

U.S. Department of Justice Office on Violence Against Women (OVW)

OVW Fiscal Year 2020 COVID-19/Violence Against Women Assistance to Tribes Solicitation

This solicitation provides funds dedicated to COVID-19 related needs under the following two grant programs: the Grants to Indian Tribal Governments Program and the Tribal Sexual Assault Services Program.

Release Date: On or about July 31, 2020

Eligibility

Eligible applicants are limited to: tribal governments, authorized designees of tribal governments, tribal organizations, nonprofit tribal organizations, and tribal consortiums. Specific eligibility differs for each included program.

(See "Eligibility Information")

Deadlines

Applications will be reviewed and processed as they are received. To be considered, an application must be submitted by 11:59 p.m. Eastern Time (E.T.) on September 16, 2020. (See "Submission Dates and Times")

Registration Information: To submit an application, all applicants must obtain a Data Universal Number System (DUNS) number and register online with the System for Award Management (SAM) and with Grants.gov. To ensure sufficient time to complete the registration process, applicants must obtain a DUNS number and register online with SAM and with Grants.gov immediately, but no later than September 10, 2020.

(See "<u>Registration</u>")

Pre-Application Information Session: OVW will conduct an optional, telephonic Pre-Application Information Session.

(See "Pre-Application Information Session")

Contact Information

For assistance with the requirements of this solicitation, email OVW at <u>Lucille.Moran@usdoj.gov</u>. Alternatively, interested parties may call OVW at 202-307-6026.

Submission and Notification Information

Submission: Applications for this program will be submitted through <u>Grants.gov</u>. For technical assistance with <u>Grants.gov</u>, contact <u>Grants.gov</u> Applicant Support at 1-800-518-4726.

The Grants.gov number assigned to this announcement is OVW-2020-18853.

Notification: OVW anticipates notifying all applicants of funding decisions by November 30, 2020. **Note:** For applications submitted by August 20, 2020, OVW may be able to make awards by September 30, 2020.

Сс	ontents	
А.	Program Description	1
	Overview of OVW	1
	About the COVID-19/Violence Against Women Assistance to Tribes Solicitation	
	About the Tribal Grant Programs Covered by this Solicitation	
	OVW Grants to Indian Tribal Governments Program (Tribal Governments Program)	
	Tribal Sexual Assault Services Program (TSASP)	
	Program Scope	2
	Purpose Areas	3
	Activities that Compromise Victim Safety and Recovery or Undermine Offender	
	Accountability	
	Out-of-Scope Activities	
	Activities Requiring Prior Approval	
В.	Federal Award Information	
	Availability of Funds	
	Award Period and Amounts	
	Types of Applications	
С.	Eligibility Information	
	Eligible Applicants	
	Ineligible Entities and Disqualifying Factors	
	Cost-Sharing or Matching	
	Other Program Eligibility Requirements	
D.	Application and Submission Information	8
	Address to Request Application Package	
	Content and Form of Application Submission	
	Formatting and Technical Requirements	
	Application Contents	
	Project Narrative	
	Budget Detail Worksheet and Narrative	
	Summary Data Sheet	
	Additional Required Information	.12
	Unique Entity Identifier (DUNS Number), System for Award Management (SAM), and Grants.gov Registration	15
	Submission Dates and Times	
	OVW Policy on Late Submissions.	
	Funding Restrictions	
	Other Submission Requirements	
	Submitting a Grant Application	
F	Application Review Information	
<u> </u>	Criteria	
	Review and Selection Process	
	Anticipated Announcement and Federal Award Dates	
F	Federal Award Administration Information	
1.	Federal Award Notices	
	Administrative and National Policy Requirements	
	Reporting	
G	Federal Awarding Agency Contact(s)	
	Other Information	
		. 47

Application Checklist	24
Public Reporting Burden - Paperwork Reduction Act Notice	
Appendix A	
Appendix B	

Federal Award Information:

Funding Type: Estimated Total Funding:

Expected Number of Awards: Estimated award range: Award Floor: Registration Due: Application Due: Anticipated Start Date: Length of Award Period: Grant \$5,000,000 (\$3,000,000 for Grants to Indian Tribal Governments and \$2,000,000 for Tribal Sexual Assault Services) 75 \$50,000-\$100,000 N/A September 10, 2020 September 16, 2020 October 1 - December 1, 2020 12- 18 months

OVW Fiscal Year 2020 COVID-19/Violence Against Women Assistance to Tribes Solicitation (CFDA number 16.029)

A. Program Description

Overview of OVW

OVW is a component of the United States Department of Justice (DOJ). Created in 1995, OVW administers grant programs authorized by the Violence Against Women Act (VAWA) and subsequent legislation and provides national leadership on issues of domestic violence, dating violence, sexual assault, and stalking. OVW grants support coordinated community responses to hold offenders accountable and serve victims.

About the COVID-19/Violence Against Women Assistance to Tribes Solicitation

This solicitation allows tribes (and as applicable, tribal designees, tribal organizations, and tribal nonprofit organizations) to submit short, simplified applications for special funding under the two OVW grant programs described below. **Eligible applicants may apply to both programs covered by this solicitation, regardless of the status of current applications or awards under these programs**. Applicants must submit a separate application for each program covered by this solicitation. Funds must be used to meet specific COVID-19 related needs relevant to the purposes of each grant program, not to support entirely new projects. OVW designed the application process for this solicitation to facilitate distribution of funds for these specific, urgent needs as quickly as possible. Under normal circumstances, applications for comprehensive projects under these two grant programs require more detailed information. However, OVW will be evaluating the feasibility of using certain elements of this solicitation in the future based on input received at tribal consultations and listening sessions.

About the Tribal Grant Programs Covered by this Solicitation

OVW Grants to Indian Tribal Governments Program (Tribal Governments Program)

The Tribal Governments Program is authorized by 34 U.S.C. § 10452. The program is designed to assist tribal governments and authorized designees of tribal governments to respond to domestic violence, dating violence, sexual assault, sex trafficking, and stalking in their communities. For additional information about this program and related performance measures, see <u>https://www.justice.gov/ovw/grant-programs</u> and <u>https://www.vawamei.org/grant-program/tribal-governments-program/</u>.

Tribal Sexual Assault Services Program (TSASP)

TSASP is authorized by 34 U.S.C. § 12511(e). TSASP supports projects to create, maintain, and expand sustainable sexual assault services provided by tribes, tribal organizations, and nonprofit tribal organizations within Indian country and Alaska Native villages. For additional information about this program and related performance measures, see https://www.justice.gov/ovw/grant-programs and https://www.vawamei.org/grant-programs and https://www.vawamei.org/grant-program/tribal-sasp-program/.

NOTE: Grantees under the Grants to Tribal Governments to Exercise Special Domestic Violence Criminal Jurisdiction Program that are exercising special domestic violence criminal jurisdiction are encouraged to apply to the Tribal Governments Program under this solicitation for COVID-19 related needs associated with planning, preparing for, or exercising special domestic violence criminal jurisdiction. See examples below under "Program Scope."

Program Scope

Activities supported under this solicitation are determined by statute, federal regulations, and OVW policies. If an applicant receives an award, the funded project is bound by the provisions of this solicitation, the <u>DOJ Financial Guide</u>, including updates to the financial guide after an award is made, the section of the <u>Solicitation Companion Guide</u> on post-award requirements for all federal award recipients, and the conditions of the award.

All applications must propose activities that address tribal needs in responding to violent crimes against women that have arisen as a result of the COVID-19 national emergency. Specific activities must be within the purpose areas of one of the OVW grant programs described below, but applicants should not propose to create new projects. The following are some examples of possible proposed activities for each OVW program:

Tribal Governments Program

- Operate domestic violence court services online or create physical distancing in courts.
- Implement cleaning protocols in shelters.
- Purchase hotel vouchers to meet additional shelter needs.
- Provide personal protective equipment for law enforcement officers responding to domestic violence calls or advocates providing in-person victim services.
- Provide COVID-19 testing and medical treatment for inmates convicted under Special Domestic Violence Criminal Jurisdiction or for victims in domestic violence shelters.
- Increase staffing on a short-term basis to respond to increased calls for services related to the COVID-19 national emergency.

<u>TSASP</u>

• Provide personal protective equipment for sexual assault victim advocates.

- Purchase equipment needed to offer online sexual assault victim services, including equipment for staff to work remotely.
- Purchase Plexiglas shields for separation during in-person sexual assault victim services.
- Add victim advocate time, temporarily, to address increased calls for sexual assault victim services related to the COVID-19 national emergency.

Purpose Areas

Applications must include only activities that fit within the statutory program purposes listed below for the specific grant program for which the applicant is applying.

Tribal Governments Program

The Tribal Governments Program provides funding to tribal governments or their designees to:

- 1. Develop and enhance effective governmental strategies to curtail violent crimes against women.
- 2. Increase tribal capacity to respond to domestic violence, dating violence, stalking, sexual assault, and sex trafficking crimes against Native women.
- 3. Strengthen tribal justice interventions including tribal law enforcement, prosecution, courts, probation, and correctional facilities.
- 4. Enhance services to victims.
- 5. Develop prevention and education strategies.
- 6. Provide supervised visitation services.
- 7. Provide transitional housing and related support services to victims.
- 8. Provide legal assistance to victims.
- 9. Provide services to youth victims and children and youth exposed to these crimes.
- 10. Develop and promote legislation and policies to respond to violent crimes against Indian women.

For a full description of these statutory program purpose areas, see Appendix B.

<u>TSASP</u>

TSASP provides funding to tribes, tribal organizations, and nonprofit tribal organizations for the establishment, maintenance, and expansion of programs or projects within Indian country and Alaska Native villages to provide intervention and related assistance to those victimized by sexual assault, family and household members of such victims, and those collaterally affected by the victimization (except for the perpetrator of such victimization).

By statute, 34 U.S.C. § 12511(b)(2)(C), intervention and related assistance includes:

- 1. 24-hour hotline services providing crisis intervention services and referral.
- 2. Accompaniment and advocacy through medical, criminal justice, and social support systems, including medical facilities, police, and court proceedings.
- 3. Crisis intervention, short-term individual and group support services, and comprehensive service coordination and supervision to assist sexual assault victims and family or household members.
- 4. Information and referral to assist the sexual assault victim and family or household members.
- 5. Community-based, culturally specific services and support mechanisms, including outreach activities for underserved communities.
- 6. The development and distribution of materials on issues related to the services described in (1) (5).

Activities that Compromise Victim Safety and Recovery or Undermine Offender Accountability

OVW does not fund activities that jeopardize victim safety, deter or prevent physical or emotional healing for victims, or allow offenders to escape responsibility for their actions. Applications that propose any such activities may receive a deduction in points during the review process or may be eliminated from consideration. Information on activities that compromise victim safety and recovery or undermine offender accountability may be found in the <u>Solicitation Companion Guide</u>.

Out-of-Scope Activities

The activities listed below are out of the program scope, and they will not be supported by this program's funding. See also the list of unallowable costs in the <u>Funding Restrictions</u> section of this solicitation.

For both programs:

<u>Research projects</u>: For more information on what constitutes research, see the sections on research and assessments in the <u>Solicitation Companion Guide</u>.

For TSASP only:

- 1. Activities focused on prevention efforts and public education (e.g., bystander intervention, social norms campaigns, and presentations on healthy relationships).
- 2. Criminal justice-related projects, including law enforcement, prosecution, courts, and forensic interviews.
- 3. Providing domestic violence or dating violence victim services unrelated to intimate partner sexual violence.
- 4. Sexual Assault Forensic Medical Examiner programs.
- 5. Sexual Assault Response Team coordination.
- 6. Providing training to allied professionals and the community (e.g., law enforcement, child protection services, prosecution, other community-based organizations or tribal agencies).

Applications that propose activities that are deemed to be substantially out-of-scope may receive a deduction in points during the review process or may be eliminated from consideration.

Activities Requiring Prior Approval

Recipients must receive prior approval before using grant funds to conduct surveys, whether conducted as part of a program or needs assessment, or for any other purpose. Prior approval is necessary to determine whether the activity is within the scope of the award and meets the requirements of the Paperwork Reduction Act.

B. Federal Award Information

Availability of Funds

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. There is no guarantee that funds will be available in the future. OVW may elect to make awards under a different program, depending on the merits of and specific activities included in the applications and the availability of funding.

Award Period and Amounts

The award period is 12-18 months. Budgets must reflect 12-18 months of project activity, and the total "estimated funding" on the SF-424 must reflect 12-18 months. The proposed budget period and award period must be the same length. OVW anticipates that the award period will start between October 1 and December 1, 2020, depending on the timing of the award.

Note: For applications that meet all solicitation requirements and provide all requested information in the budget and narrative sections by August 20, 2020, OVW may be able to make awards by September 30, 2020. Due to the conversion from DOJ's Grants Management System (GMS) to the Justice Grants System (JustGrants), applicants submitting their applications after August 20, 2020 will be unlikely to receive award decisions before November 30, 2020.

Awards under the two programs covered by this solicitation will be in an estimated range of \$50,000-\$100,000. Awards may be made for a greater amount with sufficient justification. OVW estimates that it will make up to 75 awards for an estimated \$5,000,000.

OVW has the discretion to make awards for greater or lesser amounts than requested and to negotiate the scope of work and budget with applicants prior to making an award.

Awards will be made as grants.

Types of Applications

OVW will accept applications for the programs covered by this solicitation from any eligible applicants, regardless of the status of current applications or awards under these programs.

C. Eligibility Information

Eligible Applicants

The chart below provides information on eligible applicants for each program.

Type of Applicant	Tribal Governments Program	TSASP
Tribal government any tribe, band, nation, or other organized group or community (including any Alaska Native village or regional or village corporation as defined in or established pursuant to the Alaska Native Claims Settlement Act (85 Stat. 688), 43 U.S.C. §§ 1601 et seq.) that is recognized as eligible for the special programs and services provided by the United States to Indians because of their status as Indians.	x	x
Tribal designee an organization that is acting as the authorized designee of a tribal government, as defined above.	х	
Tribal organization (A) the governing body of any Indian tribe; or (B) Any legally established organization of Indians which is controlled, sanctioned, or chartered by such governing body of a tribe or tribes to be served, or which is democratically elected by the adult members of the Indian community to be served by such organization and which includes the maximum participation of Indians in all phases of its activities.		Х

Tribal nonprofit organization (A) A victim service provider that has as its primary purpose to assist American Indian or Alaska Native victims of domestic violence, dating violence, sexual assault, or stalking; and (B) Staff and leadership of the organization must include persons with a demonstrated history of assisting American Indian or Alaska Native victims of domestic violence, dating violence, sexual assault, or stalking.		Х
Tribal consortium A coalition of two or more separate Indian Tribes that join together for the purpose of participating in self-governance.	х	х

501(c)(3) Status

Any entity that is eligible for TSASP based on its status as a nonprofit organization must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. See 34 U.S.C. § 12291(b)(16)(B)(i).

Ineligible Entities and Disqualifying Factors

Applications submitted by ineligible entities or that do not meet all program eligibility requirements will not be considered for funding. In addition, an application deemed deficient in one or more of the following categories may not be considered for funding: 1. <u>activities that compromise victim safety</u>, 2. <u>out-of-scope activities</u>, 3. <u>unallowable costs</u>, 4. <u>pre-award risk assessment</u>, 5. <u>completeness of application contents</u>, and 6. <u>timeliness</u>. Failure to obtain a <u>Data Universal System Number (DUNS Number)</u> will result in removal from consideration. An applicant with <u>past performance issues</u>, long-standing open audits, or an open criminal investigation also may not be considered for funding.

Note: Any nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code is not eligible for a grant from this program. See 34 U.S.C. § 12291(b)(16)(B)(ii).

Cost-Sharing or Matching

This program has no matching or cost sharing requirement.

Other Program Eligibility Requirements

In addition to meeting the eligible entity requirements outlined above, applicants for these programs must also meet the requirements below. All certification and other eligibility related documents must be current and developed in accordance with the FY 2020 solicitation. Depending on the application, additional documents or certifications may be required.

Both Programs

Applicants that are applying as tribal consortiums

The applicant must submit documentation of authority to apply from each tribal consortium member, unless existing consortium bylaws or other governance documents allow action without explicit authorization from the consortium member tribes. In that case, a copy of the bylaws or other governance documents that allow the consortium's action, without explicit support from all consortium members, must be included with the application. This documentation must be current, must be sufficient to demonstrate authority for the application, must contain authorized signature(s), and must be submitted by the application due date.

Tribal Governments Program

All applicants

By statute, applicants must demonstrate that the proposal was developed in consultation with a qualified partner. For the purposes of this solicitation, a "qualified partner" is: (1) a nonprofit, nongovernmental Indian victim services provider organization, such as a domestic violence shelter program or rape crisis center; (2) a nonprofit, nongovernmental tribal domestic violence or sexual assault coalition; or (3) an advisory committee that includes women from the community to be served by the proposed project. Prior to receipt of an award and the release of grant funds, the applicant will be required to provide OVW with either a letter of support from a qualified partner or, for current grantees, a statement that they will continue to work with the partner identified in their current grant. For an example of a letter of support, visit https://www.justice.gov/tribal/page/file/1113406/download.

Applicants that are tribal designees

If the applicant is a tribal designee under the OVW Tribal Governments Program, the applicant must include a tribal resolution or equivalent legal authorization from a tribe as part of the application. This resolution or equivalent legal authorization must:

- 1. Demonstrate (A) that the applicant is authorized to submit an application on behalf of the federally recognized Indian tribe; (B) that the tribe supports the project and is committed to participating in the project if it is selected for funding; and (C) how information sharing will occur to ensure the tribe is informed about the project implementation and its impact on tribal individuals, families, and communities.
- 2. Be current (developed within the open period of this solicitation).
- 3. Contain authorized signature(s).

Tribal designee applicants that are unable to get a resolution or equivalent legal authorization in time to apply through this solicitation can instead include a letter documenting their efforts to obtain the resolution or equivalent legal authorization. OVW will not be able to make an award to such an applicant until the applicant has provided a qualifying resolution or equivalent legal authorization. If the applicant is unable to do so by November 15, 2020, the application may be removed from further consideration or there may be a delay in receiving an award.

<u>TSASP</u>

Eligible Service Area: Eligibility is limited to Indian tribes, tribal organizations, and nonprofit tribal organizations for programs and activities within Indian country¹ and Alaska Native villages.²

¹ The term "Indian country" means "(a) all land within the limits of any Indian reservation under the jurisdiction of the United States Government, notwithstanding the issuance of any patent, and, including rights-of-way running through the reservation, (b) all dependent Indian communities within the borders of the united States whether within the original or subsequently acquired territory thereof, and whether within or without the limits of a state, and (c) all Indian allotments, the Indian titles to which have not been extinguished, including rights-of-way running through the same." 18 U.S.C § 1151.

² The term "Alaska Native village" has the same meaning given such term in the Alaska Native Claims Settlement Act (43 U.S.C. §§ 1601 et seq.). 34 U.S.C. § 12291(a)(1).

Limit on Number of Applications

For each program, OVW will consider only one application per applicant for the same service area. In addition, if an applicant submits multiple versions of the same application, OVW will review only the most recent system-validated version submitted before the deadline.

D. Application and Submission Information

Address to Request Application Package

The complete application package (this solicitation, including links to required forms) is available on <u>Grants.gov</u> and on the <u>OVW website</u>. Applicants wishing to request a paper copy of these materials should contact 202-307-6026 or <u>Lucille.Moran@usdoj.gov</u>.

Pre-Application Information Session: OVW will host a telephonic pre-application information session to answer questions from applicants. Participating in this session is optional and not a requirement to apply. The information session will take place on **August 6th at 2:00pm EST**. Applicants interested in registering should contact Lucille.Moran@usdoj.gov.

For applicants that may not be able to participate in the live pre-application session, OVW will post a transcript from the session, as well as any questions received in FAQ form on the website, here: https://www.justice.gov/ovw/resources-applicants#Program%20Specific. The transcript and FAQs will be provided in English; interested applicants needing additional language assistance should contact this program's POC at Lucille.Moran@usdoj.gov as soon as possible.

Content and Form of Application Submission

The information below describes the full content and form of application submission.

Formatting and Technical Requirements

Applications must follow the requirements below for all documents, unless otherwise noted. Points may be deducted for applications that do not adhere to the following requirements:

- 1. Double spaced (Summary Data Sheet and charts may be single spaced).
- 2. 8¹/₂ x 11 inch paper.
- 3. One-inch margins.
- 4. Type no smaller than 12 point, Times New Roman (TNR) or Arial font, except for footnotes, which may be in 10-point font.
- 5. Page numbers.
- 6. Two to five pages for the Project Narrative, depending on the complexity of the project.
- 7. Word documents in the following formats: Microsoft Word (.doc), PDF files (.pdf), or Text Documents (.txt).
- 8. Headings and sub-headings that correspond to the sections identified in this section of the solicitation.

Application Contents

Applications must include the required documents and demonstrate that the program eligibility requirements have been met. For a complete checklist of the application contents, see the <u>Other Information</u> section in this solicitation. **Applicants must provide a complete set of** required documents for each tribal grant program from which they are seeking funding under this COVID-19 related solicitation. If an applicant seeks funding from both the

Tribal Governments Program *and* TSASP, the applicant must submit two complete applications, including any additional documents that are required for a specific grant program. OVW will not move documents between applications.

OVW will not contact applicants for missing items on the list below. Applications that do not include all the following documents will be considered substantially incomplete and will not be considered for funding:

- 1. Project Narrative.
- 2. Budget Detail Worksheet and Narrative.

In addition, failure to submit the Summary Data Sheet will not result in removal from consideration but may result in a loss of points.

Items that will result in removal of the application from consideration if not included:

Project Narrative (70 Points Total)

The Project Narrative should be two to five pages, double-spaced. The Project Narrative must include the following two sections:

Need for the Project (20 points)

This section must provide a brief statement of the need(s) for the project. It does not have to be in a narrative format, but instead could include a bulleted list of identified needs and the basis for the determination of need. Demographic information is helpful but not required.

What Will Be Done (50 points)

This section must clearly outline the goals for the project along with specific objectives and steps to accomplish each objective. It must include the timing for each activity and note who is responsible for the activity. Last, it must link each goal/objective with one or more of the needs identified in the previous section. Applicants are strongly encouraged to use the chart in appendix A to fulfill this section. If the chart is completed, no further narrative is required for this section. The chart may be single spaced. The application must provide a clear link between the proposed activities and the need identified in the "Need for the Project" section above. The application must not include any of the activities listed as unallowable costs in the Funding Restrictions section of this solicitation.

Budget Detail Worksheet and Narrative (25 Points)

All applications must include a detailed budget and budget narrative. See the sample Budget Detail Worksheet and the Creating a Budget webinar available on the OVW website at <u>https://www.justice.gov/ovw/resources-applicants</u>. Keep in mind that budgetary requirements vary among programs. Applicants must submit reasonable budgets based on the resources needed to implement their projects in their specific geographic location.

Award Period and Amount

Applicants should carefully consider the resources needed to implement the project and present a realistic budget that accurately reflects the costs involved for 12-18 months of project activities. The proposed award period and proposed budget period must be the same length. Proposed budgets should not exceed \$50,000-100,000, except with justification for the increased amount.

The budget and budget narrative will be reviewed separately from the proposed project narrative. The budget narrative must describe each line item requested in the budget and explain all costs included in the budget, including how the costs of goods and services are determined and how they will fulfill the objectives of the project.

The budget must:

- 1. Display a clear link between the specific project activities and the proposed budget items. The budget should not contain items that are not supported by the project narrative.
- 2. Include only items that are related to COVID-19 response and to the purpose areas of the program.
- 3. Include justification if the request is for over \$100,000.
- 4. If applicable, include funds or describe other resources available to the applicant to ensure access for individuals with disabilities, Deaf/hard of hearing individuals, and persons with limited English proficiency. See <u>Accessibility</u> under <u>Federal Award</u> <u>Administration Information</u> for more information.
- 5. Compensate all project partners for their full level of effort. For more information on compensating project partners, see the sample Budget Detail Worksheet on the OVW website at https://www.justice.gov/ovw/resources-applicants.
- 6. Distinguish clearly between subawards and contracts in allocating any grant funds to other entities. Pursuant to 2 C.F.R. § 200.330, a subaward is for the purpose of carrying out a portion of the federal award, such as compensating an MOU partner, and a contract is for the purpose of obtaining goods and services for the grantee's own use. The substance of the relationship is more important than the form of the agreement in determining whether the recipient of the pass-through funds is a subrecipient or a contractor. The awarding and monitoring of contracts must follow the recipient's documented procurement procedures, including full and open competition, pursuant to the procurement standards in 2 C.F.R. §§ 200.317-200.329. The issuance and monitoring of subawards must meet the requirements of 2 C.F.R. § 200.331, which includes oversight of subrecipient/partner spending and monitoring performance measures and outcomes attributable to grant funds. For more information, see the sample Budget Detail Worksheet and the <u>Solicitation Companion Guide</u> on the OVW website at <u>https://www.justice.gov/ovw/resources-applicants</u>.

OVW awards are governed by the provisions of 2 C.F.R. Part 200 and the <u>DOJ Financial Guide</u>, which include information on allowable costs, methods of payment, audit requirements, accounting systems, and financial records. For additional information on allowable and unallowable costs, go to the <u>Funding Restrictions</u> section of this solicitation and the sample Budget Detail Worksheet on the OVW website at <u>https://www.justice.gov/ovw/resources-applicants</u>.

Additional scored item

Summary Data Sheet (5 Points Total)

The Summary Data Sheet should be one to four pages and may be single or double spaced. The Summary Data Sheet does not count toward the page limit for the Project Narrative. The following information must be provided as part of the Summary Data Sheet:

1. Name of tribal grant program (i.e., Tribal Governments, TSASP) to which the applicant is applying.

- 2. Name, title, address, telephone number, and e-mail address of the individual with authority to accept grants on behalf of the applicant.
- 3. Name, title, address, telephone number, and e-mail address for the grant point-ofcontact. This person must be an employee of the applicant.
- 4. Statement as to whether the applicant (the organization whose DUNS number is being used for the application) will serve as a fiscal agent/sponsor and pass all funds through to an entity or entities that will implement the project. Such an applicant will not be involved with implementation of the project beyond issuing subaward(s) to these entities and conducting minimal administrative activities. A fiscal agent/sponsor applicant must list these subrecipients and include a statement acknowledging that, should an award be made, the applicant will be responsible for all applicable statutory, fiscal, and programmatic requirements, including those of <u>2 C.F.R. Part 200</u>, as well as all project deliverables. In such situations, the fiscal agent/sponsor must be an eligible applicant for the program.
- 5. Statement as to whether the applicant has expended \$750,000 in federal funds in the applicant's past fiscal year. If so, specify the end date of the applicant's fiscal year.
- 6. Summary of all current and pending OVW grants (if applicable). If the applicant has a current grant or cooperative agreement under any OVW grant program or an award that has been closed within the last 12 months from the date this solicitation closes, the information must be provided in a table using the sample format found on the OVW website at <u>https://www.justice.gov/ovw/resources-applicants</u>. Failure to provide the required table will result in a loss of points. The applicant should also provide the same information regarding any current OVW grants or pending applications on which the applicant is a subrecipient.
- 7. A list of all other federal grant programs from which the applicant currently receives funding or for which it has applied for funding in FY 2020 **to do similar work**. Provide this information in a table using the sample format found on the OVW website at https://www.justice.gov/ovw/resources-applicants.
- 8. Statement as to whether the applicant is a nonprofit organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code.
- 9. Statement as to whether the applicant is a nonprofit organization that holds money in offshore accounts for the purpose of avoiding paying the tax described in section 511(a) of the Internal Revenue Code.
- 10. Statement as to whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant is not a nonprofit organization or is a nonprofit that does not use the safe-harbor procedure, provide a statement to that effect. For additional information about the safe-harbor procedure, see "Disclosure of Process Related to Executive Compensation" in the Additional Required Information section.
- 11. Whether the funds will be used to assist the grantee in providing sexual assault victim services.
- 12. Statement as to whether the <u>applicant</u> is a recipient, or partner/subrecipient, on a current grant for the tribal grant program for which the applicant is applying. If so, provide the year of the award and the role of the applicant on the award (recipient or project partner).
- 13. Statement as to whether any proposed <u>project partner/subrecipient</u> is a recipient, or partner/subrecipient, on a current grant for the tribal grant program for which the applicant is applying. If so, provide the year of the award and the role of the partner(s) on the award (recipient or project partner/subrecipient).

- 14. Statement as to whether the applicant intends to use grant funds to provide legal services.
- 15. Geographic area to be served by the project.
- 16. The specific eligible applicant category (e.g., tribal government, tribal organization, tribal designee).

Additional Required Information

The following documents will not be scored but must be included with the application. Some documents will be generated during the application submission process while other documents will be uploaded and attached to the application.

The following documents will be generated and completed as part of the application submission process in Grants.gov:

Application for Federal Assistance (SF-424)

Applicants must complete the SF-424. The SF-424 is generated when the applicant begins the submission process. For "Type of Applicant," do not select "other." The amount of federal funding requested in the "Estimated Funding" section of this form must match the amount of federal funding requested in the budget section of the application package. These two programs do not require a match; therefore, the values for the Applicant line should be zero. The individual who is listed as "Authorized Representative" must be an individual who has the authority to apply for and accept grant awards on behalf of the applicant.

Intergovernmental Review: This solicitation ("funding opportunity") **is not** subject to Intergovernmental Review under Executive Order (E.O.) 12372. In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372."

Assurances and Disclosure of Lobbying Activities

Review the assurances and disclosure forms online. Applicants will be prompted to compile these forms online during the application submission process. All applicants must complete both the *Assurances – Non-Construction Programs* (SF-424B) form and the *Disclosure of Lobbying Activities* (SF-LLL) form. Applicants that expend any funds for lobbying activities must provide the information requested on the SF-LLL. Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.

The following documents must be uploaded and attached separately to the application. Failure to include these documents may result in a delay in receipt of an award.

Designees applying to the Tribal Governments Program only Tribal Resolution/Equivalent Legal Authorization or Letter Documenting Efforts to Obtain Such Authorization.

See the <u>Eligibility Information</u> section of this solicitation.

<u>Tribal consortiums only</u> <u>Documentation of Authority to Apply.</u> See the <u>Eligibility Information</u> section of this solicitation.

Applicant Financial Capability Questionnaire (if applicable)

All nonprofit, nongovernmental organizations that apply for funding from OVW and have not previously (or within the last three years) received funding from OVW must complete an Applicant Financial Capability Questionnaire. In addition, applicants may be required to submit their current year's audit report at a later time. The questionnaire can be found at https://www.justice.gov/ovw/file/866126/download.

Confidentiality Notice Form

All applicants are required to acknowledge that they have received notice that grantees and subgrantees must comply with the confidentiality and privacy requirements of VAWA, as amended. Applicants must submit the acknowledgement form available on the OVW website at http://www.justice.gov/sites/default/files/ovw/pages/attachments/2015/01/20/confidentiality acknowledgement form 42015.pdf. This form must be signed by the https://www.justice.gov/sites/default/files/ovw/pages/attachments/2015/01/20/confidentiality acknowledgement form 42015.pdf.

Disclosure of Process Related to Executive Compensation (if applicable)

An applicant that is a nonprofit organization may be required to make certain disclosures relating to the processes it uses to determine the compensation of its officers, directors, trustees, and key employees.

Under certain circumstances, a nonprofit organization that provides unreasonably high compensation to certain persons may subject both the organization's managers and those who receive the compensation to additional federal taxes. A rebuttable presumption of the reasonableness of a nonprofit organization's compensation arrangements, however, may be available if the nonprofit organization satisfies certain rules set out in Internal Revenue Service regulations with regard to its compensation decisions.

Each applicant must state at the time of its application (in the Summary Data Sheet mentioned earlier) whether the applicant is a nonprofit organization that uses the Internal Revenue Service's three-step safe-harbor procedure to establish a rebuttable presumption that its executives' compensation is reasonable. If the applicant states that it uses the safe-harbor procedure, then it must disclose, in an attachment to its application (to be titled "Disclosure of Process Related to Executive Compensation"), the process it uses to determine the compensation of its officers, directors, trustees, and key employees (together, "covered persons"). See 34 U.S.C. § 12291(b)(16)(B)(iii).

At a minimum, the disclosure must describe in pertinent detail: (1) the composition of the body that reviews and approves compensation arrangements for covered persons; (2) the methods and practices used by the applicant nonprofit organization to ensure that no individual with a conflict of interest participates as a member of the body that reviews and approves a compensation arrangement for a covered person; (3) the appropriate data as to comparability of compensation that is obtained in advance and relied upon by the body that reviews and approves compensation arrangements for covered persons; and (4) the written or electronic records that the applicant maintains as concurrent documentation of the decisions with respect to compensation arrangements, including records of deliberations and of the basis for decisions. For a sample letter, see the OVW website at https://www.justice.gov/ovw/resources-applicants.

For purposes of the required disclosure, the following terms and phrases have the meanings set out by the Internal Revenue Service for use in connection with 26 C.F.R. § 53.4958-6: officers, directors, trustees, key employees, compensation, conflict of interest, appropriate data as to comparability, adequate documentation, and concurrent documentation.

Following receipt of an appropriate request, OVW may be authorized or required by law to make information submitted to satisfy this requirement available for public inspection. Also, a recipient may be required to make a prompt supplemental disclosure after the award in certain circumstances (e.g., changes in the way the organization determines compensation).

Pre-Award Risk Assessment

Each applicant must respond to the questions below. This information will be used for a mandatory pre-award risk assessment. Failure to provide this information or to respond to questions from OVW regarding this information in a timely manner could result in the applicant being removed from consideration or a delay in access to funds. Provide complete responses that address all questions included for each numbered item. This section of the application should be no more than four pages. If the applicant has previously applied for or received OVW funds, and the information has not changed, the applicant can re-attach the previous submission.

- 1. Will all funds awarded under this program be maintained in a manner that they will be accounted for separately and distinctly from other sources of revenue/funding? Provide a brief description of the applicant's policies and procedures that ensure funds will be tracked appropriately.
- 2. Does the applicant have written accounting policies and procedures? How often are these policies and procedures updated? Provide a brief list of the topics covered in the applicant's policies and procedures. OVW may request a copy for review during the application/award process or as part of the grant monitoring process.
- 3. Is the applicant's financial management system able to track actual expenditures and outlays with budgeted amounts for each grant or subgrant? Provide a brief summary of the organization's process for tracking expenditures, including tracking budgeted versus actual amounts.
- 4. Does the applicant have procedures in place for minimizing the time between transfer of funds from the United States Treasury and disbursement for project activities? Provide a short summary of the applicant's policy for requesting payments for grant awards.
- 5. Does the applicant have effective internal controls in place to ensure that federal funds are used solely for authorized purposes? Provide a brief description of the applicant's internal controls that will provide reasonable assurance that the award funds will be managed properly.
- 6. Does the applicant have a documented records retention policy? If so, briefly describe the policy and confirm that the policy complies with federal regulations. Information on Record Retention and Access can be found at 2 C.F.R. §§ 200.333-200.337.
- Does the applicant or any of its employees have any potential personal or organizational conflicts of interest related to the possible receipt of OVW award funds? Applicants are required to disclose in writing any potential conflicts of interest to their awarding agency. See 2 C.F.R. § 200.112 and Chapter 3.20, Grant Fraud, Waste and Abuse, of the <u>DOJ</u> <u>Financial Guide</u> for additional information.
- 8. Is the individual primarily responsible for fiscal and administrative oversight of grant awards familiar with the applicable grants management rules, principles, and regulations including the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 C.F.R. Part 200)? Provide a short list of the individual's qualifications/experience. If the individual is not familiar with the applicable rules and regulations, the applicant must contact OVW's Grants Financial Management Division at <u>OVW.GFMD@usdoj.gov</u> or 1-888-514-8556 immediately after the applicant is notified of its award to coordinate training.

- 9. Does the applicant have policies and procedures in place to manage subawards and monitor activities of subrecipients as necessary to ensure that subawards are used for authorized purposes, in compliance with laws, regulations, and terms and conditions of the award, and that established subaward performance goals are achieved (2 C.F.R. §§ 200.330-200.332)? Provide a brief description of the organization's policies and procedures on subrecipient management and monitoring.
- 10. Does the applicant currently require employees to maintain time distribution records that accurately reflect the work performed on specific activities or cost objectives in order to support the distribution of employees' salaries among federal awards or other activities (2 C.F.R. § 200.430)? Budget estimates do not qualify as support for charges to federal awards. Provide a brief description of the organization's established timekeeping policies and procedures.

Indirect Cost Rate Agreement (if applicable)

Applicants that intend to charge indirect costs through the use of a negotiated indirect cost rate must have a current, signed, federally-approved indirect cost rate agreement. Applicants that have never received a federally-approved indirect cost rate may elect to charge a de minimis rate of 10% of modified total direct costs, which may be used indefinitely. This includes state, local, and tribal governments that have never negotiated an indirect cost rate with the federal government and receive less than \$35 million in direct federal funding per year.

Organizations that wish to negotiate an indirect cost rate should contact OVW's Grants Financial Management Division at <u>OVW.GFMD@usdoj.gov</u> or 1-888-514-8556 for more information.

Letter of Nonsupplanting

Applicants must submit a letter to OVW's Director, signed by the <u>Authorized Representative</u>, certifying that federal funds will not be used to supplant non-federal funds should a grant award be made. A sample letter is available at <u>https://www.justice.gov/ovw/resources-applicants</u>.

Proof of 501(c)(3) Status (Nonprofit Organizations Only)

As noted under Eligible Applicants, an entity that is eligible for TSASP based on its status as a nonprofit organization must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of the Code. All such applicants are required to submit a determination letter from the Internal Revenue Service recognizing their tax-exempt status. OVW cannot make an award to any nonprofit organization that does not submit a 501(c)(3) determination letter from the Internal Revenue Service.

Unique Entity Identifier (DUNS Number), System for Award Management (SAM), and Grants.gov Registration

Applicants for federal grants and cooperative agreements are required to have a Data Universal Number System (DUNS) number to submit an application. A DUNS number is a unique, nine-character identification number provided by the commercial company Dun & Bradstreet (D&B). Once an applicant has applied for a DUNS number through D&B, its DUNS number should be available within two business days.

Federal regulations require that an applicant (1) be registered in SAM.gov prior to submitting an application; (2) provide a valid DUNS number in its application; and (3) continue to maintain an active SAM registration with current information at all times during which it has an active federal award or an application under consideration by a federal awarding agency. Also, federal

agencies may not make an award to an applicant until that applicant has complied with all applicable DUNS and SAM requirements. If an applicant has not fully complied with these requirements by the time that OVW is ready to make an award, then OVW may make the determination that the applicant is not qualified to receive an award and use that determination as a basis for making the award to another applicant. See 2 C.F.R. §§ 25.200, 25.205.

SAM centralizes information about grant recipients and also provides a central location for grant recipients to change organizational information. <u>Grants.gov</u> uses SAM to establish roles and IDs for electronic grant applicants.

If the applicant already has an Employer Identification Number (EIN), the SAM registration will take **up to two weeks to process**. If the applicant does not have an EIN, then **the applicant should allow two to five weeks for obtaining an EIN from the Internal Revenue Service. There is no fee associated with these processes. These processes cannot be expedited.** OVW strongly discourages applicants from paying a third party to apply or register on their behalf in an attempt to expedite these processes. To ensure all applicants are able to apply by the deadline for this solicitation, applicants must have obtained a DUNS number and registered online with the SAM and with <u>Grants.gov</u> no later than September 10, 2020.

Submission Dates and Times

It is the applicant's responsibility to ensure that the application is complete and submitted by the deadline. Failure to meet the submission deadline will result in an application not being considered for funding. Applicants should refer to the chart below to ensure that all required steps and deadlines are met.

Failure to begin the registration or application submission by the deadlines stated in the chart below is not an acceptable reason for late submission.

Applicant Action and Information	Dates/Deadlines			
Apply for a DUNS number				
Obtain a DUNS number at https://www.dnb.com/ or call 866-705-5711.	September 10, 2020			
Register with SAM				
Access the SAM online registration through the SAM homepage at	September 10, 2020			
https://www.sam.gov/SAM/ and follow the online instructions for new SAM				
users. If the applicant already has the necessary information on hand, the				
online registration takes approximately 30 minutes to complete, depending				
upon the size and complexity of the business or organization. Organizations				
must update or renew their SAM registration at least once a year to				
maintain an active status.				
Register with Grants.gov				
Once the SAM registration is active, the applicant will be able to complete the	September 10, 2020			
Grants.gov registration (see Other Submission Requirements for more				
information on registering for and using Grants.gov).				
Download Updated Version of Adobe				
Applicants are responsible for ensuring that the most up-to-date version of	At least 48 hours before			
Adobe Acrobat is installed on all computers that may be used to download the	application deadline.			
grant application package and submit the proposal. To verify if the Adobe				
software version is compatible with Grants.gov, visit the following link:				
http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html.				
Request Hardcopy Submission (if necessary)				

Applicants that cannot submit an application electronically due to lack of internet access must contact the POC at 202-307-6026 or <u>Lucille.Moran@usdoj.gov</u> to request permission to submit a hardcopy application.	September 10, 2020
Begin Application Submission Process	
Applications must be submitted electronically via Grants.gov.	Begin 24 – 48 hours prior to the application deadline.
Confirm Application Receipt	· · ·
Authorized Organization Representatives (AORs) should closely monitor their email for any notification from <u>Grants.gov</u> about a possible failed submission. The AOR is a user role within Grants.gov for a user who is authorized to submit applications on behalf of the organization. The AOR should receive a minimum of two emails from <u>Grants.gov</u> . One will confirm receipt of the application package. The other will contain either a	Submitting the application at least 48 hours before September 16, 2020 will enable the applicant to receive notice of a failed submission and provide an opportunity to correct the
notice that the application was successfully submitted or a notice that there was an error with the application submission. OVW does not send out these notifications, nor does OVW receive a copy of these notifications. It is the applicant's responsibility to notify OVW of any problems with the application submission process.	error before the deadline.

OVW Policy on Late Submissions

Applications submitted after **11:59 p.m. E.T.** on September 16, 2020 will not be considered for funding, unless the applicant receives OVW permission to submit a late application. In limited circumstances, OVW will approve a request to submit an application after the due date. The charts below provide a description of the circumstances under which OVW will consider such requests. Approval of a late submission request is not an indication of the application's final disposition. Applications approved for late submission are still subject to all of the review process and criteria described in this solicitation.

To ensure fairness for all applicants, OVW requires that applicants requesting late submission adhere to the following:

Issue	Applicant Action	OVW Policy
Issue with SAM or	1. Register and/or confirm existing registration at	Failure to begin the
Grants.gov	least three weeks prior to the application due	SAM or Grants.gov
Registration	date to ensure that the individual who will be	registration process in
	submitting the application has SAM and	sufficient time (i.e., by
	Grants.gov access and is the person registered	the date identified in
	to submit on behalf of the applicant.	this solicitation) is not
	2. Maintain documentation of when registration	an acceptable reason
	began, any issues related to registration, and all	for late submission.
	communication with technical support.	
	Notify OVW as soon as the applicant becomes	
	aware of a problem with registration but no later	
	than 14 days before the application due date.	

Issue	Applicant Action	OVW Policy
Unforeseeable Technical Difficulties During the Application Submission Process	 Contact <u>Grants.gov</u> for technical support at least 24 hours prior to the application deadline. Maintain documentation of all communication with <u>Grants.gov</u> Applicant Support. Prior to the application deadline, contact the POC for this program, via email at <u>Lucille.Moran@usdoj.gov</u> indicating that the applicant is experiencing technical difficulties and would like permission to submit a late application. The email must include the following: A detailed description of the difficulty that the applicant is experiencing. The contact information (name, telephone, and email) for the individual making the late submission request. The complete application packet (Project Narrative, Budget and Budget Narrative . Within 24 hours after the application deadline, the applicant must email the POC for this program at Lucille.Moran@usdoj.gov the following information:	Common foreseeable technical difficulties for which OVW will not approve a late submission request include: a. Using an outdated version of Adobe Acrobat. b. Attachment rejection. (To ensure that attachments are not rejected, attachment names should only include allowable characters. See <u>"Other Submission Requirements</u> "). Through <u>Grants.gov</u> , OVW can confirm when submission began. Applicants that start the submission process less than 24 hours before the deadline will not be considered for late submission. By beginning the application submission process 24-48 hours before the deadline, applicants should have sufficient time to receive notice of problems with their submissions and make necessary corrections.

Issue	Applicant Action	OVW Policy
Severe Inclement Weather of Natural Disaster	 Contact the POC for this program at <u>Lucille.Moran@usdoj.gov</u> as soon as the applicant is aware of severe weather or a natural or man-made disaster that may impede the submission of an application by the deadline. The email should include a detailed description of the weather event or natural or man-made disaster. A detailed description includes when the event occurred, or is likely to occur, the impacted area, and the specific impact on the applicant and/or partners' ability to submit the application by the deadline (e.g., without power for "x" days, office closed for "x" days). If the application is 	OVW may not be able to accommodate all requests resulting from severe inclement weather or a natural disaster.

	complete and ready for submission at the time	
	the applicant notifies the POC, it should be	
	included with the email.	
2.	Applicants impacted by severe weather or a	
	natural or man-made disaster occurring on the	
	U	
	deadline must contact OVW within 48 hours	
	after the due date or as soon as	
	communications are restored.	

Submission of the required documents to OVW is not an approval of a late application submission request. The applicant will be notified of the decision within 30 days of the submitted request.

Funding Restrictions

The following information is provided to allow applicants to develop an application and budget consistent with program requirements.

Unallowable Costs

The costs associated with the activities listed below are unallowable and must not be included in applicants' budgets.

- 1. Lobbying.
- 2. Fundraising.
- 3. Purchase of real property.
- 4. Physical modifications to buildings, including minor renovations (such as painting or carpeting).
- 5. Construction.

Food and Beverage/Costs for Refreshments and Meals

Generally, food and beverage costs are **not** allowable. Recipients must receive prior approval to use grant funds to provide a working meal and/or refreshments at a meeting, conference, training, or other event; OVW may provide such approval if one of the following applies:

- 1. The location of the event is not in close proximity to food establishments, despite efforts to secure a location near reasonably priced and accessible commercial food establishments.
- 2. Not serving food will significantly lengthen the day or necessitate extending the meeting to achieve meeting outcomes.
- 3. A special presentation at a conference requires a plenary address where there is no other time for food to be obtained.
- 4. Other extenuating circumstances necessitate the provision of food.

Justification for an exception listed above must be included in the applicant's budget narrative. For additional information on restrictions on food and beverage expenditures go to <u>https://www.justice.gov/ovw/conference-planning</u>.

Conference Planning and Expenditure Limitations

Applicants' budgets must be consistent with all requirements (including specific cost limits and prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars,

symposiums, training, and other similar events), and costs of attendance at such events. Information on conference planning, minimization of costs, and conference reporting is available at <u>https://www.justice.gov/ovw/conference-planning</u>.

Pre-Agreement Cost Approval

OVW generally does not allow pre-award costs. Costs incurred prior to the start date of the award may not be charged to the project unless the recipient receives prior approval from OVW. See the <u>DOJ Financial Guide</u> for more information on pre-award costs.

Other Submission Requirements

As discussed in the <u>Submission Dates and Times</u> section above, applications must be submitted electronically via <u>Grants.gov</u>. Applicants that are unable to submit electronically must follow the instructions in that section.

After applicants obtain their DUNS number and register with SAM, they can begin the <u>Grants.gov</u> registration process. The applying organization must complete the <u>Grants.gov</u> registration process <u>prior to beginning an application for a federal grant</u>. The E-Business Point of Contact (E-Biz POC) must register the applicant organization with <u>Grants.gov</u>. The E-Biz POC oversees the applicant's <u>Grants.gov</u> transactions and assigns the AOR. The AOR submits the application to <u>Grants.gov</u> and must register with <u>Grants.gov</u> as well. In some cases the E-Biz POC is also the AOR for the applicant. Complete instructions can be found at <u>Grants.gov</u>.

This registration process generally takes between three and five business days and may take as long as four weeks if all steps are not completed in a timely manner. The application process can move forward once the applicant successfully registers with <u>Grants.gov</u>. If applicants experience difficulties at any point during this process, they should call <u>Grants.gov</u> Applicant Support at **1-800-518-4726**.

Note: Grants.gov limits the use of specific characters in names of attachment files. Valid file names include <u>only</u> the characters shown in the table below. <u>Grants.gov</u> is designed to reject any application that includes an attachment(s) with a file name that contains <u>any</u> characters not shown in the table below.

Characters	Special Characters		
Upper case (A –	Parentheses ()	Curly braces { }	Square brackets []
Z)			
Lower case (a – z)	Ampersand (&)	Tilde (~)	Exclamation point (!)
Numbers (0-9)	Comma (,)	Semicolon (;)	Apostrophe (')
Underscore ()	At sign (@)	Number sign (#)	Dollar sign (\$)
Hyphen (-)	Percent sign (%)	Plus sign (+)	Equal sign (=)
Space When using the ampersand (&) in XML, applicants must use the			ants must use the
Period (.)	"&" format.		

<u>Use simple titles for all documents, such as "Project Narrative." Visit the Grants.gov</u> website to review the most up-to-date guidelines about the use of specific characters.

Submitting a Grant Application

In 2017, Grants.gov updated its application tool. The legacy PDF application package was phased out and retired as of December 31, 2017. Grants.gov Workspace is now the standard application method for applying for grants. Applicants should familiarize themselves with the

Workspace format. For complete information and instructions on using Workspace (and other changes), go to the Workspace Overview page at https://www.grants.gov/web/grants/applicants/workspace-overview.html.

<u>Grants.gov</u> Applicant Support can be reached at 1-800-518-4726 and is available 24/7, except federal holidays.

E. Application Review Information

Criteria

Applications will be scored based on the degree to which the application responds to each section and addresses each element in the section. Each element **must** be addressed in the section in which it is requested. Points may be deducted if the applicant does not include the information in the appropriate section even if it is included elsewhere within the application. Each section will be reviewed as a separate document and will be scored as such. Specifically, for this program, scoring will be as follows:

- 1. Summary data sheet: 5 points.
- 2. Project narrative: 70 points, of which:
 - A. Purpose of the project: 20 points.
 - B. What will be done: 50 points.
- 3. Budget detail worksheet and narrative: 25 points.

Voluntary match or other cost sharing methods will not be considered in the evaluation of the application.

Review and Selection Process

Applications will be subject to a peer review and a programmatic review.

Peer Review

OVW will subject all eligible, complete, and timely applications to a peer review process that is based on the criteria outlined in this solicitation. OVW may use internal reviewers, external reviewers, or a combination of both.

Programmatic Review

All applications that are considered for funding will be subject to a programmatic review. The programmatic review consists of assessing the application for compliance with the individual tribal program's scope, activities that compromise victim safety, and, if applicable, past performance. OVW reserves the right to deduct points from applications for the following reasons:

- 1. Activities that compromise victim safety and recovery and undermine offender accountability (deduct up to 25 points).
- 2. Out-of-scope and unallowable activities (deduct up to 25 points).
- 3. Formatting and Technical Requirements (deduct up to 5 points).

An application that is deemed to be substantially out of scope, proposes a substantial number of activities that are unallowable, or proposes activities that pose a significant threat to victim safety or a serious breach of confidentiality will not be considered for funding. **An applicant**

with considerable past performance issues may be removed from consideration entirely regardless of the application's peer review score.

As a part of the programmatic review process described above, applicants with current or recently closed OVW awards will be reviewed for past performance and risk based on the elements listed below.

- 1. Demonstrated effectiveness of the current project indicated by timely progress toward meeting project goals and objectives.
- 2. Demonstration that past activities supported with OVW grant funds have been limited to program purpose areas.
- 3. Adherence to all special conditions of existing grant award(s) from OVW.
- 4. Adherence to programmatic and financial reporting requirements, including timely submission of required reports.
- 5. Completion of close-out of prior awards in a timely manner.
- 6. Appropriate use of and active participation in OVW-sponsored workshops and other TA events as required by a special condition of the current or recent award.
- 7. Receipt of financial clearances on all current or recent grants from OVW.
- 8. Timely resolution of issues identified in any audit or on-site financial or programmatic monitoring visit.
- 9. Adherence to the Office of Management and Budget single-audit requirement
- 10. Timely expenditure of grant funds.
- 11. Adherence to the requirements of the DOJ Financial Guide.

Prior to making an award, OVW is required to review and consider any information about applicants included in the designated integrity and performance system accessible through SAM (currently FAPIIS). Applicants may review and comment on information in FAPIIS about themselves that another federal awarding agency has previously entered. OVW will consider the applicant's comments as well as other information available in FAPIIS in making its judgment about the risk posed by making an award to the applicant as described in 2 C.F.R. § 200.205.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the OVW Director, who also may give consideration to factors including, but not limited to, reaching underserved populations, geographic diversity, OVW priorities, past performance, and available funding when making awards. All award decisions are final and not subject to appeal.

High-Risk Grantees

Based on DOJ's assessment of each grantee with regard to current or previous funding, unresolved audit issues, delinquent programmatic and fiscal reporting, and prior performance, a grantee may be designated "high risk." Awards to high-risk grantees may carry special conditions such as increased monitoring and/or prohibitions on drawing down funds until certain requirements are met. High-risk grantees with substantial or persistent performance or compliance issues, long-standing open audits, or open criminal investigations may not be considered for funding.

Anticipated Announcement and Federal Award Dates

It is anticipated that all applicants will be notified of the outcome of their applications by November 30, 2020. For applications submitted by August 20, 2020, OVW may be able to make awards by September 30, 2020.

F. Federal Award Administration Information

Federal Award Notices

Successful applications will receive OVW award notifications electronically from the DOJ online system for managing grants that is in place at the time of award (either GMS or JustGrants). This award notification will be sent to the individuals listed as the <u>Authorized Representative</u> and the Point of Contact on the SF-424 for the application that was selected for funding and will include instructions on accepting the award.

Administrative and National Policy Requirements

Information for All Federal Award Recipients

Applicants selected for awards must agree to comply with additional legal, administrative, and national policy requirements. OVW strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. This information can be found in the section of the <u>Solicitation Companion Guide</u> entitled "Post-Award Requirements for All Federal Award Recipients."

General terms and conditions for OVW awards are available at <u>https://www.justice.gov/ovw/award-conditions</u>. These terms are subject to change prior to award issuance.

Violence Against Women Act Non-Discrimination Provision

The Violence Against Women Reauthorization Act prohibits OVW grantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. Additional information on the civil rights obligations of OVW funding recipients can be found in the <u>Solicitation Companion Guide</u> under "Civil Rights Compliance."

Accessibility

Recipients of OVW funds must comply with applicable federal civil rights laws, which, among other things, prohibit discrimination on the basis of disability and national origin. Compliance with these laws includes taking reasonable steps to ensure that persons with limited English proficiency have meaningful access to recipients' programs and activities and that these programs and activities are readily accessible to individuals with disabilities. More information on these obligations is available in the <u>Solicitation Companion Guide</u> under "Civil Rights Compliance."

Reporting

OVW grantees are required to submit semi-annual progress reports and quarterly Federal Financial Reports (SF-425). Appropriate progress report forms will be provided to all applicants selected for an award or awards. Forms will be submitted electronically. Future awards and fund drawdowns may be withheld if reports are delinquent.

G. Federal Awarding Agency Contact(s)

For assistance with the requirements of this solicitation, contact the following: for programmatic questions, contact the POC for this program at 202-307-6026 or <u>Lucille.Moran@usdoj.gov</u>, for financial questions, contact 888-514-8556 or OVW.GFMD@usdoj.govand for applicant support, contact 800-518-4726 for Grants.gov.

H. Other Information

Application Checklist

Applicants must submit a fully executed application to OVW for each tribal grant program to which they are applying, including all required supporting documentation. Prior to peer review, OVW will not contact applicants for missing items. Additionally, if an applicant plans to submit an application under any other OVW grant program this fiscal year, it is the applicant's responsibility to ensure that only documents pertinent to this solicitation are included with this application. OVW will not redirect documents that are inadvertently submitted with the wrong application (e.g., a Rural Program letter submitted with a Transitional Housing Program application will not be transferred to the Rural application). If any of the items marked with an asterisk (*) are missing, the application will not receive further review.

Application Document	Date Completed
1. Summary Data Sheet	
2. *Project Narrative:	
a) Need for the project.	
b) What Will Be Done.	
3. *Budget Detail Worksheet and Narrative	
4. Application for Federal Assistance: SF 424	
5. Assurances (SF 424B) and Disclosure of Lobbying Activities (SF-LLL)	
6. Applicant Financial Capability Questionnaire (if applicable)	
7. Confidentiality Notice Form	
8. Disclosure of Process Related to Executive Compensation (if applicable)	
9. Pre-Award Risk Assessment	
10. Indirect Cost Rate Agreement (if applicable)	
11. Letter of Nonsupplanting	
12. Proof of 501(c)(3) Status (if applicable)	
13. Letter of Support (or statement indicating intent to use same partner) (Not TSASP)	
14. Tribal Resolution or Equivalent Legal Authorization or	
Letter Documenting Efforts to Obtain Legal Authorization	
(Tribal Designee Applicants for Tribal Governments Program	
only)	
15. Documentation of Authority to Apply (tribal consortiums	
<u>only)</u>	

Any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

Public Reporting Burden - Paperwork Reduction Act Notice

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OVW tries to create forms and instructions that are accurate, can be easily understood, and impose the least possible burden on applicants. The estimated average time to complete and file this form is 30 hours. Comments regarding the accuracy of this estimate or suggestions for simplifying this form can be submitted to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.

Appendix A

Table of goals, objectives, and implementation steps for "What will be done" section of narrative

Goal	Objective	Action steps	Need(s) that it responds to	Who is responsible?	Timeline
Sample:					
Provide in- person services safely	Ensure sufficient personal protective equipment for shelter workers	Purchase personal protective equipment	Need to enhance safety from COVID at shelter and restart in- person support groups	Tribal shelter director	<i>Within first two months of award.</i>
	Ensure sufficient cleaning of high traffic areas	Purchase cleaning supplies Contract with a cleaning service to come in every day at a set time	Need to enhance safety from COVID at shelter and restart in- person support groups	Tribal Shelter Administrator	Initial purchase of equipment and start of contract within the first two months. Ongoing after that.

Appendix B

Statutory Program Purpose Areas for Grants to Indian Tribal Governments

Tribal Governments Program Purpose Areas

(1) Develop and enhance effective governmental strategies to curtail violent crimes against and increase the safety of Indian women consistent with tribal law and custom.

(2) Increase tribal capacity to respond to domestic violence, dating violence, sexual assault, sex trafficking, and stalking crimes against Indian women.

(3) Strengthen tribal justice interventions including tribal law enforcement, prosecution, courts, probation, correctional facilities.

(4) Enhance services to Indian women victimized by domestic violence, dating violence, sexual assault, sex trafficking, and stalking.

(5) Work in cooperation with the community to develop education and prevention strategies directed toward issues of domestic violence, dating violence, sexual assault, sex trafficking, and stalking.

(6) Provide programs for supervised visitation and safe visitation exchange of children in situations involving domestic violence, sexual assault, or stalking committed by one parent against the other with appropriate security measures, policies, and procedures to protect the safety of victims and their children.

(7) Provide transitional housing for victims of domestic violence, dating violence, sexual assault, sex trafficking, or stalking, including rental or utilities payments assistance and assistance with related expenses such as security deposits and other costs incidental to relocation to transitional housing, and support services to enable a victim of domestic violence, dating violence, sexual assault, sex trafficking, or stalking to locate and secure permanent housing and integrate into a community.

(8) Provide legal assistance necessary to provide effective aid to victims of domestic violence, dating violence, stalking, sex trafficking, or sexual assault who are seeking relief in legal matters arising as a consequence of that abuse or violence, at minimal or no cost to the victims.

(9) Provide services to address the needs of youth who are victims of domestic violence, dating violence, sexual assault, sex trafficking, or stalking and the needs of youth and children exposed to domestic violence, dating violence, sexual assault, or stalking, including support for the nonabusing parent or the caretaker of the youth or child.

(10) Develop and promote legislation and policies that enhance best practices for responding to violent crimes against Indian women, including the crimes of domestic violence, dating violence, sexual assault, sex trafficking, and stalking.