

**ANNEX 4-C**  
**CANADIAN COMPETITION BUREAU**  
**PENALTIES IMPOSED IN CASES AGAINST INTERNATIONAL CARTELS**  
**FOR VIOLATIONS OF THE COMPETITION ACT**

<b>Date</b>	<b>Company/Individual</b>	<b>Product</b>	<b>Section of the Act</b>	<b>Penalty</b>
1999 Oct 27	Andreas Hauri (Swiss national and former executive of Hoffmann-La Roche Ltd)	Bulk Vitamins Citric Acid	45(1)(c)	\$175,000 \$75,000  Total: \$250,000
1999 Oct 26	Roussel Canada Inc. (Canada)	Bulk Vitamins	46(1)	\$370,000  Total: \$370,000
1999 Oct 26	Hoechst AG (Germany) Eastman Chemical Company (United States)	Sorbates	45(1)(c)	\$2,500,000 \$780,000  Total: \$3,280,000
1999 Oct 25	Dr. Roland Brönnimann (Swiss national and former executive of Hoffmann-La Roche Ltd)	Bulk Vitamins	45(1)(c)	\$250,000  Total: \$250,000
1999 Sept 24	Chinook Group Limited (Canada)	Choline Chloride	45(1)(c)	\$2,250,000  Total: \$2,250,000
1999 Sept 22	F. Hoffmann-La Roche Ltd (Switzerland) BASF Aktiengesellschaft (Germany) Rhône-Poulenc S.A. (France) Daiichi Pharmaceutical Co., Ltd. (Japan) Eisai Co., Ltd. (Japan)	Bulk Vitamins	45(1)(c)	\$48,000,000 \$18,000,000 \$14,000,000 \$2,500,000 \$2,000,000
1999 Sept 22	BASF Aktiengesellschaft (Germany)	Choline Chloride	45(1)(c)	\$1,000,000  Total: \$1,000,000
1999 Sept 22	F. Hoffmann-La Roche Ltd (Switzerland)	Citric Acid	45(1)(c)	\$2,900,000  Total: \$2,900,000
1999 Sept 17	Russell Cosburn (Canadian and former executive of Chinook Group Limited)	Choline Chloride	45(1)(c)	nine months prison and 50 hours of community service
1999 July 23	Akzo Nobel Chemicals B.V. (The Netherlands) Glucona B.V. (The Netherlands)	Sodium Gluconate	45(1)(c)	\$350,000 \$350,000  Total: \$700,000

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1999 May 25	Roquettes Frères (France)	Sodium Gluconate	45(1)(c)	\$700,000  Total: \$700,000
1999 March 18	UCAR Inc. (Canada)	Graphite Electrodes	46(1)	\$11,000,000  Total: \$11,000,000
1999 Feb 15	Fujisawa Pharmaceutical Co. Ltd. (Japan)	Sodium Gluconate	45(1)(c)	\$360,000  Total: \$360,000
1998 Oct 21	Jungbunzlauer International AG (Switzerland)  Haarmann & Reimer Corporation (United States)	Sodium Gluconate Citric Acid  Citric Acid	45(1)(c)	\$100,000 \$1,900,000  \$4,700,000  Total: \$6,700,000
1998 July 23	Ajinomoto Co. Inc. (Japan) Sewon America Inc. (United States)	Lysine	45(1)(c)	\$3,500,000 \$70,000  Total: \$3,570,000
1998 May 27	Archer Daniels Midland Company (United States)	Lysine  Citric Acid	45(1)(c)	\$14,000,000  \$2,000,000  Total: \$16,000,000
1997 Feb 17	Mitsubishi Paper Mills, Ltd. ( Japan)	Fax Paper	45(1)(c) 61(1)(b)	\$750,000 \$100,000  Total: \$850,000
1996 July 16	New Oji Paper Company Limited (Japan)	Fax Paper	45(1)(c)	\$600,000  Total: \$600,000
1995 Dec 18	Rittenhouse Ribbons & Rolls Ltd. (Canada)	Fax Paper	61(6)	\$98,000  Total: \$98,000
1995 Sept 27	Canada Pipe Company Ltd. (Canada)	Pipe	45(1)(c)	\$2,500,000  Total: \$2,500,000

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1994 Aug 5	Mitsubishi Corporation (Japan)  Mitsubishi Canada Limited (Canada)	Fax Paper	45(1)(c) 46(1) 61(1)(b) 61(1)(b)	\$500,000 \$250,000 \$125,000 \$75,000  Total: \$950,000
1994 July 12	Kanzaki Specialty Papers Inc. (United States)	Fax Paper	45(1)(c)	\$950,000  Total: \$950,000
1993 Nov 19	Sumitomo Canada Limited (Canada)	Chemical Insecticide	46(1)	\$1,250,000  Total: \$1,250,000
1993 June 11	Chemagro Limited (Canada)	Chemical Insecticide Biological Insecticide	46(1) 45(1)(c)	\$1,250,000 \$750,000  Total: \$1,250,000

Prepared by the Canadian Competition Bureau (Jan. 2000)

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