



# APPENDICES



# APPENDIX A

## Improper Payments Information Act (as amended by the IPERA) Reporting Details

The Improper Payments Information Act of 2002 (IPIA), as amended by the Improper Payments Elimination and Recovery Act of 2010 (IPERA), requires agencies to annually report information on improper payments to the President and Congress through their annual Performance and Accountability Report. In accordance with that requirement and the implementing guidance in OMB Circular A-123, Appendix C, *Requirements for Effective Measurement and Remediation of Improper Payments*, and OMB Circular A-136, *Financial Reporting Requirements*, the Department provides the following improper payments reporting details.

**Item I. Risk Assessment. Briefly describe the risk assessment performed (including the risk factors examined, if appropriate) subsequent to completing a full program inventory. List the risk-susceptible programs (i.e., programs that have a significant risk of improper payments based on OMB guidance thresholds) identified by the agency risk assessment. Highlight any changes to the risk assessment methodology or results that occurred since the FY 2010 IPIA report.**

In accordance with the IPIA, as amended by the IPERA, and the April 2011 OMB implementing guidance, OMB Circular A-123, Appendix C, the Department assessed its programs and activities for susceptibility to significant improper payments. In FY 2011, the Department updated its top-down approach for assessing the risk of significant improper payments Department-wide to allow for the analysis and reporting of results by the Department's five mission-aligned programs – Law Enforcement; Litigation; Prison and Detention; State, Local, Tribal, and Other Assistance; and Administrative, Technology, and Other. The updated approach promoted consistency across the Department in implementing the expanded requirements of the IPERA. In conjunction with implementing the updated approach, the Department developed and disseminated guidance for conducting the required risk assessment, along with a risk assessment survey instrument for Departmental component use in examining disbursement activities against nine risk factors, such as payment volume and process complexity.<sup>1</sup> The instrument covered commercial payments, grants and cooperative agreements, benefit and assistance payments, payments to State and local governments, and custodial payments.

The Department's risk assessment methodology for FY 2011 did not change significantly from FY 2010; i.e., for FY 2011, the methodology again included assessing risk against various risk factors and for various payment types. The primary differences for FY 2011 were that the Department's updated risk assessment methodology included the additional types of payments required by the IPERA and OMB implementing guidance, as well as the reporting of information by the Department's five mission-aligned programs.

The results of the FY 2011 risk assessment did not differ from FY 2010; i.e., the Department concluded based on the results of the Department-wide risk assessment for the period ending September 30, 2011, that there were no programs susceptible to significant improper payments, i.e., improper payments exceeding the OMB thresholds of both 2.5 percent of program outlays and \$10 million, or \$100 million.

**Item II. Statistical Sampling. Any agency that has programs or activities that are susceptible to significant improper payments shall briefly describe the statistical sampling process conducted to estimate the improper payment rate for each program identified with a significant risk of improper**

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<sup>1</sup> The nine risk factors examined during the risk assessment were Policies and Procedures; Results of OMB Circular A-123 Assessment, OIG Audits/Reviews, and other External Audits/Reviews; Corrective Actions; Results of Monitoring Activities; Results of Recapture Audit Activities; Process Complexities; Volume and Dollar Amount of Payments; Control Risk; and Capability of Personnel.

**payments. Highlight any changes to the statistical sampling process that have occurred since the FY 2010 IPIA report.**

Not applicable. Based on the results of the FY 2011 Department-wide risk assessment, there were no programs susceptible to significant improper payments. This remains unchanged from FY 2010.

**Item III. Corrective Actions. Any agency that has programs or activities that are susceptible to significant improper payments shall describe the corrective action plans for:**

- A. Reducing the estimated improper payment rate and amount for each type of root cause identified. Agencies shall report root cause information (including error rate and error amount) based on the following three categories: Administrative and Documentation errors, Authentication and Medical Necessity errors, and Verification errors. This discussion must include the corrective actions, planned or taken, most likely to significantly reduce future improper payments due to each type of error an agency identifies, the planned or actual completion date of these actions, and the results of the actions taken to address these root causes. If efforts are ongoing, it is appropriate to include that information in this section and to highlight current efforts, including key milestones. Agencies may also report root cause information based on additional categories, or sub-categories, of the three categories listed above, if available.**

Not applicable. Based on the results of the FY 2011 Department-wide risk assessment, there were no programs susceptible to significant improper payments.

- B. Grant-making agencies with risk-susceptible grant programs shall briefly discuss what the agency has accomplished in the area of funds stewardship past the primary recipient. Discussion shall include the status of projects and results of any reviews.**

Not applicable. Based on the results of the FY 2011 Department-wide risk assessment, there were no programs susceptible to significant improper payments, to include grant programs.

**Item IV. Improper Payments Reporting.**

- A. Any agency that has programs or activities that are susceptible to significant improper payments must provide the following information in a table:**
- all risk-susceptible programs must be listed whether or not an error measurement is being reported;
  - where no measurement is provided, the agency should indicate the date by which a measurement is expected;
  - if the Current Year (CY) is the baseline measurement year, and there is no Previous Year (PY) information to report, indicate by either "Note" or "N/A" in the PY column;
  - if any of the dollar amounts included in the estimate correspond to newly established measurement components in addition to previously established measurement components, separate the two amounts to the extent possible;
  - agencies are expected to report on CY activity or, if not feasible, PY activity is acceptable if approved by OMB. Agencies should include future year outlay and improper payment estimates for CY+1, +2, and +3 (future year outlay estimates should match the outlay estimates for those years as reported in the most recent President's Budget).

Not applicable. Based on the results of the FY 2011 Department-wide risk assessment, there were no programs susceptible to significant improper payments.

- B. Agencies should include the gross estimate of the annual amount of improper payments (i.e., overpayments plus underpayments) and should list the total overpayments and underpayments that make up the current year amount. In addition, agencies are also allowed to calculate and report a second estimate that is a net total of both overpayments and**

**underpayments (i.e., overpayments minus underpayments). The net estimate is an additional option only and cannot be used as a substitute for the gross estimate.**

Not applicable. Based on the results of the FY 2011 Department-wide risk assessment, there were no programs susceptible to significant improper payments.

#### **Item V. Recapture of Improper Payments Reporting.**

- A. An agency shall discuss payment recapture audit (or recovery auditing) efforts, if applicable. The discussion should describe the agency's payment recapture audit program, the actions and methods used by the agency to recoup overpayments, a justification of any overpayments that have been determined not to be collectable, and any conditions giving rise to improper payments and how those conditions are being resolved (e.g., the business process changes and internal controls instituted and/or strengthened to prevent further occurrences). If the agency has excluded any programs or activities from review under its payment recapture audit program (including any programs or activities where the agency has determined a payment recapture audit program is not cost-effective), the agency should list those programs and activities excluded from the review, as well as the justification for doing so. Include in the discussion the dollar amount of cumulative recoveries collected beginning with FY 2004.**

The Department's payment recapture audit program is part of its overall program of internal control over disbursements. The program includes establishing and assessing internal controls to prevent improper payments, reviewing disbursements to identify improper payments, assessing root causes of improper payments, developing corrective action plans, and tracking the recovery of improper payments and disposition of recovered funds. In FY 2011, the Department updated its top-down approach for tracking and reporting the results of recovery auditing activities to promote consistency across the Department in implementing the expanded requirements of the IPERA. In conjunction with implementing the updated approach, the Department developed and disseminated a template to assist components in analyzing root causes of improper payments and tracking the recovery of such payments and disposition of recovered funds.

The root causes for overpayments other than for grants largely fell within the OMB-defined error category of Documentation and Administrative, as most errors were overpayments resulting from duplicate payments or data entry errors. Departmental components have implemented corrective actions to address specific areas where improvements could be made. For example, to reduce duplicate payments, components within the Offices, Boards, and Divisions have increased the use of analytics by instituting searches of vendor invoice records prior to processing disbursements. To reduce data entry errors, the Federal Bureau of Investigation (FBI) increased its use of electronic billing and consolidation of invoices.

The root causes for grant overpayments also largely fell within the Documentation and Administrative category, as most involved questioned costs, e.g., expenditures that did not have sufficient documentation to support the cost. To reduce the risk of these types of overpayments, the Department's granting components expanded training and communications informing grantees of their responsibilities related to receiving Federal awards.

Departmental components have also taken actions to facilitate the recovery of improper payments. For example, the FBI produces an accounts receivable report to track the age and collection efforts for all uncollected improper payments. The Office of Justice Programs' (OJP) actions include generating a report at least quarterly that identifies potential duplicate disbursements, researching the questionable disbursements, resolving issues to identify payments that were proper, and initiating recovery actions for payments deemed to be improper. The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) issues demand letters to debtors notifying them of the status of the debt, the date payment is due, where to send payment, and the collection actions the ATF can pursue to recover the debt.

The Department excluded employee disbursements and intra-governmental payments from the scope of its payment recapture audit program in accordance with the IPERA and OMB implementing guidance. The Department also excluded payments to confidential informants because of its responsibility to protect sensitive law enforcement information. Lastly, the Department excluded payments at Drug Enforcement Administration (DEA) foreign offices, because the DEA obtains the services of the Department of State for certifying and disbursing payments on behalf of the DEA at foreign offices.

In accordance with the IPERA and OMB implementing guidance, the Department measured payment recapture performance under the expanded scope of its payment recapture audit program. Based on performance for the period ending September 30, 2011, the Department achieved an overall improper payment recovery rate of 86 percent – 1 percent better than the OMB target rate of 85 percent that agencies are to strive to achieve by FY 2013. There were no FY 2011 overpayments that were determined not to be collectable. Table 1B provided later in this section provides additional detail on the approximate \$33.0 million in improper payments identified in FYs 2004 through 2011 and the approximate \$28.4 million of recovered funds.

**B. Complete the tables below (if any of this information is not available, indicate by either “Note” or “N/A” in the relevant column or cell):**

Note: To allow information to be easily viewable, the Department reformatted the table in OMB Circular A-136 into three separate tables. Table 1A provides information on the total amount of disbursements subject to review in FY 2011, as well as the total amount reviewed under the Department’s payment recapture audit program. As shown in the table, the Department reviewed 100 percent of its FY 2011 disbursements, except for the payments excluded from review as discussed in Item V.A.

**Table 1A  
Payment Recapture Audit Reporting Scope**

DOJ Mission-Aligned Program	Type of Payment (includes only the types made per program)	Amount Subject to Review for FY 2011 Reporting	Actual Amount Reviewed and Reported in FY 2011	Percent Reviewed
Administrative, Technology, and Other	Commercial	\$757,647,071	\$757,647,071	100%
	Custodial	\$516,597,093	\$516,597,093	100%
Litigation	Commercial	\$689,725,587	\$689,725,587	100%
Law Enforcement	Commercial	\$4,422,205,055	\$4,422,205,055	100%
	State and Local Governments	\$1,116,361,158	\$1,116,361,158	100%
State, Local, Tribal, and Other Assistance	Benefits and Assistance	\$130,341,406	\$130,341,406	100%
	Commercial	\$106,937,122	\$106,937,122	100%
	Grants and Cooperative Agreements	\$3,808,605,661	\$3,808,605,661	100%
Prisons and Detention	Commercial	\$3,312,945,299	\$3,312,945,299	100%
	State and Local Governments	\$754,136,121	\$754,136,121	100%
<b>Total</b>		<b>\$15,615,501,573</b>	<b>\$15,615,501,573</b>	<b>100%</b>

Table 1B provides the cumulative results of payment recapture audit activities for the eight-year period of FYs 2004 through 2011. As shown in the table, as of the end of FY 2011, the Department had recovered 86 percent of the improper payments identified for recovery. The Department reported a cumulative recovery rate of 94 percent in its FY 2010 PAR. The decreased rate for FY 2011 is attributed to the increased scope of payment recapture audit reporting required under the IPERA; i.e., in FY 2010, agencies were required to report recovery rates for commercial payments only; whereas, in FY 2011, agencies are required to report on additional types of payments, such as payments to State and local governments, benefit payments, and grants. As shown in the table, the recovery rate for grants was approximately 58 percent, while the recovery rate for all other types of payments ranged from 91 percent to 100 percent. The lower recovery rate for grants is attributed in part to factors that extend the time frame for receiving recovered grant funds. For example, the OJP has referred approximately \$1.2 million to the Treasury for collection and is, thus, dependent on the Treasury's collection processing schedule. In addition, some grantees have been placed on multi-year repayment programs based on ability to pay and other factors, which also extends the time frame for receiving recovered grant funds.

**Table 1B  
Cumulative Payment Recapture Audit Reporting**

DOJ Mission-Aligned Program	Type of Payment (includes only the types made per program)	FYs 2004 through 2011					
		Cumulative Improper Payments Identified for Recovery	Cumulative Improper Payments Recovered	Recovery Rate (Percent of Cumulative Improper Payments Recovered out of Cumulative Improper Payments Identified for Recovery)	Cumulative Improper Payments Outstanding	Percent Outstanding (Percent of Cumulative Improper Payments Outstanding out of Cumulative Improper Payments Identified for Recovery)	Cumulative Overpayments Determined Not to be Collectable
Administrative, Technology, and Other	Commercial	\$667,081	\$665,134	99.7%	\$1,947	0.3%	\$0
	Custodial	\$0	\$0	N/A	\$0	N/A	N/A
Litigation	Commercial	\$2,681,015	\$2,591,316	96.7%	\$89,699	3.3%	\$0
Law Enforcement	Commercial	\$15,939,236	\$14,782,295	92.7%	\$1,156,941	7.3%	\$0
	State and Local Governments	\$0	\$0	N/A	\$0	N/A	N/A
State, Local, Tribal, and Other Assistance	Benefits and Assistance	\$10,000	\$10,000	100.0%	\$0	0%	\$0
	Commercial	\$356,861	\$356,861	100.0%	\$0	0%	\$0
	Grants and Cooperative Agreements	\$6,435,379	\$3,712,556	57.7%	\$2,722,823	42.3%	\$0
Prisons and Detention	Commercial	\$6,740,090	\$6,137,312	91.1%	\$602,778	8.9%	\$0
	State and Local Governments	\$153,878	\$153,878	100.0%	\$0	0%	\$0
<b>Total</b>		<b>\$32,983,540</b>	<b>\$28,409,352</b>	<b>86.1%</b>	<b>\$4,574,188</b>	<b>13.9%</b>	<b>\$0</b>

Table 1C provides the results of payment recapture audit activities separately by current year (FY 2011) and previous years (FYs 2004 through 2010 combined). As shown in the table, for the first two Departmental programs, the amount of commercial improper payments recovered in FY 2011 exceeded the amount identified for recovery due to the recovery during FY 2011 of improper payments identified in previous years.

**Table 1C**  
**Payment Recapture Audit Reporting by Current Year and Previous Years**

DOJ Mission-Aligned Program	Type of Payment (includes only the types made per program)	Current Year (FY 2011)				Previous Years (FYs 2004 through 2010)	
		Improper Payments Identified for Recovery	Improper Payments Recovered	Overpayments Determined Not to be Collectable	Percent of Overpayments Determined Not to be Collectable out of Improper Payments Identified for Recovery	Improper Payments Identified for Recovery	Improper Payments Recovered
Administrative, Technology, and Other	Commercial	\$155,512	\$261,351	\$0	N/A	\$511,569	\$403,783
	Custodial	\$0	\$0	\$0	N/A	\$0	\$0
Litigation	Commercial	\$455,062	\$943,442	\$0	N/A	\$2,225,953	\$1,647,874
Law Enforcement	Commercial	\$3,556,735	\$2,668,526	\$0	N/A	\$12,382,501	\$12,113,769
	State and Local Governments	\$0	\$0	\$0	N/A	\$0	\$0
State, Local, Tribal, and Other Assistance	Benefits and Assistance	\$10,000	\$10,000	\$0	N/A	\$0	\$0
	Commercial	\$326,377	\$326,377	\$0	N/A	\$30,484	\$30,484
	Grants and Cooperative Agreements	\$6,435,379	\$3,712,556	\$0	N/A	\$0	\$0
Prisons and Detention	Commercial	\$1,541,380	\$1,192,287	\$0	N/A	\$5,198,710	\$4,945,025
	State and Local Governments	\$153,878	\$153,878	\$0	N/A	\$0	\$0
<b>Total</b>		<b>\$12,634,323</b>	<b>\$9,268,417</b>	<b>\$0</b>	<b>N/A</b>	<b>\$20,349,217</b>	<b>\$19,140,935</b>

If an agency has a payment recapture audit program in place, then the agency is required to establish annual targets to drive their annual performance. The targets shall be based on the rate of recovery. Agencies are expected to report current year amounts and rates, as well as recovery rate targets for three years.

Table 2 provides current year (FY 2011) payment recapture audit activities information, cumulative information (FYs 2004 through 2011), and recovery rate targets for three years. As shown in the current year section of the table, for the first two Departmental programs, the amount of commercial improper payments recovered exceeded the amount identified for recovery due to the recovery during FY 2011 of improper payments identified in previous years. As also shown in the table, the Department achieved an overall improper payment recovery rate of 86 percent as of the end of FY 2011 – 1 percent better than the OMB target rate of 85 percent that agencies are to strive to achieve by FY 2013. In FY 2012, the Department will focus on improving the recovery rate for grants and sustaining the high recovery rates for all other types of payments.

**Table 2  
Improper Payments Recovery Rates and Targets**

DOJ Mission-Aligned Program	Type of Payment (includes only the types made per program)	Current Year (FY 2011)			Cumulative (FYs 2004 through 2011)			Recovery Rate Targets		
		Improper Payments Identified for Recovery	Improper Payments Recovered	Recovery Rate	Improper Payments Identified for Recovery	Improper Payments Recovered	Recovery Rate	FY 2012	FY 2013	FY 2014
Administrative, Technology, and Other	Commercial	\$155,512	\$261,351	168.1%	\$667,081	\$665,134	99.7%	99%	99%	99%
	Custodial	\$0	\$0	N/A	\$0	\$0	N/A	85%	85%	85%
Litigation	Commercial	\$455,062	\$943,442	207.3%	\$2,681,015	\$2,591,316	96.7%	96%	96%	96%
Law Enforcement	Commercial	\$3,556,735	\$2,668,526	75.0%	\$15,939,236	\$14,782,295	92.7%	92%	92%	92%
	State and Local Governments	\$0	\$0	N/A	\$0	\$0	N/A	85%	85%	85%
State, Local, Tribal, and Other Assistance	Benefits and Assistance	\$10,000	\$10,000	100.0%	\$10,000	\$10,000	100.0%	99%	99%	99%
	Commercial	\$326,377	\$326,377	100.0%	\$356,861	\$356,861	100.0%	99%	99%	99%
	Grants and Cooperative Agreements	\$6,435,379	\$3,712,556	57.7%	\$6,435,379	\$3,712,556	57.7%	65%	85%	85%
Prisons and Detention	Commercial	\$1,541,380	\$1,192,287	77.4%	\$6,740,090	\$6,137,312	91.1%	91%	91%	91%
	State and Local Governments	\$153,878	\$153,878	100.0%	\$153,878	\$153,878	100.0%	99%	99%	99%
<b>Total</b>		<b>\$12,634,323</b>	<b>\$9,268,417</b>	<b>73.4%</b>	<b>\$32,983,540</b>	<b>\$28,409,352</b>				

86.1%

**C. In addition, agencies shall report the following information on their payment recapture audit programs, if applicable:**

- i. **An aging schedule of the amount of overpayments identified through the payment recapture audit program that are outstanding (i.e., overpayments that have been identified but not recovered). Typically, the aging of an overpayment begins at the time the overpayment is detected. Indicate with a note whenever that is not the case.**

Table 3 provides the aging schedule for the Department's overpayments that were outstanding (not recovered) as of the end of FY 2011. As shown in the table, of the approximate \$1 million of overpayments that was outstanding more than a year, more than \$900,000 (or 88 percent) was grants. As mentioned, in FY 2012, the Department will focus on improving the recovery rate for grants.

**Table 3  
Aging of Cumulative Outstanding Overpayments**

DOJ Mission-Aligned Program	Type of Payment (includes only the types made per program)	Amount Outstanding (0 to 6 months)	Amount Outstanding (6 months to 1 year)	Amount Outstanding (over 1 year)
Administrative, Technology, and Other	Commercial	\$1,355	\$114	\$478
	Custodial	\$0	\$0	\$0
Litigation	Commercial	\$69,035	\$6,961	\$13,703
Law Enforcement	Commercial	\$15,746	\$1,034,736	\$106,459
	State and Local Governments	\$0	\$0	\$0
State, Local, Tribal, and Other Assistance	Benefits and Assistance	\$0	\$0	\$0
	Commercial	\$0	\$0	\$0
	Grants and Cooperative Agreements	\$644,379	\$1,158,132	\$920,312
Prisons and Detention	Commercial	\$11,793	\$587,750	\$3,235
	State and Local Governments	\$0	\$0	\$0
<b>Total</b>		<b>\$742,308</b>	<b>\$2,787,693</b>	<b>\$1,044,187</b>

- ii. A summary of how recovered amounts have been disposed of (if any of this information is not available, indicate by either “Note” or “N/A” in the relevant column or cell).

Table 4 provides the disposition information for the improper payments the Department recovered in FY 2011. As shown in the table, almost \$7.8 million of the approximate \$9.3 million recovered (or 84 percent) was returned to the original funds from which the payments were made; another \$1.1 million (or 12 percent) was returned to the Treasury.

**Table 4  
Disposition of FY 2011 Recovered Funds**

DOJ Mission-Aligned Program	Type of Payment (includes only the types made per program)	Improper Payments Recovered in FY 2011	Disposition						
			Returned to Original Fund	Agency Expenses to Administer the Program	Payment Recapture Auditor Fees	Financial Management Improvement Activities	Used for Original Purpose	Office of the Inspector General	Returned to the Treasury
Administrative, Technology, and Other	Commercial	\$261,351	\$249,991						\$11,360
	Custodial	\$0							
Litigation	Commercial	\$943,442	\$943,442						
Law Enforcement	Commercial	\$2,668,526	\$2,325,015				\$343,511		
	State and Local Governments	\$0							
State, Local, Tribal, and Other Assistance	Benefits and Assistance	\$10,000	\$10,000						
	Commercial	\$326,377	\$326,377						
	Grants and Cooperative Agreements	\$3,712,556	\$2,605,592						\$1,106,964
Prisons and Detention	Commercial	\$1,192,287	\$1,179,731						\$12,556
	State and Local Governments	\$153,878	\$153,878						
<b>Total</b>		<b>\$9,268,417</b>	<b>\$7,794,026</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$343,511</b>	<b>\$0</b>	<b>\$1,130,880</b>

- D. As applicable, agencies should also report on improper payments identified and recovered through sources other than payment recapture audits. For example, agencies could report on improper payments identified through statistical samples conducted under the IPIA, agency post-payment reviews or audits, Office of the Inspector General reviews, Single Audit reports, self-reported overpayments, or reports from the public. Specific information on additional required reporting for contracts is included in Section 7 of OMB memorandum M-11-04, issued in November 2010. Reporting this information is required for FY 2011 reporting and beyond. If previous year information is not available, indicate by a “Note.”**

The Department’s payment recapture audit program leverages both internal and external efforts to identify improper payments. The reporting in Tables 1B through 5 is inclusive of all overpayments, regardless of whether they were identified through internal or external sources. Table 5 provides information on the overpayments that were identified in FY 2011 by source, i.e., through internal efforts or by auditors, vendors, or payment recapture audit contractors. The table also provides FY 2011 recovery information associated with overpayments identified by those sources. The table provides information for FY 2011 only, as the Department did not track this level of detail in previous years.

**Table 5  
Sources of Identifying Overpayments**

Source	Improper Payments Identified in FY 2011	Improper Payments Recovered in FY 2011
Internal Efforts	\$5,249,056	\$4,308,320
Auditors (e.g., by the OIG or audits for OMB Circular A-133)	\$5,909,309	\$3,290,056
Vendors	\$1,475,958	\$1,658,681
Payment Recapture Audit Contractors	\$0	\$11,360
<b>Total</b>	<b>\$12,634,323</b>	<b>\$9,268,417</b>

**Item VI. Accountability. Any agency that has programs or activities that are susceptible to significant improper payments shall describe the steps the agency has taken and plans to take (including timeline) to ensure that agency managers, accountable officers (including the agency head), programs, and States and localities (where appropriate) are held accountable for reducing and recovering improper payments. Specifically, they should be held accountable for meeting applicable improper payments reduction targets and establishing and maintaining sufficient internal controls (including an appropriate control environment) that effectively prevents improper payments from being made and promptly detects and recovers any improper payments that are made.**

Not applicable. Based on the results of the FY 2011 Department-wide risk assessment, there were no programs susceptible to significant improper payments.

**Item VII. Agency Information Systems and Other Infrastructure.**

- A. Describe whether the agency has the internal controls, human capital, and information systems and other infrastructure it needs to reduce improper payments to the levels the agency has targeted.**

The results of the FY 2011 Department-wide risk assessment demonstrated that, overall, the Department has sufficient internal controls over disbursement activities to prevent improper payments. The assessment identified no programs susceptible to significant improper payments.

Department-wide actions to reduce improper payments are accomplished through an aggressive strategy of re-engineering and standardizing business processes, concurrent with the Department’s

implementation of an integrated financial management system, which is underway. As of the end of FY 2011, all Departmental components reported that they had sufficient internal controls, human capital, and the information systems and other infrastructure needed to reduce improper payments to targeted levels.

In addition to the Department's actions to improve agency information systems and infrastructure, individual components have taken actions to incorporate additional controls into their financial systems to reduce improper payments. For example, in FY 2011, the Federal Prison Industries (FPI) purchased a Document Management Service for processing accounts payable vendor invoices, which the FPI plans to implement the first quarter of FY 2012. The increased capability will provide end-to-end automation that integrates document automation, reconciliation, voucher processing, workflow for approvals, and detailed reporting information.

**B. If the agency does not have such internal controls, human capital, and information systems and other infrastructure, describe the resources the agency requested in its most recent budget submission to Congress to establish and maintain the necessary internal controls, human capital, and information systems and other infrastructure.**

Not applicable. The continued implementation of the Department's integrated financial management system will complement the Department's current infrastructure and capabilities to reduce improper payments.

**Item VIII. Barriers. Describe any statutory or regulatory barriers that may limit the agency's corrective actions in reducing improper payments and actions taken by the agency to mitigate the barriers' effects.**

The Department has not identified any statutory or regulatory barriers that limit its corrective actions in reducing improper payments.

**Item IX. Additional Comments. Discuss any additional comments, if any, on overall agency efforts, specific programs, best practices, or common challenges identified as a result of IPERA implementation.**

The Department recognizes the importance of maintaining adequate internal controls to ensure proper payments and is committed to the continuous improvement of the overall disbursement management process. The Department's use in FY 2011 of a top-down approach for implementing the expanded requirements of the IPERA promoted consistency across the Department, both with regard to conducting the required risk assessment and for tracking and reporting payment recapture audit activities. In FY 2012, the Department will continue its efforts to further reduce improper payments, as well as improve the recovery rate for grants – the only type of payment for which the Department's recovery rate as of the end of FY 2011 was below 90 percent.

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# APPENDIX B

## FY 2011 Financial Management Status Report

This financial management status report describes the Department's three most significant and overarching financial management initiatives – achieving a clean audit opinion, implementing a new Department-wide financial management system, and continually improving internal controls. The President's emphasis on transparency emphasizes the significance of federal government performance and accountability to achieve successful results. The ultimate goal is accurate and timely financial information on a recurring basis. These initiatives support the Department's overall goal to improve management and administration of the Department's programs while also supporting mandates such as the CFO Act, the Government Management Reform Act (GMRA), the Federal Financial Management Improvement Act (FFMIA), the Government Performance and Results Act (GPRA), Federal Managers' Financial Integrity Act (FMFIA), and the Debt Collection Improvement Act (DCIA) of 1996.

### **Reliable Financial Statements and Meeting Due Dates for Financial Statements.**

KPMG LLP, an independent public accounting firm under contract with the Department's Office of the Inspector General, performed the FY 2011 consolidated Department audit. The Department earned an unqualified opinion on its audited consolidated financial statements for FY 2011. All nine of the Department's components that produce financial statements received unqualified opinions, as well. The Department and components continued to demonstrate progress in remediating weaknesses identified by the independent auditors. The Department has consistently met the OMB due date for submission of the consolidated financial statements. Ensuring these deadlines are met required planning and coordination which included issuance of the annual Financial Statement Requirements and Preparation Guide (Guide). The Guide includes a detailed timeline of major events and interim milestones. This, along with components' corrective actions quarterly status updates, adds to the foundation necessary to eliminate auditor-reported internal control material weaknesses. For FY 2011 and beyond, the Department expects to maintain its consistent status on its audited consolidated financial statements.

### **Integrated Financial Management System.**

The Unified Financial Management System (UFMS) initiative is the keystone to the Department's strategy for financial systems improvement. UFMS is replacing multiple core financial management and procurement systems with an integrated Commercial Off-The-Shelf (COTS) solution, Momentum, provided by CGI Federal Inc. Implementation of UFMS is streamlining and standardizing business processes and procedures across DOJ Components.

As of September 2011, UFMS supports more than 2,500 users worldwide from the DEA (fully implemented), ATF (fully implemented), Asset Forfeiture Program/AFP (Phase 1), BOP (UFMS Acquisitions), FBI (Phase 1), and USMS (UFMS Acquisitions). By FY 2014 UFMS will serve as the financial system of record for 4 DOJ components (DEA, ATF, USMS and FBI), and AFP.

Major accomplishments in FY 2011 include the following:

- In October 2010, ATF deployed UFMS 2.0 as their financial system of record making it the second major DOJ law enforcement component to successfully implement UFMS. ATF is the first organization to use UFMS 2.0, which is configured to securely support multiple DOJ organizations and includes over 100 enhancements to the base product.

- FY 2011 is the third year DEA relied on the UFMS as the system of record. DEA has received a clean audit opinion for the thirteenth consecutive year.
- The USMS has a base-lined schedule for deployment of the UFMS 2.0 across the organization in October 2012. Requirements are final, design and configuration are underway; conversion and testing efforts are on target to begin in October 2011.
- Deployment of the UFMS 2.0 for the JMD/AFP Phase 2 is planned for October 2012, concurrent with the deployment to the USMS.
- The FBI kicked off Phase 2 of their UFMS implementation in November 2010. FBI and JMD reached an agreement in May 2011 that FBI will host the UFMS-SECRET domain, which includes a technical refresh of UFMS.
- In January 2011, the oversight of production services was transitioned to the JMD, Finance Staff, Unified Financial Systems Group. Centralized services include batch processing, system administration, critical incident response and coordination with Tier 1 help desk staff at the component.

### **Federal Financial Management Improvement Act (FFMIA) Remediation Plan and A-123 Compliance.**

During FY 2011, the Department continued efforts to resolve internal control weaknesses in critical areas by providing oversight and resources to individual components. Through ongoing review programs, components aggressively demonstrated their commitment to identify areas of concern and implement corrective actions promptly. The Department also continued to demonstrate progress on its multiyear project to implement an integrated financial management system. This system will eventually provide a single source for timely and reliable financial data, and strengthen the Department's overall control environment. The system will also facilitate the collection of information, increase transparency, and enhance decision-making by program managers.

The Department continued its commitment to improving and strengthening controls through the annual OMB Circular A-123, Appendix A assessment. Using a top-down risk-based approach, efforts were focused on significant areas where the risk of material errors in financial reporting could occur. Efforts are continuing to coordinate and leverage existing reviews and assessments of the controls over significant financial information systems. These actions, coupled with the Department's corrective action plan process, have enabled the Department to monitor the components' progress against corrective action plans more timely and, when necessary, provide additional resources to correct control weaknesses.

# APPENDIX C

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## Major Program Evaluations Completed During FY 2011

### **Government Accountability Office (GAO) Assessment of DOJ's Law Enforcement Coordination between the FBI, ATF, DEA, and USMS**

The Department of Justice law enforcement components—ATF, DEA, FBI, and USMS—have overlapping jurisdiction over violent crime investigations, specifically when they involve illegal drugs, gang violence, firearms, explosives, arson, and fugitive apprehension. The GAO assessed the extent to which selected agents are clear on their agencies' roles and responsibilities, and how components determine and coordinate roles and responsibilities to avoid unnecessary use of resources. The GAO reviewed documents such as Department directives and interviewed DOJ component officials in headquarters and nine cities, which were selected based on population and the presence of all DOJ components. The GAO also surveyed a randomly selected, non-generalized sample of 315 field agents. The results provided information about the range of perspectives of surveyed agents.

GAO recommends that DOJ assess options to better identify and diagnose disagreements in the field and take action to limit the negative impacts from disagreements over jurisdictional overlap for some criminal investigations.

### **GAO Review of DOJ's Compliance with the National Child Search Assistance Act**

The National Child Search Assistance Act, as amended, requires that within two hours of receiving a missing child report, law enforcement agencies (LEAs) enter the report into the Department's National Crime Information Center (NCIC), a clearinghouse of information instantly available to LEAs nationwide. DOJ's Criminal Justice Information Services (CJIS), the CJIS Advisory Policy Board, and state criminal justice agencies share responsibility for overseeing this requirement. GAO examined 1) CJIS's and the Board's efforts to implement and monitor compliance with the requirement; and 2) selected LEA-reported challenges with timely entry and DOJ's actions to assist LEAs in addressing them.

GAO recommends that CJIS and the Board consider establishing minimum standards for states to use to monitor compliance with the 2-hour rule and CJIS and Office of Juvenile Justice and Delinquency Prevention (OJJDP) use existing mechanisms to obtain and share information on LEAs challenges and successful efforts to mitigate them. In their meeting with the Board in June 2011, the FBI suggested to the Board to consider revising the current sanctions policy which provides that no agency will be referred within the 3-year initial policy audit rollout period. The FBI also proposed that the Board consider agencies found to be non-compliant be referred immediately for sanctions.

### **Audit by the Office of the Inspector General on FBI's Convicted Offender Backlog**

The FBI Laboratory's Federal DNA Database Unit had effectively eliminated its backlog of convicted offender, arrestee, and detainee DNA samples. The OIG determined that the FBI reduced this backlog from over 312,000 samples in December 2009 to a workload of approximately 14,000 samples in May 2011.

While the FBI was successful in reducing its backlog, the OIG identified some concerns with reporting backlog statistics, estimating future workload, and storage space for DNA samples. Analyzing DNA samples has several stages through which each sample must sequentially pass. The OIG found that from April 2005 through May 2011, the FBI Laboratory reported to management its backlog of convicted offender, arrestee, and detainee samples by only reporting the stage with the most backlogged samples, rather than capturing all

samples being processed across all stages. Based on these findings the OIG made three recommendations designed to assist the FBI in more accurately identifying, reporting, projecting, and storing its convicted offender, arrestee, and detainee DNA sample workload. The FBI concurs with the three recommendations and will implement the recommendations proposed by the OIG.

## **Office of the Inspector General (OIG) Audit of DOJ's Processing of Clemency Petitions**

On September 26, 2011, the OIG issued its final report of the "Audit of the Department of Justice Processing of Clemency Petitions." The evaluation focused on the processes of the core mission of the Office of the Pardon Attorney (OPA), which is to receive and review all petitions addressed to the President for executive clemency for federal criminal convictions, conduct the necessary investigations, and prepare recommendations for the President as to the disposition of each case, which are reviewed and signed on behalf of the Department of Justice by the Deputy Attorney General.

The objectives of the audit, whose scope included clemency petitions pending at the beginning of FY 2005 and petitions opened between FY 2005 and FY 2010, were to determine 1) whether OPA has established effective procedures for processing and reducing the backlog of clemency petitions (defined as "all clemency petitions that have been received by the OPA, but have not received a final decision by the President or been administratively closed by the OPA"), and 2) whether DOJ components have established effective procedures to respond in a timely manner to OPA's referrals to them of clemency applications for information and comment.

The audit report found that OPA "utilize[s] a reasonable approach to investigate the merits of clemency petitions and develop its recommendations." It further found that although the backlog of petitions increased by 92 percent over the six years from the beginning of FY 2005 to the end of FY 2010, the increase "was due in part to the fact that the number of clemency petitions received by the OPA more than doubled from FY 2005 to FY 2010, and the President did not make any decisions on clemency petitions from the time he took office in January 2009 through FY 2010." Moreover, the report found that during the time period addressed by the audit, "the number of petitions processed by the OPA increased by 61 percent," and that at the end of the audit period, "more than half of the backlog was outside of DOJ's control." The audit determined that a "significant cause of the delay in processing clemency petitions was that the entities receiving referrals did not always respond to the OPA's referrals within the period of time required by the entities' internal guidelines or the period of time requested by the OPA," and that "the OPA often did not follow up on outstanding referrals within the 60 days required by its internal policy."

OPA concurred with all four recommendations in the OIG audit report, and the second recommendation has been closed based upon the detailed explanation OPA provided to the OIG of the procedure it has implemented to process referrals electronically. The remaining three recommendations are deemed resolved by the OIG and will be closed when OPA provides evidence to the OIG that it has implemented the procedures for addressing the recommendations that OPA outlined in its response to the audit.

# APPENDIX D

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## Intellectual Property Report – FY 2011

The information in this section is provided pursuant to the statutory mandate in Title 18, United States Code, Section 2320(g), which requires a report of Department of Justice prosecutions of intellectual property (IP) crimes brought under sections 2318, 2319, 2319A and 2320 of Title 18 of the United States Code. Prosecutions under other IP statutes are not included. This information has been provided by the Executive Office for United States Attorneys (EOUSA), which maintains criminal caseload information as reported by the 94 U.S. Attorneys' Offices.

The pages that follow contain summary case information, segregated by statutory provision, and preceded by a brief description of each offense. Also included is a list of cases referred for prosecution by the Bureau of Immigration and Customs Enforcement or the Bureau of Customs and Border Protection. Following the summary data is a district by district break out of the same data.

The automated case management system used to collect data for the U.S. Attorneys' Offices does not break out copyright infringement cases according to the following categories: audiovisual (videos and films); audio (sound recordings); literary works (books and musical compositions); computer programs or video games. Also, the case management system does not separately identify copyright infringement cases where the infringer advertises the infringing work online or makes the infringing work available on the Internet for download, reproduction, performance or distribution by others. Thus, that information is not included. Similarly, data on fines, penalties, settlements or restitution are not included because that information cannot be extracted from the database according to particular statutes.

**TITLE 18, UNITED STATES CODE, SECTION 2318\* - Trafficking in Counterfeit Labels for Phono Records and Copies of Motion Pictures or Other Audiovisual Works.**

Offense: knowingly trafficking in a counterfeit label affixed or designated to be affixed to a phono record or a copy of a motion picture or other audiovisual work.

FY 2011 - TOTALS (All Districts)

Referrals and Cases:

Number of Investigative Matters Received by U.S. Attorneys:	28
Number of Defendants:	49
Number of Cases Filed:	9
Number of Defendants:	25
Number of Cases Resolved/Terminated:	5
Number of Defendants:	9

Disposition of Defendants in Concluded Cases:

Number of Defendants Who Pleaded Guilty:	3
Number of Defendants Who Were Tried and Found Guilty:	2
Number of Defendants Against Whom Charge Was Dismissed:	3
Number of Defendants Acquitted:	1
Other Terminated Defendants:	0

Prison Sentencing for Convicted Defendants (# represents defendants):

No Imprisonment:	2
1 to 12 Months Imprisonment:	0
13 to 24 Months:	0
25 to 36 Months:	0
37 to 60 Months:	1
61 + Months:	2

Total Dollar Value of All Criminal Fines Imposed: Not Available  
(fines can be assessed in lieu of or in addition to prison sentences)

\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2318 was brought as any charge against a defendant. Displayed defendant outcome information is based upon the defendant's outcome on the individual charge. Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2318 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2318.

**TITLE 18, UNITED STATES CODE, SECTION 2319, TITLE 17, UNITED STATES CODE, SECTION 506\* - Criminal Infringement of a Copyright.**

Offense: willful infringement of a copyright for purposes of commercial advantage or private financial gain, or through large-scale, unlawful reproduction or distribution of a copyrighted work, regardless of whether there was a profit motive.

FY 2011 - TOTALS (All Districts)

Referrals and Cases:

Number of Investigative Matters Received by U.S. Attorneys:	82
Number of Defendants:	118
Number of Cases Filed:	46
Number of Defendants:	70
Number of Cases Resolved/Terminated:	48
Number of Defendants:	64

Disposition of Defendants in Concluded Cases:

Number of Defendants Who Pleaded Guilty:	42
Number of Defendants Who Were Tried and Found Guilty:	2
Number of Defendants Against Whom Charge Was Dismissed:	18
Number of Defendants Acquitted:	1
Other Terminated Defendants:	1

Prison Sentencing for Convicted Defendants (# represents defendants):

No Imprisonment:	25
1 to 12 Months Imprisonment:	2
13 to 24 Months:	10
25 to 36 Months:	3
37 to 60 Months:	4
61 + Months:	0

\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2319 or 17 U.S.C. 506 was brought as any charge against a defendant. However, the statutes were run together to eliminate any double counting of cases/defendants where more than one of the statutes was charged against the same defendant. Displayed defendant outcome information is based upon the defendant's outcome on the individual charge. Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2319 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2319.

**TITLE 18, UNITED STATES CODE, SECTION 2319A\* - Unauthorized Fixation of and Trafficking in Sound Recordings and Music Videos of Live Musical Performances.**

Offense: without the consent of the performer, knowingly and for the purposes of commercial advantage or private financial gain, fixing the sounds or sound and images of a live musical performance, reproducing copies of such a performance from an authorized fixation; transmitting the sounds or sounds and images to the public, or distributing, renting, selling, or trafficking (or attempting the preceding) in any copy of an authorized fixation.

FY 2011 - TOTALS (All Districts)

Referrals and Cases:

Number of Investigative Matters Received by U.S. Attorneys:	2
Number of Defendants:	2
Number of Cases Filed:	0
Number of Defendants:	0
Number of Cases Resolved/Terminated:	1
Number of Defendants:	1

Disposition of Defendants in Concluded Cases:

Number of Defendants Who Pleaded Guilty:	1
Number of Defendants Who Were Tried and Found Guilty:	0
Number of Defendants Against Whom Charge Was Dismissed:	0
Number of Defendants Acquitted:	0
Other Terminated Defendants:	0

Prison Sentencing for Convicted Defendants (# represents defendants):

No Imprisonment:	0
1 to 12 Months Imprisonment:	1
13 to 24 Months:	0
25 to 36 Months:	0
37 to 60 Months:	0
61 + Months:	0

Total Dollar Value of All Criminal Fines Imposed: Not Available (fines can be assessed in lieu of or in addition to prison sentences.)

\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2319A was brought as any charge against a defendant. Displayed defendant outcome information is based upon the defendant's outcome on the individual charge. Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2319A where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2319A.

**TITLE 18, UNITED STATES CODE, SECTION 2320\* - Trafficking in Counterfeit Goods or Services.**

Offense: intentionally trafficking or attempting to traffic in goods or services and knowingly using a counterfeit mark on or in connection with such goods or services.

FY 2011 - TOTALS (All Districts)

Referrals and Cases:

Number of Investigative Matters Received by U.S. Attorneys:	229
Number of Defendants:	340
Number of Cases Filed:	113
Number of Defendants:	134
Number of Cases Resolved/Terminated:	89
Number of Defendants:	139

Disposition of Defendants in Concluded Cases:

Number of Defendants Who Pleaded Guilty:	88
Number of Defendants Who Were Tried and Found Guilty:	9
Number of Defendants Against Whom Charge Was Dismissed:	37
Number of Defendants Acquitted:	0
Other Terminated Defendants:	5

Prison Sentencing for Convicted Defendants (# represents defendants):

No Imprisonment:	43
1 to 12 Months Imprisonment:	18
13 to 24 Months:	16
25 to 36 Months:	8
37 to 60 Months:	8
61 + Months:	4

Total Dollar Value of All Criminal Fines Imposed: Not Available  
(fines can be assessed in lieu of or in addition to prison sentences)

\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2320 was brought as any charge against a defendant. Displayed defendant outcome information is based upon the defendant's outcome on the individual charge. Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2320 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2320.

**TITLE 18, UNITED STATES CODE, SECTIONS 2318, 2319, 2319A, 2320 OR TITLE 17, UNITED STATES CODE,  
SECTION 506\***

**All Districts - All Statutes**

Referrals and Cases:

	<u>FY 07</u>	<u>FY 08</u>	<u>FY 09</u>	<u>FY 10</u>	<u>FY 11</u>
Number of Investigative Matters Received:	368	303	243	343	330
Number of Defendants:	561	467	404	543	481
Number of Cases Filed:	200	179	150	158	158
Number of Defendants:	268	239	203	239	203
Number of Cases Resolved/Terminated:	177	174	175	152	135
Number of Defendants:	278	270	230	212	206

Disposition of Defendants in Concluded Cases:

Number of Defendants Who Pleaded Guilty:	240	220	198	185	178
Number of Defendants Who Were Tried and Found Guilty:	10	8	5	7	13
Number of Defendants Against Whom Charges Were Dismissed:	15	26	21	14	11
Number of Defendants Acquitted:	1	8	2	2	1
Other Terminated Defendants:	12	8	4	4	3

Prison Sentencing for Convicted Defendants (# represents defendants):

No Imprisonment:	129	101	114	114	92
1 to 12 Months Imprisonment:	44	46	31	33	26
13 to 24 Months:	33	39	27	25	31
25 to 36 Months:	18	20	6	9	15
37 to 60 Months:	11	19	17	7	21
61 + Months:	15	3	8	4	6

**Statistics on Matters/Cases Originating with the United States Bureau of Customs & Border Protection  
and Bureau of Immigrations & Customs Enforcement\***

Referrals and Cases:

	<u><b>FY 11</b></u>
Number of Investigative Matters Received:	169
Number of Defendants:	239
Number of Cases Filed:	90
Number of Defendants:	105
Number of Cases Resolved/Terminated:	59
Number of Defendants:	81

Disposition of Defendants in Concluded Cases:

Number of Defendants Who Pleaded Guilty:	63
Number of Defendants Who Were Tried and Found Guilty:	9
Number of Defendants Against Whom Charges Were Dismissed:	7
Number of Defendants Acquitted:	0
Other Terminated Defendants:	2

Prison Sentencing for Convicted Defendants (# represents defendants):

No Imprisonment:	33
1 to 12 Months Imprisonment:	14
13 to 24 Months:	7
25 to 36 Months:	6
37 to 60 Months:	12
61+ Months:	0

\*These charts include data on any and all criminal cases/defendants where 18 U.S.C. 2318, 18 U.S.C. 2319, 18 U.S.C. 2319A, 18 U.S.C. 2320, or 17 U.S.C. 506 was brought as any charge against a defendant. However, the statutes were run together to eliminate any double counting of cases/defendants where more than one of the statutes was charged against the same defendant. Displayed defendant outcome information is based upon the overall outcome of the defendant. These charts may not include criminal cases/defendants involving 18 U.S.C. 2318, 18 U.S.C. 2319, 18 U.S.C. 2319A, 18 U.S.C. 2320, or 17 U.S.C. 506, where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate any of the identified statutes. These charts do not include data on the investigation and prosecution of other intellectual property crimes, such as economic espionage, 18 U.S.C. 1831; theft of trade secrets, 18 U.S.C. 1832; signal piracy, 47 U.S.C. 553 and 605; and circumvention of copyright protection systems, 17 U.S.C. 1201 to 1205.

TITLE 18, UNITED STATES CODE, SECTION 2318 - TRAFFICKING IN COUNTERFEIT LABELS FOR PHONO RECORDS AND COPIES OF MOTION PICTURES OR OTHER AUDIOVISUAL WORKS  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						DISPOSITION OF CHARGE				
	MATTER RECEIVE	MATTER RECEIVE	CASES FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND
ALABAMA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
ALABAMA NORTHERN	0	0	1	1	0	0	0	0	0	0	0
ALABAMA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
ALASKA	0	0	0	0	0	0	0	0	0	0	0
ARIZONA	0	0	0	0	0	0	0	0	0	0	0
ARKANSAS EASTERN	0	0	0	0	0	0	0	0	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA CENTRAL	3	3	0	0	1	3	2	0	1	0	0
CALIFORNIA EASTERN	2	15	2	15	1	2	0	2	0	0	0
CALIFORNIA NORTHERN	1	1	0	0	0	0	0	0	0	0	0
CALIFORNIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
COLORADO	1	1	0	0	0	0	0	0	0	0	0
CONNECTICUT	0	0	0	0	0	0	0	0	0	0	0
DELAWARE	1	2	0	0	0	0	0	0	0	0	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0	0	0	0	0	0
FLORIDA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
FLORIDA SOUTHERN	1	1	0	0	0	0	0	0	0	0	0
GEORGIA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
GEORGIA NORTHERN	0	0	0	0	0	1	0	0	0	1	0
GEORGIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
GUAM	0	0	0	0	0	0	0	0	0	0	0
HAWAII	0	0	0	0	0	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS CENTRAL	1	1	0	0	0	0	0	0	0	0	0
ILLINOIS NORTHERN	1	1	0	0	0	0	0	0	0	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
IOWA NORTHERN	1	1	0	0	0	0	0	0	0	0	0
IOWA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
KANSAS	0	0	0	0	0	0	0	0	0	0	0
KENTUCKY EASTERN	0	0	0	0	0	0	0	0	0	0	0
KENTUCKY WESTERN	1	1	0	0	0	0	0	0	0	0	0
LOUISIANA EASTERN	0	0	0	0	0	0	0	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
LOUISIANA WESTERN	0	0	0	0	0	0	0	0	0	0	0
MAINE	0	0	0	0	0	0	0	0	0	0	0
MARYLAND	1	1	1	1	0	0	0	0	0	0	0
MASSACHUSETTS	0	0	0	0	0	0	0	0	0	0	0
MICHIGAN EASTERN	0	0	0	0	0	0	0	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	0	0	0	0	0	0	0
MISSISSIPPI SOUTHERN	1	3	0	0	0	0	0	0	0	0	0
MISSOURI EASTERN	0	0	0	0	0	0	0	0	0	0	0
MISSOURI WESTERN	1	1	1	1	0	0	0	0	0	0	0
MONTANA	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0	0	0	0	0	0
NEVADA	0	0	0	0	0	0	0	0	0	0	0
NEW HAMPSHIRE	0	0	0	0	0	0	0	0	0	0	0
NEW JERSEY	0	0	0	0	0	0	0	0	0	0	0
NEW MEXICO	0	0	0	0	0	0	0	0	0	0	0
NEW YORK EASTERN	0	0	0	0	0	0	0	0	0	0	0
NEW YORK NORTHERN	0	0	0	0	0	0	0	0	0	0	0
NEW YORK SOUTHERN	1	1	0	0	0	0	0	0	0	0	0
NEW YORK WESTERN	0	0	0	0	0	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	0	0	0	0	0	0	0	0	0	0
NORTH CAROLINA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0	0	0	0	0	0
OHIO NORTHERN	0	0	0	0	0	0	0	0	0	0	0
OHIO SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA WESTERN	0	0	0	0	0	0	0	0	0	0	0
OREGON	0	0	0	0	0	0	0	0	0	0	0
PENNSYLVANIA EASTERN	1	1	0	0	0	0	0	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	0	0	0	0	0	0	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2318 - TRAFFICKING IN COUNTERFEIT LABELS FOR PHONO RECORDS AND COPIES OF MOTION PICTURES OR OTHER AUDIOVISUAL WORKS  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						DISPOSITION OF CHARGE					
	MATTER	MATTER	CASES	CASES	CASES	CASES	GUILTY	GUILTY	DISMISS	ACQUIT	OTHER	
	RECEIVE	RECEIVE	FILED	FILED	TERM	TERM	PLEAS	VERDICT	DEFEND	DEFEND	TERM	
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND	
PENNSYLVANIA WESTERN	0	0	0	0	0	0	0	0	0	0	0	0
PUERTO RICO	0	0	0	0	1	1	0	0	1	0	0	0
RHODE ISLAND	0	0	0	0	0	0	0	0	0	0	0	0
SOUTH CAROLINA	0	0	0	0	0	0	0	0	0	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0	0
TENNESSEE EASTERN	0	1	2	5	0	0	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0	0	0	0	0	0	0
TEXAS EASTERN	0	0	0	0	0	0	0	0	0	0	0	0
TEXAS NORTHERN	0	0	0	0	0	0	0	0	0	0	0	0
TEXAS SOUTHERN	0	0	0	0	0	0	0	0	0	0	0	0
TEXAS WESTERN	3	3	0	0	0	0	0	0	0	0	0	0
UTAH	0	0	0	0	0	0	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0	0	0	0	0	0	0
VIRGIN ISLANDS	0	0	1	1	1	1	0	0	1	0	0	0
VIRGINIA EASTERN	5	9	0	0	0	0	0	0	0	0	0	0
VIRGINIA WESTERN	0	0	0	0	0	0	0	0	0	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0	0	0	0	0	0	0
WASHINGTON WESTERN	1	1	1	1	1	1	1	0	0	0	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0	0
WISCONSIN EASTERN	0	0	0	0	0	0	0	0	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0	0	0	0	0	0	0
GRAND TOTALS	28	49	9	25	5	9	3	2	3	1	0	0

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2318 was brought as any charge against a defendant. Displayed defendant outcome information based upon the outcome of the 18 U.S.C. 2318 charge only, and does not necessarily represent the overall outcome of a defendant.

Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2318 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2318

## AUDIOVISUAL WORKS

## CRIMINAL CASELOAD STATISTICS\*

FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

## PRISON SENTENCING

DISTRICT	NOT IMPRIS	1-12 MONTHS	13-24 MONTHS	25-36 MONTHS	37-60 MONTHS	60+ MONTHS
ALABAMA MIDDLE	0	0	0	0	0	0
ALABAMA NORTHERN	0	0	0	0	0	0
ALABAMA SOUTHERN	0	0	0	0	0	0
ALASKA	0	0	0	0	0	0
ARIZONA	0	0	0	0	0	0
ARKANSAS EASTERN	0	0	0	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0
CALIFORNIA CENTRAL	2	0	0	0	0	0
CALIFORNIA EASTERN	0	0	0	0	0	2
CALIFORNIA NORTHERN	0	0	0	0	0	0
CALIFORNIA SOUTHERN	0	0	0	0	0	0
COLORADO	0	0	0	0	0	0
CONNECTICUT	0	0	0	0	0	0
DELAWARE	0	0	0	0	0	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0
FLORIDA MIDDLE	0	0	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0
FLORIDA SOUTHERN	0	0	0	0	0	0
GEORGIA MIDDLE	0	0	0	0	0	0
GEORGIA NORTHERN	0	0	0	0	0	0
GEORGIA SOUTHERN	0	0	0	0	0	0
GUAM	0	0	0	0	0	0
HAWAII	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0
ILLINOIS NORTHERN	0	0	0	0	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	0	0	0	0
IOWA NORTHERN	0	0	0	0	0	0
IOWA SOUTHERN	0	0	0	0	0	0
KANSAS	0	0	0	0	0	0
KENTUCKY EASTERN	0	0	0	0	0	0
KENTUCKY WESTERN	0	0	0	0	0	0
LOUISIANA EASTERN	0	0	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0
LOUISIANA WESTERN	0	0	0	0	0	0
MAINE	0	0	0	0	0	0
MARYLAND	0	0	0	0	0	0
MASSACHUSETTS	0	0	0	0	0	0
MICHIGAN EASTERN	0	0	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	0	0
MISSISSIPPI SOUTHERN	0	0	0	0	0	0
MISSOURI EASTERN	0	0	0	0	0	0
MISSOURI WESTERN	0	0	0	0	0	0
MONTANA	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0
NEVADA	0	0	0	0	0	0
NEW HAMPSHIRE	0	0	0	0	0	0
NEW JERSEY	0	0	0	0	0	0
NEW MEXICO	0	0	0	0	0	0
NEW YORK EASTERN	0	0	0	0	0	0
NEW YORK NORTHERN	0	0	0	0	0	0
NEW YORK SOUTHERN	0	0	0	0	0	0
NEW YORK WESTERN	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	0	0	0	0	0
NORTH CAROLINA MIDDLE	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0
OHIO NORTHERN	0	0	0	0	0	0
OHIO SOUTHERN	0	0	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0
OKLAHOMA WESTERN	0	0	0	0	0	0
OREGON	0	0	0	0	0	0
PENNSYLVANIA EASTERN	0	0	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	0	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2318 - TRAFFICKING IN COUNTERFEIT LABELS FOR PHONO RECORDS AND COPIES OF MOTION PICTURES OR OTHER  
AUDIOVISUAL WORKS  
CRIMINAL CASELOAD STATISTICS\*  
FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT	1-12	13-24	25-36	37-60	60+
	IMPRIS	MONTHS	MONTHS	MONTHS	MONTHS	MONTHS
PENNSYLVANIA WESTERN	0	0	0	0	0	0
PUERTO RICO	0	0	0	0	0	0
RHODE ISLAND	0	0	0	0	0	0
SOUTH CAROLINA	0	0	0	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0
TENNESSEE EASTERN	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0
TEXAS EASTERN	0	0	0	0	0	0
TEXAS NORTHERN	0	0	0	0	0	0
TEXAS SOUTHERN	0	0	0	0	0	0
TEXAS WESTERN	0	0	0	0	0	0
UTAH	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0
VIRGIN ISLANDS	0	0	0	0	0	0
VIRGINIA EASTERN	0	0	0	0	0	0
VIRGINIA WESTERN	0	0	0	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0
WASHINGTON WESTERN	0	0	0	0	1	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0
WISCONSIN EASTERN	0	0	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0
Grand Total	2	0	0	0	1	2

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2318 was brought as any charge against a defendant. Displayed defendant outcome information based upon the outcome of the 18 U.S.C. 2318 charge only, and does not necessarily represent the overall outcome of a defendant.

Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2318 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2318

CRIMINAL CASELOAD STATISTICS\*  
FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						DISPOSITION OF CHARGE				
	MATTER RECEIVE	MATTER RECEIVE	CASES FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	<u>COUNT</u>	<u>DEFEND</u>	<u>COUNT</u>	<u>DEFEND</u>	<u>COUNT</u>	<u>DEFEND</u>	<u>DEFEND</u>	<u>DEFEND</u>	<u>COUNT</u>	<u>COUNT</u>	<u>DEFEND</u>
ALABAMA MIDDLE	0	0	1	1	1	1	1	0	0	0	0
ALABAMA NORTHERN	2	2	0	0	0	0	0	0	0	0	0
ALABAMA SOUTHERN	1	1	1	1	2	2	1	0	1	0	0
ALASKA	0	0	0	0	1	1	1	0	0	0	0
ARIZONA	1	1	1	1	2	2	1	0	1	0	0
ARKANSAS EASTERN	0	0	0	0	0	0	0	0	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA CENTRAL	6	6	2	2	3	3	3	0	0	0	0
CALIFORNIA EASTERN	2	15	3	16	4	5	4	0	0	0	1
CALIFORNIA NORTHERN	6	7	3	3	0	0	0	0	0	0	0
CALIFORNIA SOUTHERN	1	1	0	0	0	0	0	0	0	0	0
COLORADO	0	0	0	0	0	0	0	0	0	0	0
CONNECTICUT	1	1	0	0	0	0	0	0	0	0	0
DELAWARE	1	4	2	4	0	0	0	0	0	0	0
DISTRICT OF COLUMBIA	3	3	0	0	0	0	0	0	0	0	0
FLORIDA MIDDLE	1	2	4	5	1	1	1	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
FLORIDA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
GEORGIA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
GEORGIA NORTHERN	2	4	1	1	1	4	1	2	1	0	0
GEORGIA SOUTHERN	0	0	0	0	1	1	1	0	0	0	0
GUAM	0	0	0	0	0	0	0	0	0	0	0
HAWAII	1	1	0	0	0	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS NORTHERN	3	3	0	0	0	10	0	0	10	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	1	1	1	1	1	0	0	0	0
IOWA NORTHERN	1	1	1	1	0	0	0	0	0	0	0
IOWA SOUTHERN	0	0	0	0	1	1	1	0	0	0	0
KANSAS	0	0	0	0	0	0	0	0	0	0	0
KENTUCKY EASTERN	1	1	0	0	0	0	0	0	0	0	0
KENTUCKY WESTERN	0	0	0	0	0	0	0	0	0	0	0
LOUISIANA EASTERN	1	1	1	1	0	0	0	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
LOUISIANA WESTERN	1	1	0	0	1	1	1	0	0	0	0
MAINE	0	0	0	0	0	0	0	0	0	0	0
MARYLAND	1	1	3	3	1	1	1	0	0	0	0
MASSACHUSETTS	0	1	0	0	0	0	0	0	0	0	0
MICHIGAN EASTERN	3	3	1	1	1	1	1	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	0	0	0	0	0	0	0
MISSISSIPPI SOUTHERN	0	0	0	0	1	1	1	0	0	0	0
MISSOURI EASTERN	1	1	1	1	2	2	2	0	0	0	0
MISSOURI WESTERN	1	1	0	0	0	0	0	0	0	0	0
MONTANA	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0	0	0	0	0	0
NEVADA	1	1	1	1	1	1	1	0	0	0	0
NEW HAMPSHIRE	0	0	0	0	0	0	0	0	0	0	0
NEW JERSEY	1	1	0	0	0	0	0	0	0	0	0
NEW MEXICO	2	2	0	0	0	0	0	0	0	0	0
NEW YORK EASTERN	0	0	0	0	0	0	0	0	0	0	0
NEW YORK NORTHERN	1	1	0	0	0	0	0	0	0	0	0
NEW YORK SOUTHERN	10	13	0	0	0	0	0	0	0	0	0
NEW YORK WESTERN	0	0	0	0	0	0	0	0	0	0	0
NORTH CAROLINA EASTERN	2	2	0	0	1	1	1	0	0	0	0
NORTH CAROLINA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0	0	0	0	0	0
OHIO NORTHERN	3	3	0	0	1	1	1	0	0	0	0
OHIO SOUTHERN	1	2	1	1	1	1	1	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA WESTERN	1	1	0	0	1	1	1	0	0	0	0
OREGON	0	0	2	2	3	3	3	0	0	0	0
PENNSYLVANIA EASTERN	1	1	1	1	1	1	1	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	0	0	0	0	0	0	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2319, TITLE 17, UNITED STATES CODE, SECTION 506 - CRIMINAL INFRINGEMENT OF A COPYRIGHT  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						DISPOSITION OF CHARGE					
	MATTER RECEIVE	MATTER RECEIVE	CASES FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM	
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND	
PENNSYLVANIA WESTERN	0	0	0	0	0	0	0	0	0	0	0	0
PUERTO RICO	2	3	0	0	4	4	3	0	1	0	0	0
RHODE ISLAND	0	0	0	0	0	0	0	0	0	0	0	0
SOUTH CAROLINA	2	2	4	4	3	3	3	0	0	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0	0
TENNESSEE EASTERN	0	1	2	5	0	0	0	0	0	0	0	0
TENNESSEE MIDDLE	1	1	0	0	0	0	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0	0	0	0	0	0	0
TEXAS EASTERN	0	0	0	0	1	1	0	0	1	0	0	0
TEXAS NORTHERN	4	5	2	2	0	0	0	0	0	0	0	0
TEXAS SOUTHERN	2	2	0	0	0	0	0	0	0	0	0	0
TEXAS WESTERN	1	1	1	1	0	0	0	0	0	0	0	0
UTAH	0	0	0	0	1	1	0	0	1	0	0	0
VERMONT	0	0	0	0	0	0	0	0	0	0	0	0
VIRGIN ISLANDS	2	2	3	3	1	1	0	0	1	0	0	0
VIRGINIA EASTERN	2	10	1	5	3	4	2	0	1	1	0	0
VIRGINIA WESTERN	0	0	1	2	1	2	2	0	0	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0	0	0	0	0	0	0
WASHINGTON WESTERN	1	1	0	0	0	0	0	0	0	0	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0	0
WISCONSIN EASTERN	0	0	1	1	1	1	1	0	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0	0	0	0	0	0	0
GRAND TOTALS	82	118	46	70	48	64	42	2	18	1	1	1

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2319 or 17 U.S.C. 506 was brought as any charge against a defendant.

However, the statutes were run together to eliminate any double counting of cases/defendants where more than one of the statutes was charged against the same defendant.

Displayed defendant outcome information based upon the outcome of the charge only, and does not necessarily represent the overall outcome of a defendant.

Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving

18 U.S.C. 2319 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2319.

TITLE 18, UNITED STATES CODE, SECTION 2319, TITLE 17, UNITED STATES CODE, SECTION 506 - CRIMINAL INFRINGEMENT OF A COPYRIGHT  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT IMPRIS	1-12 MONTHS	13-24 MONTHS	25-36 MONTHS	37-60 MONTHS	60+ MONTHS
ALABAMA MIDDLE	1	0	0	0	0	0
ALABAMA NORTHERN	0	0	0	0	0	0
ALABAMA SOUTHERN	0	0	0	1	0	0
ALASKA	1	0	0	0	0	0
ARIZONA	1	0	0	0	0	0
ARKANSAS EASTERN	0	0	0	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0
CALIFORNIA CENTRAL	3	0	0	0	0	0
CALIFORNIA EASTERN	2	0	2	0	0	0
CALIFORNIA NORTHERN	0	0	0	0	0	0
CALIFORNIA SOUTHERN	0	0	0	0	0	0
COLORADO	0	0	0	0	0	0
CONNECTICUT	0	0	0	0	0	0
DELAWARE	0	0	0	0	0	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0
FLORIDA MIDDLE	1	0	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0
FLORIDA SOUTHERN	0	0	0	0	0	0
GEORGIA MIDDLE	0	0	0	0	0	0
GEORGIA NORTHERN	1	0	0	0	2	0
GEORGIA SOUTHERN	0	0	0	1	0	0
GUAM	0	0	0	0	0	0
HAWAII	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0
ILLINOIS NORTHERN	0	0	0	0	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0
INDIANA SOUTHERN	1	0	0	0	0	0
IOWA NORTHERN	0	0	0	0	0	0
IOWA SOUTHERN	1	0	0	0	0	0
KANSAS	0	0	0	0	0	0
KENTUCKY EASTERN	0	0	0	0	0	0
KENTUCKY WESTERN	0	0	0	0	0	0
LOUISIANA EASTERN	0	0	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0
LOUISIANA WESTERN	1	0	0	0	0	0
MAINE	0	0	0	0	0	0
MARYLAND	1	0	0	0	0	0
MASSACHUSETTS	0	0	0	0	0	0
MICHIGAN EASTERN	0	0	1	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	0	0
MISSISSIPPI SOUTHERN	1	0	0	0	0	0
MISSOURI EASTERN	1	0	1	0	0	0
MISSOURI WESTERN	0	0	0	0	0	0
MONTANA	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0
NEVADA	1	0	0	0	0	0
NEW HAMPSHIRE	0	0	0	0	0	0
NEW JERSEY	0	0	0	0	0	0
NEW MEXICO	0	0	0	0	0	0
NEW YORK EASTERN	0	0	0	0	0	0
NEW YORK NORTHERN	0	0	0	0	0	0
NEW YORK SOUTHERN	0	0	0	0	0	0
NEW YORK WESTERN	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	1	0	0	0	0
NORTH CAROLINA MIDDLE	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0
OHIO NORTHERN	0	0	0	0	1	0
OHIO SOUTHERN	0	0	0	1	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0
OKLAHOMA WESTERN	1	0	0	0	0	0
OREGON	1	1	1	0	0	0
PENNSYLVANIA EASTERN	1	0	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	0	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2319, TITLE 17, UNITED STATES CODE, SECTION 506 - CRIMINAL INFRINGEMENT OF A COPYRIGHT  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT	1-12	13-24	25-36	37-60	60+
	IMPRIS	MONTHS	MONTHS	MONTHS	MONTHS	MONTHS
PENNSYLVANIA WESTERN	0	0	0	0	0	0
PUERTO RICO	3	0	0	0	0	0
RHODE ISLAND	0	0	0	0	0	0
SOUTH CAROLINA	1	0	2	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0
TENNESSEE EASTERN	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0
TEXAS EASTERN	0	0	0	0	0	0
TEXAS NORTHERN	0	0	0	0	0	0
TEXAS SOUTHERN	0	0	0	0	0	0
TEXAS WESTERN	0	0	0	0	0	0
UTAH	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0
VIRGIN ISLANDS	0	0	0	0	0	0
VIRGINIA EASTERN	0	0	1	0	1	0
VIRGINIA WESTERN	0	0	2	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0
WASHINGTON WESTERN	0	0	0	0	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0
WISCONSIN EASTERN	1	0	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0
GRAND TOTALS	25	2	10	3	4	0

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2319 or 17 U.S.C. 506 was brought as any charge against a defendant.

However, the statutes were run together to eliminate any double counting of cases/defendants where more than one of the statutes was charged against the same defendant.

Displayed defendant outcome information based upon the outcome of the charge only, and does not necessarily represent the overall outcome of a defendant.

Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2319 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2319.

## TITLE 18, UNITED STATES, SECTION 2319A - UNAUTHORIZED FIXATION OF AND TRAFFICKING IN SOUND RECORDINGS AND MUSIC VIDEOS OF LIVE MUSICAL

## PERFORMANCES

## CRIMINAL CASELOAD STATISTICS\*

FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						DISPOSITION OF CHARGE				
	MATTER RECEIVE	MATTER RECEIVE	CASES FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND
ALABAMA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
ALABAMA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
ALABAMA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
ALASKA	0	0	0	0	0	0	0	0	0	0	0
ARIZONA	0	0	0	0	0	0	0	0	0	0	0
ARKANSAS EASTERN	0	0	0	0	0	0	0	0	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA CENTRAL	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA EASTERN	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
COLORADO	0	0	0	0	0	0	0	0	0	0	0
CONNECTICUT	0	0	0	0	0	0	0	0	0	0	0
DELAWARE	0	0	0	0	0	0	0	0	0	0	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0	0	0	0	0	0
FLORIDA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
FLORIDA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
GEORGIA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
GEORGIA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
GEORGIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
GUAM	0	0	0	0	0	0	0	0	0	0	0
HAWAII	0	0	0	0	0	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS CENTRAL	1	1	0	0	0	0	0	0	0	0	0
ILLINOIS NORTHERN	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
IOWA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
IOWA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
KANSAS	0	0	0	0	0	0	0	0	0	0	0
KENTUCKY EASTERN	0	0	0	0	0	0	0	0	0	0	0
KENTUCKY WESTERN	0	0	0	0	0	0	0	0	0	0	0
LOUISIANA EASTERN	0	0	0	0	0	0	0	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
LOUISIANA WESTERN	0	0	0	0	0	0	0	0	0	0	0
MAINE	0	0	0	0	0	0	0	0	0	0	0
MARYLAND	0	0	0	0	0	0	0	0	0	0	0
MASSACHUSETTS	0	0	0	0	0	0	0	0	0	0	0
MICHIGAN EASTERN	0	0	0	0	0	0	0	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	0	0	0	0	0	0	0
MISSISSIPPI SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
MISSOURI EASTERN	0	0	0	0	0	0	0	0	0	0	0
MISSOURI WESTERN	0	0	0	0	0	0	0	0	0	0	0
MONTANA	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0	0	0	0	0	0
NEVADA	0	0	0	0	0	0	0	0	0	0	0
NEW HAMPSHIRE	0	0	0	0	0	0	0	0	0	0	0
NEW JERSEY	0	0	0	0	0	0	0	0	0	0	0
NEW MEXICO	0	0	0	0	0	0	0	0	0	0	0
NEW YORK EASTERN	0	0	0	0	1	1	1	0	0	0	0
NEW YORK NORTHERN	0	0	0	0	0	0	0	0	0	0	0
NEW YORK SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
NEW YORK WESTERN	0	0	0	0	0	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	0	0	0	0	0	0	0	0	0	0
NORTH CAROLINA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0	0	0	0	0	0
OHIO NORTHERN	0	0	0	0	0	0	0	0	0	0	0
OHIO SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA WESTERN	0	0	0	0	0	0	0	0	0	0	0
OREGON	0	0	0	0	0	0	0	0	0	0	0
PENNSYLVANIA EASTERN	0	0	0	0	0	0	0	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	0	0	0	0	0	0	0	0	0

TITLE 18, UNITED STATES, SECTION 2319A - UNAUTHORIZED FIXATION OF AND TRAFFICKING IN SOUND RECORDINGS AND MUSIC VIDEOS OF LIVE MUSICAL PERFORMANCES  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						DISPOSITION OF CHARGE				
	MATTER RECEIVE	MATTER RECEIVE	CASES FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND
PENNSYLVANIA WESTERN	0	0	0	0	0	0	0	0	0	0	0
PUERTO RICO	0	0	0	0	0	0	0	0	0	0	0
RHODE ISLAND	0	0	0	0	0	0	0	0	0	0	0
SOUTH CAROLINA	0	0	0	0	0	0	0	0	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0
TENNESSEE EASTERN	0	0	0	0	0	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0	0	0	0	0	0
TEXAS EASTERN	0	0	0	0	0	0	0	0	0	0	0
TEXAS NORTHERN	1	1	0	0	0	0	0	0	0	0	0
TEXAS SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
TEXAS WESTERN	0	0	0	0	0	0	0	0	0	0	0
UTAH	0	0	0	0	0	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0	0	0	0	0	0
VIRGIN ISLANDS	0	0	0	0	0	0	0	0	0	0	0
VIRGINIA EASTERN	0	0	0	0	0	0	0	0	0	0	0
VIRGINIA WESTERN	0	0	0	0	0	0	0	0	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0	0	0	0	0	0
WASHINGTON WESTERN	0	0	0	0	0	0	0	0	0	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
WISCONSIN EASTERN	0	0	0	0	0	0	0	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0	0	0	0	0	0
<b>GRAND TOTALS</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2319A was brought as any charge against a defendant. Displayed defendant outcome information based upon the outcome of the 18 U.S.C. 2319A charge only, and does not necessarily represent the overall outcome of a defendant. Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2319A where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2319A.

## PERFORMANCES

## CRIMINAL CASELOAD STATISTICS\*

FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

## PRISON SENTENCING

DISTRICT	NOT IMPRIS	1-12 MONTHS	13-24 MONTHS	25-36 MONTHS	37-60 MONTHS	60+ MONTHS
ALABAMA MIDDLE	0	0	0	0	0	0
ALABAMA NORTHERN	0	0	0	0	0	0
ALABAMA SOUTHERN	0	0	0	0	0	0
ALASKA	0	0	0	0	0	0
ARIZONA	0	0	0	0	0	0
ARKANSAS EASTERN	0	0	0	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0
CALIFORNIA CENTRAL	0	0	0	0	0	0
CALIFORNIA EASTERN	0	0	0	0	0	0
CALIFORNIA NORTHERN	0	0	0	0	0	0
CALIFORNIA SOUTHERN	0	0	0	0	0	0
COLORADO	0	0	0	0	0	0
CONNECTICUT	0	0	0	0	0	0
DELAWARE	0	0	0	0	0	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0
FLORIDA MIDDLE	0	0	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0
FLORIDA SOUTHERN	0	0	0	0	0	0
GEORGIA MIDDLE	0	0	0	0	0	0
GEORGIA NORTHERN	0	0	0	0	0	0
GEORGIA SOUTHERN	0	0	0	0	0	0
GUAM	0	0	0	0	0	0
HAWAII	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0
ILLINOIS NORTHERN	0	0	0	0	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	0	0	0	0
IOWA NORTHERN	0	0	0	0	0	0
IOWA SOUTHERN	0	0	0	0	0	0
KANSAS	0	0	0	0	0	0
KENTUCKY EASTERN	0	0	0	0	0	0
KENTUCKY WESTERN	0	0	0	0	0	0
LOUISIANA EASTERN	0	0	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0
LOUISIANA WESTERN	0	0	0	0	0	0
MAINE	0	0	0	0	0	0
MARYLAND	0	0	0	0	0	0
MASSACHUSETTS	0	0	0	0	0	0
MICHIGAN EASTERN	0	0	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	0	0
MISSISSIPPI SOUTHERN	0	0	0	0	0	0
MISSOURI EASTERN	0	0	0	0	0	0
MISSOURI WESTERN	0	0	0	0	0	0
MONTANA	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0
NEVADA	0	0	0	0	0	0
NEW HAMPSHIRE	0	0	0	0	0	0
NEW JERSEY	0	0	0	0	0	0
NEW MEXICO	0	0	0	0	0	0
NEW YORK EASTERN	0	1	0	0	0	0
NEW YORK NORTHERN	0	0	0	0	0	0
NEW YORK SOUTHERN	0	0	0	0	0	0
NEW YORK WESTERN	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	0	0	0	0	0
NORTH CAROLINA MIDDLE	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0
OHIO NORTHERN	0	0	0	0	0	0
OHIO SOUTHERN	0	0	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0
OKLAHOMA WESTERN	0	0	0	0	0	0
OREGON	0	0	0	0	0	0
PENNSYLVANIA EASTERN	0	0	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	0	0	0	0

TITLE 18, UNITED STATES, SECTION 2319A - UNAUTHORIZED FIXATION OF AND TRAFFICKING IN SOUND RECORDINGS AND MUSIC VIDEOS OF LIVE MUSICAL  
PERFORMANCES  
CRIMINAL CASELOAD STATISTICS\*  
FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT IMPRIS	1-12 MONTHS	13-24 MONTHS	25-36 MONTHS	37-60 MONTHS	60+ MONTHS
PENNSYLVANIA WESTERN	0	0	0	0	0	0
PUERTO RICO	0	0	0	0	0	0
RHODE ISLAND	0	0	0	0	0	0
SOUTH CAROLINA	0	0	0	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0
TENNESSEE EASTERN	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0
TEXAS EASTERN	0	0	0	0	0	0
TEXAS NORTHERN	0	0	0	0	0	0
TEXAS SOUTHERN	0	0	0	0	0	0
TEXAS WESTERN	0	0	0	0	0	0
UTAH	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0
VIRGIN ISLANDS	0	0	0	0	0	0
VIRGINIA EASTERN	0	0	0	0	0	0
VIRGINIA WESTERN	0	0	0	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0
WASHINGTON WESTERN	0	0	0	0	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0
WISCONSIN EASTERN	0	0	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0
GRAND TOTALS	0	1	0	0	0	0

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2319A was brought as any charge against a defendant. Displayed defendant outcome information based upon the outcome of the 18 U.S.C. 2319A charge only, and does not necessarily represent the overall outcome of a defendant.

Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2319A where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2319A.

TITLE 18, UNITED STATES CODE, SECTION 2320 - TRAFFICKING IN COUNTERFEIT GOODS OR SERVICES  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						DISPOSITION OF CHARGE				
	MATTER RECEIVE	MATTER RECEIVE	CASE FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND
ALABAMA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
ALABAMA NORTHERN	0	0	0	0	2	3	3	0	0	0	0
ALABAMA SOUTHERN	1	1	0	0	2	3	1	0	2	0	0
ALASKA	0	0	0	0	0	0	0	0	0	0	0
ARIZONA	0	0	0	0	0	0	0	0	0	0	0
ARKANSAS EASTERN	1	4	2	2	1	1	0	1	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA CENTRAL	23	26	12	13	6	11	4	1	6	0	0
CALIFORNIA EASTERN	5	9	3	3	3	3	3	0	0	0	0
CALIFORNIA NORTHERN	16	25	4	4	0	0	0	0	0	0	0
CALIFORNIA SOUTHERN	1	1	0	0	2	3	0	0	3	0	0
COLORADO	1	1	0	0	1	2	1	0	1	0	0
CONNECTICUT	0	0	0	0	0	0	0	0	0	0	0
DELAWARE	3	12	2	8	0	1	0	0	0	0	1
DISTRICT OF COLUMBIA	8	8	1	1	0	0	0	0	0	0	0
FLORIDA MIDDLE	12	17	9	9	3	3	3	0	0	0	0
FLORIDA NORTHERN	1	1	0	0	0	0	0	0	0	0	0
FLORIDA SOUTHERN	6	10	4	8	1	1	1	0	0	0	0
GEORGIA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
GEORGIA NORTHERN	4	12	0	0	2	3	2	0	1	0	0
GEORGIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
GUAM	0	0	0	0	0	0	0	0	0	0	0
HAWAII	5	7	3	4	0	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS NORTHERN	4	7	2	3	0	0	0	0	0	0	0
ILLINOIS SOUTHERN	1	1	0	0	0	0	0	0	0	0	0
INDIANA NORTHERN	0	1	1	1	0	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
IOWA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
IOWA SOUTHERN	2	2	1	1	2	2	2	0	0	0	0
KANSAS	2	2	0	0	0	1	0	0	1	0	0
KENTUCKY EASTERN	1	1	0	0	0	0	0	0	0	0	0
KENTUCKY WESTERN	1	1	0	0	0	0	0	0	0	0	0
LOUISIANA EASTERN	4	4	3	3	3	3	3	0	0	0	0
LOUISIANA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
LOUISIANA WESTERN	0	0	0	0	1	1	1	0	0	0	0
MAINE	0	0	0	0	0	0	0	0	0	0	0
MARYLAND	6	9	5	5	3	7	4	0	0	0	3
MASSACHUSETTS	2	3	2	2	2	2	2	0	0	0	0
MICHIGAN EASTERN	3	6	0	0	0	0	0	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0	0	0	0	0	0
MINNESOTA	1	5	0	0	0	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	1	1	1	0	0	0	0
MISSISSIPPI SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
MISSOURI EASTERN	5	6	1	1	2	2	2	0	0	0	0
MISSOURI WESTERN	5	6	0	0	1	2	2	0	0	0	0
MONTANA	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA	1	1	0	0	1	2	0	0	2	0	0
NEVADA	1	1	2	2	1	1	0	0	0	0	1
NEW HAMPSHIRE	0	0	0	0	4	4	3	1	0	0	0
NEW JERSEY	2	3	1	1	3	4	2	0	2	0	0
NEW MEXICO	1	1	0	0	0	0	0	0	0	0	0
NEW YORK EASTERN	4	9	3	6	4	16	10	1	5	0	0
NEW YORK NORTHERN	2	2	1	1	0	0	0	0	0	0	0
NEW YORK SOUTHERN	11	14	11	12	11	16	16	0	0	0	0
NEW YORK WESTERN	5	8	0	0	0	0	0	0	0	0	0
NORTH CAROLINA EASTERN	1	6	0	0	0	1	1	0	0	0	0
NORTH CAROLINA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
NORTH CAROLINA WESTERN	3	3	1	1	0	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0
NORTHERN MARIANAS	2	3	0	0	0	0	0	0	0	0	0
OHIO NORTHERN	12	14	5	5	0	0	0	0	0	0	0
OHIO SOUTHERN	1	1	0	0	0	0	0	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA WESTERN	0	0	0	0	0	0	0	0	0	0	0
OREGON	1	2	0	0	2	2	1	0	1	0	0
PENNSYLVANIA EASTERN	12	14	9	9	2	7	2	0	5	0	0
PENNSYLVANIA MIDDLE	1	1	1	1	1	1	1	0	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2320 - TRAFFICKING IN COUNTERFEIT GOODS OR SERVICES  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						DISPOSITION OF CHARGE				
	MATTER RECEIVE	MATTER RECEIVE	CASE FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND
PENNSYLVANIA WESTERN	4	4	0	0	2	2	1	0	1	0	0
PUERTO RICO	0	0	0	0	0	0	0	0	0	0	0
RHODE ISLAND	1	1	1	1	1	1	1	0	0	0	0
SOUTH CAROLINA	2	2	0	0	1	3	3	0	0	0	0
SOUTH DAKOTA	2	4	0	0	0	0	0	0	0	0	0
TENNESSEE EASTERN	1	1	1	1	0	0	0	0	0	0	0
TENNESSEE MIDDLE	1	1	1	1	0	0	0	0	0	0	0
TENNESSEE WESTERN	5	5	4	4	0	0	0	0	0	0	0
TEXAS EASTERN	3	11	1	2	0	0	0	0	0	0	0
TEXAS NORTHERN	1	2	1	1	0	0	0	0	0	0	0
TEXAS SOUTHERN	3	7	6	9	4	5	2	2	1	0	0
TEXAS WESTERN	3	4	0	0	3	3	3	0	0	0	0
UTAH	1	1	0	0	0	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0	0	0	0	0	0
VIRGIN ISLANDS	0	0	0	0	1	1	1	0	0	0	0
VIRGINIA EASTERN	5	5	3	3	6	10	3	3	4	0	0
VIRGINIA WESTERN	2	9	1	1	0	0	0	0	0	0	0
WASHINGTON EASTERN	0	0	1	1	0	0	0	0	0	0	0
WASHINGTON WESTERN	6	7	3	3	3	4	2	0	2	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
WISCONSIN EASTERN	2	2	1	1	1	1	1	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0	0	0	0	0	0
GRAND TOTALS	229	340	113	134	89	139	88	9	37	0	5

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2320 was brought as any charge against a defendant. Displayed defendant outcome information based upon the outcome of the 18 U.S.C. 2320 charge only, and does not necessarily represent the overall outcome of a defendant.

Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2320 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2320.

TITLE 18, UNITED STATES CODE, SECTION 2320 - TRAFFICKING IN COUNTERFEIT GOODS OR SERVICES  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT IMPRIS	1-12 MONTHS	13-24 MONTHS	25-36 MONTHS	37-60 MONTHS	60+ MONTHS
ALABAMA MIDDLE	0	0	0	0	0	0
ALABAMA NORTHERN	0	1	2	0	0	0
ALABAMA SOUTHERN	1	0	0	0	0	0
ALASKA	0	0	0	0	0	0
ARIZONA	0	0	0	0	0	0
ARKANSAS EASTERN	0	0	0	0	1	0
ARKANSAS WESTERN	0	0	0	0	0	0
CALIFORNIA CENTRAL	1	0	2	1	1	0
CALIFORNIA EASTERN	0	1	1	1	0	0
CALIFORNIA NORTHERN	0	0	0	0	0	0
CALIFORNIA SOUTHERN	0	0	0	0	0	0
COLORADO	0	0	0	0	0	1
CONNECTICUT	0	0	0	0	0	0
DELAWARE	0	0	0	0	0	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0
FLORIDA MIDDLE	3	0	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0
FLORIDA SOUTHERN	1	0	0	0	0	0
GEORGIA MIDDLE	0	0	0	0	0	0
GEORGIA NORTHERN	1	1	0	0	0	0
GEORGIA SOUTHERN	0	0	0	0	0	0
GUAM	0	0	0	0	0	0
HAWAII	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0
ILLINOIS NORTHERN	0	0	0	0	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	0	0	0	0
IOWA NORTHERN	0	0	0	0	0	0
IOWA SOUTHERN	1	0	0	0	1	0
KANSAS	0	0	0	0	0	0
KENTUCKY EASTERN	0	0	0	0	0	0
KENTUCKY WESTERN	0	0	0	0	0	0
LOUISIANA EASTERN	2	1	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0
LOUISIANA WESTERN	0	0	1	0	0	0
MAINE	0	0	0	0	0	0
MARYLAND	3	0	0	1	0	0
MASSACHUSETTS	2	0	0	0	0	0
MICHIGAN EASTERN	0	0	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0
MISSISSIPPI NORTHERN	1	0	0	0	0	0
MISSISSIPPI SOUTHERN	0	0	0	0	0	0
MISSOURI EASTERN	0	0	0	1	1	0
MISSOURI WESTERN	0	1	0	0	1	0
MONTANA	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0
NEVADA	0	0	0	0	0	0
NEW HAMPSHIRE	4	0	0	0	0	0
NEW JERSEY	2	0	0	0	0	0
NEW MEXICO	0	0	0	0	0	0
NEW YORK EASTERN	5	3	2	1	0	0
NEW YORK NORTHERN	0	0	0	0	0	0
NEW YORK SOUTHERN	10	2	2	0	0	2
NEW YORK WESTERN	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	0	0	0	0	1
NORTH CAROLINA MIDDLE	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0
OHIO NORTHERN	0	0	0	0	0	0
OHIO SOUTHERN	0	0	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0
OKLAHOMA WESTERN	0	0	0	0	0	0
OREGON	1	0	0	0	0	0
PENNSYLVANIA EASTERN	1	1	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	1	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2320 - TRAFFICKING IN COUNTERFEIT GOODS OR SERVICES  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT	1-12	13-24	25-36	37-60	60+
	IMPRIS	MONTHS	MONTHS	MONTHS	MONTHS	MONTHS
PENNSYLVANIA WESTERN	0	0	1	0	0	0
PUERTO RICO	0	0	0	0	0	0
RHODE ISLAND	0	0	1	0	0	0
SOUTH CAROLINA	1	1	1	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0
TENNESSEE EASTERN	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0
TEXAS EASTERN	0	0	0	0	0	0
TEXAS NORTHERN	0	0	0	0	0	0
TEXAS SOUTHERN	0	1	0	2	1	0
TEXAS WESTERN	1	1	0	1	0	0
UTAH	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0
VIRGIN ISLANDS	1	0	0	0	0	0
VIRGINIA EASTERN	1	2	2	0	1	0
VIRGINIA WESTERN	0	0	0	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0
WASHINGTON WESTERN	0	1	0	0	1	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0
WISCONSIN EASTERN	0	1	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0
GRAND TOTALS	43	18	16	8	8	4

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2320 was brought as any charge against a defendant. Displayed defendant outcome information based upon the outcome of the 18 U.S.C. 2320 charge only, and does not necessarily represent the overall outcome of a defendant.

Defendants against whom charges were dismissed may have been convicted of other, related offenses. This chart may not include criminal cases or matters involving 18 U.S.C. 2320 where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate 18 U.S.C. 2320.

TITLE 18, UNITED STATES CODE, SECTION 2318, 2319, 2319A, 2320, and TITLE 17, UNITED STATES CODE, SECTION 506 COMBINED  
FOR THE UNITED STATES BUREAU OF CUSTOMS & BORDER PROTECTION AND BUREAU OF IMMIGRATION & CUSTOMS ENFORCEMENT ONLY

CRIMINAL CASELOAD STATISTICS\*

FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						OVERALL DISPOSITION OF THE DEFENDANT				
	MATTER RECEIVE	MATTER RECEIVE	CASE FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	<u>COUNT</u>	<u>DEFEND</u>	<u>COUNT</u>	<u>DEFEND</u>	<u>COUNT</u>	<u>DEFEND</u>	<u>DEFEND</u>	<u>DEFEND</u>	<u>COUNT</u>	<u>COUNT</u>	<u>DEFEND</u>
ALABAMA MIDDLE	0	0	0	0	0	0	0	0	0	0	0
ALABAMA NORTHERN	0	0	0	0	1	2	2	0	0	0	0
ALABAMA SOUTHERN	0	0	0	0	1	2	2	0	0	0	0
ALASKA	0	0	0	0	0	0	0	0	0	0	0
ARIZONA	0	0	0	0	0	0	0	0	0	0	0
ARKANSAS EASTERN	1	1	2	2	1	1	0	1	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA CENTRAL	17	20	10	11	3	5	4	1	0	0	0
CALIFORNIA EASTERN	2	4	1	1	0	0	0	0	0	0	0
CALIFORNIA NORTHERN	13	19	4	4	0	0	0	0	0	0	0
CALIFORNIA SOUTHERN	1	1	0	0	2	3	3	0	0	0	0
COLORADO	2	2	0	0	0	0	0	0	0	0	0
CONNECTICUT	0	0	0	0	0	0	0	0	0	0	0
DELAWARE	0	2	1	2	0	0	0	0	0	0	0
DISTRICT OF COLUMBIA	2	2	0	0	0	0	0	0	0	0	0
FLORIDA MIDDLE	10	14	11	13	3	3	3	0	0	0	0
FLORIDA NORTHERN	1	1	0	0	0	0	0	0	0	0	0
FLORIDA SOUTHERN	4	8	4	8	1	1	1	0	0	0	0
GEORGIA MIDDLE	2	2	0	0	0	0	0	0	0	0	0
GEORGIA NORTHERN	2	3	1	1	2	3	2	0	1	0	0
GEORGIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
GUAM	0	0	0	0	0	0	0	0	0	0	0
HAWAII	4	6	3	4	0	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS NORTHERN	5	8	2	3	0	0	0	0	0	0	0
ILLINOIS SOUTHERN	1	1	0	0	0	0	0	0	0	0	0
INDIANA NORTHERN	0	1	1	1	0	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
IOWA NORTHERN	1	1	1	1	0	0	0	0	0	0	0
IOWA SOUTHERN	2	2	1	1	3	3	3	0	0	0	0
KANSAS	1	1	0	0	0	0	0	0	0	0	0
KENTUCKY EASTERN	1	1	0	0	0	0	0	0	0	0	0
KENTUCKY WESTERN	1	1	0	0	0	0	0	0	0	0	0
LOUISIANA EASTERN	3	3	3	3	2	2	2	0	0	0	0
LOUISIANA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
LOUISIANA WESTERN	0	0	0	0	2	2	2	0	0	0	0
MAINE	0	0	0	0	0	0	0	0	0	0	0
MARYLAND	5	8	3	3	2	6	4	0	0	0	2
MASSACHUSETTS	1	2	1	1	1	1	1	0	0	0	0
MICHIGAN EASTERN	0	0	0	0	0	0	0	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0	0	0	0	0	0
MINNESOTA	1	5	0	0	0	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	0	0	0	0	0	0	0
MISSISSIPPI SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
MISSOURI EASTERN	4	5	2	2	2	2	2	0	0	0	0
MISSOURI WESTERN	5	6	1	1	1	2	2	0	0	0	0
MONTANA	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA	0	0	0	0	1	2	0	0	2	0	0
NEVADA	0	0	1	1	0	0	0	0	0	0	0
NEW HAMPSHIRE	0	0	0	0	4	4	3	1	0	0	0
NEW JERSEY	0	1	1	1	1	1	1	0	0	0	0
NEW MEXICO	0	0	0	0	0	0	0	0	0	0	0
NEW YORK EASTERN	0	0	1	1	3	8	7	1	0	0	0
NEW YORK NORTHERN	2	2	1	1	0	0	0	0	0	0	0
NEW YORK SOUTHERN	10	12	1	1	1	1	1	0	0	0	0
NEW YORK WESTERN	4	7	0	0	0	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	5	0	0	0	0	0	0	0	0	0
NORTH CAROLINA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
NORTH CAROLINA WESTERN	3	3	1	1	0	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0	0	0	0	0	0
OHIO NORTHERN	12	12	5	5	0	0	0	0	0	0	0
OHIO SOUTHERN	2	3	0	0	0	0	0	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA WESTERN	1	1	0	0	0	0	0	0	0	0	0
OREGON	1	2	0	0	1	1	0	0	1	0	0
PENNSYLVANIA EASTERN	11	12	7	7	2	2	2	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	1	1	1	1	1	0	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2318, 2319, 2319A, 2320, and TITLE 17, UNITED STATES CODE, SECTION 506 COMBINED  
 FOR THE UNITED STATES BUREAU OF CUSTOMS & BORDER PROTECTION AND BUREAU OF IMMIGRATION & CUSTOMS ENFORCEMENT ONLY  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						OVERALL DISPOSITION OF THE DEFENDANT				
	MATTER	MATTER	CASE	CASES	CASES	CASES	GUILTY	GUILTY	DISMISS	ACQUIT	OTHER
	RECEIVE	RECEIVE	FILED	FILED	TERM	TERM	PLEAS	VERDICT	DEFEND	DEFEND	TERM
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND
PENNSYLVANIA WESTERN	2	2	0	0	1	1	0	0	1	0	0
PUERTO RICO	1	1	0	0	4	4	3	0	1	0	0
RHODE ISLAND	0	0	0	0	0	0	0	0	0	0	0
SOUTH CAROLINA	1	1	2	2	0	1	1	0	0	0	0
SOUTH DAKOTA	1	3	0	0	0	0	0	0	0	0	0
TENNESSEE EASTERN	1	1	1	1	0	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0	0	0	0	0	0
TENNESSEE WESTERN	5	5	4	4	0	0	0	0	0	0	0
TEXAS EASTERN	1	5	0	0	0	0	0	0	0	0	0
TEXAS NORTHERN	1	2	2	2	0	0	0	0	0	0	0
TEXAS SOUTHERN	2	4	5	6	4	5	2	2	1	0	0
TEXAS WESTERN	4	5	0	0	3	3	3	0	0	0	0
UTAH	0	0	0	0	0	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0	0	0	0	0	0
VIRGIN ISLANDS	0	0	0	0	1	1	1	0	0	0	0
VIRGINIA EASTERN	3	11	2	6	4	7	4	3	0	0	0
VIRGINIA WESTERN	0	0	0	0	0	0	0	0	0	0	0
WASHINGTON EASTERN	0	0	1	1	0	0	0	0	0	0	0
WASHINGTON WESTERN	5	5	1	1	1	1	1	0	0	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
WISCONSIN EASTERN	2	2	1	1	0	0	0	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0	0	0	0	0	0
GRAND TOTALS	169	239	90	105	59	81	63	9	7	0	2

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2318, 2319, 2319A, 2320 or 17 U.S.C. 506 was brought as any charge against a defendant. However, the statutes were run together to eliminate any double counting of cases/defendants where more than one of the statutes was charged against the same defendant. Displayed defendant outcome information based upon the overall outcome of a defendant. This chart may not include criminal cases/defendants involving 18 U.S.C. 2318, 18 U.S.C. 2319, 18 U.S.C. 2319A, 18 U.S.C. 2320, or 17 U.S.C. 506, where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate any of the identified statutes.

TITLE 18, UNITED STATES CODE, SECTION 2318, 2319, 2319A, 2320, and TITLE 17, UNITED STATES CODE, SECTION 506 COMBINED  
 FOR THE UNITED STATES BUREAU OF CUSTOMS & BORDER PROTECTION AND BUREAU OF IMMIGRATION & CUSTOMS ENFORCEMENT ONLY

CRIMINAL CASELOAD STATISTICS\*

FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT <u>IMPRIS</u>	1-12 <u>MONTHS</u>	13-24 <u>MONTHS</u>	25-36 <u>MONTHS</u>	37-60 <u>MONTHS</u>	60+ <u>MONTHS</u>
ALABAMA MIDDLE	0	0	0	0	0	0
ALABAMA NORTHERN	0	1	1	0	0	0
ALABAMA SOUTHERN	1	1	0	0	0	0
ALASKA	0	0	0	0	0	0
ARIZONA	0	0	0	0	0	0
ARKANSAS EASTERN	0	0	0	0	1	0
ARKANSAS WESTERN	0	0	0	0	0	0
CALIFORNIA CENTRAL	1	0	0	0	4	0
CALIFORNIA EASTERN	0	0	0	0	0	0
CALIFORNIA NORTHERN	0	0	0	0	0	0
CALIFORNIA SOUTHERN	0	2	1	0	0	0
COLORADO	0	0	0	0	0	0
CONNECTICUT	0	0	0	0	0	0
DELAWARE	0	0	0	0	0	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0
FLORIDA MIDDLE	3	0	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0
FLORIDA SOUTHERN	1	0	0	0	0	0
GEORGIA MIDDLE	0	0	0	0	0	0
GEORGIA NORTHERN	1	1	0	0	0	0
GEORGIA SOUTHERN	0	0	0	0	0	0
GUAM	0	0	0	0	0	0
HAWAII	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0
ILLINOIS NORTHERN	0	0	0	0	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	0	0	0	0
IOWA NORTHERN	0	0	0	0	0	0
IOWA SOUTHERN	2	0	0	0	1	0
KANSAS	0	0	0	0	0	0
KENTUCKY EASTERN	0	0	0	0	0	0
KENTUCKY WESTERN	0	0	0	0	0	0
LOUISIANA EASTERN	2	0	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0
LOUISIANA WESTERN	1	0	1	0	0	0
MAINE	0	0	0	0	0	0
MARYLAND	3	0	0	1	0	0
MASSACHUSETTS	1	0	0	0	0	0
MICHIGAN EASTERN	0	0	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	0	0
MISSISSIPPI SOUTHERN	0	0	0	0	0	0
MISSOURI EASTERN	0	0	0	1	1	0
MISSOURI WESTERN	0	1	0	0	1	0
MONTANA	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0
NEVADA	0	0	0	0	0	0
NEW HAMPSHIRE	4	0	0	0	0	0
NEW JERSEY	0	0	0	0	1	0
NEW MEXICO	0	0	0	0	0	0
NEW YORK EASTERN	4	3	1	0	0	0
NEW YORK NORTHERN	0	0	0	0	0	0
NEW YORK SOUTHERN	1	0	0	0	0	0
NEW YORK WESTERN	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	0	0	0	0	0
NORTH CAROLINA MIDDLE	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0
OHIO NORTHERN	0	0	0	0	0	0
OHIO SOUTHERN	0	0	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0
OKLAHOMA WESTERN	0	0	0	0	0	0
OREGON	0	0	0	0	0	0
PENNSYLVANIA EASTERN	1	1	0	0	0	0
PENNSYLVANIA MIDDLE	0	0	1	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2318, 2319, 2319A, 2320, and TITLE 17, UNITED STATES CODE, SECTION 506 COMBINED  
 FOR THE UNITED STATES BUREAU OF CUSTOMS & BORDER PROTECTION AND BUREAU OF IMMIGRATION & CUSTOMS ENFORCEMENT ONLY  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT IMPRIS	1-12 MONTHS	13-24 MONTHS	25-36 MONTHS	37-60 MONTHS	60+ MONTHS
PENNSYLVANIA WESTERN	0	0	0	0	0	0
PUERTO RICO	3	0	0	0	0	0
RHODE ISLAND	0	0	0	0	0	0
SOUTH CAROLINA	1	0	0	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0
TENNESSEE EASTERN	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0
TEXAS EASTERN	0	0	0	0	0	0
TEXAS NORTHERN	0	0	0	0	0	0
TEXAS SOUTHERN	0	1	0	2	1	0
TEXAS WESTERN	1	1	0	1	0	0
UTAH	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0
VIRGIN ISLANDS	1	0	0	0	0	0
VIRGINIA EASTERN	1	1	2	1	2	0
VIRGINIA WESTERN	0	0	0	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0
WASHINGTON WESTERN	0	1	0	0	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0
WISCONSIN EASTERN	0	0	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0
GRAND TOTALS	33	14	7	6	12	0

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2318, 2319, 2319A, 2320 or 17 U.S.C. 506 was brought as any charge against a defendant. However, the statutes were run together to eliminate any double counting of cases/defendants where more than one of the statutes was charged against the same defendant. Displayed defendant outcome information based upon the overall outcome of a defendant. This chart may not include criminal cases/defendants involving 18 U.S.C. 2318, 18 U.S.C. 2319, 18 U.S.C. 2319A, 18 U.S.C. 2320, or 17 U.S.C. 506, where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate any of the identified statutes.

TITLE 18, UNITED STATES CODE, SECTION 2318, 2319, 2319A, 2320, and TITLE 17, UNITED STATES CODE, SECTION 506 COMBINED  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						OVERALL DISPOSITION OF THE DEFENDANT				
	MATTER RECEIVE	MATTER RECEIVE	CASE FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND
ALABAMA MIDDLE	1	1	1	1	1	1	1	0	0	0	0
ALABAMA NORTHERN	2	2	1	1	2	3	3	0	0	0	0
ALABAMA SOUTHERN	1	1	1	1	3	4	4	0	0	0	0
ALASKA	0	0	0	0	1	1	1	0	0	0	0
ARIZONA	1	1	1	1	2	2	2	0	0	0	0
ARKANSAS EASTERN	1	4	2	2	1	1	0	1	0	0	0
ARKANSAS WESTERN	0	0	0	0	0	0	0	0	0	0	0
CALIFORNIA CENTRAL	32	35	14	15	9	16	13	1	2	0	0
CALIFORNIA EASTERN	7	24	6	19	7	10	7	2	0	0	1
CALIFORNIA NORTHERN	22	32	7	7	0	0	0	0	0	0	0
CALIFORNIA SOUTHERN	2	2	0	0	2	3	3	0	0	0	0
COLORADO	2	2	0	0	1	2	2	0	0	0	0
CONNECTICUT	1	1	0	0	0	0	0	0	0	0	0
DELAWARE	3	14	3	10	0	1	1	0	0	0	0
DISTRICT OF COLUMBIA	11	11	1	1	0	0	0	0	0	0	0
FLORIDA MIDDLE	12	17	12	14	4	4	4	0	0	0	0
FLORIDA NORTHERN	1	1	0	0	0	0	0	0	0	0	0
FLORIDA SOUTHERN	7	11	4	8	1	1	1	0	0	0	0
GEORGIA MIDDLE	2	2	0	0	0	0	0	0	0	0	0
GEORGIA NORTHERN	6	16	1	1	3	8	4	2	1	1	0
GEORGIA SOUTHERN	0	0	0	0	1	1	1	0	0	0	0
GUAM	0	0	0	0	0	0	0	0	0	0	0
HAWAII	5	7	3	4	0	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0	0	0	0	0	0
ILLINOIS CENTRAL	2	2	0	0	0	0	0	0	0	0	0
ILLINOIS NORTHERN	8	11	2	3	0	10	10	0	0	0	0
ILLINOIS SOUTHERN	1	1	0	0	0	0	0	0	0	0	0
INDIANA NORTHERN	0	1	1	1	0	0	0	0	0	0	0
INDIANA SOUTHERN	0	0	1	1	1	1	1	0	0	0	0
IOWA NORTHERN	1	1	1	1	0	0	0	0	0	0	0
IOWA SOUTHERN	2	2	1	1	3	3	3	0	0	0	0
KANSAS	2	2	0	0	0	1	1	0	0	0	0
KENTUCKY EASTERN	2	2	0	0	0	0	0	0	0	0	0
KENTUCKY WESTERN	2	2	0	0	0	0	0	0	0	0	0
LOUISIANA EASTERN	5	5	4	4	3	3	3	0	0	0	0
LOUISIANA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
LOUISIANA WESTERN	1	1	0	0	2	2	2	0	0	0	0
MAINE	0	0	0	0	0	0	0	0	0	0	0
MARYLAND	8	11	7	7	4	8	6	0	0	0	2
MASSACHUSETTS	2	4	2	2	2	2	2	0	0	0	0
MICHIGAN EASTERN	6	9	1	1	1	1	1	0	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0	0	0	0	0	0
MINNESOTA	1	5	0	0	0	0	0	0	0	0	0
MISSISSIPPI NORTHERN	0	0	0	0	1	1	1	0	0	0	0
MISSISSIPPI SOUTHERN	1	3	0	0	1	1	1	0	0	0	0
MISSOURI EASTERN	6	7	2	2	4	4	4	0	0	0	0
MISSOURI WESTERN	7	8	1	1	1	2	2	0	0	0	0
MONTANA	0	0	0	0	0	0	0	0	0	0	0
NEBRASKA	1	1	0	0	1	2	0	0	2	0	0
NEVADA	1	1	2	2	1	1	1	0	0	0	0
NEW HAMPSHIRE	0	0	0	0	4	4	3	1	0	0	0
NEW JERSEY	3	4	1	1	3	4	3	0	1	0	0
NEW MEXICO	3	3	0	0	0	0	0	0	0	0	0
NEW YORK EASTERN	4	9	3	6	5	17	16	1	0	0	0
NEW YORK NORTHERN	3	3	1	1	0	0	0	0	0	0	0
NEW YORK SOUTHERN	22	28	11	12	11	16	16	0	0	0	0
NEW YORK WESTERN	5	8	0	0	0	0	0	0	0	0	0
NORTH CAROLINA EASTERN	3	8	0	0	1	2	2	0	0	0	0
NORTH CAROLINA MIDDLE	1	1	0	0	0	0	0	0	0	0	0
NORTH CAROLINA WESTERN	3	3	1	1	0	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0	0	0	0	0	0
NORTHERN MARIANAS	2	3	0	0	0	0	0	0	0	0	0
OHIO NORTHERN	15	17	5	5	1	1	1	0	0	0	0
OHIO SOUTHERN	2	3	1	1	1	1	1	0	0	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
OKLAHOMA WESTERN	1	1	0	0	1	1	1	0	0	0	0
OREGON	1	2	2	2	5	5	4	0	1	0	0
PENNSYLVANIA EASTERN	14	16	10	10	3	8	8	0	0	0	0
PENNSYLVANIA MIDDLE	1	1	1	1	1	1	1	0	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2318, 2319, 2319A, 2320, and TITLE 17, UNITED STATES CODE, SECTION 506 COMBINED  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

DISTRICT	REFERRALS AND CASES						OVERALL DISPOSITION OF THE DEFENDANT				
	MATTER RECEIVE	MATTER RECEIVE	CASE FILED	CASES FILED	CASES TERM	CASES TERM	GUILTY PLEAS	GUILTY VERDICT	DISMISS DEFEND	ACQUIT DEFEND	OTHER TERM
	COUNT	DEFEND	COUNT	DEFEND	COUNT	DEFEND	DEFEND	DEFEND	COUNT	COUNT	DEFEND
PENNSYLVANIA WESTERN	4	4	0	0	2	2	1	0	1	0	0
PUERTO RICO	2	3	0	0	4	4	3	0	1	0	0
RHODE ISLAND	1	1	1	1	1	1	1	0	0	0	0
SOUTH CAROLINA	3	3	4	4	4	6	6	0	0	0	0
SOUTH DAKOTA	2	4	0	0	0	0	0	0	0	0	0
TENNESSEE EASTERN	1	2	3	6	0	0	0	0	0	0	0
TENNESSEE MIDDLE	2	2	1	1	0	0	0	0	0	0	0
TENNESSEE WESTERN	5	5	4	4	0	0	0	0	0	0	0
TEXAS EASTERN	3	11	1	2	1	1	1	0	0	0	0
TEXAS NORTHERN	6	8	3	3	0	0	0	0	0	0	0
TEXAS SOUTHERN	5	9	6	9	4	5	2	2	1	0	0
TEXAS WESTERN	7	8	1	1	3	3	3	0	0	0	0
UTAH	1	1	0	0	1	1	0	0	1	0	0
VERMONT	0	0	0	0	0	0	0	0	0	0	0
VIRGIN ISLANDS	2	2	3	3	1	1	1	0	0	0	0
VIRGINIA EASTERN	12	24	4	8	9	14	11	3	0	0	0
VIRGINIA WESTERN	2	9	2	3	1	2	2	0	0	0	0
WASHINGTON EASTERN	0	0	1	1	0	0	0	0	0	0	0
WASHINGTON WESTERN	8	9	4	4	3	4	4	0	0	0	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0	0	0	0	0	0
WISCONSIN EASTERN	2	2	2	2	2	2	2	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0	0	0	0	0	0
GRAND TOTALS	330	481	158	203	135	206	178	13	11	1	3

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2318, 2319, 2319A, 2320 or 17 U.S.C. 506 was brought as any charge against a defendant. However, the statutes were run together to eliminate any double counting of cases/defendants where more than one of the statutes was charged against the same defendant. Displayed defendant outcome information based upon the overall outcome of a defendant. This chart may not include criminal cases/defendants involving 18 U.S.C. 2318, 18 U.S.C. 2319, 18 U.S.C. 2319A, 18 U.S.C. 2320, or 17 U.S.C. 506, where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate any of the identified statutes.

## TITLE 18, UNITED STATES CODE, SECTION 2318, 2319, 2319A, 2320, and TITLE 17, UNITED STATES CODE, SECTION 506 COMBINED

## CRIMINAL CASELOAD STATISTICS\*

FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

## PRISON SENTENCING

DISTRICT	NOT IMPRIS	1-12 MONTHS	13-24 MONTHS	25-36 MONTHS	37-60 MONTHS	60+ MONTHS
ALABAMA MIDDLE	1	0	0	0	0	0
ALABAMA NORTHERN	0	1	2	0	0	0
ALABAMA SOUTHERN	2	1	0	1	0	0
ALASKA	1	0	0	0	0	0
ARIZONA	2	0	0	0	0	0
ARKANSAS EASTERN	0	0	0	0	1	0
ARKANSAS WESTERN	0	0	0	0	0	0
CALIFORNIA CENTRAL	7	0	2	1	4	0
CALIFORNIA EASTERN	2	1	3	1	0	2
CALIFORNIA NORTHERN	0	0	0	0	0	0
CALIFORNIA SOUTHERN	0	2	1	0	0	0
COLORADO	0	0	0	1	0	1
CONNECTICUT	0	0	0	0	0	0
DELAWARE	1	0	0	0	0	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0
FLORIDA MIDDLE	4	0	0	0	0	0
FLORIDA NORTHERN	0	0	0	0	0	0
FLORIDA SOUTHERN	1	0	0	0	0	0
GEORGIA MIDDLE	0	0	0	0	0	0
GEORGIA NORTHERN	2	1	0	0	3	0
GEORGIA SOUTHERN	0	0	0	1	0	0
GUAM	0	0	0	0	0	0
HAWAII	0	0	0	0	0	0
IDAHO	0	0	0	0	0	0
ILLINOIS CENTRAL	0	0	0	0	0	0
ILLINOIS NORTHERN	8	1	1	0	0	0
ILLINOIS SOUTHERN	0	0	0	0	0	0
INDIANA NORTHERN	0	0	0	0	0	0
INDIANA SOUTHERN	1	0	0	0	0	0
IOWA NORTHERN	0	0	0	0	0	0
IOWA SOUTHERN	2	0	0	0	1	0
KANSAS	0	0	0	1	0	0
KENTUCKY EASTERN	0	0	0	0	0	0
KENTUCKY WESTERN	0	0	0	0	0	0
LOUISIANA EASTERN	2	1	0	0	0	0
LOUISIANA MIDDLE	0	0	0	0	0	0
LOUISIANA WESTERN	1	0	1	0	0	0
MAINE	0	0	0	0	0	0
MARYLAND	5	0	0	1	0	0
MASSACHUSETTS	2	0	0	0	0	0
MICHIGAN EASTERN	0	0	1	0	0	0
MICHIGAN WESTERN	0	0	0	0	0	0
MINNESOTA	0	0	0	0	0	0
MISSISSIPPI NORTHERN	1	0	0	0	0	0
MISSISSIPPI SOUTHERN	1	0	0	0	0	0
MISSOURI EASTERN	1	0	1	1	1	0
MISSOURI WESTERN	0	1	0	0	1	0
MONTANA	0	0	0	0	0	0
NEBRASKA	0	0	0	0	0	0
NEVADA	1	0	0	0	0	0
NEW HAMPSHIRE	4	0	0	0	0	0
NEW JERSEY	2	0	0	0	1	0
NEW MEXICO	0	0	0	0	0	0
NEW YORK EASTERN	8	4	3	1	1	0
NEW YORK NORTHERN	0	0	0	0	0	0
NEW YORK SOUTHERN	10	2	2	0	0	2
NEW YORK WESTERN	0	0	0	0	0	0
NORTH CAROLINA EASTERN	0	1	0	0	0	1
NORTH CAROLINA MIDDLE	0	0	0	0	0	0
NORTH CAROLINA WESTERN	0	0	0	0	0	0
NORTH DAKOTA	0	0	0	0	0	0
NORTHERN MARIANAS	0	0	0	0	0	0
OHIO NORTHERN	0	0	0	0	1	0
OHIO SOUTHERN	0	0	0	1	0	0
OKLAHOMA EASTERN	0	0	0	0	0	0
OKLAHOMA NORTHERN	0	0	0	0	0	0
OKLAHOMA WESTERN	1	0	0	0	0	0
OREGON	2	1	1	0	0	0
PENNSYLVANIA EASTERN	4	1	1	1	1	0
PENNSYLVANIA MIDDLE	0	0	1	0	0	0

TITLE 18, UNITED STATES CODE, SECTION 2318, 2319, 2319A, 2320, and TITLE 17, UNITED STATES CODE, SECTION 506 COMBINED  
 CRIMINAL CASELOAD STATISTICS\*  
 FISCAL YEAR 2011 REPORTED as of SEPTEMBER 30, 2011\*\*

PRISON SENTENCING

DISTRICT	NOT	1-12	13-24	25-36	37-60	60+
	IMPRIS	MONTHS	MONTHS	MONTHS	MONTHS	MONTHS
PENNSYLVANIA WESTERN	0	0	1	0	0	0
PUERTO RICO	3	0	0	0	0	0
RHODE ISLAND	0	0	1	0	0	0
SOUTH CAROLINA	2	1	3	0	0	0
SOUTH DAKOTA	0	0	0	0	0	0
TENNESSEE EASTERN	0	0	0	0	0	0
TENNESSEE MIDDLE	0	0	0	0	0	0
TENNESSEE WESTERN	0	0	0	0	0	0
TEXAS EASTERN	0	0	1	0	0	0
TEXAS NORTHERN	0	0	0	0	0	0
TEXAS SOUTHERN	0	1	0	2	1	0
TEXAS WESTERN	1	1	0	1	0	0
UTAH	0	0	0	0	0	0
VERMONT	0	0	0	0	0	0
VIRGIN ISLANDS	1	0	0	0	0	0
VIRGINIA EASTERN	4	2	3	1	4	0
VIRGINIA WESTERN	0	0	2	0	0	0
WASHINGTON EASTERN	0	0	0	0	0	0
WASHINGTON WESTERN	1	2	0	0	1	0
WEST VIRGINIA NORTHERN	0	0	0	0	0	0
WEST VIRGINIA SOUTHERN	0	0	0	0	0	0
WISCONSIN EASTERN	1	1	0	0	0	0
WISCONSIN WESTERN	0	0	0	0	0	0
WYOMING	0	0	0	0	0	0
GRAND TOTALS	92	26	31	15	21	6

\*Caseload data extracted from the United States Attorneys' Case Management System.

\*\*FY 2011 numbers are actual data through the end of September 2011.

\*\*\*This chart includes data on any and all criminal cases/defendants where 18 U.S.C. 2318, 2319, 2319A, 2320 or 17 U.S.C. 506 was brought as any charge against a defendant. However, the statutes were run together to eliminate any double counting of cases/defendants where more than one of the statutes was charged against the same defendant. Displayed defendant outcome information based upon the overall outcome of a defendant. This chart may not include criminal cases/defendants involving 18 U.S.C. 2318, 18 U.S.C. 2319, 18 U.S.C. 2319A, 18 U.S.C. 2320, or 17 U.S.C. 506, where the lead charge, charges filed, or charges of conviction include only a conspiracy to violate any of the identified statutes.

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# APPENDIX E

## Acronyms

### A

ACA	American Correctional Association
ACM	Asbestos Containing Materials
ACS	Automated Case Support
AFF	Assets Forfeiture Fund
AFF/SADF	Assets Forfeiture Fund and Seized Asset Deposit Fund
AFR	Agency Financial Report or Performance and Accountability Report
AMBER	America's Missing: Broadcasting Emergency Response
AOUSC	Administrative Office of U.S. Courts
ARRA	American Recovery and Reinvestment Act
ATF	Bureau of Alcohol, Tobacco, Firearms and Explosives
ATR	Antitrust Division
AOUSC	Administrative Office of U.S. Courts

### B

BIA	Board of Immigration Appeals
BJA	Bureau of Justice Assistance
BJS	Bureau of Justice Statistics
BOP	Bureau of Prisons
BP Alaska	BP Exploration Alaska, Inc
Budget	Budget of the United States

### C

CASE	Case Access System for EOIR
CCDO	Community Capacity Development Office
CDR	Chronological Disciplinary Record
CFO	Chief Financial Officer
CHRP	COPS Hiring Recovery Program (under Recovery Act)
CIO	Chief Information Officer
CIV	Civil Division
CJIS	Criminal Justice Information Services
CODIS	Combined DNA Index System
COLA	Cost of Living Adjustments
COPS	Office of Community Oriented Policing Services
COTS	Commercial-Off-The-Shelf
CPC	Capacity Planning Committee
CPOT	Consolidated Priority Organization Target
CRM	Criminal Division
CRS	Community Relations Service
CRT	Civil Rights Division

CSRS	Civil Service Retirement System
CT	Counterterrorism
CTD	Counterterrorism Division
CVF	Crime Victims Fund
CVIP	Child Victim Identification Program
CY	Calendar Year/Current Year

## D

DCIA	Debt Collection Improvement Act of 1996
DCM	Debt Collection Management
DEA	Drug Enforcement Administration
DFAS	Drug Flow Attack Strategy
Department, The	Department of Justice
DHS	Department of Homeland Security
DIOG	Domestic Investigations and Operations Guide
DIRB	Department Investment Review Board
DNA	Deoxyribonucleic Acid
DOJ	Department of Justice
DOL	Department of Labor

## E

ECs	Electronic Communications
EEO	Equal Employment Opportunity
ENRD	Environment and Natural Resources Division
EOIR	Executive Office for Immigration Review
EOUSA	Executive Office for U.S. Attorneys
EPIC	El Paso Intelligence Center
ESF	Emergency Support Function

## F

FAA	FISA Amendments Act
FASAB	Federal Accounting Standards Advisory Board
FBI	Federal Bureau of Investigation
FBWT	Fund Balance with U.S. Treasury
FCI	Federal Correctional Institution
FCSC	Foreign Claims Settlement Commission
FECA	Federal Employees Compensation Act
FEGLI	Federal Employees Group Life Insurance Program
FEHB	Federal Employees Health Benefits Program
FERS	Federal Employees Retirement System
FFETF	Financial Fraud Enforcement Task Force
FFMIA	Federal Financial Management Improvement Act
FISA	Foreign Intelligence Surveillance Act
FISMA	Federal Information Security Management Act

FMFIA	Federal Managers' Financial Integrity Act
FMIS	Financial Management Information System
FPI	Federal Prison Industries, Inc.
FTE	Full-Time Equivalent
FTTTF	Foreign Terrorist Tracking Task Force
FY	Fiscal Year

## G

GangTECC	National Gang Targeting, Enforcement, and Coordination Center
GAO	Government Accountability Office
GAN	Grant Adjustment Notice
GMRA	Government Management Reform Act
GPRA	Government Performance and Results Act
GPRS	Grant Payment Request System
GSK	GlaxoSmithKline

## H

HHS	Department of Health and Human Services
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## I

IC3	Internet Crimes Complaint Center
IG	Inspector General
IGA	Intergovernmental Agreement
IHP	Institutional Hearing Program
IINI	Innocent Images National Initiative
Integrity Act	Federal Managers' Financial Integrity Act
INTERPOL	International Criminal Police Organization
IP	Intellectual Property
IPERA	Improper Payments Elimination and Recovery Act
IPIA	Improper Payments Information Act
IRS	Internal Revenue Service
ISRAA	Integrated Statistical Reporting and Analysis Application
IT	Information Technology
IVRS	Integrated Violence Reduction Strategy
IWN	Integrated Wireless Network

## J

JDIS	Justice Detainee Information System
JMD	Justice Management Division
JRSA	Justice Research and Statistics Association
JSOC	Justice Security Operations Center

**K**

KG Weight in Kilograms

**L**

LCM Lower of average cost or market value  
 LCMS Litigation Case Management System  
 LEA Law Enforcement Agency  
 LLC Limited Liability Company  
 LLP Limited Liability Partnership

**M**

MCO Mission Critical Occupation  
 MDMA 3,4-Methylenedioxymethamphetamine  
 MET Mobile Enforcement Team  
 MOU Memorandum of Understanding  
 MS Mara Salvatrucha

**N**

N/A Not Applicable  
 NCIC National Crime Information Center  
 NCIJTF National Cyber Investigative Joint Task Force  
 NCMEC National Center for Missing and Exploited Children  
 NDIC National Drug Intelligence Center  
 NETF National Explosives Task Force  
 NGI Next Generation Identification  
 NGIC National Gang Intelligence Center  
 NIJ National Institute of Justice  
 NSD National Security Division  
 NSL National Security Letter  
 NW3C National White Collar Crime Center

**O**

OAAM Office of Audit, Assessment, and Management  
 OBDs Offices, Boards and Divisions  
 OCDETF Organized Crime Drug Enforcement Task Forces  
 OCGS Organized Crime and Gang Section  
 ODR Office of Dispute Resolution  
 OFDT Office of the Federal Detention Trustee  
 OIG Office of the Inspector General  
 OIP Office of Information Policy

OJP	Office of Justice Programs
OJJD	Office of Juvenile Justice and Delinquency Prevention
OLA	Office of Legislative Affairs
OLC	Office of Legal Counsel
OLP	Office of Legal Policy
OMB	Office of Management and Budget
OPA	Office of Pardon Attorney
OPM	Office of Personnel Management
OPR	Office of Professional Responsibility
OSG	Office of Solicitor General
OTJ	Office of Tribal Justice
OVP	Office of the Vice President
OVW	Office on Violence Against Women

## P

PAR	Performance and Accountability Report
Patriot Act	USA PATRIOT Act
PHS	Public Health Services
PL	Public Law
PMT	Performance Measurement Tool
PMRU	Professional Misconduct Review Unit
PRAO	Professional Responsibility Advisory Office
PSOB Act	Public Safety Officers' Benefits Act of 1976
PTS	Prisoner Tracking System
PY	Prior Year/Previous Year

## R

RCA	Reports Consolidation Act of 2000
RECA	Radiation Exposure Compensation Act
Recovery Act	American Recovery and Reinvestment Act of 2009
RFTF	Regional Fugitive Task Force
RICO	Racketeering Influenced Corrupt Organization
RSAT	Residential Substance Abuse Treatment

## S

SAVE Council	Advisory Council for Savings and Efficiencies
SBF	Special Benefits Fund
SBR	Statement of Budgetary Resources
SENTRY	Bureau of Prisons' primary mission-support database
SFFAS	Statement of Federal Financial Accounting Standards
SG	Strategic Goal
SIU	Sensitive Investigation Unit
SOD	Special Operations Division

**T**

TAX	Tax Division
TBD	To Be Determined
TBW	Taylor, Bean & Whitaker
TIL	Thief-In-Law
Treasury, the	Department of Treasury
Trust Fund	Federal Prison Commissary Fund
TSP	Federal Thrift Savings Plan

**U**

UDO	Undelivered Orders
UFMS	Unified Financial Management System
UNICOR	Federal Prison Industries, Inc.
US-CERT	United States Computer Emergency Readiness Center
USAs	United States Attorneys
USAO	United States Attorneys' Offices
USC	United States Code
USMS	United States Marshals Service
USNCB	United States National Central Bureau
USPC	United States Parole Commission
USSGL	U.S. Standard General Ledger
UST	United States Trustee
USTP	United States Trustees Program

**V**

VOI/TIS	Violent Offender Incarceration and Truth-In Sentencing
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**W**

WCC	White-Collar Crime
WIN	Warrant Information Network System

# APPENDIX F

## Department Component Websites

Component	Website
American Indian and Alaska Native Affairs Desk (OJP)	<a href="http://www.ojp.usdoj.gov/programs/aiana.htm">www.ojp.usdoj.gov/programs/aiana.htm</a>
Antitrust Division	<a href="http://www.justice.gov/atr/index.html">www.justice.gov/atr/index.html</a>
Bureau of Alcohol, Tobacco, Firearms and Explosives	<a href="http://www.atf.gov/">www.atf.gov/</a>
Bureau of Justice Assistance (OJP)	<a href="http://www.ojp.usdoj.gov/bja/">www.ojp.usdoj.gov/bja/</a>
Bureau of Justice Statistics (OJP)	<a href="http://bjs.ojp.usdoj.gov/">bjs.ojp.usdoj.gov/</a>
Civil Division	<a href="http://www.justice.gov/civil/index.html">www.justice.gov/civil/index.html</a>
Civil Rights Division	<a href="http://www.justice.gov/crt/">www.justice.gov/crt/</a>
Community Oriented Policing Services - COPS	<a href="http://www.cops.usdoj.gov/">www.cops.usdoj.gov/</a>
Community Capacity Development Office (OJP)	<a href="http://www.ojp.usdoj.gov/ccdo/welcome_flash.html">www.ojp.usdoj.gov/ccdo/welcome_flash.html</a>
Community Relations Service	<a href="http://www.justice.gov/crs/index.html">www.justice.gov/crs/index.html</a>
Criminal Division	<a href="http://www.justice.gov/criminal/">www.justice.gov/criminal/</a>
Diversion Control Program	<a href="http://www.deadiversion.usdoj.gov/">www.deadiversion.usdoj.gov/</a>
Drug Enforcement Administration	<a href="http://www.justice.gov/dea/index.htm">www.justice.gov/dea/index.htm</a>
Environment and Natural Resources Division	<a href="http://www.justice.gov/enrd/">www.justice.gov/enrd/</a>
Executive Office for Immigration Review	<a href="http://www.justice.gov/eoir/">www.justice.gov/eoir/</a>
Executive Office for U.S. Attorneys	<a href="http://www.justice.gov/usao/eousa/">www.justice.gov/usao/eousa/</a>
Executive Office for U.S. Trustees	<a href="http://www.justice.gov/ust/">www.justice.gov/ust/</a>
Federal Bureau of Investigation	<a href="http://www.fbi.gov/">www.fbi.gov/</a>
Federal Bureau of Prisons	<a href="http://www.bop.gov/">www.bop.gov/</a>
Foreign Claims Settlement Commission of the United States	<a href="http://www.justice.gov/fcsc/">www.justice.gov/fcsc/</a>
INTERPOL - U.S. National Central Bureau	<a href="http://www.justice.gov/usncb/">www.justice.gov/usncb/</a>
Justice Management Division	<a href="http://www.justice.gov/jmd/">www.justice.gov/jmd/</a>
National Criminal Justice Reference Service (OJP)	<a href="http://www.ncjrs.gov/">www.ncjrs.gov/</a>
National Drug Intelligence Center	<a href="http://www.justice.gov/ndic/">www.justice.gov/ndic/</a>
National Institute of Corrections	<a href="http://www.nicic.gov/">www.nicic.gov/</a>
National Institute of Justice (OJP)	<a href="http://www.ojp.usdoj.gov/nij/">www.ojp.usdoj.gov/nij/</a>
National Security Division	<a href="http://www.justice.gov/nsd/">www.justice.gov/nsd/</a>
Office of the Associate Attorney General	<a href="http://www.justice.gov/asg/index.html">www.justice.gov/asg/index.html</a>
Office of the Attorney General	<a href="http://www.justice.gov/ag/">www.justice.gov/ag/</a>
Office of the Deputy Attorney General	<a href="http://www.justice.gov/dag/">www.justice.gov/dag/</a>
Office of Dispute Resolution	<a href="http://www.justice.gov/odr/">www.justice.gov/odr/</a>
Office of the Federal Detention Trustee	<a href="http://www.justice.gov/ofdt/index.html">www.justice.gov/ofdt/index.html</a>
Office of Information Policy	<a href="http://www.justice.gov/oip/oip.html">www.justice.gov/oip/oip.html</a>
Office of the Inspector General	<a href="http://www.justice.gov/oig/">www.justice.gov/oig/</a>
Office of Intelligence Policy and Review	<a href="http://www.justice.gov/nsd/oipr-redirect.htm">www.justice.gov/nsd/oipr-redirect.htm</a>
Office of Intergovernmental and Public Liaison	<a href="http://www.justice.gov/oipl/index.html">www.justice.gov/oipl/index.html</a>
Office of Justice Programs	<a href="http://www.ojp.usdoj.gov/">www.ojp.usdoj.gov/</a>
Office of Juvenile Justice and Delinquency Prevention (OJP)	<a href="http://www.ojjdp.gov/">www.ojjdp.gov/</a>
Office of Legal Counsel	<a href="http://www.justice.gov/olc/index.html">www.justice.gov/olc/index.html</a>
Office of Legal Policy	<a href="http://www.justice.gov/olp/">www.justice.gov/olp/</a>
Office of Legislative Affairs	<a href="http://www.justice.gov/ola/">www.justice.gov/ola/</a>
Office of the Pardon Attorney	<a href="http://www.justice.gov/pardon/">www.justice.gov/pardon/</a>
Office of Professional Responsibility	<a href="http://www.justice.gov/opr/index.html">www.justice.gov/opr/index.html</a>
Office of Public Affairs	<a href="http://www.justice.gov/opa/index.html">www.justice.gov/opa/index.html</a>
Office of the Solicitor General	<a href="http://www.justice.gov/osg/">www.justice.gov/osg/</a>
Office of Tribal Justice	<a href="http://www.justice.gov/otj/index.html">www.justice.gov/otj/index.html</a>
Office for Victims of Crime (OJP)	<a href="http://www.ojp.usdoj.gov/ovc/">www.ojp.usdoj.gov/ovc/</a>
Office on Violence Against Women	<a href="http://www.ovv.usdoj.gov/">www.ovv.usdoj.gov/</a>
Tax Division	<a href="http://www.justice.gov/tax/">www.justice.gov/tax/</a>
U.S. Attorneys	<a href="http://www.justice.gov/usao/">www.justice.gov/usao/</a>
U.S. Marshals Service	<a href="http://www.justice.gov/marshals/">www.justice.gov/marshals/</a>
U.S. Parole Commission	<a href="http://www.justice.gov/uspc/">www.justice.gov/uspc/</a>

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