated average time to complete and file this form is 30 hours. Comments regarding the accuracy of this estimate or suggestions for simplifying this form, it can be submitted to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.

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OVW Grants for Outreach and Services to Underserved Populations Grant Program (CFDA 16.889)

A. Program Description

Overview

The Office on Violence Against Women (OVW) is a component of the United States Department of Justice (DOJ). Created in 1995, OVW implements the Violence Against Women Act (VAWA) and subsequent legislation and provides national leadership on issues of sexual assault, domestic violence, dating violence, and stalking. Since its inception, OVW has supported a multifaceted approach to responding to these crimes through implementation of grant programs authorized by VAWA. By forging state, local and tribal partnerships among police, prosecutors, judges, victim advocates, health care providers, faith leaders, organizations that serve culturally specific and underserved communities, and others, OVW grants help provide victims across the life span with the protection and services they need to pursue safe and healthy lives, while improving communities' capacity to hold offenders accountable for their crimes.

About the OVW Grants for Outreach and Services to Underserved Populations

This program is authorized by 42 U.S.C. § 14045 to develop and implement outreach strategies targeted at, and provide victim services to, adult and youth victims of domestic violence, dating violence, sexual assault, or stalking in underserved populations¹. Grant funds are used to provide enhanced services to underserved populations; build the capacity of both mainstream organizations and organizations serving underserved populations to provide culturally appropriate and inclusive services; and to increase training and outreach activities targeted at organizations providing services to underserved populations. FY 2016 is the first time a competitive solicitation is being released for the Grants for Outreach and Services to Underserved Populations (Underserved Program).

Program Scope

Activities supported by the Underserved Program are determined by statute, federal regulations, and OVW policies. If an applicant receives an award, the funded project is bound by the provisions of this solicitation, the DOJ Financial Guide, any updates to the DOJ Financial Guide, and the conditions of the recipient's award.

Purpose Areas

In FY 2016, funds under the Underserved Program may be used for the following purposes:

1. Working with federal, state, tribal, territorial and local governments, agencies, and organizations to develop or enhance population specific services;
2. Strengthening the capacity of underserved populations to provide population specific services;

¹ The term "underserved populations" means populations who face barriers in accessing and using victim services, and includes populations underserved because of geographic location, religion, sexual orientation, gender identity, underserved racial and ethnic populations, populations underserved because of special needs (such as language barriers, disabilities, alienage status, or age), and any other population determined to be underserved by the Attorney General or by the Secretary of Health and Human Services, as appropriate. See 42 U.S.C. § 13925(a)(39).

3. Strengthening the capacity of traditional victim service providers to provide population specific services;
4. Strengthening the effectiveness of criminal and civil justice interventions by providing training for law enforcement, prosecutors, judges and other court personnel on domestic violence, dating violence, sexual assault, or stalking in underserved populations; or
5. Working in cooperation with an underserved population to develop and implement outreach, education, prevention, and intervention strategies that highlight available resources and the specific issues faced by victims of domestic violence, dating violence, sexual assault, or stalking from underserved populations.

OVW Priority Areas

In FY 2016 OVW is interested in supporting the priority area identified below. Applications proposing activities in the following areas will be given special consideration during the review process.

Sexual Assault

The Underserved Program will give special consideration to the following types of applications proposing the development and/or enhancement of sexual assault victim services and/or training:

1. applications from culturally specific organizations (see page 5 for eligibility) that focus project activities solely (100%) on sexual assault victim services and/or training; or
2. applications from Deaf, LGBT, religious minority, elder, and disability organizations that propose projects where a minimum of 75% of goals/objectives/activities focus on sexual assault victim services and/or training.

In order to meet the sexual assault priority, an applicant **must** propose activities that address more than intimate partner sexual violence. Applicants **must** include services to and training on a broad range of survivors (e.g., adults abused as children, youth survivors, adults sexually assaulted by those other than an intimate partner such as a stranger, neighbor, family member, coworker, community leader, clergy/spiritual leader, etc.). Applicants may limit project activities to victims within a certain age range.

Applications from culturally specific organizations proposing projects to serve survivors of intimate partner sexual violence *only* will be considered out of scope and removed from further funding consideration.

Any applicants proposing to provide sexual assault victim services must refer to the chart below when designing its project activities. Applicants do not need to provide all of the services as part of the grant project, however, the proposed goals, objectives, and activities should generally reflect the types of services listed. This guidance is intended to describe the broad range of services that advocacy agencies may provide, but is not exhaustive. Services made available to survivors of sexual assault should be representative of cultural and individual needs.²

² Information about core and comprehensive services was taken from the “Building Comprehensive Sexual Assault Services Programs” publication created by the National Sexual Assault Coalition Resource Sharing Project and the National Sexual Violence Resource Center. The resource was developed as part of the OVW Sexual Assault Demonstration Initiative and supported by Grant No. 2009-TA-AX-K011.

Types of Sexual Assault Services		
Types of Services	Core Services*	Comprehensive Services** <i>Core services plus...</i>
Crisis Intervention	Available 24 hours a day/7 days a week; Active listening and empathy; Reinforcement of coping skills	Consistency in crisis intervention for survivors who frequently access support; Face-to-face options available for support on an ongoing basis (distinct from professional therapy); Staff and volunteers trained in and use techniques to help reduce trauma
Advocacy	Education about medical options; Medical accompaniment and advocacy during forensic exam or other emergency care; Education about justice and safety options; Legal accompaniment and advocacy during reporting and through prosecution; Legal accompaniment and advocacy provided for securing orders of protection; Advocacy as requested by survivor for other basic needs	Medical accompaniment and advocacy provided for non-emergency medical services (e.g., OB/GYN care, dental care, etc.); Legal accompaniment and advocacy provided for civil law cases; Advocacy provided for other impacts on survivor (e.g., with employers, schools, etc.); Ongoing evaluation of advocacy needs and delivery
Information and Referral	Referrals to other service providers that can support survivors (e.g., therapists, social services, housing, legal assistance, holistic healing services, etc.)	Screening of agencies and service providers on referral list; Policies for maintaining confidentiality when communicating with agencies and service providers; Referrals for a wide range of health care (e.g., OB/GYN, chiropractors, massage therapists, acupuncturists, etc.); Ongoing evaluation of new information and referral needs
Counseling and Therapy	Psychoeducation about effects of trauma; Supportive listening, validation, and empowerment; Assistance with coping skills and trigger plans	Planned interventions and goals; Ongoing evaluation of counseling and therapy delivery and outcomes; Use of specific modalities; Provided by trained and/or licensed counselor or therapist
Support Groups	Groups exchange information, share techniques for problem-solving, and explore feelings; May be run by advocates or counselors; Peer-led support groups; May be curriculum-based, open or closed, short-term or ongoing; Culturally-relevant groups/Talking Circles; Sexual violence specific groups	Groups for adult survivors of child sexual abuse; Groups for male survivors; Groups for parents of child survivors; Groups for LGBTQ survivors; Groups for age-specific groups; Groups for survivors with disabilities; Groups in multiple languages (determined by community needs); Ongoing evaluation of delivery/outcomes

Types of Sexual Assault Services		
Holistic Healing		Healing arts opportunities (e.g., art therapy, music therapy); Creative arts opportunities (e.g., knitting circles, writing groups, etc.); Movement and exercise groups and classes; Meditation and mindfulness classes and groups; Yoga; Nutritional education; Somatic (body) based approaches; Outdoor-based healing activities; Culturally-based approaches to healing; Ongoing evaluation of survivor interests and delivery and outcomes of holistic opportunities
Institutional/Systems Advocacy	Professional training; Community task forces (SARTs, etc.); Media advocacy	Regular review and improvements of protocols and policies; Creation/integration of roles relevant to sexual assault intervention and prevention; Regular communication with medical and legal partners; Regular communication with other social services; Ongoing evaluation of systems coordination and community change
Support for Significant Others	Information and referrals; Crisis intervention	Advocacy; Support groups; Counseling/therapy; Child care
Survivor Activism and Involvement		Survivor participation in program advisory board; Volunteer opportunities; Survivor-led philanthropic events; Survivor political action committees; Opportunities for survivors to speak publicly about their experiences (e.g., Speak Outs, Clothesline Project, art exhibits, publications, speakers' bureau, etc.); Peer-led groups; Recruitment strategies when hiring to ensure survivors can and are encouraged to apply; Support for and empowerment of staff who are survivors
<p>*Core Services are the basic services sexual assault service agencies provide to sexual violence survivors and the community. They are the essential services that meet the immediate needs of survivors. In many states and territories, state coalitions or state agencies define these core services by setting minimum standards of practice: the types of services that must be offered and the way services must be provided. These often include services such as 24-hour crisis intervention, hospital and legal accompaniment, and information and referrals for other needs.</p> <p>**Comprehensive Services provide the core services as well as additional opportunities for survivors to heal and communities to prevent violence. These include additional services that address the physical, social, emotional, and spiritual needs of sexual assault survivors and their allies. These services go beyond the most immediate, pressing needs to support more in-depth healing, empowerment and integration.</p>		

Activities that Compromise Victim Safety and Recovery

The following activities have been found to jeopardize victim safety, deter or prevent physical or emotional healing for victims, or allow offenders to escape responsibility for their actions:

1. Procedures or policies that exclude victims from receiving safe shelter, advocacy services, counseling, and other assistance based on their actual or perceived age,

immigration status, race, religion, sexual orientation, gender identity, mental health condition, physical health condition, criminal record, work in the sex industry, or the age and/or gender of their children;³

2. Procedures or policies that compromise the confidentiality of information and privacy of persons receiving OVW-funded services;
3. Procedures or policies that impose requirements on victims in order to receive services (e.g. seek an order of protection, receive counseling, participate in couples counseling or mediation, report to law enforcement, seek civil or criminal remedies, etc.);
4. Procedures or policies that deny victims access to services based on their relationship to the perpetrator;
5. Referrals to child protection services solely for a victim's failure to protect a minor child from witnessing domestic violence except if required under state law;
6. Policies or procedures that fail to conduct safety planning with victims;
7. Materials that are not tailored to the dynamics of sexual assault or the specific population to be served;
8. Establishing a coordinated community response or multidisciplinary collaborative team without developing policies regarding confidentiality and information sharing for the members; and
9. Products or program services that are not accessible to individuals with disabilities or Deaf individuals or to individuals with limited English proficiency.

Applications that propose activities that compromise victim safety and recovery may receive a deduction in points during the review process or may be eliminated from further consideration entirely.

Out-of-Scope Activities

OVW has determined the activities listed below to be out of the program scope and therefore these activities will not be supported by Underserved Program funding. Applications that propose out-of-scope activities may receive a point deduction during the review process. Applications that are determined to be substantially outside the scope of the Underserved Program will not be considered for funding.

1. Research projects (This does not include program assessments conducted only for internal improvement purposes. See section Research and Protection of Human Subjects in the FY 2014 Solicitation Companion Guide at <http://www.justice.gov/sites/default/files/ovw/legacy/2013/12/16/companion-guide-fy2014.pdf>.)
2. Family Violence. Grant funds may not be used to directly address child abuse, or other family violence issues such as violence perpetrated by a child against a parent, parent against a child, or violence perpetrated by a sibling against another sibling.
3. Services for Children. Services can only be offered to children who are witnesses to or victims of violence if they are provided in conjunction with services to a child's parent or guardian who is a victim of sexual assault, domestic violence, dating violence, or stalking.
4. Direct services to sexual assault victims under the age of 11.
5. Sexual Assault Forensic Medical Examiner programs.
6. Public service campaigns.

³ If an award is made, the recipient will also be subject to statutory prohibitions on discrimination. For further information on these civil rights requirements, see the section "Violence Against Women Act Non-Discrimination Provision" under "F. Federal Award Administration Information."

Unallowable Activities

The following is a list of activities that are unallowable and cannot be supported by Underserved Program grant funding. Applications that propose unallowable activities may receive a point deduction during the review process. Applications that include substantial unallowable activities will not be considered for funding.

1. Lobbying;
2. Fundraising;
3. Purchase of real property;
4. Construction; and
5. Physical modifications to buildings, including minor renovations (such as painting or carpeting).

Limited Activities

The following activities may be supported with Underserved Program grant funding in limited circumstances.

1. Family Law, Housing Law or Consumer Law. Grant funds may not be used to provide legal representation in civil and criminal matters, such as family law cases (divorce, custody, visitation and child support), housing cases, consumer law cases and others. **Note:** Grant funds may be used to provide legal assistance to victims of sexual assault, domestic violence, dating violence, and stalking in protection order and immigration proceedings related to their victimization such as U visas, VAWA self petitions, cancellations of removal, etc.

B. Federal Award Information

Availability of Funds

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. There is no guarantee that funds will be available in the future. Therefore, OVW encourages applicants to develop a plan to sustain project activities if federal funding through the Underserved Program ceases to be available. Also, please note that OVW may elect to make awards for applications submitted under this solicitation in future fiscal years, depending on the merits of the applications and on the availability of funding.

Award Period

The grant award period is 24 months. Budgets must reflect 24 months of project activity, and the total “estimated funding” on the SF-424 must reflect 24 months. Generally, the award period will start on October 1, 2016.

Award Amounts

Applicants should carefully consider the resources needed to successfully implement the proposed project and present a realistic budget that accurately reflects project costs. Awards under the Underserved Program for FY 2016 will be made for up to \$300,000.

OVW has the discretion to award grants for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to award of a grant.

FY 2016 is the first time a competitive solicitation has been released for the Underserved Program. OVW estimates that it will make up to 16 awards for an estimated \$4,800,000.

Awards will be made as cooperative agreements to organizations eligible as previous Abuse Later in Life grantees. All other awards will be made as grants.

Types of Applicants

In FY 2016, OVW will accept applications for the Underserved Program from the following:

New: applicants that have never received funding under the Underserved Program.

Grantees that received funding under the Underserved Program in FY 2014 and 2015 are not eligible to apply, except if the entity has an existing FY 2013 Sexual Assault Services Culturally Specific Program award ending on 9/30/2016. Any organization that received a second continuation award under the Disability Grant Program in FY 2015 is not eligible to apply.

C. Eligibility Information

Eligible Applicants

It is very important that applicants review this information carefully. Applications that are submitted by ineligible entities or that do not meet all program eligibility requirements will not be considered for funding.

Eligible entities for this program are:

1. Deaf programs⁴;
2. LGBT organizations⁵;
3. Religious minority organizations⁶;
4. Nonprofit organizations that have received an award under the Abuse Later in Life (ALL) grant program in FY 2009 - FY 2012;
5. Nonprofit organizations that have received two continuation awards under the Training and Services to End Violence Against Women with Disabilities Grant Program (Disability Grant Program); and
6. Culturally specific organizations for which the primary purpose of the organization as a whole is to provide culturally specific services to American Indians (including Alaska Natives, Eskimos, and Aleuts), Asian Americans, Native Hawaiians and other Pacific Islanders, Blacks, or Hispanics and propose a project that focuses solely on sexual assault.

Nonprofit Organization Requirements

Any entity that is eligible for the Underserved Program based on its status as a nonprofit organization must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. See 42 U.S.C. § 13925(b)(16)(B). Any nonprofit organization that holds money in offshore accounts for

⁴ For the purpose of this program, a Deaf program is a program that is run by and for Deaf individuals. The program may be a stand-alone Deaf domestic violence and/or sexual violence organization, a general Deaf services organization, or a Deaf domestic violence and/or sexual violence program administratively housed in a hearing victim service provider, as defined in the Violence Against Women Act.

⁵ For the purpose of this program, an LGBT organization is an organization for which the primary purpose of the organization as a whole is to provide services to LGBT individuals.

⁶ For the purpose of this solicitation, a religious minority organization is an organization for which the primary purpose of the organization as a whole is to serve members of a religious minority who face barriers accessing and using victim services.

the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code is not eligible for a grant from the Underserved Program.

Cost Sharing or Match Requirement

This program has no matching or cost sharing requirement.

Other

Program Eligibility Requirements

In addition to meeting the eligible entity requirements outlined above, applications for the Underserved Program must also meet the requirements below. All certification and other eligibility related documents must be current and developed in accordance with the FY 2016 solicitation. If an application does not meet the requirements below, the application will be removed from consideration for funding.

Required Partnerships

OVW believes a partnership between organizations strengthens the network of services available to survivors. Therefore, every applicant will be required to partner with at least one organization to meet the goals of the proposed project. A Memorandum of Understanding (MOU) must be submitted with every application. Letter of Commitment (LOC) may be required during application submission. See the Memorandum of Understanding and Letters of Commitment section to determine what type of documentation is required.

Additionally, applicants that are former Abuse Later in Life and Disability Grant Program grantees must meet the partnership requirements listed below.

1. Abuse Later in Life:

Every applicant must demonstrate that it is part of a multidisciplinary partnership. This required partnership must be detailed in a Memorandum of Understanding (MOU) that is signed by the Authorized Representative of, at a minimum, the following four required partners:

- a) At least one law enforcement agency;
- b) At least one prosecutor's office;
- c) At least one victim service provider; and
- d) At least one nonprofit program or government agency with demonstrated experience in assisting individuals in later life.

2. Disability Grant Program:

Every applicant must identify whether the multidisciplinary partnership will have a state focus or a local focus. Additionally, every applicant must identify and support, as evidenced in the Memorandum of Understanding (MOU), a multidisciplinary partnership comprised of, at a minimum, the following:

- a) At least one victim services provider or tribal organization serving victims of sexual assault, domestic violence, dating violence and/or stalking; and
- b) At least one nonprofit, nongovernmental organization or tribal organization serving individuals with disabilities and/or Deaf individuals.

Limit on Number of Application Submissions

An applicant can only submit one application per organization. If an applicant submits multiple versions of the same application, OVW will review only the most recent system-validated version submitted.

D. Application and Submission Information

Address to Request Application Package

The complete application package is available on Grants.gov or at the OVW website at <http://www.justice.gov/ovw> . Applicants wishing to request a paper copy of the application materials should contact the Underserved Program Unit at OVW.Underserved@usdoj.gov.

Content and Form of Application Submission

Letter of Registration

Applicants intending to apply for FY 2016 funding under this program are strongly encouraged to submit a letter of registration. The letter should state that the applying organization is registered and current with the System for Award Management (SAM) and with Grants.gov. The letter should be submitted to OVW at OVW.Underserved@usdoj.gov by February 18, 2016. This will ensure that the applicant is well-positioned to successfully submit a proposal by the application deadline. This letter will not obligate the applicant to submit an application. See [Appendix B](#) for a sample Letter of Registration.

Pre-Application Information Sessions

OVW will conduct two web-based Pre-Application Information Sessions. During these sessions, OVW staff will review the Underserved Program requirements, review the solicitation, and allow for a brief question and answer session. Participation in these calls is optional.

The sessions are tentatively scheduled for:

1. **February 2, 2016, 2 – 4 pm ET**
2. **February 4, 2016, 3 – 5 pm ET**

Anyone interested in submitting an application to the Underserved Program may register to participate in a pre-application information session.

To register for the web-based session please e-mail Thelma Bailey at OVW.Underserved@usdoj.gov. ASL Interpreters will be available for both information sessions.

Application Contents

This section describes what is included in a complete application package. Applicants should anticipate that failure to submit an application that contains all of the specified elements will negatively affect the review of the application and may result in the application not being considered for funding. Should a decision be made to make an award, it may result in the inclusion of special conditions that preclude access to or use of award funds pending satisfaction of the conditions. It is the responsibility of the applicant to ensure that a complete application is submitted by the deadline. OVW will not contact applicants for missing items. **Do not submit documents in addition to those specified in this solicitation. Please note that any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.**

Formatting and Technical Requirements

Applications must follow the requirements below. Points may be deducted for applications that do not adhere to the following requirements:

1. Double spaced (Project Abstract, Summary Data Sheet and charts may be single space)
2. 8½ x 11 inch paper
3. One-inch margins
4. Type no smaller than 12 point, Times New Roman font
5. Page numbers
6. No more than 20 pages for the Project Narrative
7. Word documents in the following formats: Microsoft Word (.doc), PDF files (.pdf), or Text Documents (.txt).
8. Headings and sub-headings that correspond to the sections identified in this section of the solicitation.

Application Requirements

Applications must include the following required documents and demonstrate that the program eligibility requirements have been met. Applications that do not address all of the following components will be considered substantially incomplete and will not be considered for funding:

1. Project Narrative
2. Budget Detail Worksheet and Narrative
3. Memorandum of Understanding and/or Letters of Commitment

In addition to the application being scored on the documents listed above, the Summary Data Sheet will also be scored.

Summary Data Sheet (5 Points)

The Summary Data Sheet should be one to four pages in length and may be single or double spaced. The Summary Data Sheet does not count toward the 20 page limit for the Project Narrative. Please provide the following information:

1. Name, title, address, phone number, and e-mail address for the Authorized Organization Representative (AOR) of the applicant agency (see [Application for Federal Assistance \(SF-424\)](#) for the definition of the AOR).
2. Name, title, address, phone number, and e-mail address for the grant point-of-contact. This person must be an employee of the applicant agency.
3. Statement as to whether the organization applying will serve as a “pass through entity,” i.e., a fiscal agent/sponsor, for an organization, or organizations, that will ultimately implement the project. If so, the applicant must include a statement acknowledging that, should an award be made, it would be responsible for all statutory, fiscal and programmatic requirements, including those of 2 CFR Part 200, as well as all project deliverables. The organization applying for the award must also list all of the entities it will enter into agreements with to perform the work, and should include a description of how these entities intend to accomplish the purposes of the award if such a description is not already provided in a Memorandum of Understanding (MOU) submitted as part of the application.
4. Statement as to whether the agency applying has expended \$750,000 in federal funds in the organization’s past fiscal year. Please specify the end date of the applicant’s fiscal year.

5. A list of other federal grant programs from which the applicant organization currently receives funding or for which it has applied for funding in FY 2016 to do similar work. Please provide this information in a table using the format found in [APPENDIX E](#).
6. Statement as to whether the applicant is a nonprofit organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code.
7. Statement as to whether the applicant is a nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code.
8. Statement as to whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant is not a nonprofit organization or is a nonprofit that does not use the safe-harbor procedure, provide a statement to that effect. For additional information about the safe-harbor procedure, see "[Disclosures Related to Executive Compensation](#)" section.
9. The percentage of grant activities, should the application be funded, that will address each of the following issues:
 - Sexual assault;
 - Domestic violence;
 - Dating/teen dating violence; and/or
 - Stalking.

10. Summary of Current and Recent OVW Projects (if applicable).

If the applicant has a current grant or cooperative agreement under any OVW program, or an award that has been closed within the last 12 months from the date this solicitation closes, the information below must be provided in a table using the format found in [APPENDIX D](#). Failure to provide the required table will result in a loss of points.

The table must:

- a) Identify all grants by OVW program, award number and project period.
 - b) Specify the total funding amount for each grant (initial and supplemental amounts, if applicable).
 - c) Specify the total funds remaining in each grant as of the date of application.
 - d) Provide the total funds remaining in each budget category (Personnel, Fringe, Travel, Equipment, Supplies, Construction, Contractual, and Other) for each grant.
 - e) Provide a justification for remaining funds.
 - f) Estimate the amount of grant funds that will be remaining at the end of the current project period.
 - g) List the number and titles of all full-time and/or part-time positions funded by the award.
11. All organizations (name and type of organization) participating on the project.
 12. The service area (city, town, county, unincorporated area, or state).
 13. Disability focus (if applicable).
 14. The population and square miles of the region to be served.

Project Narrative (60 Points Total)

The Project Narrative may not exceed 20 pages in length, double-spaced. The Project Narrative must include the following three sections:

Purpose of Application (20 points)

This section must:

1. Identify the target population for the proposed project;
2. Identify the service area where the project will be implemented;
3. Provide demographic information for the target population in the service area;
4. Provide statistical information for the crime focus (sexual assault, domestic violence, dating violence, and/or stalking) in the proposed service area;
5. Describe the services (other than sexual or domestic violence victim services) available to the target population in the service area;
6. Describe the current response to sexual assault, domestic violence, dating violence, and/or stalking for the target population in the identified service area. This section must identify available services and gaps and barriers in service provision;
7. Identify the challenges that the applicant and the partner organization(s) encounter when providing/attempting to provide services to the target population;
8. Identify the challenges that the target population experiences when attempting to access services;
9. Describe in detail the problem to be addressed; and
10. Provide a description of how the target population will benefit from the proposed project.

What Will Be Done (20 points)

The applicant must provide a clear link between the proposed activities and the need identified in the “Purpose of Application” section.

In this section, all applicants must:

1. Describe the proposed activities. The activities must correspond to the stated need. Applicants proposing to provide sexual assault victim services should refer to the “Types of Sexual Assault Services” on page 3 for further guidance;
2. Detail how the proposed activities will address the problem as identified in the Purpose of the Application section;
3. Detail the goals and objectives for each proposed activity;
4. Detail expected outcomes;
5. Include a tentative time line for the implementation of the proposed activities; and
6. Describe how the project will impact current or prior efforts to reduce sexual assault, domestic violence, dating violence and/or stalking.

A. Applicants that are eligible Abuse Later in Life grantees must:

1. Services

ALL grantees were required to develop and implement an outreach and services strategic plan. Detail the status of services provided through the ALL grant and how Underserved Program funding would be used to continue existing services or implement additional direct services.

- a. Provide the number of victims over 50 currently receiving services from the MOU partners that are the victim services program and the nonprofit program or government agency with demonstrated experience in assisting individuals in later life. Detail the types of services provided;
- b. Describe current outreach efforts focusing on victims over 50 by the MOU partners that are the victim services program and the nonprofit program or government agency with demonstrated experience in assisting individuals in later life;
- c. Detail what is currently being done to address victim safety and autonomy; and
- d. Describe how victim safety and autonomy will be addressed by the project.

2. *Collaborative Community Response (CCR)*

Provide details about the CCR created or enhanced through the initial ALL award.

- a. State how often the CCR meets;
- b. Identify key participants of the CCR; and
- c. Detail work completed by the CCR.

3. *Training*

If the applicant plans to use award funds to do additional training, provide details regarding the need for additional training, including who would receive training and the extent to which funding provided under the ALL award has been institutionalized.

- a. Provide the number of law enforcement officers who committed to training (both law enforcement and advanced law enforcement training) and the number of officers who completed the trainings;
- b. Provide the number of prosecutors who committed to sending to the national training and the number of prosecutors who completed the trainings;
- c. Provide the number of judges who attended the national judicial institute; and
- d. Provide the number of individuals who committed to attending the mandatory cross-training and the number of individuals who completed the cross-trainings.

B. Applicants that are eligible Disability Grant Program grantees must:

1. Illustrate how the proposed activities and initiatives will build upon and/or enhance previously funded activities;
2. Describe the responsibilities of each multidisciplinary collaborative team member, as they correspond to the proposed activities; and
3. Demonstrate the capacity of the multidisciplinary collaborative team to successfully implement project activities.

C. Applicants that are culturally specific organizations and propose a project that focuses solely on sexual assault must:

1. Illustrate how the proposed activities are tailored to and reflective of the identified culturally specific population; and
2. Clearly identify the age group the project will focus on (e.g., youth victims of sexual assault or sexual assault across the lifespan).
- 3.

Who Will Implement the Project (20 points)

The applicant must identify the key individuals and organizations that will be involved in the project and demonstrate that they have the capacity to address the stated need and that they can successfully implement the stated project activities.

All applicants must:

1. Identify each organization that is involved with the project. The application must demonstrate a direct relationship to the stated need and must clearly demonstrate that all partnership/team requirements have been met;
2. Identify all individuals (organization and position) involved with the project;
3. Detail the roles and responsibilities of each grant-funded staff person. The application must detail the capacity of each individual to achieve their assigned responsibility and the overall project goals; and
4. Describe the resources and skills each organization involved in the project will bring to the project.

A. Applicants that are eligible Abuse Later in Life grantees must:

1. Detail experience and expertise of project partners in providing direct victim services to victims of elder abuse, neglect, exploitation, domestic violence, sexual assault, dating violence and/or stalking (see program eligibility requirements/required partnerships); and
2. Demonstrate a strong commitment to meaningful collaboration to develop and implement the project.

B. Applicants that are eligible Disability Grant Program grantees must:

1. Detail activities or strategies the multidisciplinary collaborative team will engage in to strengthen the collaborative relationship and work; and
2. Detail the ability of each multidisciplinary collaborative team member to engage in organizational capacity building and, if applicable, among their member programs and affiliated groups. Examples must be provided.

C. Applicants that are culturally specific organizations and propose a project that focuses solely on sexual assault must:

1. Describe the mission and services provided by the organization;
2. Detail how the primary focus of the organization is on the identified culturally specific community;
3. Describe how the various services provided are reflective of and responsive to the culturally specific community served and needs of survivors;
4. Provide detailed information that demonstrates the applicant organization or MOU partner has experience providing direct intervention services to victims of sexual assault.
5. Detail the organization's expertise in developing community-based services that include culturally relevant and linguistically specific services for the identified community(ies) to be served. If the organization does not have this expertise, provide in detail the organization's capacity to link to existing services within the community that provide such services tailored to the needs of the identified culturally specific population;
6. Describe how the organization's advisory board or steering committee members and staff are reflective of the identified culturally specific community through their knowledge or experience relevant to the targeted community; and
7. Detail the expertise of the advisory board or steering committee and staff members as it

relates to addressing sexual assault in the identified culturally specific community.

Budget Detail Worksheet and Narrative (15 Points)

All applicants are required to submit a detailed budget and supporting budget narrative. Budgetary requirements vary slightly among programs, and applicants must read the solicitation closely to determine the requirements of the budget and budget narrative for each OVW program.

Award Period and Amount

1. The grant award period is 24 months. Budgets must reflect 24 months of project activity.
2. Awards for FY 2016 will be made for up to \$300,000.

Budget Requirements

Applicants must submit reasonable budgets based on the resources needed to implement their projects in their specific geographic location. The budget should display a clear link between the specific project activities and the proposed budget items. Specifically, the budget should not contain any items that are not detailed in the project narrative. The budget narrative must support all costs included in the budget and explain how the costs of goods and services are determined and how they will fulfill the overall objective of the project.

In some circumstances, the budget and budget narrative will be reviewed separately from the proposed project narrative. Therefore, it is very important that the budget narrative be as comprehensive as possible and describe in a narrative format each line item requested in the budget.

A Sample Budget Detail Worksheet is available in [Appendix A](#). When preparing the Budget Detail Worksheet and Narrative, please use the Sample Budget Detail Worksheet as a guide and be sure to include all necessary budget categories as outlined in the Worksheet. The budget must adhere to the DOJ Financial Guide.

This section must:

1. Include a budget narrative that supports and justifies all proposed costs and provides a clear link between specific project activities and proposed budget items;
2. Include a budget that reflects all costs related to implementing the proposed project and provides calculations for all costs;
3. Include a line item(s) to pay for necessary accommodations. Accommodations may include, but are not limited to, American Sign Language (ASL) Interpreters, printing in alternative formats, or adaptive equipment;
4. Include a line item for interpreter services (language interpretation);
5. Fairly compensate all project/team partners for their participation in any project-related activities, including but not limited to, compensation for time and travel expenses to attend meetings, attend trainings or to participate in training, project development and implementation; and
6. Allocate funds for OVW-sponsored in the amount of \$15,000 (\$20,000 for Alaska, Hawaii, and the United States Territories).

Conference Planning and Expenditure Limitations

Applicants should be aware of all applicable laws, regulations, policies and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars, symposiums, training and other similar events), including the provision of food and/or beverages at such events, and costs of attendance at such events. Information on pertinent laws, regulations, policies and guidance is available at <http://www.justice.gov/ovw/grantees>. Applicants should also be aware of the following specific restrictions on conference planning and expenditure limitations:

1. Cost of Logistical Conference Planning
2. Cost of Programmatic Conference Planning
3. Conference Space and Audio-Visual Equipment and Services
4. Prohibition on Trinkets at Conferences
5. Entertainment at Conferences
6. Food and Beverages at Conferences
7. Prior Approval Required Before Entering Into Contracts or Expending Funds for Conferences
8. Conference Reporting

Updated Department of Justice and OVW guidance on conference planning, minimization of costs, and conference cost reporting is accessible on the OVW website <http://www.justice.gov/ovw/grantees>. For additional information regarding food and beverage regulations, please refer to the DOJ Financial Guide.

Training and Technical Assistance

All applicants are required to allocate funds in the amount of \$15,000 to support travel costs associated with technical assistance and capacity-building activities sponsored by OVW-designated technical assistance providers. Applicants from Alaska, Hawaii, and United States Territories should allocate \$20,000 to account for higher travel costs. These specific applicants may exceed the budget caps to account for this increased travel amount. The required set-aside amount may be shared between the applicant and any partnering agency(ies), but the budget must reflect the costs in the appropriate categories. Therefore, an employee's travel costs should be included in the "Travel" category, while travel costs for the project partner(s) must be included in the "Consultants/Contracts" category. Label both costs as "OVW Technical Assistance." Include an estimated breakdown for these costs, including the number of trips, number of travelers, airfare or mileage, lodging, per diem, etc. (OVW technical assistance is provided free of charge to grantees, so applicants do not need to include registration fees). This amount should equal the full, required set-aside amount listed above.

Please note these funds can **only** be used for OVW-designated technical assistance, unless otherwise approved by OVW. Travel funds should be used to support travel by all project partners including nonprofit, nongovernmental victim service providers. Funds may also be used by persons whose positions are not grant-funded as long as that person's roles and responsibilities are linked to the project's overall mission.

Program Assessments

Applicants may not use any OVW funds to conduct research. However, up to three percent of the budget may be allocated for the purpose of assessing the effectiveness of funded activities. For example, funds may be used to conduct pre- and post-testing of training recipients or for victim satisfaction surveys. In conducting such testing or surveys, grantees may not collect, analyze or disseminate any information that would disclose the identity of an individual.

Accommodations and Language Access

Recipients of OVW funds must comply with applicable federal civil rights laws, which, among other things, prohibit discrimination on the basis of disability and national origin. This includes taking reasonable steps to ensure that persons with limited English proficiency (LEP) have meaningful access to recipients' programs or activities. More information on these obligations is available in the [OVW FY 2014 Solicitation Companion Guide](#) and at www.lep.gov. Applicants are encouraged to allocate grant funds to support activities that help to ensure individuals with disabilities, Deaf individuals, and persons with limited English proficiency have meaningful and full access to their programs. For example, grant funds can be used to support American Sign Language (ASL) interpreter services, language interpretation and translation services, or the purchase of adaptive equipment.

Applicants proposing to use grant funds to create websites, videos, and other materials must ensure that the materials are accessible to persons with disabilities. Grant funds may be allocated for these purposes.

Pre-Agreement Cost Approval

Please be aware that costs incurred prior to the start date of the award may not be charged to the project unless the recipient receives prior approval from OVW. Please see the DOJ Financial Guide for more information on pre-award costs.

Memorandum of Understanding and Letters of Commitment (20 Points Total)

Applicants are required to partner with at least one organization to meet the goals of the proposed project. A Memorandum of Understanding (MOU) must be submitted with every application. Letters of Commitment (LOC) may be required as part of an application depending on the activities proposed. Refer to the information below to determine what type of documentation is required.

1. MOU – required by all applicants. Applicants propose activities involving the provision of services.
2. MOU and an LOC - required if an applicant proposes activities that include a combination of the provision of direct services and education, outreach, and training. An applicant is required to obtain LOC from organizations that will receive training from the applicant.

Memorandum of Understanding

For purposes of this solicitation, the MOU is a document containing the terms of the partnership and the roles and responsibilities between two or more parties. The MOU should be a single document and should be signed and dated by the Authorized Organization Representative (AOR) of each proposed partner agency during the development of the application. In rare circumstances an MOU can include multiple signature pages as long as each page includes the name and title of each signing party.

For all applicants, the MOU must:

1. Clearly identify the partners and provide a brief history of the collaborative relationship among those partners, including when and under what circumstances the relationship began and when each partner entered into the relationship;
2. Clearly state the roles and responsibilities each partner will assume to ensure the success of the proposed project;

3. Demonstrate the capacity of the grant-funded staff and key project partners to successfully implement project activities;
4. Describe the resources each partner would contribute to the project, either through time, in-kind contributions, or grant funds;
5. Demonstrate a commitment to work together to achieve stated project goals and objectives;
6. Specify the extent of each partner's participation in developing the application; and
7. Indicate approval of the proposed project budget by all signing parties.

A. Applicants that are an eligible Abuse Later in Life grantees must also:

1. Clearly identify each of the four required MOU partners:
 - a. At least one law enforcement agency;
 - b. At least one prosecutor's office;
 - c. At least one victim service provider; and
 - d. At least one nonprofit program or government agency with demonstrated experience in assisting individuals in later life.

To ensure that services are available to older individuals through the proposed project:

- i. The victim service provider should provide services to victims of sexual assault, domestic violence, dating violence, or stalking as one of its primary purposes; and
 - ii. The nonprofit program or government agency with demonstrated experience in assisting individuals in later life should provide services to victims of elder abuse, neglect or exploitation as one of its primary purposes.
2. Indicate if additional 8 hour direct trainings for law enforcement will be held and if so, include a commitment to conduct these trainings;
3. Indicate if additional advanced trainings for law enforcement will be held and if so, include a commitment to conduct these trainings;
4. Indicate if additional cross-trainings for personnel from agencies of states or units of local government, attorneys, health care providers, population specific organizations, faith-based advocates, and victim service providers will be held, and if so, include a commitment to conduct these cross-trainings;
5. Include a commitment to encourage judges in the jurisdiction to attend a four-day national judicial institute on elder abuse, neglect, and exploitation;
6. Demonstrate a commitment to support the multidisciplinary collaborative community response to abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation and neglect.
7. Include a commitment to provide outreach and services for victims 50 years of age or older of abuse in later life, including domestic violence, dating violence, sexual assault, stalking, exploitation, and neglect.

B. Applicants that are eligible Disability Grant Program grantees must also:

1. Clearly demonstrate the ability and willingness of each multidisciplinary team member to engage in organizational capacity building and, if applicable, among their member programs or affiliated groups; and
2. Clearly demonstrate the participation of decision-makers from each of the organizations that comprise the multidisciplinary team.

C. Applicants that are culturally specific organizations and propose a project that focuses solely on sexual assault must also:

1. Clearly identify which organization has the expertise in providing sexual assault services. In doing so, the applicant must provide the following information:
 - a. A description of the sexual assault services provided;
 - b. The number of years these services have been provided;
 - c. A description of the service area in which the services are provided (e.g., county/city-wide, multiple counties/cities, culturally-specific population); and
 - d. Include a statement as to whether these services are provided to victims across the lifespan of focused on a particular age range (e.g. youth, adults, adults in later life, etc.).

Letters of Commitment

Letters of Commitment are required when an applicant proposes to focus project activities on training only. Letters of Commitment must accompany the application as attachments to the application in [Grants.gov](https://www.grants.gov). Letters of Commitment sent separately from the application will not be considered during the review process. Letters of Commitment that do not clearly specify the number of personnel that will attend trainings will not be considered during the review process. Letters of support **may not** be submitted in lieu of the Letters of Commitment.

The Letters of Commitment must:

1. Provide the name of the agency/organization sending appropriate personnel to receive training;
 2. Include a clear and direct statement that the agency/organization is committed to sending its personnel to receive training;
 3. Provide the type of training the organization is committing to participate in (i.e., law enforcement training on abuse later in life, culturally appropriate responses to sexual assault, Deaf culture, or law enforcement training on working with LGBT victims);
 4. Identify the type of personnel (law enforcement officers, prosecutors, victim service providers, government personnel, etc.) who will attend training; and
 5. Include an estimate of the number of personnel who will be sent to receive training. This must be shown as a number and the percentage of staff for the organization;
- A. Letters of commitment submitted by applicants that are eligible ALL grantees must include the number and percentage of personnel from the agency/organization that were trained under the original grant. The letters must also indicate which of the following trainings personnel will attend:
2. The local one-day law enforcement training;
 3. The local advanced law enforcement training;
 4. The national prosecutors' institute; and/or
 5. The local direct services cross-training.

While Letters of Commitment are not required for judges attending the judicial institute, they may be submitted with the application.

Proposal Abstract

The Proposal Abstract should provide a short and accurate summary (no more than one page) of the proposed project, including who will be involved with the proposed project, what will be done as primary activities, what products will be produced, the service area where the proposed project will take place and who will be impacted by the proposed project. Applicants should not summarize past accomplishments in this section. Additionally, applicants should limit their proposal abstract to no more than one page.

Additional Required Information

The following documents will not be scored during the review process but they should be included with your submission. Failure to include any of the information may result in the inability to access funds if your application is selected for funding. OVW will be unable to make an award to any nonprofit organization that does not submit a 501(c)(3) determination letter from the Internal Revenue Service.

Proof of 501(c)(3) Status (Nonprofit Organizations Only)

As noted under Eligible Entities, an entity that is eligible for the Underserved Program based on its status as a nonprofit organization must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of the Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status.

Disclosures Related to Executive Compensation

Any applicant that is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure for establishing a rebuttable presumption that its executives' compensation is reasonable, must provide the following: (1) a brief description of the process used for determining the compensation of its officers, directors, trustees, and key employees, including the independent persons involved in reviewing and approving such compensation (in lieu of a description, an applicant may submit its written compensation policy); (2) the comparability data used in establishing executive compensation; and (3) contemporaneous substantiation of the deliberation and decision regarding executive compensation. This third element can usually be addressed by submitting minutes from board meetings where compensation was considered and approved.

Applicants that want to learn about best practices for establishing compensation for their executives and the IRS's safe-harbor procedures can find more information through the National Council of Nonprofits, <https://www.councilofnonprofits.org/tools-resources/executive-compensation>.

Confidentiality Notice Form

All applicants are required to acknowledge that they have received notice that grantees and subgrantees must comply with the confidentiality and privacy requirements of the Violence Against Women Act, as amended. The acknowledgement form is available on the OVW website at http://www.justice.gov/sites/default/files/ovw/pages/attachments/2015/01/20/confidentiality_acknowledgement_form_42015.pdf and must be signed by the authorized representative and uploaded to the application on Grants.gov.

Application for Federal Assistance (SF-424)

Applicants must complete the SF-424 online. For “Type of Applicant,” please do not select “other.” Please pay careful attention to the amount of Federal funding requested in the “Estimated Funding” section of this form. This amount must match the amount of Federal funding requested in the budget section of the application package. Only include values for “Applicant” if the program solicitation requires a match. The individual who is listed in “Authorized Representative” must be the AOR for the applicant agency. The AOR is an individual who has the authority to apply for and accept grant awards on behalf of the organization or jurisdiction.

Standard Assurances and Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (Form 4061/6)

Please carefully review the assurances and certification forms online. These forms will be completed online at a later time during the application process. All applicants must complete the *Disclosure of Lobbying Activities* (SF-LLL) form. Applicants that expend any funds for lobbying activities must provide the detailed information requested on the form. Applicants that do not expend any funds for lobbying activities should enter “N/A” in the required highlighted fields.

Letter of Nonsupplanting

Applicants must submit a letter to OVW’s Director, signed by the AOR, certifying that Federal funds will not be used to supplant state or local funds should a grant award be made. Please refer to http://www.justice.gov/sites/default/files/ovw/legacy/2012/10/09/nonsup_letter.pdf for a sample letter. This should be a separate attachment to the application in Grants.gov.

Financial Accounting Practices

Each applicant must prepare a response to the following questions. OVW will review the applicant’s responses to assist in evaluating the adequacy of the organization’s financial management system and to identify areas of need for training and technical assistance. This section of your application should be no more than two pages and should be a separate attachment to the online application in Grants.gov.

1. Will all funds awarded under this program be maintained in a manner that they will be accounted for separately and distinctly from other sources of revenue/funding? Please provide a brief description of the organization’s policies and procedures that ensure funds will be tracked appropriately.
2. Does the applicant have written accounting policies and procedures? How often are these policies and procedures updated? Please provide a brief list of the topics covered in the organization’s policies and procedures. OVW may request a copy for review during the application/award process or as part of the grant monitoring process.
3. Is the applicant’s financial management system able to track actual expenditures and outlays with budgeted amounts for each grant or subgrant? Please provide a brief summary of the organization’s process for tracking expenditures.
4. Does the applicant have procedures in place for minimizing the time between transfer of funds from the United States Treasury and disbursement for project activities? Please provide a short summary of the organization’s policy for requesting payments for grant awards.
5. Does the applicant have effective internal controls in place to adequately safeguard grant assets and to ensure that they are used solely for authorized purposes? Please provide a brief description.

6. Does the applicant have a documented records retention policy? If so, briefly describe the policy.
7. Is the individual primarily responsible for fiscal and administrative oversight of grant awards familiar with the applicable grants management rules, principles, and regulations including the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards, (2 CFR Part 200)? Please provide a short list of the individual's qualifications/experience. If the individual is not familiar with the applicable rules and regulations, the applicant must contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov or 1-888-514-8556 immediately after the organization is notified of its award to coordinate training.

Please note: This information will be used for a mandatory pre-award risk assessment. Failure to provide this information or to respond to questions from OVW regarding this information in a timely manner could result in the applicant being removed from consideration or a delay in funds.

Financial Capability Questionnaire (if applicable)

All nonprofit, nongovernmental organizations that apply for funding from OVW and have not previously (or within the last three years) received funding from OVW must complete a Financial Capability Questionnaire, and submit it online. Additionally, applicants may be required to submit their current year's audit report at a later time. The form can be found at <http://www.justice.gov/ovw/how-apply>.

Indirect Cost Rate Agreement (if applicable)

Applicants that intend to charge indirect costs through the use of an indirect cost rate must have a Federally-approved indirect cost rate agreement. Please include a copy of a current, signed Federally-approved indirect cost rate agreement. This should be a separate attachment to the application in [Grants.gov](http://www.justice.gov/ovw/how-apply).

Non-federal entities that have never received a federally-approved indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) which may be used indefinitely. This includes state and local governments that have never negotiated an indirect cost rate with the Federal government and receive less than \$35 million in direct Federal funding per year. Tribes that have never negotiated an indirect cost rate with the federal government may also use the 10% de minimis rate.

Organizations that wish to negotiate an indirect cost rate may contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov or 1-888-514-8556 for more information.

Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM)

Applicants for Federal grants and cooperative agreements are required to have a Data Universal Number System (DUNS) Number to submit an application. A DUNS Number is a unique nine-character identification number provided by the commercial company **Dun & Bradstreet (D&B)**. Once an applicant has completed the D&B registration, its DUNS Number should be available the next business day.

Federal guidelines require that applicant organizations must (1) be registered in SAM.gov prior to submitting an application; (2) provide a valid DUNS number in its application; and (3) continue to maintain an active SAM registration with current information at all times during which

it has an active Federal award or an application under consideration by a Federal awarding agency. Also, Federal agencies may not make an award to an applicant until that applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with the requirements by the time that OVW is ready to make an award, then OVW may make the determination that the applicant is not qualified to receive an award and use that determination as a basis for making the award to another applicant.

The SAM centralizes information about grant recipients and also provides a central location for grant recipients to change organizational information. Grants.gov uses SAM to establish roles and IDs for electronic grant applicants.

[If the applying organization already has an Employer Identification Number (EIN), the SAM registration will take **up to two weeks to process**. If the applying organization does not have an EIN, then **the applicant should allow two to five weeks for obtaining the information from IRS when requesting the EIN via phone, fax, mail or Internet.**] Follow the steps listed below to register in the SAM:

1. Obtain a DUNS number at the following website <http://www.dnb.com/us/> or call (866) 705-5711.
2. Access the SAM online registration through the SAM homepage at <https://www.sam.gov/portal/SAM/#1> and follow the online instructions for new SAM users.
3. Complete and submit the online registration. If the applying organization already has the necessary information on hand, the online registration takes approximately 30 minutes to complete, depending upon the size and complexity of the business or organization. Once the SAM registration becomes active, the applicant will be able to return to Grants.gov and complete the registration. **Please note that organizations must update or renew their SAM registration at least once a year to maintain an active status.**

There is no fee associated with the registration process. Additionally, the registration process cannot be expedited. OVW strongly discourages applicants from paying a third party to register on their behalf in an attempt to expedite the registration process. To ensure all applicants have ample time to complete the registration process, applicants must obtain a DUNS, register online with the SAM and with Grants.gov immediately, but no later than **February 16, 2016**.

Submission Dates and Times

It is very important that all applicants read this section carefully. Applicants that do not complete all the steps in registering and submitting their application by the due date will not be considered for funding. Applicants are responsible for ensuring their applications are complete at the time of submission. OVW will not contact applicants for missing items. Applicants should anticipate that failure to meet all registration and submission deadlines will result in their application being removed from consideration. It is the responsibility of the applicant to ensure that the application is submitted by the deadline.

All applications will be submitted electronically. The deadline for submitting applications in response to this solicitation is **11:59 p.m. E.T. on March 9, 2016**. Applications submitted after **11:59 p.m. E.T. on March 9, 2016** will not be considered for funding. Applicants experiencing

difficulties submitting an application should refer to the [Experiencing Unforeseeable Technical Issues](#) section of this solicitation.

Failure to begin the registration or application submission process in sufficient time is not an acceptable reason for a late application submission. It is important that applicants do not wait until the day of the application deadline to begin the application submission process. To ensure a successful application submission, OVW strongly encourages applicants to submit their applications at least 48, but no less than 24, hours before the deadline. After application submission, Authorized Organization Representatives (AOR) should closely monitor their email for any notification from [Grants.gov](#) about a possible failed submission. The AOR will receive a minimum of two emails from [Grants.gov](#). One will confirm receipt of the application package. The other will either notify the AOR that the application was successfully submitted, or it will notify the AOR that there was an error with the application submission.

Please note that the [Grants.gov](#) notification process is automatic. OVW does not send out these notifications, nor does OVW receive a copy of these notifications. It is the responsibility of the applicant to notify OVW of any problems with the application submission process. Please see “[Experiencing Unforeseeable Technical Issues](#)” for information on the steps applicants must follow if corrective action must be taken.

OVW Policy on Duplicate Applications

An applicant can only submit one application per program. If an applicant submits multiple versions of an application, OVW will review the most recent version submitted.

Experiencing Unforeseeable Technical Issues

As previously stated, applicants should begin the **registration process** immediately, but no later than **February 17, 2016**. Furthermore, the applicant should begin the **application submission process** 48, but no less than 24, hours prior to the application deadline. This will allow sufficient time for the applicant to contact the appropriate individuals and take corrective action, as outlined in this solicitation, should unforeseeable technical issues arise. If technical difficulties are experienced at any point during the application process, the applicant must contact the [Grants.gov](#) Customer Support Hotline at 1-800-518-4726, or support@grants.gov, 24 hours a day, 7 days a week, except closed for Federal holidays.

If an applicant experiences unforeseeable technical issues that prevent submission of an application by the deadline, the applicant must take the following actions:

1. Contact the Underserved Program Unit at (202) 307-6026 or at OVW.Underserved@usdoj.gov prior to the application deadline stating that the applicant is experiencing unforeseeable technical issues and provide a phone number and/or email address where the applicant can be reached; and
2. Contact the technical support number above prior to the application submission deadline.

Within 24 hours after the deadline, the applicant must again contact the Underserved Program Unit at (202) 307-6026 or OVW.Underserved@usdoj.gov to request permission to submit the application. At that time, the applicant will be required to email the complete grant application and DUNS number, and provide the Grants.gov Help Desk tracking number(s). After OVW reviews all of the information submitted and verifies the technical issues were unforeseeable with the Help Desk, OVW will contact the applicant to either approve or deny the

request to submit a late application. If the technical issues cannot be verified as unforeseeable, the application will be rejected as late.

To ensure a fair competition for limited discretionary funds, the following conditions are not valid reasons to permit late submissions: (1) failure to begin the registration process in sufficient time; (2) failure to register or update information on the SAM website; (3) failure to follow Grants.gov instructions as posted on its website; and (4) failure to follow all of the instructions in the OVW solicitation.

OVW Policy on Late Submissions

OVW offers several options for an applicant to provide advance notice to OVW if receipt of its application will be delayed due to a temporary lack of Internet access, unforeseeable technical issues, or geographic isolation. If an applicant does not provide advance notice to OVW about an issue that may cause a delay in the submission of its application, the application will not be considered for funding. If applicant follows the steps outlined above, OVW will consider the applicant's request for late submission. Extension of deadlines is not guaranteed and permission to submit a late application does not automatically result in an award. Late submission only allows an application to be considered for funding. If late submission is approved, the application will be reviewed for registration information and completeness and to ensure that the applicant meets the basic eligibility requirements (BMR) as defined in the solicitation. If the applicant meets BMR, the application will be subject to both peer review and programmatic review before any funding decision is made.

Extraordinary Natural or Manmade Disasters

In cases of extraordinary natural or manmade disasters, such as extreme weather emergencies or terrorist acts, applicants may request to submit applications up to seven calendar days late by sending an e-mail to the contact listed in the solicitation. The request should specify the nature of the disaster and how it affected the applicant's ability to submit an application on time. OVW may request additional documentation from the applicant verifying the extraordinary natural or manmade disaster.

Intergovernmental Review

Single Point of Contact Review

Executive Order 12372 requires applicants from state and local units of government or other organizations providing services within a state to submit a copy of the application to the [state Single Point of Contact \(SPOC\)](#) if one exists and if the program has been selected for review. Applicants must contact their state SPOCs to determine whether their programs have been selected for state review. The applicant should enter the date that the application was sent to the SPOC or the reason such submission is not required in the section of the SF 424 which refers to EO 12372. Applicants can find a list of SPOCs on the Office of Management and Budget website at http://www.whitehouse.gov/omb/grants_spoc.

Funding Restrictions

Federal assistance awards are governed by the provisions of 2 CFR Part 200. Additionally, OVW awards are covered by the DOJ Financial Guide. The DOJ Financial Guide includes information on allowable costs, methods of payment, audit requirements, accounting systems, and financial records. This document also outlines the successful administration of grant funds.

OVW generally does not allow pre-award costs. Such costs require prior approval by OVW and will only be allowed in limited circumstances.

Any recipient of an award will be responsible for monitoring subgrants/contracts, including MOU partner activities, under the grant in accordance with all applicable statutes, regulations, guidelines, and the DOJ Financial Guide. Primary recipients will be responsible for oversight of subgrantee/partner spending and monitoring specific performance measures and outcomes attributable to the use of OVW funds.

Food and Beverage/Costs for Refreshments and Meals

Generally food and beverage costs are **not** allowable, and under no circumstances may OVW funding be used to supply food and/or beverages during refreshment breaks. OVW may approve the use of OVW funds to provide food and/or beverages for a meal at a meeting, conference, training, or other event, if one of the following applies:

1. The location of the event is not in close proximity to food establishments. It should be a priority to try to secure a location near reasonably priced and accessible commercial food establishments.
2. Not serving food will significantly lengthen the day or necessitate extending the meeting to achieve meeting outcomes.
3. A special presentation at a conference requires a plenary address where there is no other time for food to be obtained.
4. Other extenuating circumstances necessitate the provision of food.

Justification for an exception listed above must be included in the budget narrative, and funds may only be used to purchase food and/or beverages for a meal at a meeting, conference, training, or other event if OVW approves the specific expenditures in advance.

Other Submission Requirements

As discussed in the "[Submission Dates and Times](#)" section above, applications must be submitted electronically via grants.gov. Applicants that are unable to submit electronically must contact the Underserved Program Unit at (202) 307-6026 or OVW.Underserved@usdoj.gov by **February 17, 2016**.

[Grants.Gov](#)

After applicants obtain their DUNS number and register with SAM, they can begin the [Grants.Gov](#) registration process. In order to apply for a grant, the applying organization must complete the [Grants.gov](#) registration process prior to beginning an application for a federal grant. Complete instructions can be found at www.grants.gov. **The registration process can take between three and five business days or as long as four weeks if all steps are not completed in a timely manner.** Please note that Grants.gov is not the Office of Justice Programs' (OJP) Grants Management System (GMS). If applicants experience difficulties at any point during this process, they should call the [Grants.gov](#) Customer Support Hotline at **1-800-518-4726**.

Note: [Grants.gov](#) limits the use of specific characters in names of attachment files. Valid file names include only the characters shown in the table below. [Grants.gov](#) is designed to reject any application that includes an attachment(s) with a file name that contains any characters not shown in the table below.

Characters	Special Characters		
Upper case (A – Z)	Parenthesis ()	Curly braces { }	Square brackets []
Lower case (a – z)	Ampersand (&)	Tilde (~)	Exclamation point (!)
Underscore (_)	Comma (,)	Semicolon (;)	Apostrophe (')
Hyphen (-)	At sign (@)	Number sign (#)	Dollar sign (\$)
Space	Percent sign (%)	Plus sign (+)	Equal sign (=)
Period (.)	When using the ampersand (&) in XML, applicants must use the “&,” format.		

OVW strongly suggests using simple titles for all documents, such as “FY 2016 OVW Project Narrative.” Please visit the Grants.gov website to review the most up-to-date guidelines about the use of specific characters.

The E-Business Point of Contact (E-Biz POC) within the applicant’s organization must register the organization with Grants.gov. The E-Biz POC oversees the organization's Grants.gov transactions and assigns the AOR. The AOR submits the application to Grants.gov and must register with Grants.gov as well. In some cases the E-Biz POC is also the AOR for an organization.

1. *Step 1:* Go to Grants.gov. Mouse over the “APPLICANTS” drop down and click the “Organization Registration Link”.
2. *Step 2:* Register with SAM
3. [Step 3: Username & Password](#)
4. [Step 4: AOR Authorization](#)
5. [Step 5: TRACK AOR STATUS](#)

The application process can move forward once the organization successfully registers with Grants.gov.

Downloading a Grant Application Package

An applicant may download the application package to complete it offline and route it through the applying organization for review before final submission.

Applicants must use the correct version of Adobe software in order to download the grant application. To verify if the Adobe software version is compatible with Grants.gov, visit the following link: <http://www.grants.gov/web/grants/support/technical-support/software/adobe-reader-compatibility.html>.

Instructions on how to open and use the forms in the package are on the application package cover sheet. Agency-specific instructions are available for download when the application package is downloaded. The instructions identify the required information for a complete application.

Completing the Grant Application Package

The applicant must manually save changes to the grant application. Grants.gov does NOT automatically save changes. The package cannot be submitted until all required fields have been completed.

Submitting the Completed Grant Application Package

Log on to Grants.gov. After the application is fully completed, errors are corrected, and the application is saved, click the “Save & Submit” button on the cover page. The application package will be automatically uploaded to Grants.gov.

Reminder: To ensure a successful application submission, OVW strongly encourages applicants to submit their applications at least 48, but no less than 24, hours before the deadline. AORs should closely monitor their email for any notification from [Grants.gov](https://www.grants.gov) about a possible failed submission. The AOR will receive a minimum of two emails from [Grants.gov](https://www.grants.gov). A confirmation screen will appear once the submission is complete. A [Grants.gov](https://www.grants.gov) tracking number will be provided at the bottom of this screen, as well as the official date and time of the submission. Applicants must record the tracking number if technical support is needed. The [Grants.gov](https://www.grants.gov) Help Desk can be reached at 1-800-518-4726, Monday through Friday, from 7:00 a.m. to 9:00 p.m. E.T.

E. Application Review Information

Criteria

Applications will be subject to a peer review and a programmatic review. Applications will be scored based on the degree to which the application responds to each section and addresses each element in the section. Furthermore, applications will be scored based upon the quality of the response and the level of detail provided. Each element **must** be addressed in the section in which it is requested. Points may be deducted if the applicant does not include the information in the appropriate section even if it is included elsewhere within the application. Each section will be reviewed as a separate document and will be scored as such. Specifically for the Underserved Program, scoring will be as follows:

1. Summary data sheet: 5 points
2. Project narrative: 60 points, of which
 - A. Purpose of the project: 20 points
 - B. What will be done: 20 points
 - C. Who will implement: 20 points
3. Budget narrative and detail worksheet: 15 points
4. MOU and/or Letters of Commitment: 20 points

While cost sharing or match-funding are not required, in the case of a tie OVW may assess the extent and viability of cost sharing to break the tie, as well as other factors such as geographic distribution of funding.

Review and Selection Process

Peer Review

OVW will subject all applications to a peer review process that is fair and based on the criteria outlined in this solicitation. OVW may use internal review, external review, or a combination of both.

Programmatic Review

All applications that are considered for funding will be subject to a programmatic review. The programmatic review consists of assessing the application for compliance with the program's scope, activities that compromise victim safety and, if applicable, past performance and priority review. OVW reserves the right to deduct points from applications for the following reasons:

1. Formatting and Technical Requirements
2. Activities that compromise victim safety and recovery
3. Out-of-scope activities

4. Past performance

An application that is deemed to be substantially out of scope, proposes a substantial number of activities that are unallowable, or proposes activities that pose a significant threat to victim safety or a serious breach of confidentiality will not be considered for funding.

As a part of the programmatic review process described above, applicants with current or recently closed (within the last five years) OVW awards and/or cooperative agreements will be reviewed for past performance based on the elements listed below.

1. Progress reports submitted by the applicant, in conjunction with monitoring conducted by OVW, demonstrate the effectiveness of the current project, indicating timely progress toward meeting project goals and objectives;
2. Demonstration that past activities supported with OVW grant funds have been limited to program purpose areas;
3. Adherence to all special conditions of existing grant award(s) from OVW;
4. Adherence to programmatic and financial reporting requirements, including timely submission of required reports;
5. Completion of close-out of prior awards in a timely manner;
6. Appropriate use of and active participation in OVW-sponsored workshops and other technical assistance events as required by a special condition of the current or recent award;
7. Receipt of financial clearances on all current or recent grants from OVW;
8. Timely resolution of issues identified in any audit or on-site financial or programmatic monitoring visit;
9. Adherence to the Office of Management and Budget single-audit requirement;
10. Timely expenditure of grant funds; and
11. Adherence to the requirements of the Department of Justice Financial Guide.

OVW grantees with significant past performance issues may not be considered for funding.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the OVW Director, who also may give consideration to factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards. All award decisions are final and not subject to appeal.

High Risk Grantees

Based on DOJ's assessment of each grantee with regard to current or previous funding, unresolved audit issues, delinquent programmatic and fiscal reporting, and prior performance, a grantee may be designated "high risk." Awards to high-risk grantees may carry special conditions such as increased monitoring and/or prohibitions on drawing funds until certain requirements are met. High-risk grantees with substantial or persistent performance or compliance issues, long-standing open audits, or open criminal investigations will likely not receive an additional OVW award until all issues are resolved.

Anticipated Announcement and Federal Award Dates

It is anticipated that all applicants will be notified of the outcome of their applications by September 30, 2016.

F. Federal Award Administration Information

Federal Award Notice

Successful applications will receive OVW award notifications electronically from the OJP Grants Management System. This award notification will be sent to the individuals listed as the Authorized Representative and the Point of Contact on the SF-424 for the application that was selected for funding and will include instructions on accepting the award. Recipients will be required to login; accept any outstanding assurances and certifications on the award; designate financial points of contact; and review, sign, and accept the award. The award acceptance process involves physical signature of the award document and terms and conditions by the authorized representative and the scanning of the fully-executed award document to OVW.

Administrative and National Policy Requirements Information for All Federal Award Grantees

Applicants selected for awards must agree to comply with additional legal, administrative, and national policy requirements upon acceptance of an award. OVW strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found in the FY2014 OVW Solicitation Companion Guide:

<http://www.justice.gov/sites/default/files/ovw/legacy/2013/12/16/companion-guide-fy2014.pdf>

1. [Civil Rights Compliance](#)
2. Funding to Faith-Based Organizations
3. Confidentiality and Privacy Protections
4. Research and the Protection of Human Subjects (if applicable)
5. Anti-Lobbying Act
6. Reporting Requirements
7. National Environmental Policy Act (NEPA) (if applicable)
8. DOJ Information Technology Standards (if applicable)
9. Non-Supplanting of State or Local Funds
10. Criminal Penalty for False Statements
11. Reporting Fraud, Waste, Error, and Abuse
12. Suspension or Termination of Funding
13. Nonprofit Organizations
14. Government Performance and Results Act (GPRA)
15. Rights in Intellectual Property
16. Federal Funding Accountability and Transparency Act (FFATA) of 2006
17. Awards in Excess of \$5,000,000 – Federal Taxes Certification Requirement
18. Active SAM Registration

Terms and conditions for OVW awards, including awards under the Underserved Program are available at <http://www.justice.gov/ovw/grantees>. These terms are subject to change prior to the issuance of the awards.

Violence Against Women Act Non-Discrimination Provision

The Violence Against Women Reauthorization Act of 2013 added a new civil rights provision that applies to all FY 2016 OVW grants. This provision prohibits OVW grantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. For more information on this prohibition,

see <http://www.justice.gov/sites/default/files/ovw/legacy/2014/06/20/faqs-ngc-vawa.pdf>.

Additional information on the civil rights obligations of OVW funding recipients can be found in the FY 2014 Solicitation Companion Guide under "Civil Rights Compliance."

Compliance with OVW Financial Requirements

Each OVW grantee agrees to follow the financial and administrative requirements in the DOJ Financial Guide as a condition of receiving grant funding. If OVW determines that a current grantee has violated any of the requirements of the Guide, the grantee's award may be frozen or terminated and the grantee may be denied continuation funding.

Reporting

Reporting Requirements

OVW grantees are required to submit semi-annual progress reports and quarterly Federal Financial Reports (SF 425). Appropriate progress report forms will be provided to all applicants selected for an award. Forms will be submitted electronically via GMS. Future awards and fund drawdowns may be withheld if forms are delinquent.

Public Reporting Burden - Paperwork Reduction Act Notice

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OVW tries to create forms and instructions that are accurate, can be easily understood, and which impose the least possible burden on applicants. The estimated average time to complete and file this form is 30 hours. Comments regarding the accuracy of this estimate or suggestions for simplifying this form, it can be submitted to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.

G. Federal Awarding Agency Contact(s)

For assistance with the requirements of this solicitation, contact the Underserved Program Unit at (202) 307-6026 or OVW.Underserved@usdoj.gov

For technical assistance with Grants.gov, contact the Grants.gov Customer Support Hotline at 1-800-518-4726.

H. Other Information

Application Checklist

Applicants must submit a fully executed application to OVW, including all required supporting documentation. (OVW will not contact applicants for missing items.) Additionally, if an applicant plans to submit an application under any other OVW grant program this fiscal year, please ensure that only documents pertinent to this solicitation are included with this application. OVW will not redirect documents that are inadvertently submitted with the wrong application (e.g., a Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program Certification Letter submitted with a Transitional Housing Program Application will not be transferred to the Arrest Program application).

Application Document	Date Completed
1. Letter of Registration	
2. Summary of Current OVW Projects,(if applicable)	
3. Summary Data Sheet	
4. Project Narrative	
5. Purpose of the Application	
6. What Will Be Done	
7. Who Will Implement	
8. Proposal Abstract	
9. Budget Detail Worksheet and Narrative	
10. Memorandum of Understanding	
11. Letters of Commitment (if applicable)	
12. Proof of Nonprofit Status (nonprofits only)	
13. Application for Federal Assistance: SF 424	
14. Standard Assurances and Certifications	
15. Disclosures Related to Executive Compensation	
16. Confidentiality Notice Form	
17. Letter of Nonsupplanting	
18. Financial Accounting Practices	
19. Financial Capability Questionnaire (nonprofits only)	
20. Indirect Cost Rate Agreement (only if the applicant has a current Federally-approved rate)	

Do not submit documents in addition to those specified in this solicitation. Please note that any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

APPENDIX A

Budget Guidance & Sample Budget Detail Worksheet

Budget Guidance

Cost guidance for selected items is provided below to assist applicants in preparing the budget detail worksheet. In developing your budget detail worksheet please refer to the DOJ Financial Guide.

Consultants/Contracts

Compensation for services by an individual consultant should be reasonable and consistent with that paid for similar services in the marketplace. Applicants should consider the type of services provided and the experience and expertise of the individual consultant when deciding if a consultant's rate is reasonable. Applicants are strongly discouraged from requesting consultant rates in excess of \$650 per day. Please note that this does not mean that the rate can or should be as high as \$650 for all consultants. If a project is ultimately selected for funding with a budget allocating more than \$650 per day to a consultant, the applicant must provide additional information to OVW for review and approval before consultant costs are incurred.

Applicants should also include all costs associated with consultants/contracts in the "Consultants/Contracts" category, including travel-related costs. These costs should not be reflected in the Personnel or Travel categories.

Applicants should follow the same established procurement policies with Federal funds as they would with non-federal funds. All procurement transactions should be awarded in a manner that provides maximum open, free and fair competition, and must follow 2 CFR Part 200.317-326. All sole-source procurements (those not awarded competitively) in excess of \$150,000 require prior approval from OVW. This applies to procurements of goods and services, but not to selection of sub recipients. MOU project partners are generally considered subrecipients for time spent working on program objectives. For additional information on determining whether the recipient of the pass-through funds is a subrecipient or a contractor, please refer to 2 CFR Part 200.330.

Rent

Rental costs are generally allowable under OVW programs. Applicants should list square footage and cost per square foot in the budget. The amount must be based on the space that will be allocated to implement the OVW project, not the costs of the entire rental space. **Rental costs are not allowable for property owned by the applicant or if the applicant has a financial interest in the property.** In this case only the costs of ownership, including maintenance costs, insurance, depreciation, utilities, etc., are allowable costs. The applicant must indicate in the budget narrative whether or not they own the space that will be rented.

Audit Costs

Costs for audits not required or performed in accordance with the Office of Management and Budget (OMB) Circular A-133 or 2 CFR Part 200 Subpart F – Audit Requirement are unallowable. If the applicant agency did not meet the applicable expenditure threshold during the organization's fiscal year, the cost of any audit performed may not be charged to the grant.

Indirect Costs

Applicants that have current, federally-approved, indirect cost rates may seek to claim indirect costs and must submit a copy of their current federally-approved indirect cost rate agreement with the application. Applicants may choose to waive indirect costs.

Non-federal entities that have never received a federally-approved indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC) which may be used

indefinitely. This includes state and local governments that have never negotiated an indirect cost.

Purchase and/or Lease of Vehicles

The purchase and/or lease of vehicles is prohibited under most OVV grant programs, although some programs allow for purchasing vehicles on a case-by-case basis. Please refer to the solicitation for which you are applying to determine whether vehicles can be purchased or leased. If requesting a vehicle, a lease/purchase analysis must be submitted with the application.

Compensation for Partners

In developing the budget, applicants should compensate all project partners for their participation in any project-related activities, including but not limited to, compensation for time and travel expenses to participate in project development, training, and implementation. The budget **must** include compensation for all services rendered by project partners, including nonprofit, nongovernmental domestic violence and/or sexual assault victim services programs and state and tribal domestic violence and/or sexual assault coalitions. Keep in mind that partners are generally considered subrecipients and should be reimbursed for actual costs incurred for the project rather than on a fee for service basis. If a partner is a State or local governmental agency and the partnership duties are conducted within the course of the agency's "regular" scope of work, applicants do not need to compensate the partner if the partner a) offers this arrangement; and b) an explanation of this arrangement is included in the application.

Non-Federal contributions

Any non-federal contributions can be discussed in the project narrative or Memorandum of Understanding (if required). **Applicants should not include supplemental contributions in the budget, budget narrative, or SF-424.**

Applicants are advised that if they voluntarily decide to provide matching funds through the use of in-kind contributions, and include this information in the budget or budget narrative, the voluntary contributions will become a mandatory requirement under the grant award. Grantees that fail to provide sufficient mandatory matching funds through cash or in-kind contributions during the award period may be required to meet their obligation by making a cash payment to the Office on Violence Against Women in order to close out the grant award.

Budget Detail Worksheet

Purpose: The Budget Detail Worksheet may be used as a guide to assist you in the preparation of the budget and budget narrative. You may submit the budget and budget narrative using this form or in the format of your choice (plain sheets, your own form, or a variation of this form). However, all required information (including the budget narrative) must be provided. Any category of expense not applicable to your budget may be deleted.

Please Note: The following budget is an example intended to assist you in preparing your application budget.

A. Personnel – List each position by title and name of employee, if available. Show the annual salary rate and the percentage of time to be devoted to the project. Compensation paid for employees engaged in grant activities must be consistent with that paid for similar work within the applicant organization.

<u>Name/Position</u>	<u>Computation</u>	<u>Cost</u>
Program Coordinator	\$23,500 x 100% x 3 years	\$ 70,500
Investigator	\$45,000 x 100% x 3 years	\$135,000
Administrative Assistant	\$10/hr. x 20 hrs/month x 36 months	\$ 7,200

The Program Coordinator will coordinate the tribe's Tribal Governments Program project by organizing regular coordinating council meetings between all partner organizations, ensuring compliance with program requirements, and serving as the central point of contact for all project activities.

The Investigator is an investigator with the tribal law enforcement agency. She/he will dedicate 100% of their time to investigating cases of domestic violence, sexual assault, dating violence and stalking that occur on tribal lands.

The Administrative Assistant for the project will be a part-time employee. She/he will be compensated at a rate of \$10/hour. The designated time spent on the project will be 20 hours each month providing administrative and clerical support to the staff of the Victim Services Program.

TOTAL PERSONNEL: \$ 212,700

B. Fringe Benefits – Fringe benefits should be based on actual known costs or an established formula. Fringe benefits are for the personnel listed in budget category (A) and only for the percentage of time devoted to the project. Fringe benefits on overtime hours are limited to FICA, Worker's Compensation, and Unemployment Compensation.

<u>Name/Position</u>	<u>Computation</u>	<u>Cost</u>
Program Coordinator		
Employer's FICA	\$70,500 x 7.65%	\$ 5,393

Health Insurance	\$70,500 x 6.12%	\$ 4,315
Worker's Compensation	\$70,500 x 1.00%	\$ 705
Unemployment Compensation	\$70,500 x 0.50%	\$ 353
Investigator		
Employer's FICA	\$135,000 x 7.65%	\$10,328
Health Insurance	\$135,000 x 6.12%	\$ 8,262
Worker's Compensation	\$135,000 x 1.00%	\$ 1,350
Unemployment Compensation	\$135,000 x 0.50%	\$ 675
Administrative Assistant		
Employer's FICA	\$ 7,200 x 7.65%	\$ 551
Health Insurance	\$ 7,200 x 6.12%	\$ 441
Worker's Compensation	\$ 7,200 x 1.00%	\$ 72
Unemployment Compensation	\$ 7,200 x 0.50%	\$ 36
TOTAL FRINGE BENEFITS:		\$ 32,481

TOTAL PERSONNEL AND FRINGE BENEFITS: \$ 245,181

The tribe is requesting fringe benefits for the Program Coordinator, the Investigator, and the Administrative Assistant.

C. Travel – Itemize travel expenses of project personnel by purpose (e.g., staff to training, field interviews, advisory group meeting, etc.). Show the basis of computation (e.g., six people to 3-day training at \$X airfare, \$X lodging, \$X per diem). In training projects, travel and meals for trainees should be listed separately. Show the number of trainees and unit costs involved. Identify the location of travel, if known. Indicate source of Travel Policies applied, Applicant or Federal Travel Regulations.

<u>Purpose of Travel</u>	<u>Location</u>	<u>Item</u>	<u>Computation</u>	<u>Cost</u>
OVW-Mandated Training and Technical Assistance	TBD	Airfare	\$500 (avg.) x 3 people x 4 trips	\$ 6,000
		Lodging	\$100 (avg.) x 3 nights x 3 people x 4 trips	\$ 3,600
		Per diem	\$ 50 (avg.) x 4 days x 3 people x 4 trips	\$ 2,400

\$12,000 of the required \$20,000 in OVW mandated technical assistance and training funds has been allocated to cover the cost of travel for staff of the tribe in accordance with program guidelines. The remaining amount of \$8,000 has been allocated for partner travel and can be found in Section G of this form. The sites of the training sessions are unknown at this time. Travel estimates are based upon the tribe's formal written travel policy.

TOTAL TRAVEL: \$ 12,000

D. Equipment – List non-expendable items that are to be purchased. (Note: Organization's own capitalization policy for classification of equipment should be used.) Expendable items should be included in the "Supplies" category. Applicants should analyze the cost benefits of purchasing versus leasing equipment, especially high cost items and those subject to rapid technical advances. Rented or leased equipment costs should be listed in the "Contractual" category. Explain how the equipment is necessary for the success of the project. Attach a narrative describing the procurement method to be used.

<u>Item</u>	<u>Computation</u>	<u>Cost</u>
(2) Video Cameras	\$750/camera x 2 cameras	\$ 1,500

The video cameras will be used during the interviews of alleged offenders, as well as to record witness testimony in preparation for trial in cases of domestic violence, dating violence, sexual assault, and stalking.

TOTAL EQUIPMENT: \$ 1,500

E. Supplies – List items by type (office supplies, postage, training materials, copying paper, and other expendable items such as books, hand held tape recorders) and show the basis for computation. Generally, supplies include any materials that are expendable or consumed during the course of the project.

<u>Supply Items</u>	<u>Computation</u>	<u>Cost</u>
Office Supplies (paper, printer, toner, pens, etc.)	\$150/month x 36 months	\$5,400
Postage	\$ 50/month x 36 months	\$1,800
75 Victim Assistance Kits	\$ 25/kit x 75 kits	\$1,875

Office supplies and postage are needed for the general operation of the program. The Victim Assistance Kits will be provided to victims of domestic violence, dating violence, sexual assault, and stalking who seek assistance from the program. The kits contain toiletries and other necessities. The estimated cost is based on previous kit prices from other programs. We estimate that at least 75 kits will be needed.

TOTAL SUPPLIES: \$ 9,075

F. Construction – As a rule, construction costs are not allowable. In some cases, minor repairs or renovations may be allowable. Consult with the program office before budgeting funds in this category.

<u>Purpose</u>	<u>Description of Work</u>	<u>Cost</u>
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TOTAL CONSTRUCTION: \$ 0

G. Consultants/Contracts – Indicate whether applicant’s formal, written Procurement Policy or the Federal Acquisition Regulations are followed.

Consultant Fees: For each consultant enter the name, if known, service to be provided, hourly or daily fee (8-hour day), and estimated time on the project. Consultant fees in excess of \$650 per day or \$81.25 per hour require additional justification and prior approval from the Office on Violence Against Women.

<u>Name of Consultant</u>	<u>Service Provided</u>	<u>Computation</u>	<u>Cost</u>
Consultant /Trainer	Sexual Assault Training	\$650/day x 3 days	\$ 1,950
Part-Time Prosecutor	Prosecution	\$50/hr. x 20 hrs./month x 36 months	\$ 36,000

A Consultant/Trainer will provide a three day on-site training on sexual assault and related issues to tribal leaders, law enforcement, prosecution, court personnel, and medical and social services personnel. The training will focus on the challenges of providing support and advocacy services to Indian victims of sexual assault, dating violence, and elder abuse.

The tribe will hire a Part-Time Prosecutor. The Part-Time Prosecutor will be compensated at an hourly rate of \$50/hour. The Part-Time Prosecutor will spend 20 hours each month prosecuting crimes related to domestic violence, dating violence, sexual assault, and stalking.

Subtotal Consultant Fees: \$ 37,950

Consultant Travel: List all expenses to be paid from the grant to the individual consultant in addition to their fees (i.e., travel, meals, lodging etc.).

<u>Purpose of Travel</u>	<u>Location</u>	<u>Item</u>	<u>Computation</u>	<u>Cost</u>
OVW-Mandated Training and Technical Assistance	TBD	Airfare	\$550 (avg.) x 2 people x 4 trips	\$ 4,400
		Lodging	\$100 (avg.) x 3 nights x2 people x 4 trips	\$ 2,400
		Per diem	\$ 50 (avg.) x 3 days x 2 people x 4 trips	\$ 1,200
			Subtotal OVW-Mandated Training:	\$ 8,000
Delivery of Sexual Assault Training	Tribe’s Reservation	Airfare	\$500 (avg.) x 1 person x 1 trip	\$ 500
		Lodging	\$ 50 (avg.)/night x 2 nights	\$ 100
		Per diem	\$ 35 (avg.)/day x 3 days	\$ 105
			Subtotal Sexual Assault Training:	\$ 705

Subtotal Consultant Travel: \$ 8,705

\$8,000 of the required \$20,000 in OVW mandated technical assistance and training funds has been allocated to cover the cost of travel for staff of the tribe in accordance with program guidelines. The sites of the training sessions are unknown at this time.

Funds have also been allocated to pay for the Consultant/Trainer to travel to the reservation to provide sexual assault training.

Contracts: Provide a description of the product or services to be procured by contract and an estimate of the cost. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$150,000.

<u>Item</u>	<u>Computation</u>	<u>Cost</u>
Cell Phone Service	\$75/month x 36 months	\$ 2,700
Equipment and Rental Lease	\$300/month x 36 months	\$10,800
Subtotal Contracts:		<u>\$ 13,500</u>

The Shelter Advocates will share a cellular phone so that they may be contacted 24 hours/day, 7 days a week to provide emergency services and transportation to victims in need.

Equipment to be rented and/or leased includes the copier and printer. The copier and printer costs are allocated based on historical usage

TOTAL CONTRACTS AND CONSULTANTS: \$ 60,155

H. Other Costs – List items (e.g., rent, reproduction, telephone, janitorial or security services, and investigative or confidential funds) by major type and the basis of the computation. For example, provide the square footage and the cost per square foot for rent, and provide a monthly rental cost and how many months to rent.

<u>Item</u>	<u>Computation</u>	<u>Cost</u>
Sexual Assault Training Manual	\$ 25/manual x 25 manuals	\$ 625
Resource Manual	\$ 25/manual x 75 manuals	\$ 1,875
Crisis Hotline	\$ 75/month x 36 months	\$ 2,700
Brochures	\$.25/brochure x 1,000 copies x 2 Titles	\$ 500
Rent	\$1.50/sq. foot x 1,000 sq. feet x 36 months	\$54,000
Utilities	\$200/month x 36 months	\$ 7,200
Housing Assistance	\$500/family x 12 families/year x 3 years	\$18,000

13.25% of Direct Salaries (Excluding Fringe Benefits) \$212,700 x 13.25% \$28,183

TOTAL INDIRECT COSTS: \$ 28,183

The Indirect Cost Rate Agreement was approved by the Department of the Interior, the applicant's cognizant federal agency on January 1, 2015. (A copy of the fully executed, negotiated agreement is attached).

Budget Summary – When you have completed the budget worksheet, transfer the totals for each category to the spaces below. Compute the total direct costs and the total project costs. Indicate the amount of federal funds requested and the amount of non-federal funds that will support the project.

Budget Category	Amount
A. Personnel	\$212,700
B. Fringe Benefits	\$ 32,481
C. Travel	\$ 12,000
D. Equipment	\$ 1,500
E. Supplies	\$ 9,075
F. Construction	\$ 0
G. Consultants and Contracts	\$ 60,155
H. Other Costs	\$ 84,900
Total Direct Costs	\$412,811
I. Indirect Costs	\$ 28,183
TOTAL PROJECT COSTS	<u>\$ 440,994</u>
Federal Share Requested	\$ 440,994
Non-Federal (Match) Amount	\$ 0

APPENDIX B

Sample Letter of Registration

SAMPLE

[Applicant Letterhead]

[Date]

Director
Office on Violence Against Women
145 N Street NE
Suite 10W.121
Washington, DC 20530

Dear Director:

This letter serves to certify that [Insert Applicant Name] is registered and current with the System for Award Management (SAM) under DUNS number [insert DUNS number]. [Insert Applicant Name] registered/verified registration on [Insert Verification Date]. The SAM registration for [Insert Applicant Name] will expire on [Insert Expiration Date].

First Time Grants.gov Users ONLY - I understand that in order to submit an application for the FY 2016 [Insert Grant Program Name], [Insert Applicant Name] must be registered with Grants.gov. I certify that [Insert Organization Name] began the registration process with Grants.gov on [Insert Registration Date].

OR

Repeat Grants.gov Users ONLY – I understand that upon application submission in Grants.gov the Authorized Organization Representative (AOR) will receive a minimum of two email messages. One will confirm receipt of the application package. The other will either notify the AOR that the application was successfully submitted, or it will notify the AOR that there was an error with the application submission. In order to successfully receive notifications from Grants.gov, all information listed in Grants.gov must be current and active. [Insert Applicant Name] verified that all information listed in Grants.gov (Name and contact information for the AOR, organization address, etc.) is current and active on [Insert Date].

Sincerely,

[Authorized Organization Representative]

APPENDIX C

Disclosures Related to Executive Compensation

SAMPLE

**Disclosures Related to Executive Compensation
Sample Cover Letter
[Applicant Letterhead]
[Date]**

Director
Office on Violence Against Women
145 N Street, NE
Suite 10 W.
Washington, DC 20530

Dear Director:

The **[Applicant]** is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure for establishing a rebuttable presumption that our executives' compensation is reasonable. Therefore, I am submitting the following information to you, as required by the Violence Against Women Reauthorization Act of 2013:

- (1) a brief description of the process used for determining the compensation of our officers, directors, trustees, and key employees, including the independent persons involved in reviewing and approving such compensation;
[or, if relevant]: (1) a copy of our written policy for determining the compensation of our officers, directors, trustees, and key employees, which includes the independent persons involved in reviewing and approving such compensation;]
(2) the comparability data used in establishing executive compensation; and
(3) contemporaneous substantiation of the deliberation and decision regarding executive compensation.

Sincerely,

[Applicant's Authorizing Official]

Attachments

APPENDIX D

Summary of Current and Recent OVW Projects

SAMPLE

Summary of Current and Recent OVW Projects

Applicant Name								
Service Area: Size of Service Area: Size of Target Population:								
Award Number	Award End Date	Program	Award Amount	Amount Remaining	Extension Needed?	Extension Needed: Timeframe	Grant-Individual(s) and Job Title(s)	Justification for Remaining Funds
2012-XX-XX-XXXX	7/31/2015	2014 CLSSP	\$300,000	TOTAL: \$250,000 A. Personnel: <u>\$200,000</u> B. Fringe: <u>\$50,000</u> C. Travel: <u>\$0</u> D. Equipment: <u>\$0</u> E. Supplies: <u>\$0</u> F. Construction: <u>\$0</u> G. Consultants and Contracts: <u>\$0</u> H. Other Costs: <u>\$0</u> I. Indirect Costs: <u>\$0</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No		2FT DV/SA Advocates: John Doe and Jane Doe	
2013-XX-XX-XXXX	12/31/2014	2011 CTAS	\$932,000	TOTAL: \$467,850 A. Personnel: <u>\$250,000</u> B. Fringe: <u>\$100,000</u> C. Travel: <u>\$0</u> D. Equipment: <u>\$0</u> E. Supplies: <u>\$0</u> F. Construction: <u>\$0</u> G. Consultants and Contracts: <u>\$100,000</u> H. Other Costs: <u>\$0</u> I. Indirect Costs: <u>\$17,850</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No		1FT DV Advocate (Anne Tyler); 2FT Shelter Advocates (Jane Doe and Jane Doe); 1FT Victim Liaison (John Doe)	
			TOTAL: \$1,232,000	TOTAL: \$717,850				

APPENDIX E
Summary of Current and Pending Non-OVW Grants to do the Same or
Similar Work

SAMPLE

[Applicant Name]							
Current Awards							
Service Area:							
Federal Awarding Agency	Award Number	Program	Award End Date	Award Amount	Amount Remaining	Grant-Individual(s), Job Title(s), and Percentages	Please describe how this project differs from the application for OVW funding.
OJP	XXX-XXX-XXXX	OVC	9/30/2017	\$300,000	TOTAL: \$250,000 A. Personnel: <u>\$200,000</u> B. Fringe: <u>\$50,000</u> C. Travel: <u>\$0</u> D. Equipment: <u>\$0</u> E. Supplies: <u>\$0</u> F. Construction: <u>\$0</u> G. Consultants and Contracts: <u>\$0</u> H. Other Costs: <u>\$0</u> I. Indirect Costs: <u>\$0</u>	2FT DV/SA Advocates: John Doe (25%) and Jane Doe (35%)	[Insert description.]
Pending Applications							
Service Area:							
Federal Awarding Agency	Application Number (if known)	Program	Project Period	Total Requested Amount	Amount Requested	Grant-Individual(s), Job Title(s), and Percentages	Please describe how this project differs from the application for OVW funding.
COPS	XXX-XXX-XXXX	CAMP	36 months	\$300,000	TOTAL: \$300,000 A. Personnel: <u>\$200,000</u> B. Fringe: <u>\$50,000</u> C. Travel: <u>\$0</u> D. Equipment: <u>\$0</u> E. Supplies: <u>\$50,000</u> F. Construction: <u>\$0</u> G. Consultants and Contracts: <u>\$0</u> H. Other Costs: <u>\$0</u> I. Indirect Costs: <u>\$0</u>	1FT DV Advocate: Janet Doe (20%); 1FT Shelter Advocate: Jay Doe (10%); 1FT Victim Liaison: John Doe (50%)	[Insert description.]