

Office on Violence Against Women

Washington, DC 20530

# Office on Violence Against Women Peer Review Guidelines

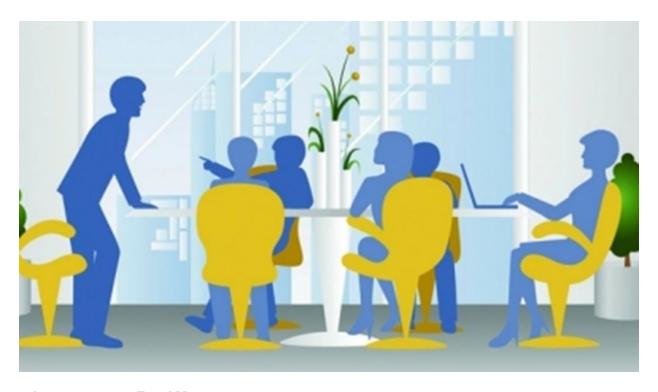


Image source: Food Management

Revised February 2019

More information regarding the Violence Against Women Act grant programs can be found at <a href="http://www.justice.gov/ovw">http://www.justice.gov/ovw</a>

### I. Overview of the Office on Violence Against Women and Discretionary Grant Programs

The Office on Violence Against Women ("OVW") has prepared this guidebook to give you, the peer reviewer, a general understanding of our grant programs and the applications you will be reviewing. You should also know that your role is of utmost importance. Your expertise will impact communities across the country as they work to address sexual assault, domestic violence, dating violence, and stalking. Specifically, you will have a role in assisting OVW in determining which communities and organizations have access to the limited grant dollars administered by OVW. Because of this important role, we have decided to describe our Peer Review process and provide you with guidance on how you can be an effective peer reviewer.

OVW provides federal leadership in developing the national capacity to reduce violence against women and administer justice for and strengthen services to victims of sexual assault, domestic violence, dating violence, and stalking. Since its inception, OVW has awarded over \$8.1 billion in grants and cooperative agreements and has launched a multifaceted approach to implementing VAWA. By forging state, local, and tribal partnerships among police, prosecutors, judges, victim advocates, health care providers, faith leaders, and others, OVW grant programs help provide victims with the protection and services they need to pursue safe and healthy lives, while simultaneously enabling communities to hold offenders accountable for their violence.

OVW currently administers 19 grant programs (4 Formula and 15 Discretionary Programs) and a technical assistance initiative. These grant programs are designed to develop the nation's capacity to reduce sexual assault, domestic violence, dating violence, and stalking by strengthening services to victims and holding offenders accountable.

Formula grant programs have enacting legislation that specifies how the funds are to be distributed. Discretionary grant funds are awarded to a variety of recipients. Each discretionary program solicitation explicitly defines eligible recipients, (e.g., states, tribal governments, units of local government, faith-based organizations, institutions of higher education, private nonprofit organizations, and other organizations serving victims/survivors).

#### II. An Overview of the Grant Application Review Process

The applicant is responsible for uploading a completed application. Generally, OVW will not contact applicants for missing or incomplete parts of applications. The timely submission of applications and their attachments is necessary in order for OVW to meet its award announcement deadlines.

All applications will be subject to a review process based on the criteria outlined in the program solicitation. OVW makes every attempt to ensure that applications which do not fall within the scope of the grant program solicitation are not considered for funding. OVW

conducts a Basic Minimum Requirement (BMR) review of **all** applications prior to holding the peer review. The BMR process evaluates whether the applications received are complete and eligible to receive funding under the grant program. BMR is a short process and does not involve a substantive analysis of the application content. The BMR process helps OVW to determine whether the application should be forwarded to Peer Review.

A substantially complete application must include the mandatory attachments listed in the solicitation, which usually include the following:

- 1. Project narrative;
- 2. Budget narrative,
- 3. Budget detail worksheet; and for most programs
- 4. Memorandum of Understanding or Letters of Support.

Each program's solicitation identifies additional elements that the applicant must meet to be considered eligible and complete. For example, some OVW administered grant programs have statutory certifications or statutory minimum requirements which must be met by applicants. Applications which do not meet the relevant certification or minimum requirements, as required by statute, will not be forwarded to Peer Review. If applications fail to meet the BMR requirements, the application will not receive further consideration.

A substantially incomplete application is a proposal which is lacking one or more of the mandatory attachments listed in the solicitation. Substantially incomplete applications will not be forwarded to Peer Review nor will the applicant be contacted to submit these items. For example, if an application is missing the narrative, it will not go forward. On the other hand, applications missing non-critical elements (e.g. abstract, letter of non-supplanting), may lose points because of these missing pieces, but the proposal will still be forwarded to Peer Review.

Applications that meet the basic minimum requirements are forwarded to Peer Review. Peer reviewers are expected to evaluate and score grant applications in accordance with criteria outlined in the program solicitation, including providing a list of strengths and areas for improvement of each applications. A peer review contractor assists with the logistics of peer review.

After the Peer Review Process is complete, OVW conducts programmatic review. During the programmatic review process, OVW reviews the highest ranking applications to assess the following areas: 1) project scope; 2) office priority/program special interest areas; 3) activities that compromise victim safety; and 4) past performance for previously funded applicants.

#### What is OVW Peer Review?

Peer Review is the technical and programmatic evaluation of grant applications by a group of subject matter experts (SMEs) qualified in a particular area related to violence against women. Every year OVW, through its Peer Review process, convenes panels, comprised of experts in sexual assault, domestic violence, dating violence, and stalking,

and other relevant practitioners, to evaluate grant applications based on the programmatic requirements and specifications outlined in the program solicitation. All competitive applications for discretionary grant programs are subject to Peer Review. OVW conducts Peer Review through an external or internal Peer Review process, which can be conducted either in-person or online.

The Peer Review process provides an objective and independent review of applications. SMEs are required to identify strengths and areas of improvement of applications and rate them for further consideration for funding. Then the reviewers meet in panels to discuss strengths and areas of improvement for their assigned applications. Peer Review recommendations are advisory in nature.

Peer review offers the opportunity to provide a valuable public service in advising OVW on the strengths and areas of improvement of grant applications. OVW peer reviewers receive compensation of \$150 per application. The experience of serving on a peer review panel provides reviewers further nonmonetary benefits in terms of professional experience and service.

#### Who is an OVW Peer Reviewer?

- The vast majority of OVW peer reviewers are active practitioners or recent retirees from relevant sexual assault, domestic violence, dating violence or stalking professions. OVW does not use professional peer reviewers because it is our goal to have applications reviewed by individuals with up-to-date, on-theground knowledge of both the challenges and best practices in addressing violence against women.
- 2. Potential peer reviewers may include victim advocates, judges, prosecutors, representatives from victim service providers, legal assistance providers, and other community-based and faith-based organizations, law enforcement, legal professionals, and others with expertise on violence against women issues or other expertise relevant to the specific OVW grant program. OVW may also seek reviewers with substantive knowledge in working with tribal communities, other culturally specific populations, college and university communities, rural areas, urban areas, and those working with persons with disabilities or persons over 50 years of age.
- OVW maintains a database of potential peer reviewers, including their specific
  expertise relevant to each grant program. While the database is maintained by a
  contractor, the list of eligible peer reviewers is developed and expanded by
  OVW.
- 4. Individuals interested in becoming a peer reviewer should provide OVW with a completed Peer Review Recruitment Form, copy of their résumé or curriculum vitae, and the mandatory three references knowledgeable about the individual's experience in the violence against women field. The person's professional

discipline should also be provided and a list of Violence Against Women Act funded grant projects to which the individual is now or has been a party to in the past. Interested parties can call OVW at (202) 307-6026 or send an email to <a href="mailto:oww.peerreview@usdoj.gov">oww.peerreview@usdoj.gov</a> for more information. Note: Individuals whose salary is 100% funded by an OVW grant program must take annual leave or unpaid leave in order to participate in OVW Peer Review.

5. While it may at first appear difficult to leave work and family for 3-5 days for in person peer review, it provides peer reviewers with the ability to focus on the task at hand without the distraction of their other commitments. Being on-site also gives reviewers direct access to assistance from OVW staff overseeing the grant programs. One added benefit for reviewers is that they have an opportunity to meet with other violence against women professionals from around the country.

#### III. Conflict of Interest Policy

Peer reviewers must adhere to OVW's conflict of interest policy. Copies of these policies can be found in your reviewer packet. Please review these documents carefully as you will be asked to sign them before commencing your individual review of any of the assigned applications.

In order to mitigate the number of conflict of interest issues, OVW now requires all potential peer reviewers to fill out the "save the date" notice indicating their availability and identifying any conflicts of interest by answering a few questions prior to being selected as a peer reviewer. An OVW program will not allow an individual to serve as a peer reviewer who has a pending application to that specific grant program. This includes not just individuals who are employed by an applicant entity, but also consultants, subrecipients, contractors, memorandum of understanding partners and anyone situated to gain financially from a submitted application for that fiscal year.

Additionally, potential reviewers will also need to disclose if there are any applicants for that grant program for which they might have a personal conflict (i.e.; family or friend's organization is applying.) An individual with such a relationship to an application is considered to have a conflict of interest and cannot serve as a peer reviewer for the grant program under which they have a pending application. If you become aware of a potential conflict of interest, you must notify the OVW Peer Review Point of Contact as soon as you become aware of the conflict.

In rare instances in which OVW requires select expertise to effectively peer review a grant application, the OVW Program Unit may seek approval from the OVW Director on a case-by-case basis to waive the conflict of interest. In such an instance, the OVW Program Unit must document that a waiver is necessary because the need for the reviewer's services outweigh the potential for a conflict of interest. In the unlikely event that the OVW Director grants the waiver, the reviewer will not be permitted to serve on the panel reviewing the application to which the reviewer is connected. Please see below the role of a peer reviewer for more information.

#### IV. Confidentiality Policy

Peer reviewers, OVW staff, and the Peer Review contractor must maintain complete confidentiality of all application materials, reviewer identities, comments, deliberations, and recommendations discussed during the consensus meetings. OVW's peer review panel guidelines prohibit panelists from providing any information — before, during, and after the review — regarding their deliberations or recommendations — to anyone outside the Peer Review process, specifically outside your panel assignment. Should a Peer Review panel member receive a request for application materials, panel discussion information, recommendations, information regarding the review process in general, or about a specific application, the reviewer must notify the designated OVW Grant Program Specialist and the Peer Review contractor immediately.

#### V. The role of the peer reviewer

All reviewers must attend the peer review orientation meeting where OVW staff will discuss the peer review process and the requirements for the specific discretionary program under review. During this meeting, OVW staff will provide an overview of expectations for the Peer Review process, roles and responsibilities of reviewers, OVW Staff, and the Peer Review contractor. OVW will provide reviewers with a scoring form that reflects the solicitation criteria on which to record their scores and strengths and areas for improvement. The orientation meeting will highlight changes to the solicitation and scoring form from previous years. For online reviews, this information will be covered over the phone.

Peer reviewers should carefully read the discretionary grant program solicitation and scoring form and develop a clear understanding of the criteria under review before reading and evaluating the applications. For in-person reviews, OVW staff will be available on-site during business hours to answer questions regarding the solicitation or the scoring form. For online reviews, OVW staff will be available via telephone during the application review and consensus meeting.

Peer reviewers should be cognizant, at all times, of OVW's policies, described above, concerning confidentiality and conflicts of interest, including while reviewing and scoring the applications. During the course of reviewing their assigned applications, a reviewer may become aware of possible conflicts of interest and/or issues that may call into question their impartiality or objectivity regarding an application. In order to address the conflict in a timely fashion, reviewers must immediately bring the conflict to the attention of an OVW staff member or the Peer Review contractual staff for resolution. The OVW Program Specialist will determine if the issue identified rises to the level of a possible conflict of interest. If the issue identified rises to the level of an actual conflict of interest, OVW will reassign the reviewer or the application. If the issue identified is not an actual conflict, but might create an

appearance of partiality, the OVW Program Specialist will likely assign either the reviewer or the application to another panel. It is important to note that peer reviewers are required to review and sign/initial the confidentiality and conflicts of interest forms prior to reading and scoring any applications. This provides OVW with sufficient time for applications to be assigned to another Peer Review panel which does not have a conflict. Please note that peer reviewers who do not return confidentiality and conflict of interest forms may compromise their ability to receive compensation for participating in peer review.

Peer reviewers should compare the application under review with the discretionary grant program solicitation. Each discretionary grant program solicitation outlines the criteria for review of each application, including point accumulation and scoring, statutory eligibility, and program requirements. Each application should be rated against the criteria contained in the solicitation and corresponding scoring form. Generally, applications should not be compared against one another. However in limited circumstances, OVW may ask reviewers to compare applications for the same purpose area – this is particular true for OVW's Technical Assistance Initiative program. Applications will be scored based on the degree to which the applicant responds to each section and addresses each element contained within the corresponding section. Furthermore, applications will be scored based upon the quality of the response and the level of detail provided. Each element **must** be addressed in the section in which it is requested. Points may be deducted if the applicant does not include the information in the appropriate section even if it is included elsewhere within the application. Each section will be reviewed as a separate document and will be scored as such.

When rating the budget, reviewers must consider the budget in relation to the Program Narrative section of the application.

Peer reviewers should make detailed notes regarding each applicants' responsiveness to the criteria on scoring form. Reviewers' notes should clearly identify why points were deducted from a particular criteria or why no points were deducted. Notes should include page numbers from applications whenever possible, as this will assist with the panel discussion. Peer reviewers should attend the panel meetings on time and fully prepared to discuss their evaluation of an application: scores, positive and negative attributes, and strengths and areas of improvement.

The primary purpose of the panel's discussion is to identify and resolve areas of stark disagreement. For example, reviewers should be in agreement about whether or not the application includes all of the elements necessary for the applicant to successfully implement the project described. Peer reviewers should also use their discussion to reach scoring consensus where possible, and if necessary, adjust their initial numerical scores accordingly. This should occur after a thorough and accurate discussion of the merits of each section of the application. To the extent possible, peer reviewers should attempt to reach consensus on scores and/or comments.

The panel lists strengths and areas of improvement for each application reviewed and includes comments that are developed and agreed upon by a majority of the panel. For

example, if the panel consists of three reviewers, two would constitute a majority. The meeting recorder will capture consensus comments for each section of the application on a summary report.

Lastly, for in person reviews, each panelist will be assigned to serve as the *lead reviewer* for at least one application. The *lead reviewer* is responsible for facilitating the panel's discussion and eliciting consensus comments for their assigned applications. It is recommended that first-time reviewers do not serve as the *lead reviewer* for the very first application the panel discusses. Specifically, the *lead reviewer* will be responsible for certifying the scores of each panel member. For in person reviews, this consists of ensuring that all of the scores have been recorded accurately on each panelist's individual scoring form, the panel's matrix consensus form and the flip chart matrix form. The *lead reviewer* will also be responsible for ensuring that all of the changes made have been noted in red ink, the panelists have initialed all of their changes, and all figures are calculated correctly. Finally, the lead reviewer will be required to sign the consensus form indicating that all of these scores are recorded, legible, and accurately calculated. In addition, the OVW Peer Review contractor will also certify all scores by verifying individual peer reviewer scoring forms against the recorder's notes and the scoring matrix sheets for each consensus meeting.

During the consensus discussion, the *lead reviewer* is also responsible for ensuring that the panel provides the recorder with complete, accurate, and final consensus comments for each section of the application.

For online reviews, OVW Staff will take on a facilitation role via phone during the consensus meeting. After the consensus meeting, all reviewers are required to change scores within GMS after the panel discussions are complete to reflect any adjustments made to their initial comments and/or scores. However, it is important to note that GMS will automatically calculate and verify all scores via the Peer Review module.

#### THE OFFICE ON VIOLENCE AGAINST WOMEN PEER REVIEW PROCESS



#### VI. The Role of the Peer Review Panel

For an in-person Peer Review process, a panel will evaluate up to 10 applications for up to a three day period, in the Washington, DC metropolitan area. Some reviewers may be invited to participate in two panels, in which case they will be needed for a five day period. Peer reviewers will have one and a half days to read and score their assigned applications on-site under the guidance and supervision of OVW staff. On the third day, Peer Review panels will convene and discuss each application reviewed and scored in order to provide strengths and areas of improvement during the consensus discussion. Generally, most programs will ask reviewers to discuss one application before reviewing the rest, in order to identify any challenges with the scoring form and ensure that the panel members all understand the process. It also provides peer reviewers and OVW staff the opportunity to see the reviewing style of all members of the panel and to estimate how long the panel will take to discuss its applications. This is an excellent opportunity for first-time reviewers to become comfortable with the review process. During the Peer Review process, an OVW staff person will be available on-site to answer substantive or programmatic questions throughout the entire Peer Review process. During an online Peer Review, the timing of the review process differs from an in-person process. While the in-person process generally takes up to 5-days to review and score the applications, an online review may take up to 2-3 weeks to read and score the applications and upload their scores into GMS. On week 3, peer reviewers, along with a recorder and an OVW program staff, will convene via a structured telephone conversation to discuss each application reviewed and provide strengths and areas of improvement.

#### VII. The Role and Responsibility of the Recorder

For both in person and online reviews, each panel will be supported by a recorder who will capture the panel's consensus strengths and areas of improvement comments and create a consensus report for each application. Complete and accurate consensus reports are critical to the Peer Review process as they assist program staff in making funding recommendations and are used to provide constructive feedback to the applicants. All recorders are required to take part in a mandatory orientation to discuss OVW's expectations during the Peer Review process.

# Please note that the recorder is not a substantive or programmatic expert, and therefore, should not answer substantive or programmatic questions.

The primary responsibility of the recorder is to:

- 1. Keep accurate record of scores, if applicable.
- 2. Record consensus strengths and areas of improvement accurately as dictated by the reviewers.
- 3. Bring to the attention of the OVW Program Specialist and/or the *lead reviewer* any potential discrepancies among peer reviewers' strengths and areas of improvement to ensure that conflicting comments are addressed prior to the end of the discussion for each application.

#### During the Meeting Recorders will:

- 1. Capture panelists' consensus comments for each application as instructed by the panelists. Consensus is defined as agreement by a majority of the panel (2 out 3 panel members). Recorders should capture as much detail as possible. Written consensus comments should not be paraphrased.
- 2. When necessary, ask for clarification to ensure that reviewers' comments have been correctly captured. Please remember that the recorders are not from the field, so you will need to spell out all acronyms.
- 3. Read back the consensus comments to panelists to confirm all consensus comments were captured before the panel moves to the next critical element section
- 4. Keep track of scores and all score changes, if applicable.

At the end of the discussion for each application recorders will read notes/consensus comments aloud to panelists to ensure that comments are accurate, complete, and clearly stated and that no discrepancies exist after each application criteria section.

#### VIII. OVW's Role After Peer Review is Conducted

As mentioned previously, although very important, the peer reviewer's role is advisory in nature. In addition to the scores, OVW considers a number of factors, including past performance of grantees, geographic diversity, underserved populations to be served, and other priorities when making funding decisions.

It is also important to know that OVW's Director makes all funding decisions and those applicants not recommended for funding will most likely be notified no later than October 1<sup>st</sup> of each year. Please note that it is OVW policy that at no time are applicants told the names of peer reviewers or the scores they received. However, applicants may request a copy of the panel's consensus comments on strengths and areas of improvement.

#### IX. Thoughts and Tips on Effective Reviewing

#### Reading the Discretionary Grant Program Solicitation

Solicitations contain pertinent information needed to apply for an Office on Violence Against Women discretionary grant. The solicitation describes the grant program, eligible applicants, required applicant certifications, the program's statutory purposes and priority areas, and information on how to apply.

Solicitations also include the required scoring criteria of an application and the number of points an application can earn on each section. It is important that you pay close attention to the scoring critieria, as the Peer Reviewer Rating Form will mirror this section of the solicitation.

It is important that all reviewers read the solicitation in advance of arriving to the peer review and be prepared to ask any clarification questions during the Peer Review orientation.

#### Reading Discretionary Grant Program Applications

Peer reviewers must read, evaluate, and score each application assigned to them **before** the Peer Review panel convenes. In some instances, panels may start meeting in the afternoon of day two. This will be announced during the orientation session or the panel itself may choose to do so after scoring its first application. If the panel wants to meet earlier or later than the time established during orientation, this request should be made to the OVW staff and the Peer Review contractor to ensure the availability of an OVW staff member and a meeting recorder. **A panel should never meet without an OVW staff member and meeting recorder present.** 

A peer reviewer will typically be assigned up to 10 applications and have a day and a half to two days to complete their evaluation. Please allocate sufficient time to carefully read, evaluate, and score each application. Please make sure all comments on the Peer Reviewer Rating form are detailed and directly related to the scoring criteria. OVW will refer to individual Peer Reviewer Rating Forms if we need clarification regarding any consensus comments. Additionally, please do not include your opinions and outside information to the scoring of applications.

Please do not conduct any sightseeing or conduct other business or personal meetings during the days allocated for Peer Review, regardless if the peer review is in-person or online. Please note that there have been limited instances in which OVW has been forced to reduce a peer reviewer's compensation because of a substantial failure to complete their review and scoring of application scoring. Rushed or incomplete scoring is a disservice to the applicant(s) in question, the other peer reviewers, and the review process as a whole. Failure to provide a complete review and scoring of applications in

a timely manner will impact a reviewers future involvement with OVW Peer Review.

When reading an application, please do not presume that each applicant has the ability to retain the services of a professional grant writer. Many of the best applications are not written by professional grant writers.

Peer reviewers should give equal value to secular and non-secular applicants and project partners. No eligible applicant will be discriminated against on the basis of its religious character or affiliation, religious name, or the religious composition of its board of directors or persons working in the organization. Faith-based organizations receiving OVW funding should retain their independence and do not lose or have to modify their religious identity (e.g., removing religious symbols) to receive OVW funding. In addition, any inherently religious activities must be separated in time or place from grant funded activities.

Many find it useful to review applications alongside the solicitation. Your review and rating of an application should track the discretionary grant program solicitation as much as possible.

Please maintain the confidentiality of the Peer Review process and refrain from sharing any information about the applications assigned to your panel, and any discussion about them, outside of the panel meetings. This includes discussing applications in the hotel lobby and other public areas at Peer Review. Also, please do not review applications outside of your hotel room and panel room, as well as outside your home if you are a local reviewer or participating in peer review online. Please refrain from comparing one application to another, unless indicated by the specific program for which you are reviewing applications.

#### Participating in the Peer Review Panel Discussion

Your professional expertise and analysis of an application are critical to our process of awarding funding to support communities throughout the country. Your ability to prepare clear and concise comments about an application, both oral and written, is essential to our process. Your peers will ask you to justify your score. You have been selected as a peer reviewer because of what you have to offer to the consideration of the applications submitted in response to this solicitation. Each panel member will be assigned to serve as lead peer reviewer for approximately one-third of the panel's assigned applications.

The panel discussion is a professional dialogue designed to expand upon the peer reviewers' scores and comments. This dialogue should result in a consensus report containing strengths and areas of improvement. The dialogue may also result in peer reviewers changing some aspect of their original evaluation— scores and/or comments. If a reviewer changes their score or evaluation of the application during the course of the consensus conversation, the reviewer must make the changes to their Peer Reviewer Rating Form and indicate that the change has been made to the original assessment.

You should not feel obligated to change your scores; however, we ask that you respectfully consider the comments of the other reviewers. OVW carefully attempts to balance each panel with a variety of professionals.

Since OVW does not use professional reviewers, but rather individuals actively working or recently retired from work in the violence against women field, peer reviewers will often speak from their experience and may not know or use professional reviewer jargon.

Make sure that your scores are supported by your comments and your comments are supported by your scores. Likewise, the panel's consensus reports should be supported by your final numerical scores.

### IX. Thoughts and Tips for Preparing Effective Comments and Consensus Reports

Throughout this document, we have emphasized the important role that your comments, as a peer reviewer, have on OVW's application review process. What follows below is a list of thoughts and tips that will help you to prepare the types of comments that would be most helpful to us. Your comments may also be used to help the applicant improve future applications.

- 1. Your comments should be formulated in complete sentences, be helpful, and considerate. Citing page numbers when describing strengths and areas of improvement can both expedite your panel's discussion and help applicants to understand your comments.
- Please avoid using general comments; we want you to be as specific as possible. Consider what would be most helpful for the application to improve their proposal.
- 3. Note where the application proposes to do something in opposition to the letter or spirit of the solicitation.
- 4. Your comments should reflect an evaluation of the application (or a particular component of an application. When citing a strength or area for improvement, try to articulate what the more *appropriate* or *reasonable* practice or policy should be.
- Your comments should be accurate. You should also feel free to revise or otherwise edit your comments at any time during your review of the applications or the panel meeting.
- 6. When reviewing an application's budget, please make sure that the budget reflects the activities described in the narrative. The budget should not include items not

- related to carrying out the proposed project. Consider whether or not all budget items are necessary for the success of the project. Are they reasonable? However, do keep in mind that costs, including cost of living, vary across the country.
- 7. When developing your strengths and areas of improvement comments with your panel, please consider the following strategy. 1) Since you cannot know how the application will perform as compared with applications reviewed by other panels, assume the application is not getting funded even if you have given it a very high score. 2) Given the aforementioned assumption, what would it have taken for you to have given the application a perfect score?

#### After Peer Review

In order to maintain the integrity and confidentiality of the peer review process, reviewers should refrain from mentioning the specific OVW program(s) for which they peer reviewed. Therefore, reviewers can briefly state they served as an OVW peer review on their resumes.

# CONFLICTS OF INTEREST AND CONFIDENTIALITY [See attachment]

#### DISCLOSURE OF CONFLICT OF INTEREST

### **CONFLICT OF INTEREST CHECKLIST**

It is the policy of the Office on Violence Against Women (OVW) that a peer reviewer shall not participate in the review of any application when he or she has a real or potential conflict of interest. Please INITIAL BESIDE EACH conflict of interest situation confirming that you DO NOT have the specified conflict of interest.

9 7
_ I have not been, nor will I be, directly involved in this project or any other project (e.g., as a current or past advisory board member, board of directors, consultant, collaborator, or conference speaker whose expenses would be paid from the grant).
_ I am not employed by the same institution or organization as the applicant, nor was I employed there within the past year.
_ I have not collaborated with the applicant within the past year on work related to the proposal.
_ I am not now nor have been under consideration within the last year for a position at the applicant's organization or institution.
_ I have not served in an official capacity with the applicant's organization within the past year.
_ My organization does not have members or closely affiliated officials (e.g., board of trustees members) who serve in an official capacity with the applicant's organization or institution.
_ I do not have a familial or current/former romantic relationship with any individuals employed by the applicant or any of the partnering organizations on the project.
_ I have not had professional or personal relationships with the project director, or other key personnel identified in the application, including as a student, thesis advisor, or postdoctoral advisor.
_ The applicant and I are not known to be either close friends or open antagonists.
_ I do not have an application under review by OVW within the same grant program that I am reviewing.

_ I have never conducted a formal program process or outcome evaluation of the assigned applications.			
_ I have not provided substantial technical assistance to any of the applicants assigned to my panel.			
_ I am not reviewing any applications submitted from a jurisdiction located within my primary state of residence or employment or submitted by a tribe of which I am a member.			
Your signature on this document indicates that each application will be reviewed and scored impartially with no biases, either for or against, and based only on the merits and guidelines outlined in the grant program solicitation.			
I certify that I have no conflicts of interest in performing the assigned task(s). I have informed OVW Staff or the Contractor of any prior knowledge or interest in any documents or information pertinent to this assignment.			
Please identify any proposal(s) of conflict:			
Legal Name (Printed)			
Signature Date			
Program Name Panel Number			

# OFFICE ON VIOLENCE AGAINST WOMEN CONFIDENTIALITY AGREEMENT

### CONFIDENTIALITY

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to anyone outside OVW or the Contract the applications, an applicant, or the Pe Ms. Ayesha A. Gaston, OVW's Peer R	is, deliberations, scores, and information before, during, or after the review for staff. If I am contacted for information about eer Review process, I will immediately notify leview Contracting Officer's Representative that failure to comply with this policy will result
Signature	Date

I agree to complete all tasks per the dates referenced in the Assignment of Task letter. If requirements and schedules are not met, this contract is subject to cancellation, with reduced or withheld payment for services.

•	eement and my signature affixed below is the assigned task(s) according to the er.
Signature	Date

### United States Department of Justice Office on Violence Against Women

Training for Peer Reviewers of Grant Applications
Faith-Based and Community Organizations as
Applicants

In scoring grant applications, OVW's peer reviewers will treat faith-based and other community organizations ("FBCOs") equally, regardless of their religious mission or lack thereof. Listed below are guidelines to help you meet this requirement:

- An eligible applicant or grantee must not be discriminated for or against on the basis of: 1) its religious character or affiliations, 2) religious name,
  - 3) religious mission statement, 4) the religious composition of its board of directors, or 5) persons working in the organization.
- Use the same scoring criteria for both faith-based and secular nonprofit organizations. Give the grant applications of faith-based organizations equal consideration to those of secular nonprofit and for-profit grant applicants.
- Among faith-based applicants, do not favor or disfavor an application based on the particular faith or denomination of the applicant.
- Do not assume anything about an applicant's qualifications from that fact that the applicant is or is not faith-based. Indeed, do not assume anything beyond what is written. You should not give an applicant the benefit of the doubt, or assume the worst, based on information or presumptions you have about the applicant, its religious beliefs, or its religious activities.
- Assume that a faith-based applicant will abide by all the rules of OVW and DOJ. This includes the requirement that grantees serve all eligible beneficiaries, regardless of their religion or their interest or disinterest in participating in the religious activities of the applicant. In other words, unless you have evidence in the proposal that the applicant will not obey these rules, do not assume that they will not obey them just because the applicant is faith-based in character or evangelistic in mission.
- Be honest about your ability to be objective in scoring a proposal from a particular religion, sect or denomination, or from a group with an atheistic or agnostic philosophy. Treat such a bias as you must any other conflict of interest: immediately notify OVW and decline to score that proposal.

- Where a program calls for or gives points for collaborations with nongovernment organizations, remember that the latter includes FBCOs. The same amount of credit or number of points should be given to applications showing such collaborations regardless of whether they involve faith- based or secular organizations.
- Previous grantees should not be favored over first-time or previously unsuccessful applicants. Scoring may be based in part on demonstrated capacity to meet program goals. But that capacity can exist in an applicant that has not previously sought public funds.

### Summary of USDOJ's Equal Treatment Regulation Executive Order 13279, dated December 12, 2002, as amended by Executive Order 13559, dated November 22, 2010 and 28 C.F.R. Part 38

It is DOJ policy that faith-based and other community organizations that statutorily qualify as eligible applicants under DOJ programs are invited and encouraged to apply for assistance awards to fund eligible grant activities. Faith-based and other community organizations will be considered for awards on the same basis as other eligible applicants and, if they receive assistance awards, will be treated on an equal basis with all other grantees in the administration of such awards. No eligible applicant or grantee will be discriminated for or against on the basis of its religious character or affiliation, religious name, or the religious composition of its board of directors or persons working in the organization.

Faith-based organizations receiving DOJ assistance awards retain their independence and do not lose or have to modify their religious identity (e.g., removing religious symbols) to receive assistance awards. DOJ grant funds, however, may not be used to fund any inherently religious activity, such as prayer or worship. Inherently religious activity is permissible, although it cannot occur during an activity funded with DOJ grant funds; rather, such religious activity must be separate in time or place from the DOJ-funded program. Further, participation in such activity by individuals receiving services must be voluntary. Last, faith- based organizations receiving DOJ assistance awards must provide written notice to program beneficiaries of certain protections and, if a beneficiary objects to the religious character of the organization, must make efforts to refer the person to an alternative provider.

Programs funded by DOJ are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion.

For the full text of the Regulation <u>"EQUAL TREATMENT FOR FAITH-BASED ORGANIZATIONS"</u>, 28 C.F.R. Part 38, as amended, see https://www.regulations.gov/document?D=DOJ\_FRDOC\_0001-0169