

U.S. Department of Justice

Office on Violence Against Women (OVW)

*** **REVISED** ***

(Revisions made to Section B. Types of Applications.)

OVW Fiscal Year 2020 Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Program Solicitation

Release Date: on or about November 26, 2019

Eligibility

Eligible applicants are limited to:

States and territories, Indian tribes, local governments, and nonprofit (public or private) entities, including tribal nonprofit organizations in the United States or U.S. territories. (See "Eligibility Information")

Deadlines

Applications are due by 11:59 p.m. Eastern Time (E.T.) on January 27, 2020. (See "Submission Dates and Times")

Registration Information: To submit an application, all applicants must obtain a Data Universal Number System (DUNS) number and register online with the System for Award Management (SAM) and with <u>Grants.gov</u>. To ensure sufficient time to complete the registration process, applicants must obtain a DUNS number and register online with SAM and with <u>Grants.gov</u> immediately, but no later than January 13, 2020.

(See "Registration")

Letter of Intent: Applicants are strongly encouraged to submit a Letter of Intent to ovw.rural@usdoj.gov by January 13, 2020. This letter confirms that the applicant has registered with SAM and Grants.gov. Submitting a Letter of Intent will not obligate a potential applicant to submit an application. Interested applicants who do not submit a non-binding Letter of Intent are still eligible to apply.

(See "Letter of Intent")

Pre-Application Information Session: OVW will post a pre-recorded Pre-Application Information Session on its website for entities interested in submitting an application for this program. Listening to this session is optional. Interested applicants who do not listen to the pre-recorded session are still eligible to apply. The session is tentatively scheduled to be available by December 17, 2019 on the OVW website.

(See "Pre-Application Information Session")

Contact Information

For assistance with the requirements of this solicitation, email OVW at ovw.rural@usdoj.gov. Alternatively, interested parties may call OVW at 202-307-6026.

Submission and Notification Information

Submission: Applications for this program will be submitted through <u>Grants.gov</u>. For technical assistance with <u>Grants.gov</u>, contact the <u>Grants.gov</u> Applicant Support Line at 1-800-518-4726

The <u>Grants.gov</u> number assigned to this announcement is OVW-2020-17673.

Notification: OVW anticipates notifying applicants of funding decisions by October 1, 2020.

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Federal Award Information:

Funding Type: Grant

Estimated Total Funding: \$35,000,000

Expected Number of Awards: 50

Award Ceiling: \$750,000 Award Floor: N/A

Registration Due:
Letter of Intent Due:
Application Due:
Anticipated Start Date:
Length of Award Period:
January 13, 2020
January 13, 2020
January 27, 2020
October 1, 2020
36 months

OVW Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Program (CFDA 16.589)

A. Program Description

Overview of OVW

OVW is a component of the United States Department of Justice (DOJ). Created in 1995, OVW administers grant programs authorized by the Violence Against Women Act (VAWA) and subsequent legislation and provides national leadership on issues of domestic violence, dating violence, sexual assault, and stalking. OVW grants support coordinated community responses to hold offenders accountable and serve victims.

Statutory Authority

This program is authorized by 34 U.S.C. § 12341.

About the OVW Rural Domestic Violence, Dating Violence, Sexual Assault, and Stalking Program (Rural Program)

The Rural Program supports efforts to enhance the safety of rural victims of domestic violence, dating violence, sexual assault, and stalking and supports projects uniquely designed to address and prevent these crimes in rural areas. For additional information about this program, see https://www.justice.gov/ovw/grant-programs and https://www.vawamei.org/grant-program/rural-program/.

Program Scope

Activities supported by this program are determined by statute, federal regulations, and OVW policies. If an applicant receives an award, the funded project is bound by the provisions of this solicitation, the <u>DOJ Financial Guide</u>, including updates to the financial guide after an award is made, the section of the <u>Solicitation Companion Guide</u> on post-award requirements for all federal award recipients, and the conditions of the award.

Purpose Areas

Pursuant to 34 U.S.C. § 12341, funds under this program must be used for one or more of the following purposes:

1. To identify, assess, and appropriately respond to child, youth, and adult victims of domestic violence, sexual assault, dating violence, and stalking in rural communities, by encouraging collaboration among domestic violence, dating violence, sexual assault, and stalking victim service providers; law enforcement agencies; prosecutors; courts; other criminal justice service providers; human and community service providers; educational institutions; and health care providers, including sexual assault forensic examiners;

- 2. To establish and expand nonprofit, nongovernmental, State, tribal, territorial, and local government victim services in rural communities to child, youth, and adult victims; and
- 3. To increase the safety and well-being of women and children in rural communities, by--(A) dealing directly and immediately with domestic violence, sexual assault, dating violence, and stalking occurring in rural communities; and (B) creating and implementing strategies to increase awareness and prevent domestic violence, sexual assault, dating violence, and stalking.

For many applicants, the Rural Program is one of the few sources of funding to support the provision of core services for victims of domestic violence, dating violence, sexual assault, and stalking. For this reason, OVW will only fund applications that propose projects that implement a collaborative response, support victim services, and/or create a direct response to the aforementioned crimes in rural communities. Proposed projects must devote at least 70% of their project activities and budget to Rural Program purpose areas 1, 2 and/or 3(A). Applicants may apply to address purpose area 3(B), but no more than 30%¹ of the project budget and activities may be dedicated to prevention and awareness activities.

In addition to the purpose areas, Rural Program grantees are required to implement at least one of the strategies specified in 34 U.S.C. § 12341(b)(1)-(5):

- Implementing, expanding, and establishing cooperative efforts and projects among law enforcement officers, prosecutors, victim service providers, and other related parties to investigate and prosecute incidents of domestic violence, dating violence, sexual assault, and stalking, including developing multidisciplinary teams focusing on high risk cases with the goal of preventing domestic and dating violence homicides;
- 2. Providing treatment, counseling, advocacy, legal assistance, and other long-term and short-term victim and population specific services to adult and minor victims of domestic violence, dating violence, sexual assault, and stalking in rural communities, including assistance in immigration matters;
- 3. Working in cooperation with the community to develop education and prevention strategies directed toward such issues;
- 4. Developing, enlarging, or strengthening programs addressing sexual assault, including sexual assault forensic examiner programs, Sexual Assault Response Teams, law enforcement training, and programs addressing rape kit backlogs; and
- 5. Developing programs and strategies that focus on the specific needs of victims of domestic violence, dating violence, sexual assault, and stalking who reside in remote rural and geographically isolated areas, including addressing the challenges posed by the lack of access to shelters and victims services, limited law enforcement resources and training, and providing training and resources to Community Health Aides involved in the delivery of Indian Health Service programs.

¹ OVW may approve project budgets that allocate a higher than 30% for prevention and awareness activities where strong justification is provided by the applicant.

OVW Priority Areas

In FY 2020, OVW is interested in supporting the priority areas identified below. Applications proposing activities in the following areas will be given special consideration.

1. Reduce violent crime against women and promote victim safety through investing in law enforcement, increasing prosecution, and promoting effective prevention.

Applications addressing this priority area, through activities proposed under the Rural Program statutory purpose areas in 34 U.S.C. § 12341(a)(1), (2) & (3)(A), must describe how the proposed activities would reduce violent crime and promote victim safety through investing in law enforcement, increasing prosecution, and promoting effective prevention. Examples of ways that applicants might address this priority include establishing multidisciplinary high-risk teams or implementing dedicated sexual assault or domestic violence police or prosecution units. Special consideration will be given to applications that address this priority by proposing activities under statutory strategies (1) and (4).

2. Empower victims to become survivors by growing them past their vulnerabilities to a place of self-sufficiency.

Applicants can address this priority area through Rural Program statutory strategies (2) and (5), by developing programs and strategies that focus on the specific needs of all victims of domestic violence, dating violence, sexual assault, and stalking who reside in remote rural and geographically isolated areas. Applicants can provide job training, education, employment opportunities, treatment, counseling, advocacy, and other long-term and short-term victim services.

3. Increase efforts to combat stalking.

Applicants are encouraged to propose activities that will address stalking through statutory strategies (1), (2), and (5). Examples of ways that applicants might address this priority include relocation services for victims of stalking; training for law enforcement, prosecutors, judges, and advocates on enhancing the investigative, prosecution, judicial, and advocacy response to stalking crimes; and outreach and training to local schools and youth programs on healthy relationships and stalking.

Statutory Priorities

Pursuant to 34 U.S.C. § 12341(d)(2)(A), VAWA requires that OVW award 25% of appropriated Rural Program funds to meaningfully address sexual assault in rural communities. Applicants are not required to address sexual assault within their individual applications; however, interested applicants will be asked to identify the percentage of their project that addresses sexual assault. Pursuant to 34 U.S.C. § 12341(d)(4), OVW will give priority to projects that meet the needs of underserved populations.

Activities that Compromise Victim Safety and Recovery or Undermine Offender Accountability

OVW does not fund activities that jeopardize victim safety, deter or prevent physical or emotional healing for victims, or allow offenders to escape responsibility for their actions. Applications that propose any such activities may receive a deduction in points during the review process or may be eliminated from consideration entirely. Information on activities that

compromise victim safety and recovery or undermine offender accountability may be found in the <u>Solicitation Companion Guide</u>.

Out-of-Scope Activities

The activities listed below are out of the program scope, and they will not be supported by this program's funding. See also the list of unallowable costs in the <u>Funding Restrictions</u> section of this solicitation.

- Research projects (This does not include assessments conducted only for internal improvement purposes (up to two percent of the award). For information on distinguishing between research and assessments, see the heading on this topic in the <u>Funding Restrictions</u> section of this solicitation and the <u>Solicitation Companion Guide</u>.)
- 2. Child abuse or family violence issues such as violence perpetrated by a child against a parent or violence perpetrated by a sibling against another sibling.
- 3. Services to children for anything other than child sexual assault or services beyond ancillary services provided to a victim's child when there is an inextricable link between a parent's victimization and the child's need for services and in connection to providing victim services for the parent. For example, funds may be used to provide services to children of battered clients residing in a shelter.
- 4. Education and prevention for students not specifically related to domestic violence, dating violence, sexual assault and/or stalking, such as "bullying" or "character building" educational programs.

Applications that propose activities that are deemed to be substantially out-of-scope may receive a deduction in points during the review process or may be eliminated from consideration entirely.

Activities Requiring Prior Approval

Recipients must receive prior approval before using grant funds to support surveys, whether conducted as part of a program or needs assessment, or for any other purpose. Prior approval is necessary to determine whether the activity is within the scope of the award and meets the requirements of the Paperwork Reduction Act (see the <u>Solicitation Companion</u> Guide for more information).

B. Federal Award Information

Availability of Funds

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. There is no guarantee that funds will be available in the future. OVW may elect to make awards in a future fiscal year for applications submitted under this solicitation but not selected for FY 2020 funding, depending on the merits of the applications and the availability of funding.

Award Period and Amounts

The award period is 36 months. Budgets must reflect 36 months of project activity, and the total "estimated funding" on the SF-424 must reflect 36 months. OVW anticipates that the award period will start on October 1, 2020.

This program typically makes awards in the range of \$350,000 - \$750,000. OVW estimates that it will make up to 50 awards for an estimated \$35,000,000.

Funding levels under this program for FY 2020 are:

- 1. Continuation applications are limited to \$750,000 for the entire 36 months.
- 2. New applications are limited to \$500,000 for the entire 36 months.

OVW has the discretion to make awards for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to making an award.

Awards will be made as grants.

Types of Applications

In FY 2020, OVW will accept applications for this program from the following:

<u>New</u>: Applicants that have never received funding under this program or whose previous funding expired more than 12 months ago.

<u>Continuation</u>: Applicants that have an existing or recently closed (within the last 12 months) award under this program and/or an applicant that received funding under this program in FY 2016 or earlier that does not qualify as a new applicant as defined above. Continuation funding is not guaranteed.

Additionally, current grantees with a substantial amount of unobligated funds remaining (50 percent or more of the previous award) as of March 31, 2020 without adequate justification may not be considered for funding, or may receive a reduced award amount if selected for funding in FY 2020.

Mandatory Program Requirements

Applicants that receive funding under this program will be required to engage in the following activities:

- 1. OVW-sponsored training and technical assistance.
- 2. OVW-sponsored assessment or program evaluation, if applicable.
- 3. Provision of services within statutorily defined rural areas and communities.

Pursuant to 34 U.S.C. § 12341(d)(5), at least 75% of the total amount of funding made available for this program must be allocated to eligible entities located in "rural states." The term "rural state" means a state that has a population density of 57 or fewer persons per square mile or a state in which the largest county has fewer than 250,000 people, based on the most recent decennial census.²

Regardless of whether an application is submitted by an entity from a rural state or a non-rural state, all applicants must target services in a rural area or community. The statute defines the terms "rural area" and "rural community" as:

² 34 U.S.C. § 12291(a)(27). **Note:** The following states and U.S. territories are designated as "rural" based on the definition of "rural state" and the 2010 Census: Alaska, Arizona, Arkansas, Colorado, Idaho, Iowa, Kansas, Maine, Mississippi, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Utah, Vermont, West Virginia, Wyoming, American Samoa, Guam, the Northern Mariana Islands, Puerto Rico, and the Virgin Islands.

- (A) any area or community, respectively, no part of which is within an area designated as a standard metropolitan statistical area by the Office of Management and Budget;
- (B) any area or community, respectively, that is—
 - (i) within an area designated as a metropolitan statistical area or considered as part of a metropolitan statistical area: and
 - (ii) located in a rural census tract; or
- (C) any federally recognized Indian tribe.3

C. Eligibility Information

Applications that are submitted by ineligible entities or that do not meet all program eligibility requirements will not be considered for funding. In addition, an application that is deemed deficient in one or more of the following categories may not be considered for funding: 1. activities that compromise victim safety, 2. out-of-scope activities, 3. unallowable costs, 4. preaward risk assessment, 5. completeness of application contents, and 6. timeliness. An applicant with past performance issues, long-standing open audits, or an open criminal investigation also may not be considered for funding.

Eligible Applicants

The following entities are eligible to apply for this program:

- 1. States and territories.4
- 2. Indian tribes.⁵
- 3. Local governments.⁶
- 4. Nonprofit (public or private) entities, including tribal nonprofit organizations. and faithbased and community organizations that meet the eligibility requirements (see "Faith-Based Organizations" on the OVW website for more information).

³ 34 U.S.C. § 12291(a)(26).

⁴ A "state" is any of the states, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands. 34 U.S.C. § 12291(a)(31). Note: although the District of Columbia is included in the statutory definition of "state," due to the rural service area program eligibility requirement, the District of Columbia is not eligible for this grant program.

⁵ The term "Indian tribe" refers to a tribe, band, pueblo, nation, or other organized group or community of Indians, including any Alaska Native village or regional or village corporation (as defined in, or established pursuant to, the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.)), that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians. 34 U.S.C. § 12291(a)(16). ⁶ For purposes of this grant program, a "local government" is a unit of local government, which is defined by statute as any city, county, township, town, borough, parish, village, or other general purpose political subdivision of a state. 34 U.S.C. § 12291(a)(40).

⁷ A "tribal nonprofit organization" means a victim services provider that has as its primary purpose to assist Native victims of domestic violence, dating violence, sexual assault, or stalking; and staff and leadership of the organization must include persons with a demonstrated history of assisting American Indian or Alaska Native victims of domestic violence, dating violence, sexual assault, or stalking. 34 U.S.C. § 12291(a)(37).

Note: Any nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code is not eligible for a grant from this program. See 34 U.S.C. § 12291(b)(16)(B)(ii).

Cost Sharing or Matching

This program has no match or cost sharing requirement.

Other Program Eligibility Requirements

In addition to meeting the eligible entity requirements outlined above, applications for this program must also meet the requirements below. All certification and other eligibility related documents must be current and developed in accordance with the FY 2020 solicitation.

Certifications must take the form of a letter, on letterhead, signed and dated by the authorized representative. Failure to provide required certifications may disqualify an application from further consideration. At a minimum, an application missing the required certification letter will be required to submit a certification letter prior to receiving an award. The signed certification letter must be uploaded as a separate attachment in Grants.gov. Sample certification letters can be found on the OVW website at https://www.justice.gov/ovw/resources-applicants.

Delivery of Legal Assistance Certification

Pursuant to 34 U.S.C. § 12291(b)(12), to be eligible for an award, any recipient or subrecipient providing legal assistance with funds awarded under this program must certify in writing that:

- 1. any person providing legal assistance with funds through this program
 - (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, or stalking in the targeted population; or.

(B)

- i. is partnered with an entity or person that has demonstrated expertise described in subparagraph (A); and
- has completed, or will complete, training in connection with domestic violence, dating violence, sexual assault, or stalking and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide;
- 2. any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a tribal, state, territorial, or local domestic violence, dating violence, sexual assault, or stalking victim service provider or coalition, as well as appropriate tribal, state, territorial, and local law enforcement officials;
- 3. any person or organization providing legal assistance with funds through this program has informed and will continue to inform state, local, or tribal domestic violence, dating violence, or sexual assault programs and coalitions, as well as appropriate state and local law enforcement officials of their work; and
- 4. the grantee's organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, domestic violence, dating violence, or child sexual abuse is an issue.

Eligible Service Area

To be eligible to receive Rural Program funding, an applicant *must* demonstrate that it proposes to serve a *rural* area or *rural* community. Every application (other than those submitted by federally recognized Indian tribes, which are statutorily defined as "rural") must include the proper printed documentation demonstrating that the proposed service area meets this eligibility requirement. Instructions to complete the documentation process ("Rural Eligibility

Documentation Process") can be found on the OVW website at https://www.justice.gov/ovw/page/file/1119056/download.

- 1. Applications must propose to serve a *rural area* or *rural community*, as defined by 34 U.S.C. § 12291(a)(26) to mean (a) any area or community, respectively, no part of which is within an area designated as a standard metropolitan statistical area by the Office of Management and Budget; (b) any area or community, respectively, that is (i) within an area designated as a metropolitan statistical area or considered part of a metropolitan statistical area; and (ii) located in a rural census tract; or (c) any federally recognized Indian tribe. Note that all areas outside of this definition cannot be served with funding from this Program.
- 2. Carefully read the directions outlined in the Rural Eligibility Documentation Process, available at https://www.justice.gov/ovw/page/file/1119056/download, and submit documentation for all areas the application proposes to serve, i.e. every county and/or census tract. If proposing to serve a town, city, or area within a county, the application must clearly identify which census tract(s) in that county the communities to be served are in and include corresponding documentation that demonstrates they are eligible rural census tracts.
- 3. The only documentation that will be accepted is described in the Rural Eligibility Documentation Process, available at https://www.justice.gov/ovw/page/file/1119056/download. Other types of documentation (from other sources, showing different information, etc.) will NOT be accepted.

Required Partnerships

Eligible applications <u>must include a victim service provider</u> as a formal project partner and/or the lead applicant, as demonstrated through a required Memorandum of Understanding (MOU) or Letters of Support (for state, tribal, territorial, or local court applicants only, if applicable). A victim service provider is a nonprofit, nongovernmental or tribal organization or rape crisis center, including a state or tribal domestic violence and/or sexual assault coalition, that assists or advocates for domestic violence, dating violence, sexual assault, or stalking victims, including a domestic violence shelter, faith-based organization or other organization, with a documented history of effective work concerning domestic violence, dating violence, sexual assault, or stalking. 34 U.S.C. § 12291(a)(43). Victim service providers must provide direct services to victims of domestic violence, dating violence, sexual assault, or stalking as one of their primary purposes and have a demonstrated history of effective work in this field.

Eligible applications, including those submitted by victim service providers, <u>must include at least one project partner</u>, and must include agencies and organizations necessary to implement the proposed project. These partners may include additional victim service providers addressing domestic violence, dating violence, sexual assault, and/or stalking; law enforcement agencies; prosecutors; courts; other criminal justice service providers; human and community service providers; educational institutions; and/or health care providers, including sexual assault forensic examiners. See 34 U.S.C. § 12341(a)(1).

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⁸ For the purposes of this grant program, a rape crisis center means a nonprofit, nongovernmental, or tribal organization, or governmental entity in a state other than a territory that provides intervention and related assistance, as specified in 34 U.S.C. § 12511(b)(2)(C), to victims of sexual assault without regard to their age. In the case of a governmental entity, the entity may not be part of the criminal justice system (such as a law enforcement agency) and must be able to offer a comparable level of confidentiality as a nonprofit entity that provides similar victim services. 34 U.S.C. §12291(a)(25).

Limit on Number of Applications

OVW will consider only one application per organization for the same service area. In addition, if an applicant submits multiple versions of the same application, OVW will review <u>only</u> the most recent system-validated version submitted before the deadline.

D. Application and Submission Information

Address to Request Application Package

The complete application package (this solicitation, including links to required forms) is available on <u>Grants.gov</u> and on the <u>OVW website</u>. Applicants wishing to request a paper copy of these materials should contact <u>ovw.rural@usdoj.gov</u> or 202-305-1660.

Pre-Application Information Session: OVW will post a pre-recorded Pre-Application Information Session on its website for entities interested in submitting an application for this program. Listening to this session is optional. Interested applicants who do not listen to the pre-recorded session are still eligible to apply. The session is tentatively scheduled to be available by December 17, 2019 on the OVW website. The session will be captioned in English and Spanish. Interested applicants needing additional language assistance should contact this program's POC at Ovw.rural@usdoj.gov or at 202-305-1660 as soon as possible, but no later than December 3, 2019.

Content and Form of Application Submission

The information below ("Letter of Intent" through "Additional Required Information") describes the full content and form of application submission.

Letter of Intent

Applicants intending to apply for FY 2020 funding under this program are strongly encouraged to submit a Letter of Intent. The letter should state that the applicant is registered and current with SAM and with <u>Grants.gov</u>. The letter should be submitted to OVW at <u>ovw.rural@usdoj.gov</u> by January 13, 2020. This letter will not obligate the applicant to submit an application. See https://www.justice.gov/ovw/resources-applicants for a sample Letter of Intent.

Formatting and Technical Requirements

Applications must follow the requirements below for all documents, unless otherwise noted. Points may be deducted for applications that do not adhere to the following requirements:

- 1. Double spaced (Summary Data Sheet, and charts may be single spaced).
- 2. $8\frac{1}{2}$ x 11 inch paper.
- 3. One-inch margins.
- 4. Type no smaller than 12 point, Times New Roman (TNR) or Arial font, except for footnotes, which may be in 10-point font.
- 5. Page numbers.
- 6. No more than 20 pages for the Project Narrative.
- 7. Word documents in the following formats: Microsoft Word (.doc), PDF files (.pdf), or Text Documents (.txt).
- 8. Headings and sub-headings that correspond to the sections identified in this section of the solicitation.

Application Contents

Applications must include the required documents and demonstrate that the program eligibility requirements have been met. For a complete checklist of the application contents, see the Other Information section in this solicitation.

OVW will not contact applicants for missing items on the list below. Applications that do not include all of the following documents will be considered substantially incomplete and will not be considered for funding:

- 1. Project Narrative.
- 2. Budget Detail Worksheet and Narrative.
- 3. Memorandum of Understanding (MOU)/Letter(s) of Support (for state, tribal, territorial, or local court applicants only, if applicable).
- 4. Eligible Service Area Documentation.

In addition, the following documents are required; failure to submit them will not result in removal from consideration but may result in a loss of points:

- 1. Summary Data Sheet.
- 2. Proposal Abstract.

Summary Data Sheet (5 Points Total)

The Summary Data Sheet should be one to four pages and may be single or double spaced. The Summary Data Sheet does not count toward the 20 page limit for the Project Narrative. Provide the following information:

- 1. Name, title, address, telephone number, and e-mail address of the individual with authority to accept grants on behalf of the applicant.
- 2. Name, title, address, telephone number, and e-mail address for the grant point-of-contact. This person must be an employee of the applicant.
- 3. Statement as to whether the applicant (the organization whose DUNS number is being used for the application) will serve as a fiscal agent/sponsor and pass all funds through to an entity or entities that will implement the project. Such an applicant will not be involved with implementation of the project beyond issuing subaward(s) to these entities and conducting minimal administrative activities. A fiscal agent/sponsor applicant must list these subrecipients and include a statement acknowledging that, should an award be made, the applicant will be responsible for all applicable statutory, fiscal, and programmatic requirements, including those of 2 C.F.R. Part 200, as well as all project deliverables. In such situations, the fiscal agent/sponsor must be an eligible applicant for the program.
- 4. Statement as to whether the applicant has expended \$750,000 in federal funds in the applicant's past fiscal year. If so, specify the end date of the applicant's fiscal year.
- 5. Summary of all current and pending OVW grants (if applicable). If the applicant has a current grant or cooperative agreement under any OVW grant program or an award that has been closed within the last 12 months from the date this solicitation closes, the information must be provided in a table using the sample format found on the OVW website at https://www.justice.gov/ovw/resources-applicants. Failure to provide the required table will result in a loss of points. The applicant should also provide the same information regarding any current OVW grants or pending applications on which the applicant is a subrecipient.
- 6. A list of all other federal grant programs from which the applicant currently receives funding or for which it has applied for funding in FY 2020 **to do similar work**. Provide

this information in a table using the sample format found on the OVW website at https://www.justice.gov/ovw/resources-applicants.

- 7. Statement as to whether the applicant is a nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code.
- 8. Statement as to whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant is not a nonprofit organization or is a nonprofit that does not use the safe-harbor procedure, provide a statement to that effect. For additional information about the safe-harbor procedure, see "Disclosure of Process Related to Executive Compensation" in the Additional Required Information section.
- 9. The percentage of grant activities, should the application be funded, that will address each of the following issues (the total percentages should not exceed 100%):
 - Domestic violence.
 - Dating violence.
 - Sexual Assault.
 - Stalking.
- 10. Statement as to whether the applicant is a recipient, or partner/subrecipient, on a current grant or pending application for this grant program. If a current grant, provide the year of the award and the role of the applicant on the award (recipient or project partner).
- 11. Statement as to whether any proposed project partner/subrecipient is a recipient, or partner/subrecipient, on a current grant or pending application for this grant program. If a current grant, provide the year of the award and the role of the partner(s) on the award (recipient or project partner/subrecipient).
- 12. Identify which of the Rural Program purpose area(s) the application addresses.
- 13. Identify which of the Rural Program statutory strategies the application addresses (grantees are required to implement at least one of the strategies specified in 34 U.S.C. § 12341(b)(1)-(5)).
- 14. Identify which, if any, of the FY 2020 OVW priority areas the application addresses.
- 15. Identify whether the application is addressing the needs of underserved populations.

Proposal Abstract (not scored but used throughout the review process)

The Proposal Abstract must provide a short summary (**no more** than two pages double-spaced) of the proposed project, including names of applicant and partners, project title, purpose of the project (including goal and intended outcome), primary activities for which funds are requested, who will benefit (including geographic area to be served), products and deliverables, and how the applicant will measure progress in completing project goals and objectives. Applicants must not summarize past accomplishments in this section.

Project Narrative (60 Points Total)

The Project Narrative may not exceed 20 pages, double-spaced. The Project Narrative must include the following three sections:

Purpose of Application (15 points)

This section must:

1. Describe the targeted rural service area(s), including the geographic location, the populations within the rural service area(s), and current and relevant demographic statistics for the targeted service area.

- 2. Describe the problem to be addressed, including local statistics/data whenever possible.
- 3. Identify current services available to victims in the targeted rural service area(s).
- 4. Identify any gaps in services for victims of domestic violence, dating violence, sexual assault, and/or stalking within the targeted rural service area(s).
- 5. Describe how grant funding will address the identified problem and how the proposed project will help alleviate service gaps.
- 6. If the project is to serve more than one county and/or census tract, specifically describe how services and/or activities will be accessed and/or implemented within each county and/or census tract.
- 7. Clearly identify where the applicant organization is located in relationship to the service area, and specifically describe where all grant funded positions (for both the applicant and any partner organizations) will be located in terms of the project service area.
- 8. If the applicant is located in a non-rural area and/or is an agency serving both rural and non-rural areas, describe how the proposed project and requested funding, including all proposed activities and costs, *directly* benefit *only* the rural area(s) and/or rural community(ies).
- 9. Describe how the proposed project will complement other current OVW-funded projects (if applicable), and not duplicate efforts.
- 10. Identify project partners who are necessary to fulfill a statutory priority.

What Will Be Done (35 points)

The application must provide a clear link between the proposed activities and the need identified in the "Purpose of Application" section above. The application must not include any of the activities listed as unallowable costs in the Funding Restrictions section of this solicitation.

This section must:

- Performance measurement. Describe how the applicant will measure its progress in achieving the project's goal(s)/vision. Identify targeted outcome(s) and describe any tool(s) the applicant will use to track those outcomes and report them to OVW. Tools may include OVW performance progress reports and logic model templates (both available at www.vawamei.org).
- 2. Describe how the applicant will move to project sustainability.
- 3. Describe measurable goals and objectives for the proposed project.
- 4. Describe in detail the specific tasks and activities necessary to accomplish each goal and objective.
- 5. If the applicant is applying to reduce violent crime against women and promote victim safety through investing in law enforcement, increasing prosecution, and promoting effective prevention, describe how activities would accomplish this.
- 6. If the applicant is applying to empower victims to become survivors by growing them past their vulnerabilities to a place of self-sufficiency, describe how activities would accomplish this.
- 7. If the applicant is applying to increase efforts to combat stalking, describe efforts to combat stalking.
- 8. Include a timeline that identifies when the tasks and activities will be accomplished.
- 9. Describe the expected outcomes. At a minimum, the applicant should quantify expected outputs for each activity for each year of the project (e.g., number of victims to be served; number of domestic violence cases to be investigated; number of trainings to be provided; and the number of individuals to be trained).
- 10. Describe how the applicant plans to address victim safety, confidentiality and autonomy in the project.

- 11. Describe any proposed training or educational course content.
- 12. State whether grant funds will be used to develop any tangible products (brochures, posters, curricula, etc.). (Note: product development is not required).
- 13. For applicants proposing to provide direct legal services, provide a supervision and mentoring plan for attorney staff involved in the project.
- 14. Describe how the proposed project will be accessible to individuals with disabilities, individuals who are Deaf or hard of hearing, and persons with limited English proficiency.
- 15. Describe how the proposed project will reach each population in the service area identified in the Purpose of the Application section.

Who Will Implement the Project (10 points)

This section must:

- Identify the key individuals and organizations, including project partners, involved in the proposed project.
- 2. Demonstrate that the individuals and organizations identified have the capacity to address the stated need and can successfully implement the proposed project activities; attach resumes or job descriptions of all key personnel.
- 3. Specify the project partners respective roles and responsibilities, and describe the collaborative relationship to be developed or enhanced.
- 4. Describe the experience of any project partners that will provide direct services to victims of domestic violence, dating violence, sexual assault, and stalking.
- 5. Clearly demonstrate that any partnerships required by the solicitation have been developed.
- 6. Clearly demonstrate that staff, partners, and consultants have knowledge or expertise relevant to the communities that will be served.

Budget Detail Worksheet and Narrative (15 Points)

All applications must include a detailed budget and budget narrative. See the sample Budget Detail Worksheet and the Creating a Budget webinar available on the OVW website at https://www.justice.gov/ovw/resources-applicants. Keep in mind that budgetary requirements vary among programs. Applicants must submit reasonable budgets based on the resources needed to implement their projects in their specific geographic location.

Award Period and Amount

The FY 2020 Rural Program award period is 36 months and will generally begin on October 1, 2020. Funding levels under the Rural Program for FY 2020 are as follows:

- 1. Continuation applications are limited to \$750,000.
- 2. New applications are limited to \$500,000.

The budget and budget narrative will be reviewed separately from the proposed project narrative. The budget narrative must describe each line item requested in the budget and explain all costs included in the budget, including how the costs of goods and services are determined and how they will fulfill the objectives of the project.

The budget must:

- Display a clear link between the specific project activities and the proposed budget items. The budget should not contain items that are not supported by the project narrative.
- 2. Include funds to attend OVW-sponsored training and technical assistance in the amount of \$10,000 for applicants located in the 48 contiguous states and \$15,000 for applicants located in the territories, Hawaii, and Alaska. This amount is for the entire 36-month project period and NOT per year. Applicants also may budget expenses in excess of the required amount if they are aware of relevant non-OVW sponsored conferences or training for which they would like permission to use grant funds to support staff/project partner attendance.
- 3. Include funds or describe other resources available to the applicant to ensure access for individuals with disabilities, Deaf/hard of hearing individuals, and persons with limited English proficiency. See Accessibility under Federal Award Administration Information for more information.
- Compensate all project partners for their full level of effort, unless otherwise stated in the MOU/Letter of Support. For more information on compensating project partners, see the sample Budget Detail Worksheet on the OVW website at https://www.justice.gov/ovw/resources-applicants.
- 5. Distinguish clearly between subawards and contracts in allocating any grant funds to other entities. Pursuant to 2 C.F.R. § 200.330, a subaward is for the purpose of carrying out a portion of the federal award, such as compensating an MOU partner, and a contract is for the purpose of obtaining goods and services for the grantee's own use. The substance of the relationship is more important than the form of the agreement in determining whether the recipient of the pass-through funds is a subrecipient or a contractor. The awarding and monitoring of contracts must follow the recipient's documented procurement procedures, including full and open competition, pursuant to the procurement standards in 2 C.F.R. §§ 200.317-200.329. The issuance and monitoring of subawards must meet the requirements of 2 C.F.R. § 200.331, which includes oversight of subrecipient/partner spending and monitoring performance measures and outcomes attributable to grant funds. For more information, see the sample Budget Detail Worksheet and the Solicitation Companion Guide on the OVW website at https://www.justice.gov/ovw/resources-applicants.

OVW awards are governed by the provisions of 2 C.F.R. Part 200 and the <u>DOJ Financial Guide</u>, which include information on allowable costs, methods of payment, audit requirements, accounting systems, and financial records. For additional information on allowable and unallowable costs, go to the <u>Funding Restrictions</u> section of this solicitation and the sample Budget Detail Worksheet on the OVW website at https://www.justice.gov/ovw/resources-applicants.

Memorandum of Understanding (MOU)/Letters of Support (20 Points Total)

For purposes of this solicitation, the MOU is a document containing the terms of the partnership and the roles and responsibilities between two or more parties. The MOU is not a substitute for a subaward agreement, which ensures that subrecipients adhere to the requirements of the award and 2 C.F.R. Part 200 (see 2 C.F.R. § 200.331). Partners receiving funds under the award generally are considered subrecipients because they are carrying out a portion of the federal award.

The MOU **must** be a single document and **must** be signed and dated by the <u>Authorized</u> <u>Representative</u> of each proposed partner organization during the development of the application. MOU's missing signatures may result in a point deduction or removal from

consideration, particularly if the MOU is missing the signature of a required partner. If necessary, an MOU can include multiple signature pages so long as each page includes the names and titles of all signatories to the MOU.

The MOU must clearly:

- 1. Identify the partners and provide a brief history of the collaborative relationship among those partners, including when and under what circumstances the relationship began and when each partner entered into the relationship.
- 2. State the roles and responsibilities each partner will assume to ensure the success of the proposed project.
- 3. State that each project partner has reviewed the budget, is aware of the total amount being requested, and is being fully compensated for their work under the grant or is agreeing to be partially compensated or receive no compensation from the grant.
- 4. Mirror the project as described in "What Will Be Done" section (goals, objectives, and activities) and budget.
- 5. Include all partner agencies or organizations that will collaborate to implement the goals, objectives, and activities of the proposed project.
- 6. Specify the extent of each partner's participation in developing the application.
- 7. Demonstrate a commitment on the part of all project partners to work together to achieve stated project goals.
- 8. Describe the resources each partner would contribute to the project, either through time, in-kind contributions, or grant funds (e.g., office space, project staff, and training).
- 9. Demonstrate the correlation between the issue(s) to be addressed and the expertise of proposed organizations and key staff/positions.
- 10. Include the titles and agencies of all signatories under their signatures. A sample MOU is available at https://www.justice.gov/ovw/resources-applicants.
- 11. Include partners reflective of any OVW priorities to be implemented, including information regarding their relevant experience and participation in developing the project.

Additional Required Information

The following documents will not be scored but must be included with the application. Failure to supply this information may result in the application being removed from consideration. Some documents will be generated during the application submission process while other documents will need to be uploaded and attached to the application by the applicant.

The following documents will be generated and completed during the application submission process:

Application for Federal Assistance (SF-424)

Applicants must complete the SF-424. The SF-424 is generated when the applicant begins the submission process. For "Type of Applicant," do not select "other." The amount of federal funding requested in the "Estimated Funding" section of this form must match the amount of federal funding requested in the budget section of the application package. This program does not require a match; therefore, the values for the Applicant line should be zero. The individual who is listed as "**Authorized Representative**" must be an individual who has the authority to apply for and accept grant awards on behalf of the organization or jurisdiction.

Intergovernmental Review: This solicitation ("funding opportunity") is subject to Executive Order (E.O.) 12372. An applicant may find the names and addresses of state Single Points of Contact (SPOCs) at the following website: https://www.whitehouse.gov/wp-

content/uploads/2017/11/Intergovernmental -Review- SPOC 01 2018 OFFM.pdf. If the state appears on the SPOC list, the applicant must contact the state SPOC to find out about, and comply with, the state's process under E.O. 12372. In completing the SF-424, an applicant whose state appears on the SPOC list is to make the appropriate selection in response to question 19 once the applicant has complied with its state E.O. 12372 process. (An applicant whose state does not appear on the SPOC list should answer question 19 by selecting the response that the "Program is subject to E.O. 12372 but has not been selected by the state for review.")

Assurances and Disclosure of Lobbying Activities

Review the assurances and disclosure forms online. Applicants will be prompted to compile these forms online during the application submission process. All applicants must complete both the *Assurances – Non-Construction Programs* (SF-424B) form and the *Disclosure of Lobbying Activities* (SF-LLL) form. Applicants that expend any funds for lobbying activities must provide the information requested on the SF-LLL. Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.

The following documents must be uploaded and attached **separately** to the application:

Applicant Financial Capability Questionnaire (if applicable)

All nonprofit, nongovernmental organizations that apply for funding from OVW and have not previously (or within the last three years) received funding from OVW must complete an Applicant Financial Capability Questionnaire. In addition, applicants may be required to submit their current year's audit report at a later time. The questionnaire can be found at https://www.justice.gov/ovw/file/866126/download.

Confidentiality Notice Form

All applicants are required to acknowledge that they have received notice that grantees and subgrantees must comply with the confidentiality and privacy requirements of VAWA, as amended. Applicants must submit the acknowledgement form available on the OVW website at http://www.justice.gov/sites/default/files/ovw/pages/attachments/2015/01/20/confidentiality_acknowledgement_form_42015.pdf. This form must be signed by the Authorized Representative.

Disclosure of Process Related to Executive Compensation (if applicable)

An applicant that is a nonprofit organization may be required to make certain disclosures relating to the processes it uses to determine the compensation of its officers, directors, trustees, and key employees.

Under certain circumstances, a nonprofit organization that provides unreasonably high compensation to certain persons may subject both the organization's managers and those who receive the compensation to additional federal taxes. A rebuttable presumption of the reasonableness of a nonprofit organization's compensation arrangements, however, may be available if the nonprofit organization satisfies certain rules set out in Internal Revenue Service regulations with regard to its compensation decisions.

Each applicant must state at the time of its application (in the Summary Data Sheet mentioned earlier) whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant states that it uses the safe-harbor procedure, then it must disclose, in an attachment to its application (to be titled "Disclosure of Process Related to Executive Compensation"), the process it uses to

determine the compensation of its officers, directors, trustees, and key employees (together, "covered persons"). See 34 U.S.C. § 12291(b)(16)(B)(iii).

At a minimum, the disclosure must describe in pertinent detail: (1) the composition of the body that reviews and approves compensation arrangements for covered persons; (2) the methods and practices used by the applicant nonprofit organization to ensure that no individual with a conflict of interest participates as a member of the body that reviews and approves a compensation arrangement for a covered person; (3) the appropriate data as to comparability of compensation that is obtained in advance and relied upon by the body that reviews and approves compensation arrangements for covered persons; and (4) the written or electronic records that the applicant maintains as concurrent documentation of the decisions with respect to compensation of covered persons made by the body that reviews and approves such compensation arrangements, including records of deliberations and of the basis for decisions. For a sample letter, see the OVW website at https://www.justice.gov/ovw/resources-applicants.

For purposes of the required disclosure, the following terms and phrases have the meanings set out by the Internal Revenue Service for use in connection with 26 C.F.R. § 53.4958-6: officers, directors, trustees, key employees, compensation, conflict of interest, appropriate data as to comparability, adequate documentation, and concurrent documentation.

Following receipt of an appropriate request, OVW may be authorized or required by law to make information submitted to satisfy this requirement available for public inspection. Also, a recipient may be required to make a prompt supplemental disclosure after the award in certain circumstances (e.g., changes in the way the organization determines compensation).

Pre-Award Risk Assessment

Each applicant must respond to the questions below. This information will be used for a mandatory pre-award risk assessment. Failure to provide this information or to respond to questions from OVW regarding this information in a timely manner could result in the applicant being removed from consideration or a delay in access to funds. Provide complete responses that address all questions included for each numbered item. This section of the application should be no more than four pages.

- 1. Will all funds awarded under this program be maintained in a manner that they will be accounted for separately and distinctly from other sources of revenue/funding? Provide a brief description of the applicant's policies and procedures that ensure funds will be tracked appropriately.
- 2. Does the applicant have written accounting policies and procedures? How often are these policies and procedures updated? Provide a brief list of the topics covered in the applicant's policies and procedures. OVW may request a copy for review during the application/award process or as part of the grant monitoring process.
- 3. Is the applicant's financial management system able to track actual expenditures and outlays with budgeted amounts for each grant or subgrant? Provide a brief summary of the organization's process for tracking expenditures, including tracking budgeted versus actual amounts.
- 4. Does the applicant have procedures in place for minimizing the time between transfer of funds from the United States Treasury and disbursement for project activities? Provide a short summary of the applicant's policy for requesting payments for grant awards.
- 5. Does the applicant have effective internal controls in place to ensure that federal funds are used solely for authorized purposes? Provide a brief description of the applicant's

- internal controls that will provide reasonable assurance that the award funds will be managed properly.
- 6. Does the applicant have a documented records retention policy? If so, briefly describe the policy and confirm that the policy complies with federal regulations. Information on Record Retention and Access can be found at 2 C.F.R. §§ 200.333-200.337.
- 7. Does the applicant or any of its employees have any potential personal or organizational conflicts of interest related to the possible receipt of OVW award funds? Applicants are required to disclose in writing any potential conflicts of interest to their awarding agency. See 2 C.F.R. § 200.112 and Chapter 3.20, Grant Fraud, Waste and Abuse, of the DOJ Financial Guide for additional information.
- 8. Is the individual primarily responsible for fiscal and administrative oversight of grant awards familiar with the applicable grants management rules, principles, and regulations including the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 C.F.R. Part 200)? Provide a short list of the individual's qualifications/experience. If the individual is not familiar with the applicable rules and regulations, the applicant must contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov or 1-888-514-8556 immediately after the applicant is notified of its award to coordinate training.
- 9. Does the applicant have policies and procedures in place to manage subawards and monitor activities of subrecipients as necessary to ensure that subawards are used for authorized purposes, in compliance with laws, regulations, and terms and conditions of the award, and that established subaward performance goals are achieved (2 C.F.R. §§ 200.330-200.332)? Provide a brief description of the organization's policies and procedures on subrecipient management and monitoring.
- 10. Does the applicant currently require employees to maintain time distribution records that accurately reflect the work performed on specific activities or cost objectives in order to support the distribution of employees' salaries among federal awards or other activities (2 C.F.R. § 200.430)? Budget estimates do not qualify as support for charges to federal awards. Provide a brief description of the organization's established timekeeping policies and procedures.

Indirect Cost Rate Agreement (if applicable)

Applicants that intend to charge indirect costs through the use of a negotiated indirect cost rate must have a current, signed, federally-approved indirect cost rate agreement. Applicants that have never received a federally-approved indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs, which may be used indefinitely. This includes state, local, and tribal governments that have never negotiated an indirect cost rate with the federal government and receive less than \$35 million in direct federal funding per year.

Organizations that wish to negotiate an indirect cost rate should contact OVW's Grants Financial Management Division at OVW.GFMD@usdoj.gov 1-888-514-8556 for more information.

Letter of Nonsupplanting

Applicants must submit a letter to OVW's Director, signed by the <u>Authorized Representative</u>, certifying that federal funds will not be used to supplant non-federal funds should a grant award be made. A sample letter is available at https://www.justice.gov/ovw/resources-applicants.

Unique Entity Identifier (DUNS Number), System for Award Management (SAM), and Grants.gov Registration

Applicants for federal grants and cooperative agreements are required to have a Data Universal Number System (DUNS) number to submit an application. A DUNS number is a unique, nine-character identification number provided by the commercial company Dun & Bradstreet (D&B).

Once an applicant has applied for a DUNS number through D&B, its DUNS number should be available within two business days.

Federal regulations require that an applicant (1) be registered in SAM.gov prior to submitting an application; (2) provide a valid DUNS number in its application; and (3) continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application under consideration by a federal awarding agency. Also, federal agencies may not make an award to an applicant until that applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with these requirements by the time that OVW is ready to make an award, then OVW may make the determination that the applicant is not qualified to receive an award and use that determination as a basis for making the award to another applicant. See 2 C.F.R. §§ 25.200, 25.205.

SAM centralizes information about grant recipients and also provides a central location for grant recipients to change organizational information. <u>Grants.gov</u> uses SAM to establish roles and IDs for electronic grant applicants.

If the applicant already has an Employer Identification Number (EIN), the SAM registration will take **up to two weeks to process**. If the applicant does not have an EIN, then **the applicant should allow two to five weeks for obtaining an EIN from the Internal Revenue Service.**There is no fee associated with these processes. These processes cannot be expedited. OVW strongly discourages applicants from paying a third party to apply or register on their behalf in an attempt to expedite these processes. To ensure all applicants are able to apply by the deadline for this solicitation, applicants must have obtained a DUNS number and registered online with the SAM and with <u>Grants.gov</u> no later than January 13, 2020.

Submission Dates and Times

It is the applicant's responsibility to ensure that the application is complete and submitted by the deadline. Failure to meet the submission deadline will result in an application not being considered for funding. Applicants should refer to the chart below to ensure that all required steps and deadlines are met.

Failure to begin the registration or application submission by the deadlines stated in the chart below is not an acceptable reason for late submission.

Applicant Action and Information	Dates/Deadlines		
Apply for a DUNS number			
Obtain a DUNS number at https://www.dnb.com/ or call 866-705-5711.	January 13, 2020		
Register with SAM			
Access the SAM online registration through the SAM homepage at	January 13, 2020		
https://www.sam.gov/SAM/ and follow the online instructions for new SAM			
users. If the applicant already has the necessary information on hand, the			
online registration takes approximately 30 minutes to complete, depending			
upon the size and complexity of the business or organization. Organizations			
must update or renew their SAM registration at least once a year to			
maintain an active status.			
Register with Grants.gov			
Once the SAM registration is active, the applicant will be able to complete the	January 13, 2020		
Grants.gov registration (see Other Submission Requirements for more			
information on registering for and using Grants.gov).			

Submit Letter of Intent		
Sue Pugliese, ovw.rural@usdoj.gov, 202-305-1660	January 13, 2020	
Download Updated Version of Adobe		
Applicants are responsible for ensuring that the most up-to-date version of	At least 48 hours before	
Adobe Acrobat is installed on all computers that may be used to download the	application deadline	
grant application package and submit the proposal. To verify if the Adobe		
software version is compatible with <u>Grants.gov</u> , visit the following link:		
http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html.		
Request Hardcopy Submission(if necessary)		
Applicants that cannot submit an application electronically due to lack of	January 22, 2020	
internet access must contact the POC at 202-305-1660 or		
ovw.rural@usdoj.gov to request permission to submit a hardcopy application.		
Begin Application Submission Process		
Applications must be submitted electronically via Grants.gov .	Begin 24 – 48 hours prior	
	to the application deadline	
Confirm Application Receipt		
Authorized Organization Representatives (AORs) should closely monitor their	Submitting the application	
email for any notification from <u>Grants.gov</u> about a possible failed submission.	at least 48 hours before	
The AOR is a user role within Grants.gov for a user who is authorized to	January 27, 2020 will	
submit applications on behalf of the organization.	enable the applicant to	
	receive notice of a failed	
The AOR should receive a minimum of two emails from <u>Grants.gov</u> . One will	submission and provide an	
confirm receipt of the application package. The other will contain either a	opportunity to correct the	
notice that the application was successfully submitted or a notice that there	error before the deadline	
was an error with the application submission.		
OVW does not send out these notifications, nor does OVW receive a copy of		
these notifications. It is the applicant's responsibility to notify OVW of any		
problems with the application submission process.		
problems with the application submission process.		

OVW Policy on Late Submissions

Applications submitted after 11:59 p.m. E.T. on January 27, 2020 will not be considered for funding, unless the applicant receives OVW permission to submit a late application. In limited circumstances, OVW will approve a request to submit an application after the due date. The charts below provide a description of the circumstances under which OVW will consider such requests. Approval of a late submission request is not an indication of the application's final disposition. Applications approved for late submission are still subject to all of the review process and criteria described in this solicitation.

To ensure fairness for all applicants, OVW requires that applicants requesting late submission adhere to the following:

Experiencing Technical Difficulties Beyond the Applicant's Reasonable Control

Issue	Applicant Action	OVW Policy
Issue with SAM or	Register and/or confirm existing registration at	Failure to begin the
<u>Grants.gov</u>	least three weeks prior to the application due	SAM or <u>Grants.gov</u>
Registration	date to ensure that the individual who will be	registration process in
	submitting the application has SAM and	sufficient time (i.e., by
	Grants.gov access and is the person registered	the date identified in
	to submit on behalf of the applicant.	this solicitation) is not

Maintain documentation of when registration began, any issues related to registration, and all communication with technical support.
 Notify OVW as soon as the applicant becomes aware of a problem with registration but no later than 14 days before the application due date.

	ent Action	OVW Policy
Technical Difficulties During the Application Submission Process 3. Prior POC Ovw. appli and v appli follov A th T a la 5. The o Narra Eligik 4. Withi the a proguinform A C	act Grants.gov for technical support at 24 hours prior to the application deadline. Itain documentation of all communication Grants.gov Application Support. It to the application deadline, contact the story for this program, via email at rural@usdoj.gov indicating that the cant is experiencing technical difficulties would like permission to submit a late cation. The email must include the	Common foreseeable technical difficulties for which OVW will not approve a late submission request include: a. Using an outdated version of Adobe Acrobat; and b. Attachment rejection. (To ensure that attachments are not rejected, attachment names should only include allowable characters. See "Other Submission Requirements"). Through Grants.gov, OVW can confirm when submission began. Applicants that start the submission process less than 24 hours before the deadline will not be considered for late submission. By beginning the application submission process 24-48 hours before the deadline, applicants should have sufficient time to receive notice of problems with their submissions and

Issue	Applicant Action	OVW Policy
Severe Inclement Weather of Natural Disaster	Contact the POC for this program at ovw.rural@usdoj.gov as soon as the applicant is aware of severe weather or a natural or manmade disaster that may impede the submission of an application by the deadline. The email should include a detailed description of the weather event or natural or	OVW may not be able to accommodate all requests resulting from severe inclement weather or a natural disaster.

manmade disaster. A detailed description includes when the event occurred, or is likely to occur, the impacted area, and the specific impact on the applicant and/or partners' ability to submit the application by the deadline (e.g., without power for "x" days, office closed for "x" days). If the application is complete and ready for submission at the time the applicant notifies the POC, it should be included with the email.

2. Applicants impacted by severe weather or a natural or manmade disaster occurring on the

 Applicants impacted by severe weather or a natural or manmade disaster occurring on the deadline must contact OVW within 48 hours after the due date or as soon as communications are restored.

Submission of the required documents to the POC is not an approval of a late application submission request. OVW will review the information provided, consider the request, and inform the applicant of its decision within 30 days of the request.

Funding Restrictions

The following information is provided to allow applicants to develop an application and budget consistent with program requirements.

Unallowable Costs

The costs associated with the activities listed below are unallowable and must not be included in applicants' budgets:

- 1. Lobbying.
- 2. Fundraising.
- 3. Purchase of real property.
- 4. Physical modifications to buildings, including minor renovations (such as painting or carpeting).
- Construction.

Food and Beverage/Costs for Refreshments and Meals

Generally, food and beverage costs are **not** allowable. OVW may approve the use of grant funds to provide a working meal at a meeting, conference, training, or other event, if one of the following applies:

- The location of the event is not in close proximity to food establishments, despite efforts to secure a location near reasonably priced and accessible commercial food establishments.
- Not serving food will significantly lengthen the day or necessitate extending the meeting to achieve meeting outcomes.
- 3. A special presentation at a conference requires a plenary address where there is no other time for food to be obtained.
- 4. Other extenuating circumstances necessitate the provision of food.

Justification for an exception listed above must be included in the applicant's budget narrative, and grantees may only use funds to purchase food and/or beverages if OVW approves the specific expenditures in advance. For additional information on restrictions on food and beverage expenditures go to https://www.justice.gov/ovw/conference-planning.

Conference Planning and Expenditure Limitations

Applicants' budgets must be consistent with all requirements (including specific cost limits and prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars, symposiums, training, and other similar events), and costs of attendance at such events. Information on conference planning, minimization of costs, and conference reporting is available at https://www.justice.gov/ovw/conference-planning. This includes requirements pertaining to:

- 1. Cost of Logistical Conference Planning.
- 2. Cost of Programmatic Conference Planning.
- 3. Conference Space and Audio-Visual Equipment and Services.
- 4. Prohibition on Trinkets at Conferences.
- 5. Prohibition on Entertainment at Conferences.
- Food and Beverages at Conferences.
- 7. Prior Approval Required Before Entering Into Contracts or Expending Funds for Conferences.
- 8. Conference Reporting.

Research and Assessments

Grantees under this program are prohibited from using OVW funds to conduct research, which is defined in 28 C.F.R. § 46.102 as a systematic investigation designed to develop or contribute to generalizable knowledge. Surveys and focus groups, depending on their design and purpose, may constitute research and therefore be out of scope.

However, grantees may use funds (up to two percent of the award) to assess their work for internal improvement purposes only, such as by convening a listening session to identify service gaps in the community, or surveying training participants about the quality of training content and delivery. Applicants considering such assessments must refer to the OVW research decision tree in the Solicitation Companion Guide to ensure that the activity does not qualify as human subjects research. The Solicitation Companion Guide also provides additional information on federal requirements related to research, assessments, and surveys.

Pre-Agreement Cost Approval

OVW generally does not allow pre-award costs. Costs incurred prior to the start date of the award may not be charged to the project unless the recipient receives prior approval from OVW. See the <u>DOJ Financial Guide</u> for more information on pre-award costs.

Other Submission Requirements

As discussed in the <u>Submission Dates and Times</u> section above, applications must be submitted electronically via <u>Grants.gov</u>. Applicants that are unable to submit electronically must follow the instructions in that section.

After applicants obtain their DUNS number and register with SAM, they can begin the Grants.gov registration process. The applying organization must complete the Grants.gov registration process grant. The E-Business Point of Contact (E-Biz POC) must register the applicant organization with Grants.gov transactions and assigns the AOR. The AOR submits

the application to <u>Grants.gov</u> and must register with <u>Grants.gov</u> as well. In some cases the E-Biz POC is also the AOR for the applicant. Complete instructions can be found at <u>Grants.gov</u>.

This registration process generally takes between three and five business days and may take as long as four weeks if all steps are not completed in a timely manner. The application process can move forward once the applicant successfully registers with Grants.gov. Grants.gov is not the Office of Justice Programs' (OJP) Grants Management System (GMS). If applicants experience difficulties at any point during this process, they should call the Grants.gov Applicant Support Hotline at 1-800-518-4726.

Note: <u>Grants.gov limits the use of specific characters in names of attachment files.</u> Valid file names include <u>only</u> the characters shown in the table below. <u>Grants.gov</u> is designed to reject any application that includes an attachment(s) with a file name that contains <u>any</u> characters not shown in the table below.

Characters	Special Characters		
Upper case (A – Z)	Parentheses ()	Curly braces { }	Square brackets []
Lower case (a – z)	Ampersand (&)	Tilde (~)	Exclamation point (!)
Numbers (0-9)	Comma (,)	Semicolon (;)	Apostrophe (')
Underscore ()	At sign (@)	Number sign (#)	Dollar sign (\$)
Hyphen (-)	Percent sign (%)	Plus sign (+)	Equal sign (=)
Space	When using the ampersand (&) in XML, applicants must use the		
Period (.)	"&" format.		

<u>Use simple titles for all documents, such as "Project Narrative." Visit the Grants.gov</u> website to review the most up-to-date guidelines about the use of specific characters.

Submitting a Grant Application

In 2017, Grants.gov updated its application tool. The legacy PDF application package was phased out and retired as of December 31, 2017. Grants.gov Workspace is now the standard application method for applying for grants. Applicants should familiarize themselves with the Workspace format. For complete information and instructions on using Workspace (and other changes), go to the Workspace Overview page at

https://www.grants.gov/web/grants/applicants/workspace-overview.html.

The <u>Grants.gov</u> Applicant Support Hotline can be reached at 1-800-518-4726 and is available 24/7, except federal holidays.

E. Application Review Information

Criteria

Applications will be scored based on the degree to which the application responds to each section and addresses each element in the section. Furthermore, applications will be scored based upon the quality of the response, capacity of the applicant and any partners, and the level of detail provided. Each element **must** be addressed in the section in which it is requested. Points may be deducted if the applicant does not include the information in the appropriate section even if it is included elsewhere within the application. Each section will be reviewed as a separate document and will be scored as such. Specifically, for the Rural Program, scoring will be as follows:

1. Summary data sheet: (5) points.

- 2. Project narrative: (60) points, of which
 - A. Purpose of the project: (15) points.
 - B. What will be done: (35) points.
 - C. Who will implement: (10) points.
- 3. Budget detail worksheet and narrative: (15) points.
- 4. MOU/Letter(s) of Support (state, tribal, territorial, or local court applicants only, if applicable): (20) points.

Voluntary match or other cost sharing methods will not be considered in the evaluation of the application.

Review and Selection Process

Applications will be subject to a peer review and a programmatic review.

Peer Review

OVW will subject all eligible, complete, and timely applications to a peer review process that is based on the criteria outlined in this solicitation. OVW may use internal reviewers, external reviewers, or a combination of both.

Programmatic Review

All applications that are considered for funding will be subject to a programmatic review. The programmatic review consists of assessing the application for compliance with the program's scope, activities that compromise victim safety, and, if applicable, past performance and priority area review. OVW reserves the right to deduct points from applications for the following reasons:

- 1. Activities that compromise victim safety and recovery and undermine offender accountability (deduct up to 25 points).
- 2. Out-of-scope and unallowable activities (deduct up to 25 points).
- 3. Past performance (deduct up to 25 points).
- 4. Formatting and Technical Requirements (deduct up to 5 points).

An application that is deemed to be substantially out of scope, proposes a substantial number of activities that are unallowable, or proposes activities that pose a significant threat to victim safety or a serious breach of confidentiality will not be considered for funding. An applicant with considerable past performance issues may receive a deduction in points as described above or be removed from consideration entirely regardless of the application's peer review score.

As a part of the programmatic review process described above, applicants with current or recently closed OVW awards will be reviewed for past performance and risk based on the elements listed below.

- 1. Demonstrated effectiveness of the current project indicated by timely progress toward meeting project goals and objectives.
- 2. Demonstration that past activities supported with OVW grant funds have been limited to program purpose areas.
- 3. Adherence to all special conditions of existing grant award(s) from OVW.
- 4. Adherence to programmatic and financial reporting requirements, including timely submission of required reports.
- 5. Completion of close-out of prior awards in a timely manner.

- Appropriate use of and active participation in OVW-sponsored workshops and other technical assistance events as required by a special condition of the current or recent award.
- 7. Receipt of financial clearances on all current or recent grants from OVW.
- 8. Timely resolution of issues identified in any audit or on-site financial or programmatic monitoring visit.
- 9. Adherence to the Office of Management and Budget single-audit requirement.
- 10. Timely expenditure of grant funds.
- 11. Adherence to the requirements of the DOJ Financial Guide.

Prior to making an award, OVW is required to review and consider any information about applicants included in the designated integrity and performance system accessible through SAM (currently FAPIIS). Applicants may review and comment on information in FAPIIS about themselves that another federal awarding agency has previously entered. OVW will consider the applicant's comments as well as other information available in FAPIIS in making its judgment about the risk posed by making an award to the applicant as described in 2 C.F.R. § 200.205.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the OVW Director, who also may give consideration to factors including, but not limited to, reaching underserved populations, geographic diversity, OVW priorities, past performance, and available funding when making awards. All award decisions are final and not subject to appeal.

High-Risk Grantees

Based on DOJ's assessment of each grantee with regard to current or previous funding, unresolved audit issues, delinquent programmatic and fiscal reporting, and prior performance, a grantee may be designated "high risk." Awards to high-risk grantees may carry special conditions such as increased monitoring and/or prohibitions on drawing down funds until certain requirements are met. High-risk grantees with substantial or persistent performance or compliance issues, long-standing open audits, or open criminal investigations will likely not receive an additional OVW award until all issues are resolved.

Anticipated Announcement and Federal Award Dates

It is anticipated that all applicants will be notified of the outcome of their applications by October 1, 2020.

F. Federal Award Administration Information

Federal Award Notices

Successful applications will receive OVW award notifications electronically from the OJP Grants Management System (GMS) (not Grants.gov). This award notification will be sent to the individuals listed as the Authorized Representative and the Point of Contact on the SF-424 for the application that was selected for funding and will include instructions on accepting the award. Recipients will be required to log in; accept any outstanding assurances and certifications on the award; designate financial points of contact; and review, sign, and accept the award. The award acceptance process involves physical signature of the award document and terms and conditions by the Authorized Representative, scanning the fully executed award document, and returning the scanned document to OVW via facsimile or email.

Administrative and National Policy Requirements

Information for All Federal Award Recipients

Applicants selected for awards must agree to comply with additional legal, administrative, and national policy requirements. OVW strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. This information can be found in the section of the <u>Solicitation Companion Guide</u> entitled "Post-Award Requirements for All Federal Award Recipients."

Terms and conditions for OVW awards are available at https://www.justice.gov/ovw/award-conditions. These terms are subject to change prior to the issuance of the awards.

Violence Against Women Act Non-Discrimination Provision

The Violence Against Women Reauthorization Act prohibits OVW grantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. Additional information on the civil rights obligations of OVW funding recipients can be found in the Solicitation Companion Guide under "Civil Rights Compliance."

Accessibility

Recipients of OVW funds must comply with applicable federal civil rights laws, which, among other things, prohibit discrimination on the basis of disability and national origin. Compliance with these laws includes taking reasonable steps to ensure that persons with limited English proficiency have meaningful access to recipients' programs and activities and that these programs and activities are readily accessible to individuals with disabilities. More information on these obligations is available in the Solicitation Companion Guide under "Civil Rights Compliance."

Reporting

OVW grantees are required to submit semi-annual progress reports and quarterly Federal Financial Reports (SF-425). Appropriate progress report forms will be provided to all applicants selected for an award. Forms will be submitted electronically via GMS. Future awards and fund drawdowns may be withheld if reports are delinquent.

G. Federal Awarding Agency Contact(s)

For assistance with the requirements of this solicitation, contact the following: for programmatic questions, contact the POC for this program at 202-305-1660 or ovw.rural@usdoj.gov, for financial questions, contact 1-888-514-8556 or OVW.GFMD@usdoj.gov, and for technical support, contact 1-800-518-4726 for Grants.gov Applicant Support or https://www.grants.gov/.

H. Other Information

Application Checklist

Applicants must submit a fully executed application to OVW, including all required supporting documentation. Prior to peer review, OVW will not contact applicants for missing items. Additionally, if an applicant plans to submit an application under any other OVW grant program this fiscal year, it is the applicant's responsibility to ensure that only documents pertinent to this solicitation are included with this application. OVW will not redirect documents that are

inadvertently submitted with the wrong application (e.g., a Rural Program letter submitted with a Transitional Housing Program application will not be transferred to the Rural application).

Application Document	Date Completed
1. Letter of Intent.	
2. Summary Data Sheet.	
3. Project Narrative:	
a) Purpose of the Application.	
b) What Will Be Done.	
c) Who Will Implement.	
4. Proposal Abstract.	
5. Budget Detail Worksheet and Narrative.	
6. Memorandum of Understanding/Letter(s) of Support (for	
state, tribal, territory, or local court applicants only, if applicable).	
7. Application for Federal Assistance: SF 424.	
8. Assurances (SF 424B) and Disclosure of Lobbying Activities (SF-LLL).	
9. Applicant Financial Capability Questionnaire (if applicable).	
10. Confidentiality Notice Form.	
11. Disclosure of Process Related to Executive Compensation.	
12. Pre-Award Risk Assessment.	
13. Indirect Cost Rate Agreement (if applicable).	
14. Letter of Nonsupplanting.	
15. Delivery of Legal Assistance Certification Letter (if applicable).	
16. Rural Eligibility Documentation.	

Any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

Public Reporting Burden - Paperwork Reduction Act Notice

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OVW tries to create forms and instructions that are accurate, can be easily understood, and impose the least possible burden on applicants. The estimated average time to complete and file this form is 30 hours. Comments regarding the accuracy of this estimate or suggestions for simplifying this form can be submitted to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.