

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

- 1. Date of Submission: 9/4/2009
- 2. Agency: Department of Justice
- 3. Bureau: Justice Management Division
- 4. Name of this Investment: JMD Unified Financial Management System (UFMS)
- 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53.9. For all other, use agency ID system.) 011-03-01-01-01-1126-00
- 6. What kind of investment will this be in FY 2011? (Please NOTE: Investments moving to O&M in FY 2011, with Planning/Acquisition activities prior to FY 2011 should not select O&M. These investments should indicate their current status.) Mixed Life Cycle
- 7. What was the first budget year this investment was submitted to OMB? FY2002

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.

The Department of Justice (DOJ) has initiated an effort to implement a unified system that will improve the existing and future financial management and procurement operations across DOJ. The Department will address these needs via the implementation of the UFMS, which is planned to replace five (5) core financial management systems and multiple procurement systems currently operating across DOJ with an integrated Commercial Off The Shelf (COTS) solution. The UFMS will allow the DOJ to streamline and standardize business processes and procedures across all Components, providing accurate, timely, and useful financial data to financial and Program managers across the Department, and produce Component- and Department-level financial statements. In addition, the system will assist the DOJ by improving financial management performance and aid Department Components in addressing the material weaknesses and non-conformances in internal controls, accounting standards, and systems security identified by the DOJ Office of the Inspector General (OIG). Finally, the system will provide procurement functionality to streamline business processes, provide consolidated management information, and the capability to meet all mandatory requirements of the Federal Acquisition Regulation (FAR) and the Justice Acquisition Regulations (JAR).

a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register. The scope of the program has not changed. An alternatives analysis is currently underway, and the program has both a risk management plan and a risk register.

- 9. Did the Agency's Executive/Investment Committee approve this request? Yes
- a. If "yes," what was the date of this approval? 12/31/2001

10. Contact information of Program/Project Manager?

Name

Phone Number

Email

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)?

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

OMB ID	Financial management system name(s)	System Acronym	Unique Project Identifier (UPI) number
121	Unified Financial Management System	UFMS	011-03-01-01-01-1126-00

a) If this investment is a financial management system Core financial system requirement according to FSIO standards

AND the investment is part of the core financial system then select the primary FFMI compliance area that this investment addresses (choose only one):

Section B: Summary of Funding (Budget Authority for Capital Assets)

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be excluded from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The "TOTAL" estimated annual cost of the investment is the sum of costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. Funding for all costs associated with the entire life-cycle of the investment should be included in this report. Funding levels should be shown for budget authority by year consistent with funding levels in Exhibit 53. The Summary of Funding table shall include the amounts allocated to the investment from, and should be directly tied to, the Fiscal Year Budget. This includes direct appropriations (discretionary or mandatory accounts), user fees, and approved self-funding activities and will provide the actual annual "budget" for the investment. This "budget" will be a subset of the congressionally approved budget for each fiscal year. This will provide Departments/Agencies and OMB useful information on the actual Fiscal Year dollars being asked for and spent on an investment.

SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS)									
(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY-1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:									
Acquisition:									
Subtotal Planning & Acquisition:									
Operations & Maintenance:									
Disposition Costs (optional):									
SUBTOTAL:									
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs									
Number of FTE represented by Costs:									
TOTAL (incl. FTE costs)									

Note: For the multi-agency investments, this table should include all funding (both managing partner and partner agencies). Government FTE Costs should not be included as part of the TOTAL represented.

Note 2: The two sub-total rows and total row will be calculated – not for data entry.

2. If the summary of funding has changed from the FY2010 President's Budget request, briefly explain those changes: FBI, BOP, and OJP implementations will occur in BY

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. Complete the table for all (including all non-Federal) contracts and/or task orders currently in place or planned for this investment. Total Value should include all option years for each contract. Contracts and/or task orders completed do not need to be included.

Exhibit 300: JMD Unified Financial Management System (UFMS) (Revision 10)

Contract/Task Orders Table											* Costs in millions
Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (\$M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
DJJ-06-F-1338	BPA	Yes	12/15/2005	12/15/2005	12/14/2012	150	No	No	Yes	NA	Yes
DJJ-04-F-0892	BPA	Yes	6/19/2006	8/1/2006	6/18/2011	1.8	No	No	Yes	NA	No
DJJ09-F-1810	FFP & Labor Hour	Yes	7/24/2009	8/16/2009	7/23/2015	17.824	No	No	Yes	NA	No
DJJ04-F-0954DO0002	GSA Schedule/Delivery Order	Yes	3/28/2006	4/1/2006	9/30/2006	4.648293	No	No	Yes	NA	No

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

All development work is being conducted by IBM (and its sub-contractors) under Contract Number DJJ-06-F-1338. This contract requires IBM to use an ANSI 748-A compliant Earned Value Management System (EVMS) to manage the performance of all UFMS Task Orders. Earned Value is not required of the remaining contractors; their support is level of effort for program management and advisory services. Earned Value calculations for these level of effort contracts are completed by the UFMS Program Management Office and captured in the program management budget and with compliance of the Department's EV policies and procedures.

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? Yes

a. If "yes," what is the date? 7/28/2005

NOTE: Data structure to be used to identify contract numbers in FPDS.

To assist in the linkage of Contract/Task Order Numbers from the Acquisition Strategy table to FPDS, agencies should provide the following information for "Contract/Task Order Numbers" based on the FPDS-NG data requirements (as specified in the FPDS-NG Data Element Dictionary- <http://www.fpsng.com/downloads/FPDS-Data-Dictionary-Version1.3.pdf>):

Part of Indefinite Delivery Vehicle (IDV)?	Procurement Instrument Identifier	Example
Yes	Data Element 1A (NTE 50 characters)	"00063200203DNBCHC020042"
No	Data Element 1A, and the Referenced PIID, Data Element 1C (NTE 100 characters)	"GS09Q08DN0165-IDV-GS10F0216N"

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan and the relevant Agency Segment Architecture. The investment must discuss its performance measures in support of the agency's mission and strategic goals as outlined in the corresponding Segment Architecture. Performance measures (indicators) must be provided. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.whitehouse.gov/omb/e-gov. The table can be extended to include performance measures for years beyond the next President's Budget.

OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
	2009	Ensure the Fair and Efficient Administration of Justice	Customer Results	Timeliness and Responsiveness	Response Time	IT hosting infrastructure availability per month (per service level agreement)	99%	99%	99.03%	Not Met	Synchronize
	2009	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Financial Management	Accounting	Audit support as DOJ's system of record	UFMS is not supporting any audits as DOJ's system of record	UFMS is supporting the audit at DEA	As of 12/08, UFMS is the system of record at DEA	Not Met	Synchronize
	2009	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Financial (Processes and Activities)	Financial Management	% of vendor payments paid on time (How many invoices are paid on time in accordance with the Prompt Payment Act (PPA))	95%	98%	84.82%	Not Met	Synchronize

Exhibit 300: JMD Unified Financial Management System (UFMS) (Revision 10)

Performance Information Table

OMB ID	Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results	Rating	Include In OMB Dashboard
	2009	Ensure the Fair and Efficient Administration of Justice	Technology	Reliability and Availability	Reliability	Helpdesk Response Time: Average time to resolve Helpdesk Trouble Tickets in the calendar month	1.5 days	3 days	1.53 days	Not Met	Synchronize
	2010	Ensure the Fair and Efficient Administration of Justice	Customer Results	Timeliness and Responsiveness	Response Time	IT hosting infrastructure availability per month (per service level agreement)	99%	99%	To be determined in FY10	Not Met	Synchronize
	2010	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Financial Management	Accounting	Audit support as DOJ's system of record	UFMS is the system of record for DEA	UFMS is supporting the audit at DEA	To be determined in FY10	Not Met	Synchronize
	2010	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Financial (Processes and Activities)	Financial Management	% of vendor payments paid on time (How many invoices are paid on time in accordance with the Prompt Payment Act (PPA))	95%	98%	To be determined in FY10	Not Met	Synchronize
	2010	Ensure the Fair and Efficient Administration of Justice	Technology	Reliability and Availability	Reliability	Helpdesk Response Time: Average time to resolve Helpdesk Trouble Tickets in the calendar month	1.5 days	3 days	To be determined in FY10	Not Met	Synchronize
	2011	Ensure the Fair and Efficient Administration of Justice	Customer Results	Timeliness and Responsiveness	Delivery Time	IT hosting infrastructure availability per month (per service level agreement)	99%	99%	To be determined in FY11	Not Met	Synchronize
	2011	Ensure the Fair and Efficient Administration of Justice	Mission and Business Results	Financial Management	Accounting	Audit support as DOJ's system of record	UFMS is the system of record for DEA and ATF	UFMS is supporting the audit at DEA and ATF	To be determined in FY11	Not Met	Synchronize
	2011	Ensure the Fair and Efficient Administration of Justice	Processes and Activities	Financial (Processes and Activities)	Financial Management	% of vendor payments paid on time (How many invoices are paid on time in accordance with the Prompt Payment Act (PPA))	95%	98%	To be determined in FY11	Not Met	Synchronize
	2011	Ensure the Fair and Efficient Administration of Justice	Technology	Reliability and Availability	Reliability	Helpdesk Response Time: Average time to resolve Helpdesk Trouble Tickets in the calendar month	1.5 days	3 days	To be determined in FY11	Not Met	Synchronize

Section E: Security (IT Capital Assets only)

For IT investments, agencies should maintain up-to-date tracking of which systems in the FISMA inventory support any IT investment. Linking major IT investments to FISMA systems will be addressed outside the context of the A-11 budget submission of the Exhibit 300.

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

Have the requisite investment-level architecture documentation requirements (e.g., reference model mappings, FTF mappings, etc.) for this investment been documented in the corresponding Segment Architecture? For detailed guidance regarding segment architecture requirements, please refer to www.whitehouse.gov/omb/e-gov. See this guidance also regarding the reporting of six digit codes corresponding to agency segment architectures in Exhibit 53, and, for limited cases determined by the Chief Architect, reporting an investment alignment with multiple segments. Yes

Exhibit 300: Part II: Planning, Acquisition and Performance Information

Part II should be completed only for investments identified as "Planning" or "Full Acquisition," or "Mixed Life-Cycle" investments in response to Question 6 in Part I, Section A above.

Section A: Cost and Schedule Performance (All Capital Assets)

Agencies should be measuring the performance of operational assets against the baseline established during the planning or full acquisition phase (i.e., operational analysis), or, where approved, the current baseline, and be properly operating and maintaining the asset to maximize its useful life. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements.

EVM is required only on Planning or Acquisitions portions of investments. For mixed lifecycle investments, O&M milestones should still be included in the cost and schedule performance table. This table should accurately reflect the milestones in the initial baseline, or approved current baseline.

For investments including Planning or Acquisitions spending, complete the following table on milestones used to measure cost and schedule performance, representing only one level of the investment's Work Breakdown Structure. This should generally show Level 3 of the Work Breakdown Structure. For activities related to Operations and Maintenance included in Mixed Life Cycle investments, provide milestones used to track cost and schedule performance in the same format used for development activities milestones.

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Complete the following table to compare actual performance against the current performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004"), baseline and actual start dates, and the baseline and actual total costs (in \$ Millions). Note that the 'Description of Milestone' and 'Percent Completed'-both Planned and Actual-fields are required.

Description of Milestone	Total Cost		Baseline (mm/dd/yyyy)				Percentages Complete	
	Planned Cost (\$M)	Actual Cost (\$M)	Start Date		Completion Date		Planned	Actual
			Planned	Actual	Planned	Actual		
Direct PMO Personnel			9/1/2001	9/1/2001	3/1/2013		54.07%	54.07%
Supplemental Staff			9/1/2001	9/1/2001	3/1/2013		35.99%	35.99%
Facility Costs			9/1/2001	9/1/2001	3/1/2013		64.81%	64.81%
Support Contract			9/1/2001	9/1/2001	3/1/2013		86.39%	86.39%
IV&V			9/1/2001	9/1/2001	3/1/2013		44.01%	44.01%
Team IBM Program Management			9/1/2001	9/1/2001	3/1/2013		49.1%	49.1%
Data Hosting Infrastructure	\$2.277935	\$2.277935	7/1/2004	7/1/2004	12/10/2006	12/10/2006	100%	100%
Foundation Build			9/1/2006	9/1/2006	3/1/2013		63.35%	62.96%
Hardware & Software Engineering			9/1/2007	9/1/2007	3/1/2013		22.75%	22.75%
AFP			9/13/2006	9/13/2006	8/31/2011		5.1%	5.1%
DEA	\$18.767084	\$18.413425	9/1/2006	8/24/2006	5/15/2009	5/15/2009	100%	100%
FBI			3/18/2007	3/18/2007	3/31/2012		5.8%	5.8%
ATF			11/18/2008	11/18/2008	8/31/2010		17.82%	17.82%
USMS			6/18/2007	10/1/2009	3/28/2013		4.67%	4.67%
BOP			11/1/2010	1/27/2009	1/31/2013		12.44%	10.76%
OBD			2/1/2011		3/28/2013		0%	0%
OJP			4/1/2011		9/30/2012		0%	0%
Momentum User License Procurement			10/1/2008	7/1/2006	3/1/2013		1.22%	1.22%
I&I Travel			9/30/2008	9/30/2008	3/1/2013		0%	0%
Contingency for Implementation			3/27/2008	3/27/2008	3/1/2013		0%	0%
Other Business Transformation			7/17/2008	7/17/2008	3/1/2013		3.39%	3.39%
Momentum Security			7/1/2008	7/1/2008	3/1/2013		37.08%	37.08%
Component PMOs	\$3.160401	\$2.893956	1/1/2006	1/1/2006	3/1/2013	12/10/2006	100%	100%
Data Hosting Operations & Maintenance			11/1/2008	11/1/2008	9/30/2021		8.52%	8.52%
Maintenance			11/1/2008	11/1/2008	9/30/2021		0%	0%
Direct PMO Personnel (O&M)			3/1/2013		9/30/2021		0%	0%
Support Contract (O&M)			3/1/2013		9/30/2021		0%	0%
New Hire and Recurring Training			3/1/2013		9/30/2021		0%	0%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Complete the following table to compare actual performance against the current performance baseline. In the Current Baseline section, for all milestones listed, you should provide both the baseline and actual completion dates (e.g., "03/23/2003"/ "04/28/2004"), baseline and actual start dates, and the baseline and actual total costs (in \$ Millions). Note that the 'Description of Milestone' and 'Percent Completed'-both Planned and Actual-fields are required.

Description of Milestone	Total Cost		Baseline (mm/dd/yyyy)				Percentages Complete	
	Planned Cost (\$M)	Actual Cost (\$M)	Start Date		Completion Date		Planned	Actual
			Planned	Actual	Planned	Actual		
Facility Costs (O&M)			11/1/2008	10/1/2007	9/30/2021		0%	0%
System Administration & Help Desk			11/7/2007	11/7/2007	9/30/2021		8.27%	8.27%
Momentum Upgrades (O&M)			3/1/2013		9/30/2021		0%	0%
Hardware & Software Engineering (O&M)			3/1/2013	2/1/2009	9/30/2021		0%	0%
Project Totals								